Implementation of Linear Neoclassical Inner Region Model in the DCON Package

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Matched Asymptotic Expansions

- Lundquist number $S = \tau_{R}/\tau_{A} >> 1$.
- Singular surface at $m = n q(\psi)$.
- Partition domain into multiple inner and outer regions, $x/a \sim \gamma \tau_A \sim S^{-1/3}$.
- Ideal MHD dominates outer regions.
- Thin inner regions can include resistivity, inertia, viscosity, finite Larmor radius, kinetic effects, nonlinearity, etc.
- Inner and outer regions are matched through asymptotic coefficients, matching data.
- Benchmarks with straight-through MARS code: excellent agreement, ~100x faster.

Previous Work

- \triangleright Ideal DCON integrates the 2D Newcomb equation to determine the ideal MHD stability of axisymmetric toroidal plasmas.
- \triangleright Resistive DCON solves the same equation in the outer region, using a different algorithm, a singular Galerkin method, à la Pletzer and Dewar. Determines outer region matching data. Re-uses most of the DCON infrastructure.
- Ø Greatly improved convergence and speed compared to PEST III, due to advanced basis functions and grid packing scheme.
- Ø Coupled to Morrell Chance's VACUUM code for free-boundary modes.
- \triangleright Inner region equations of Glasser, Greene, and Johnson solved with new DELTAC code, more robust and reliable than older DELTAR an INNER codes.
- Ø MATCH code couples outer region DCON and innner region DELTAC, finds multiple roots of the dispersion relation, computes and draws global eigenfunctions.
- \triangleright Compared to straight-through MARS code. Excellent agreement with multiple singular surfaces, linear combination of even and odd modes. About 100x faster.

Inner Region: Beyond Resistive DCON

Fields

$$
\mathbf{E} = -\partial_t \mathbf{A} - \nabla \varphi, \quad \mathbf{b} = \nabla \times \mathbf{A}
$$

$$
\nabla \cdot \mathbf{j} = \nabla \cdot \mathbf{A} = 0, \quad \mathbf{j} = -\nabla^2 \mathbf{A}
$$

Equilibrium Toroidal Rotation

 $\mathbf{V} = \Omega(\psi) R^2 \nabla \phi, \quad \mathbf{V} \cdot \nabla = \Omega(\psi) \partial_{\phi}$

Density and Pressure

 $(\partial_t + \Omega \partial_{\phi}) \rho + \nabla \cdot (\rho \mathbf{v}) = 0$ $(\partial_t + \Omega \partial_{\phi})p + \mathbf{v} \cdot \nabla P + \gamma P \nabla \cdot \mathbf{v} + \frac{2}{3} (\nabla \cdot \mathbf{q} + \mathbf{R} \cdot \mathbf{u} + \pi : \nabla \mathbf{v}) = 0$

Momentum Conservation and Ohm's Law

$$
\rho(\partial_t + \Omega \partial_\phi) \mathbf{v} = \mathbf{j} \times \mathbf{B} + \mathbf{J} \times \mathbf{b} - \nabla p - \nabla \cdot \boldsymbol{\pi}
$$

$$
\mathbf{E} + \mathbf{v} \times \mathbf{B} + \mathbf{V} \times \mathbf{b} = \mathbf{R} + \frac{1}{N_e e} (\mathbf{j} \times \mathbf{B} + \mathbf{J} \times \mathbf{b} - \nabla p_e - \nabla \cdot \boldsymbol{\pi}_e)
$$

Short mean free path: Braginskii. Long mean free path: neoclassical; Connor, Hastie & Helander.

Neoclassical Inner Region

Reference

"Linear tearing mode stability equations for a low collisionality toroidal plasma," J. W. Connor, R. J. Hastie, and P. Helander, Plasma Phys. Control. Fusion 51, 015009 (2009).

Linearized Gyrokinetic Equation

$$
\left(v_{\parallel}\mathbf{b}+\mathbf{v}_{\mathrm{d}j}\right)\cdot\nabla g_j-i\omega g_j-C_j(g_j)=-i\frac{e_j}{T_j}f_{0j}\left(\omega-\omega_{*j}^T\right)\left[J_0(z_j)\left(\Phi-v_{\parallel}A_{\parallel}\right)+\frac{v_{\perp}}{k_{\perp}}\tilde{B}_{\parallel}J_1(z_j)\right]
$$

Ordering Assumptions

$$
\varepsilon \equiv \left(\frac{\rho_e}{\delta}\right)^{\lambda} \ll 1, \quad \rho_e \equiv \frac{v_{\text{the}}}{\Omega_e}, \quad x \sim \delta, \quad \left(\frac{m_e}{m_i}\right)^{1/2} \sim \varepsilon^{\mu}
$$
\n
$$
\text{species} \qquad \omega_{bj} \qquad k_{\parallel} v_{\text{th}j} \qquad \omega_{\text{dr}j} \qquad \omega \qquad \nu_j \qquad k_r^2 D_{\perp j}^{\text{neo}}
$$
\n
$$
j = \text{electrons} \qquad 1 \qquad \varepsilon^{\lambda+1} \qquad \varepsilon^{\lambda} \qquad \varepsilon^{\lambda+2} \qquad \varepsilon^{\lambda} \qquad \varepsilon^{3\lambda}
$$
\n
$$
j = \text{ions} \qquad 1 \qquad \varepsilon^{\lambda+1} \qquad \varepsilon^{\lambda-\mu} \qquad \varepsilon^{\lambda+2-\mu} \qquad \varepsilon^{\lambda} \qquad \varepsilon^{3\lambda-2\mu}
$$

$$
\lambda = 7/4, \quad \mu = 3/2, \quad \omega \nu_e \sim k_{\parallel}^2 v_{\text{the}}^2
$$

Collision Terms: Pitch Angle Scattering, Momentum Conservation

Electron Collision Operator

$$
C_e(h) = \nu_{ei}(v) \left[Lh + \frac{m_e}{T_e} v_{\parallel} u_{\parallel i} f_{0e} \right] + \nu_{ee}(v) \left[Lh + \frac{m_e}{T_e} v_{\parallel} u_{\parallel e}^* f_{0e} \right]
$$

$$
u_{\parallel i} = \frac{1}{n_i} \int d\mathbf{v} v_{\parallel} h_i, \quad u_{\parallel e}^* = \frac{\int d\mathbf{v} \nu_{ee}(v) v_{\parallel} h_{1e}}{\int d\mathbf{v} (m_e v_{\parallel}^2 / T_e) \nu_{ee} f_{0e}}
$$

$$
\nu_{ei} = \frac{\nu_0}{(m_e v^2 / 2T_e)^{3/2}}, \quad \nu_{ee} = \frac{\nu_0 \phi (m_e v^2 / 2T_e)}{(m_e v^2 / 2T_e)^{3/2}}
$$

$$
\nu_0 = \frac{\sqrt{2\pi n_e e^4 \ln \Lambda}}{m_e^{1/2} T_e^{3/2}}, \quad L = \frac{2v_{\parallel}}{v^2 B} \frac{\partial}{\partial \lambda} \lambda v_{\parallel} \frac{\partial}{\partial \lambda}
$$

$$
\phi(x) = \left(1 - \frac{1}{2x}\right) \eta(x) + \eta'(x), \quad \eta(x) = \frac{2}{\sqrt{\pi}} \int_0^x e^{-t} t^{1/2} dt
$$

Ion Collision Operator

$$
C_i(h) = \frac{2\nu_{ii}(v)v_{\parallel}}{Bv^2} \frac{\partial}{\partial \lambda} \lambda v_{\parallel} \frac{\partial}{\partial \lambda} h + \nu_{ii} v_{\parallel} f_{0i} \frac{m_i}{T_I} u_{\parallel i}^*
$$

$$
u_{\parallel i}^* = \frac{T_i}{m_i} \frac{\int d^3v \nu_{ii}(v)v_{\parallel}h}{\int d^3v \nu_{ii}(v)v_{\parallel}^2 f_{0i}}
$$

$$
\nu_{ii}(v) = \nu_i \phi(u)/u^3, \quad \nu_i = \frac{\sqrt{2}\pi n_e e^4 \ln \Lambda}{m_i^{1/2} T_i^{3/2}}, \quad u = m_i v^2 / 2T_i
$$

Density and Temperature Equations

Electron Density

$$
-D\frac{\hat{n}_e}{n_e} = \frac{e}{T_e} \langle \Phi - \Psi \rangle \left[\left[1 + (\lambda_4 - \lambda_2)s^2 \right] \left(1 - \frac{\omega_{*e}}{\omega} \right) + \lambda_2 s^2 \frac{\omega_{*e}}{\omega} \eta_e \right]
$$

$$
- \left\langle \frac{\tilde{B}_\parallel}{B} \right\rangle \left[\left(1 - \frac{\omega_{*e}}{\omega} \right) \left(1 + \lambda_4 s^2 - \frac{5}{3} \lambda_2 s^2 \right) - \frac{\omega_{*e}}{\omega} \eta_e \left(1 + \lambda_4 s^2 - \frac{10}{3} \lambda_2 s^2 \right) \right]
$$

Electron Temperature

$$
-D\frac{\hat{T}_e}{T_e} = \frac{e}{T_e} \langle \Phi - \Psi \rangle \left[(\lambda_1 s^2 - \lambda_2 s^2) \left(1 - \frac{\omega_{*e}}{\omega} \right) - (1 + \lambda_1 s^2) \frac{\omega_{*e}}{\omega} \eta_e \right]
$$

$$
- \left\langle \frac{\tilde{B}_\parallel}{B} \right\rangle \left[\left(1 - \frac{\omega_{*e}}{\omega} \right) \left(\frac{2}{3} + \frac{5}{3} \lambda_1 s^2 - \lambda_3 s^2 \right) - \frac{\omega_{*e}}{\omega} \eta_e \left(\frac{7}{3} + \frac{10}{3} \lambda_1 s^2 - \lambda_3 s^2 \right) \right]
$$

Ion Density
\n
$$
\omega \frac{\hat{n}_i}{n_i} = \frac{e(\Phi - \Psi)}{T_i} (\omega - \omega_{*i}) + \left\langle \frac{\tilde{B}_{\parallel}}{B} \right\rangle [\omega - \omega_{*i}(1 + \eta_i)]
$$

Ion Temperature

$$
\hat{T}_i - \frac{2i}{3} \frac{T_i I^2}{m_i \Omega_{i0}^2 \tau_{ii} \omega} \left\langle \frac{\langle B^2 \rangle}{B^2} - f_c \right\rangle \hat{T}_i'' = -e \left\langle \Phi - \Psi \right\rangle \frac{\eta_i \omega_{*i}}{\omega}
$$
\n
$$
- \frac{2i}{3} \frac{T_i I^2}{m_i \Omega_{i0}^2 \tau_{ii} \omega} \left\langle \frac{\langle B^2 \rangle}{B^2} - f_c \right\rangle \frac{\omega_{*i}}{\omega} \eta_i e \Phi'' + \frac{2}{3} T_i \left\langle \frac{\tilde{B}_{\parallel}}{B} \right\rangle \left[\left(1 - \frac{\omega_{*i}}{\omega} \right) - \frac{7}{2} \frac{\eta_i \omega_{*i}}{\omega} \right]
$$

Parallel Magnetic Field and Ohm's Law

Pressure and Parallel Magnetic Field

$$
\tilde{n}_j = \hat{n}_j - \frac{n_j e_j}{T_j} \Phi + \frac{n_j e_j}{T_j} \left(1 - \frac{\omega_{*j}}{\omega} \right) \Psi, \quad \tilde{T}_j = \hat{T}_j + \frac{n}{\omega} T'_{0j} \Psi
$$

$$
\hat{p}_j = T_j \hat{n}_j + n_j \hat{T}_j, \quad \hat{p} = \sum_j \hat{p}_j, \quad \tilde{p} = \sum_j \tilde{p}_j = \hat{p} + \frac{n}{\omega} p'_0 \Psi, \quad \frac{\tilde{B}_\parallel}{B} = -\frac{\tilde{p}}{B^2}
$$

Neoclassical Ohm's Law

$$
\frac{n}{\omega} \frac{d^2 (x\Psi)}{dx^2} = -\frac{i n q' \sigma_{\parallel}^{\text{sc}} (s^2)}{p'_0 q} \left\langle \frac{1}{R^2} \right\rangle Lx \left(\Phi - \Psi\right) + \frac{H\tilde{p'}}{p'_0} \n- \frac{\left(1 - f_c\right)}{\left(1 - 0.37 f_c\right)} \frac{L}{p'_0} \left[\alpha_n \left(T_e + T_i\right) \tilde{n}'_e + n_e \left(\alpha_e \tilde{T}'_e - \alpha_i \tilde{T}'_i\right) \right]
$$

Vorticity and Transverse Viscosity

Vorticity

$$
x\frac{d^2}{dx^2}(x\Psi) + D_I\Psi - \left(\frac{\omega x \hat{p}}{n}\right)' \left(\frac{L+H}{p'_0}\right) + D_I\left(\frac{\omega \hat{p}}{np'_0}\right) = \frac{m_i n_i}{n^2} \left(\frac{q}{Iq'\langle 1/R^2\rangle}\right)^2 \left\langle \frac{B^2}{|\nabla\psi|^2} \right\rangle
$$

$$
\times \left[\omega\left(\omega - \omega_{*i}(1+\eta_i)\right) \left(\left\langle \frac{|\nabla\psi|^2}{B^2}\right\rangle \frac{d^2\Phi}{dx^2} + \left\langle \frac{I^2}{B^2}\right\rangle \frac{d^2\Psi}{dx^2}\right) - 1.17n\omega T'_{i0}\frac{I^2 f_c}{\langle B^2\rangle} \frac{d^2\Psi}{dx^2}\right]
$$

+
$$
\frac{m_i n_i}{n^2} \left(\frac{q}{Iq'\langle 1/R^2\rangle}\right)^2 \left\langle \frac{B^2}{|\nabla\Psi|^2}\right\rangle \left[\omega^2 \left\langle \frac{I^2}{B^2}\right\rangle \frac{\hat{p}''_i}{en_i} - 1.17\omega^2 \frac{I^2 f_c}{\langle B^2\rangle} \frac{\hat{T}''_i}{e}\right]
$$

-
$$
\frac{i\omega}{n^2} \left(\frac{q}{Iq'\langle 1/R^2\rangle}\right)^2 \left\langle \frac{B^2}{|\nabla\Psi|^2}\right\rangle \Pi''
$$

Transverse Viscosity

$$
\Pi = 0.1862 m_i n_i (2\hat{\varepsilon})^{3/2} \langle R \rangle^2 \frac{m_i T_i}{\tau_i e^3} \tilde{T}_i'', \quad \hat{\varepsilon} = \frac{R_{\text{max}} - R_{\text{min}}}{2 \langle R \rangle}
$$

General Properties of the Equations

General Form and Galerkin Discretization

$$
\mathbf{u}(x) = \sum_{j} \mathbf{u}_{j} \alpha_{j}(x), \quad -(\mathbf{A}\mathbf{u}' + \mathbf{B}\mathbf{u})' + (\mathbf{C}\mathbf{u}' + \mathbf{D}\mathbf{u}) = 0
$$

$$
\mathbf{L}_{ij} = (\alpha'_{i}, \mathbf{A}\alpha'_{j}) + (\alpha'_{i}, \mathbf{B}\alpha_{j}) + (\alpha_{i}, \mathbf{C}\alpha'_{j}) + (\alpha_{i}, \mathbf{D}\alpha_{j}), \quad \mathbf{L}_{ij}\mathbf{u}_{j} = 0
$$

Descending Power Series Solutions, $|x| \to \infty$

$$
\mathbf{A} = x^2 \sum_{j=0}^{\infty} \mathbf{A}_j x^{-j}, \quad \mathbf{B} = x \sum_{j=0}^{\infty} \mathbf{B}_j x^{-j}, \quad \mathbf{C} = x \sum_{j=0}^{\infty} \mathbf{C}_j x^{-j}, \quad \mathbf{D} = \sum_{j=0}^{\infty} \mathbf{D}_j x^{-j}
$$

$$
\mathbf{u} = x^{\mu} \sum_{k=0}^{\infty} x^{-k} \mathbf{u}_k, \quad \mathbf{u}' = x^{\mu-1} \sum_{k=0}^{\infty} x^{-k} (\mu - k) \mathbf{u}_k
$$

Products and Sums

$$
\mathbf{D}\mathbf{u} = x^{\mu} \sum_{j=0}^{\infty} \sum_{k=0}^{\infty} x^{-(j+k)} \mathbf{D}_{j} \mathbf{u}_{k} = x^{\mu} \sum_{l=0}^{\infty} x^{-l} \sum_{j=0}^{l} \mathbf{D}_{j} \mathbf{u}_{l-j}
$$

$$
\mathbf{C}\mathbf{u}' = x^{\mu} \sum_{j=0}^{\infty} \sum_{k=0}^{\infty} x^{-(j+k)} (\mu - k) \mathbf{C}_{j} \mathbf{u}_{k} = x^{\mu} \sum_{l=0}^{\infty} x^{-l} \sum_{j=0}^{l} (\mu + j - l) \mathbf{C}_{j} \mathbf{u}_{l-j}
$$

$$
(\mathbf{B}\mathbf{u})' = \left(x^{\mu+1} \sum_{j=0}^{\infty} \sum_{k=0}^{\infty} x^{-(j+k)} \mathbf{B}_{j} \mathbf{u}_{k} \right)' = x^{\mu} \sum_{l=0}^{\infty} x^{-l} \sum_{j=0}^{l} (\mu + j - l + 1) \mathbf{B}_{j} \mathbf{u}_{l-j}
$$

$$
(\mathbf{A}\mathbf{u}')' = \left(x^{\mu+1} \sum_{j=0}^{\infty} \sum_{k=0}^{\infty} x^{-(j+k)} (\mu - k) \mathbf{A}_{j} \mathbf{u}_{k} \right)' = x^{\mu} \sum_{l=0}^{\infty} x^{-l} \sum_{j=0}^{l} (\mu + j - l) (\mu + j - l + 1) \mathbf{A}_{j} \mathbf{u}_{l-j}
$$
Glasser, Neoclassical DCON, APS 2015 Slide 9

Power Series Equations

Power Series Equations

$$
x^{-\mu} \mathbf{L} \mathbf{u} = \sum_{l=0}^{\infty} x^{-l} \sum_{j=0}^{l} \left\{ -(\mu + j - l + 1) \left[(\mu + j - l) \mathbf{A}_j + \mathbf{B}_j \right] + (\mu + j - l) \mathbf{C}_j + \mathbf{D}_j \right\} \mathbf{u}_{l-j} = 0
$$

Zeroth Order Equations

 $l=0$

 $[-(\mu + 1)(\mu \mathbf{A}_0 + \mathbf{B}_0) + \mu \mathbf{C}_0 + \mathbf{D}_0] \mathbf{u}_0 = 0$

det $[-(\mu + 1)(\mu \mathbf{A}_0 + \mathbf{B}_0) + \mu \mathbf{C}_0 + \mathbf{D}_0] = 0$

$$
\mu = -\frac{1}{2} \pm \sqrt{-D_I}
$$

Matches onto ideal outer region solutions, $\Delta' = \Delta(\omega)$. Inner region solutions provide $\Delta(\omega)$.

Higher Order Equations

 $l > 0$

$$
\begin{aligned} \left\{ -(\mu - l + 1) \left[(\mu - l) \mathbf{A}_0 + \mathbf{B}_0 \right] + (\mu - l) \mathbf{C}_0 + \mathbf{D}_0 \right\} \mathbf{u}_l \\ &= -\sum_{j=1}^l \left\{ -(\mu + j - l + 1) \left[(\mu + j - l) \mathbf{A}_j + \mathbf{B}_j \right] + (\mu + j - l) \mathbf{C}_j + \mathbf{D}_j \right\} \mathbf{u}_{l-j} \end{aligned}
$$

Solution Procedure

- \triangleright The only velocity space integral required is f_c , the fraction of circulating particles. Computed by DCON for each singular surface.
- \triangleright Advanced Galerkin basis functions: C¹ Hermite cubics on interior of asymmetric domain (-xmax,xmax); resonant power series solutions at largest |*x*|; very effective grid packing algorithm.
- \triangleright Use large resonant power series solutions to drive response in small resonant power series solution.
- Ø Solve complex banded matrix with LAPACK routines ZGBTRF and ZGBTRS.
- \triangleright Coefficients of small resonant solutions provide $\Delta(\omega)$.
- \triangleright Match to outer region, roots of det[$\Delta' \Delta(\omega)$] = 0.
- \triangleright Use MATCH code to find multiple complex roots and construct global eigenfunctions.

Status and Future Work

- \triangleright Equations have been derived and understood.
- \triangleright Galerkin discretization with C¹ Hermite cubics is mostly complete, not yet debugged.
- \triangleright Derivation of large- $|x|$ limit has been formulated but is not yet complete or coded up.
- \triangleright Computation of a large number of parameters has only begin.
- \triangleright Testing and debugging remain to be done.
- \triangleright The linear neoclassical inner region model should be almost as fast as the GGJ model, \sim 50 μ s. The main difference is that the number of dependent variables is 8 rather than 3.
- \triangleright Sheared equilibrium rotation will be added.
- \triangleright Determine conditions for inner-outer overlap.
- \triangleright Verification, validation, sharing.
- \triangleright Nonlinear treatment, finite island widths.

