



M3D-C¹ simulations of plasma response to external 3D magnetic perturbations & Plans for DK4D

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Meeting of the Center for Extended MHD Modeling
Madison, WI
Sunday, April 3rd, 2016

Introduction

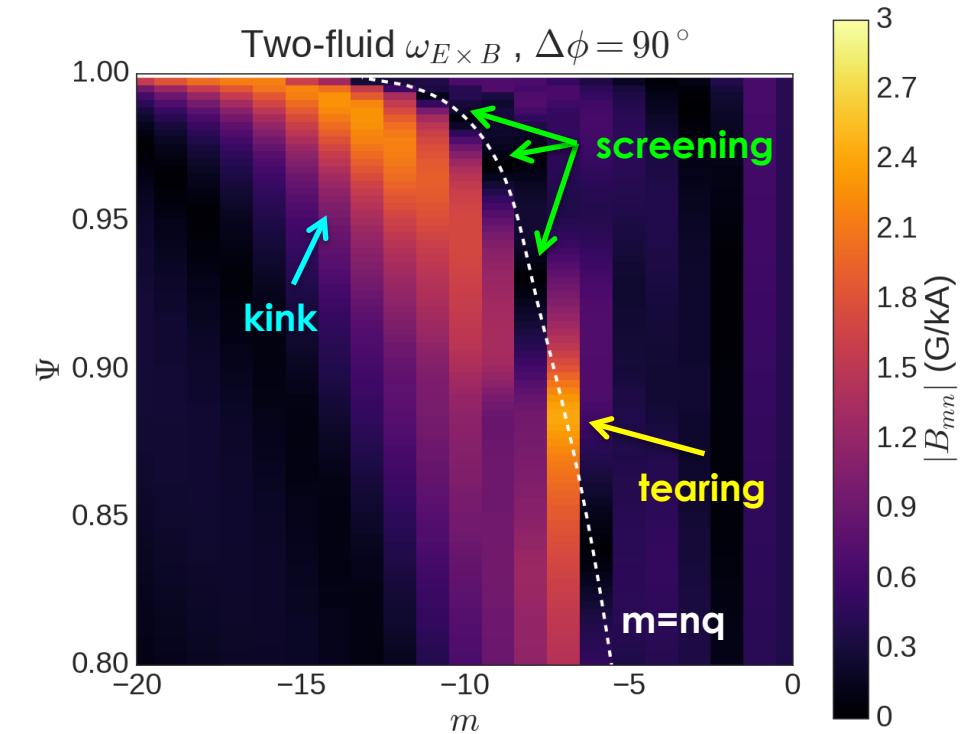
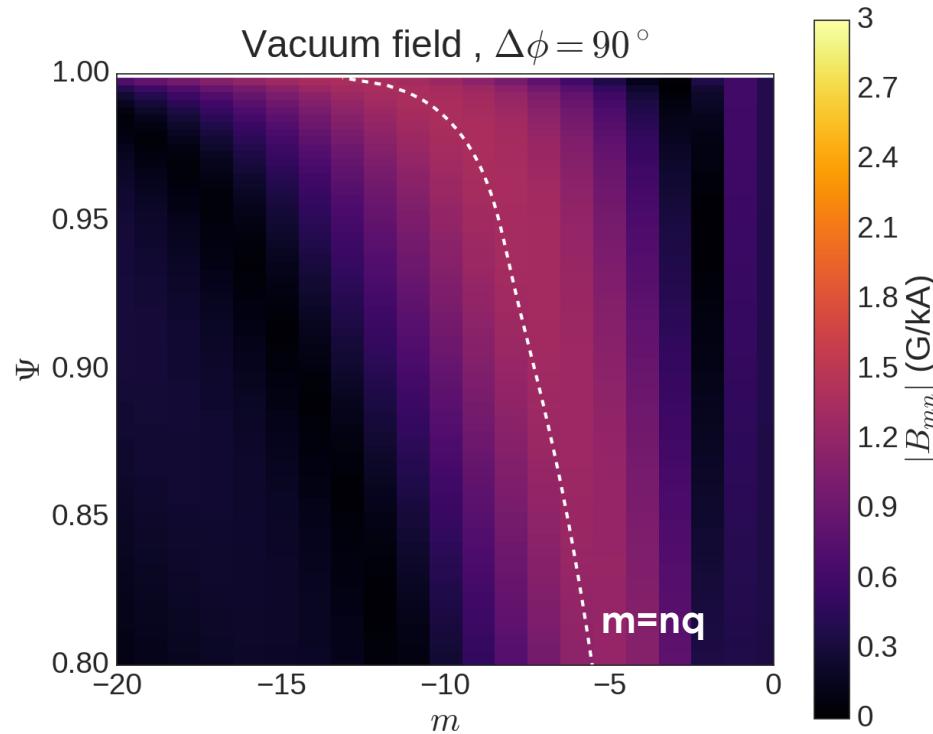
- External three-dimensional magnetic perturbations have become a principal means of mitigating or suppressing edge-localized modes (ELMs) in tokamaks
- Sophisticated magnetohydrodynamics (MHD) modeling is required to understand how the plasma responds to these perturbations
- M3D-C¹ is used to model the plasma response in a variety of plasma and magnetic perturbation configurations
- Results compared to
 - Experimental data and observations
 - Numerical results from IPEC and MARS-F

ELMs can be mitigated or suppressed by external 3D magnetic fields

- DIII-D has demonstrated complete suppression of ELMs using externally-applied 3D magnetic perturbations
 - Evans, T.E. et al. Nat. Phys. **2**, 419 (2006).
 - Among others
- Results motivated installation of coils on several machines
 - ASDEX Upgrade
 - KSTAR
 - MAST
 - NSTX-U
 - ITER (planned)

Plasma response can greatly alter perturbed magnetic spectrum

- **SURFMN-like field decomposition** $\delta B_r(\psi) = \sum_{m,n} B_{mn}(\psi) \exp [i (m\theta - n\phi)]$
- **Resonant response at rational surfaces ($m=nq$)**
 - Tearing enhances
 - Screening suppresses
- **Kink response amplifies non-resonant fields with $m>nq$**



M3D-C¹ allows for extended MHD simulations of the plasma response to applied 3D fields

- **M3D-C¹ [1] is a sophisticated extended MHD code**
 - Fully three-dimensional
 - Two-fluid
 - Linear and nonlinear modes
 - EFIT Grad-Shafranov equilibrium recomputed on adaptive mesh with high-order finite element representation
- **Plasma response calculations**
 - Linear (single toroidal mode number)
 - Mostly time-independent, but some time-dependent
 - Single- and two-fluid
 - Single-fluid presented here
 - Experimental kinetic & rotation profiles
 - Extended beyond separatrix
 - Resistive wall model

[1] S. C. Jardin, et al., Comput. Sci. Discovery 5, 014002 (2012).

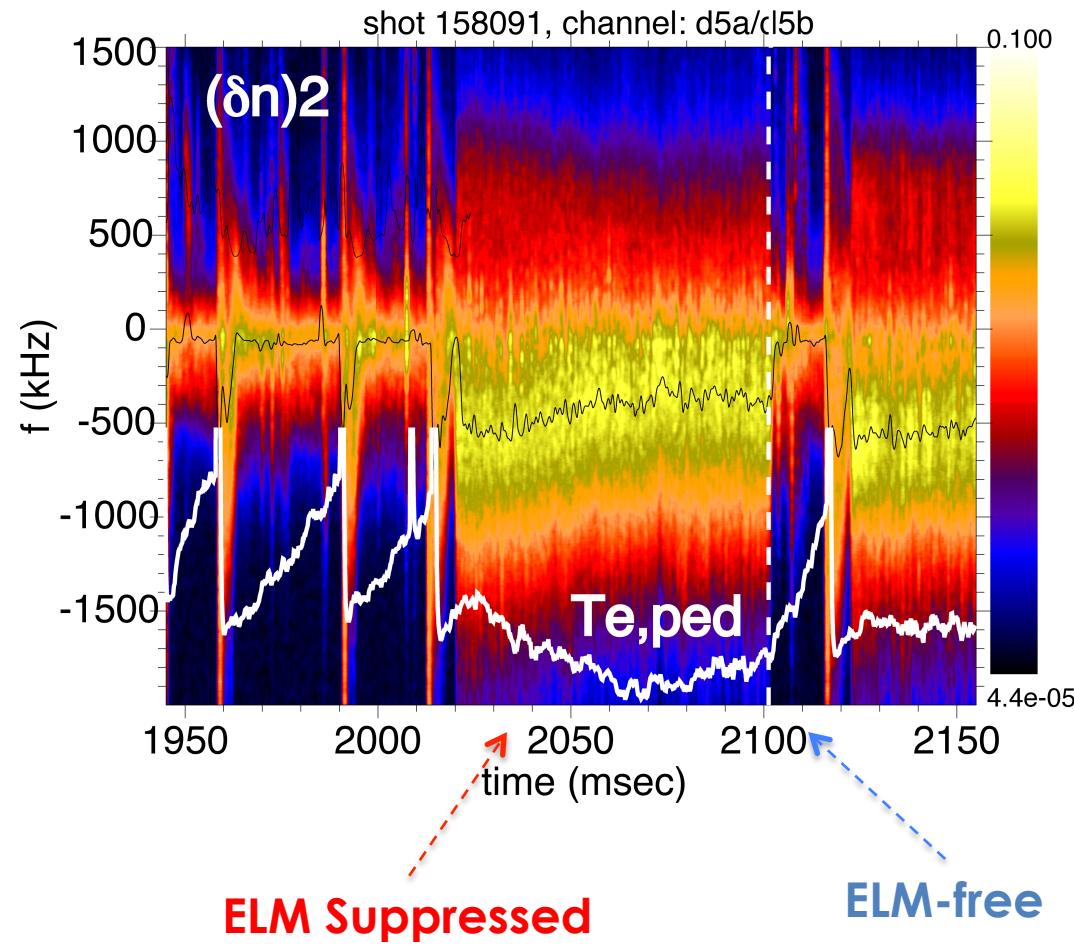
M3D-C¹ is being used for many 3D field applications

- **Plasma response calculations for a variety of devices**
 - DIII-D
 - ASDEX Upgrade
 - KSTAR
 - NSTX-U
- **At General Atomics**
 - Multimode response (C. Paz-Soldan, S.R. Haskey, N.C. Logan)
 - Cross-code verification with IPEC and MARS-F
 - Experimental validation in various plasma conditions
 - Field line tracing with TRIP3D (W. Wu, T.E. Evans, D.M. Orlov)
 - Divertor footprint analysis with MAFOT (A. Wingen)
 - Impact of multiple toroidal mode numbers and sidebands with PROBE_G fields (D.M. Orlov)
 - Bifurcation dynamics (R. Nazikian, A. Wingen)
 - Island penetration time
 - HFS phase shift

ELM suppression bifurcation dynamics

Bifurcation observed in fluctuations at top of pedestal prior to significant change in pedestal pressure

- **Doppler backscatter at top of pedestal reveals**
 - Increased fluctuations during ELM suppression
 - Abrupt rotation shift during transition from suppressed to ELM-free
- **Slow temperature (equilibrium) variation compared to bifurcation timescale**

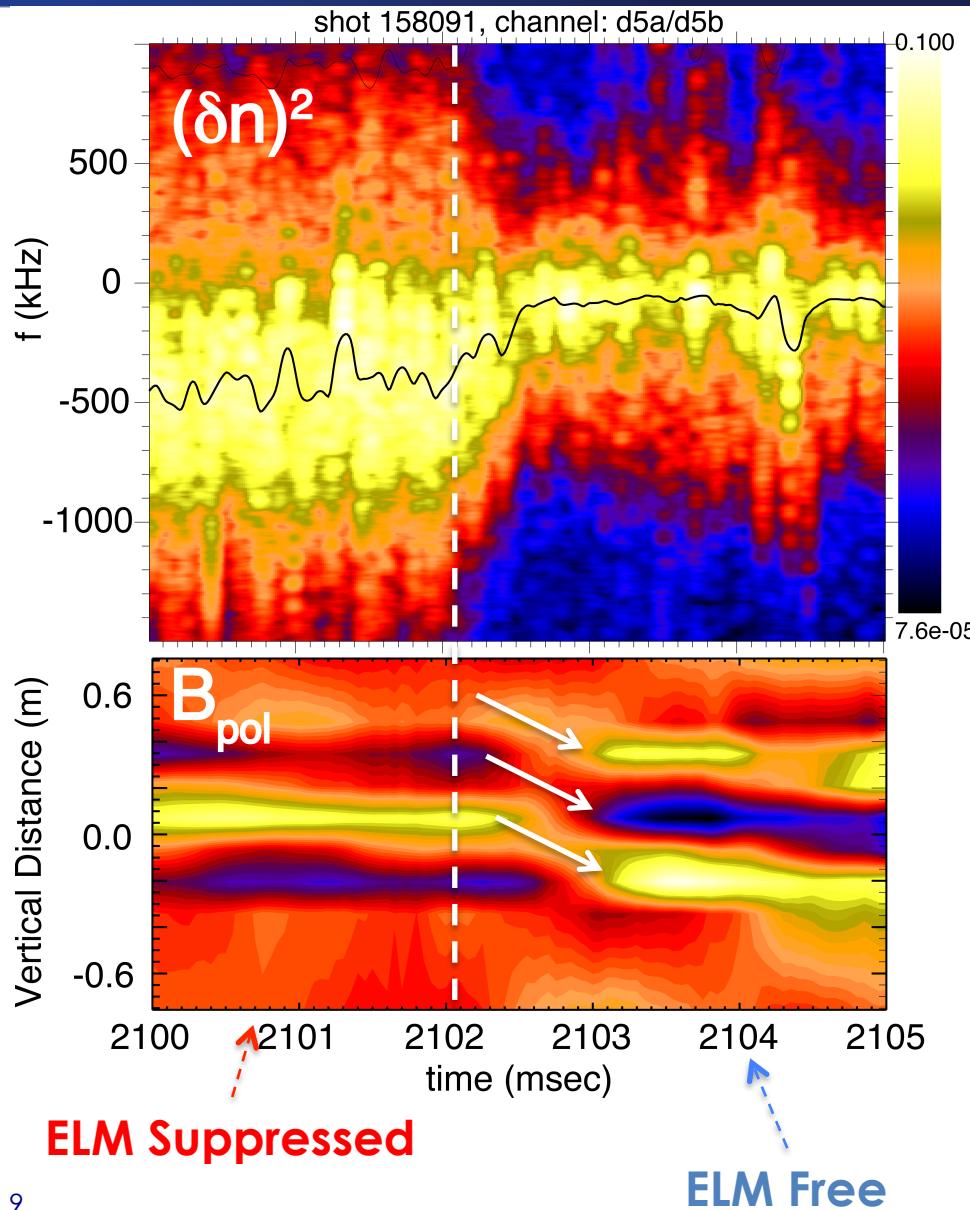


Based on R. Nazikian,
MHD Stability Control
Workshop, 11/2015

Detailed analysis reveals HFS magnetic phase shift prior to significant change in pedestal pressure/equilibrium

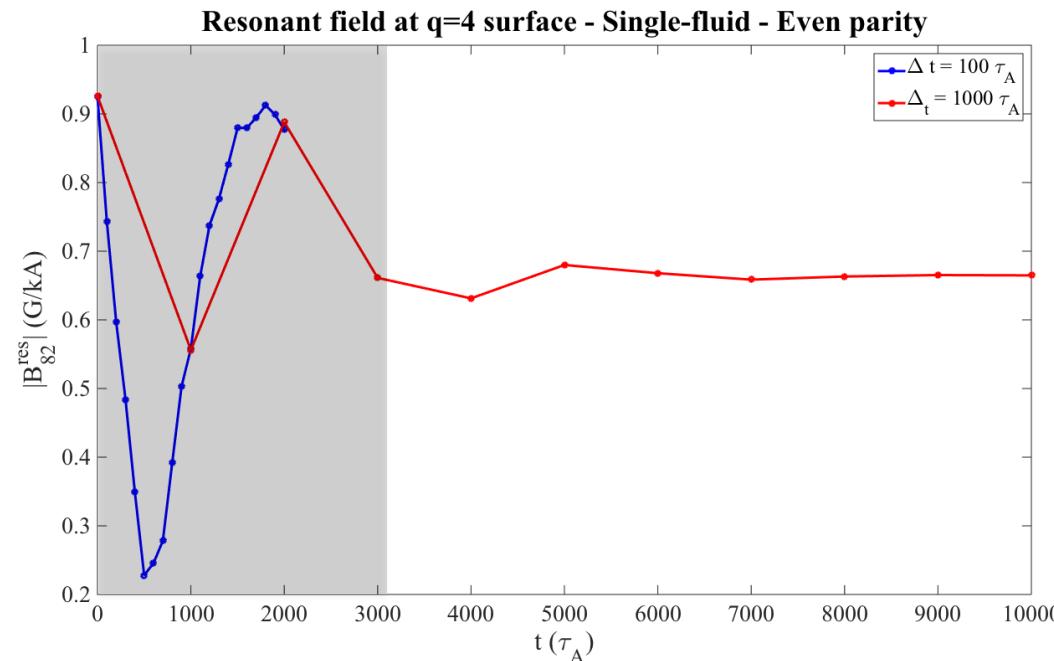
- **Bifurcation and rotation profile evolution occur on millisecond timescale**
- **Phase shift observed on high-field side magnetics on same timescale**
- **Delay on magnetics possibly due to time required for island penetration**

Based on R. Nazikian,
MHD Stability Control
Workshop, 11/2015



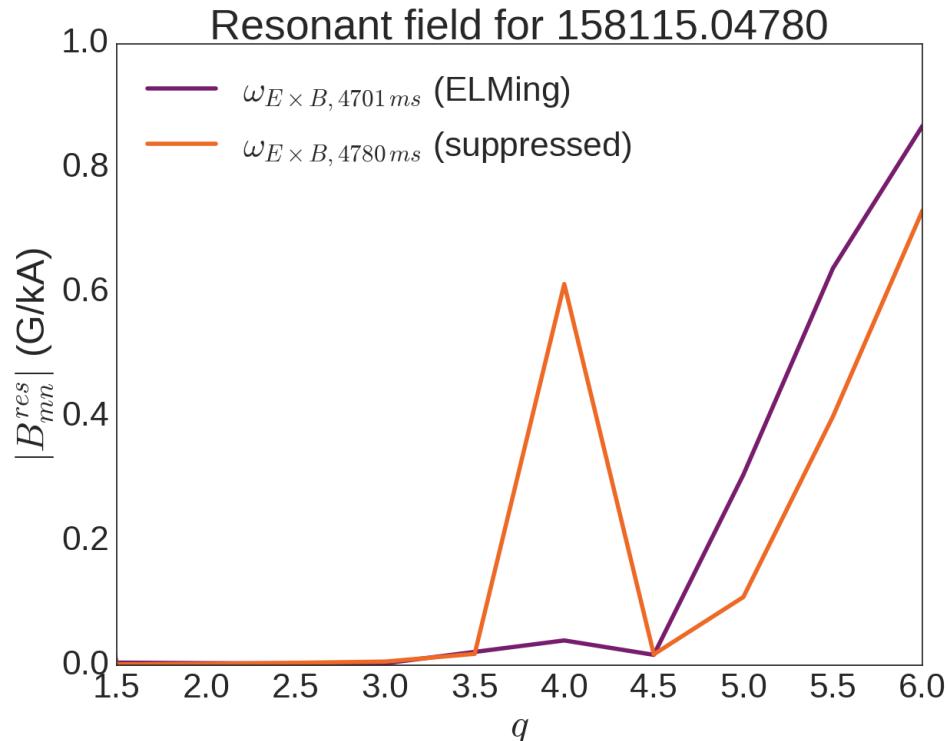
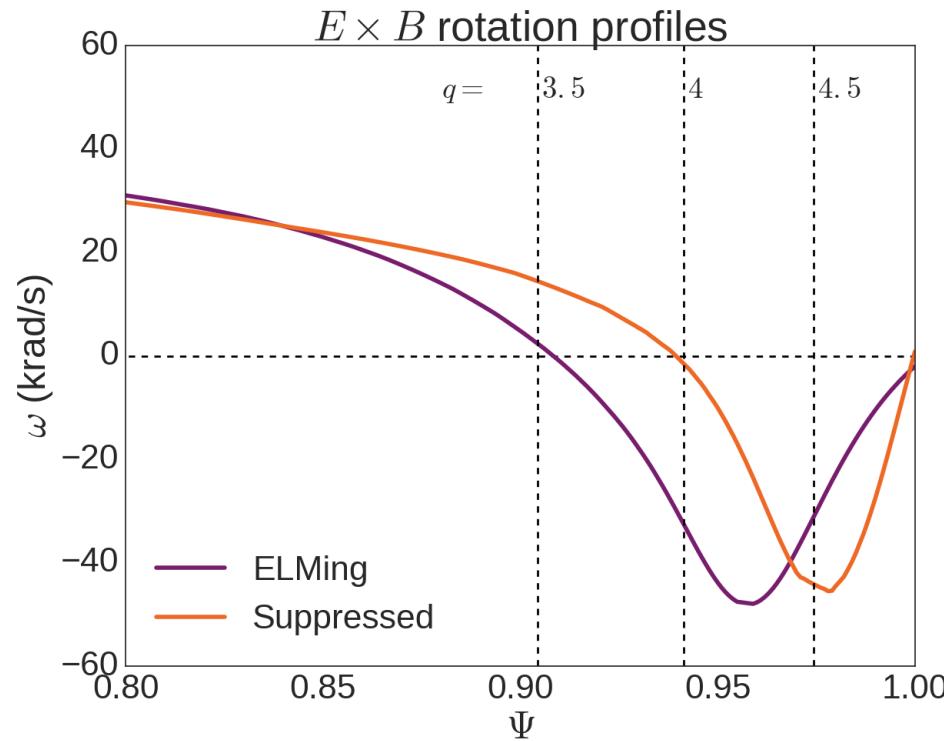
M3D-C¹ equilibration time of resonant field consistent with timescale of bifurcation dynamics

- Linear, time-dependent calculations performed with M3D-C¹
- Initial condition is fully-penetrated, 3D vacuum field
- Resonant field equilibrates after thousands of Alfvén times
 - Island is partially screened over ~2 milliseconds
 - Roughly consistent with experimental observations
 - Nonlinear simulations of resonant penetration are planned



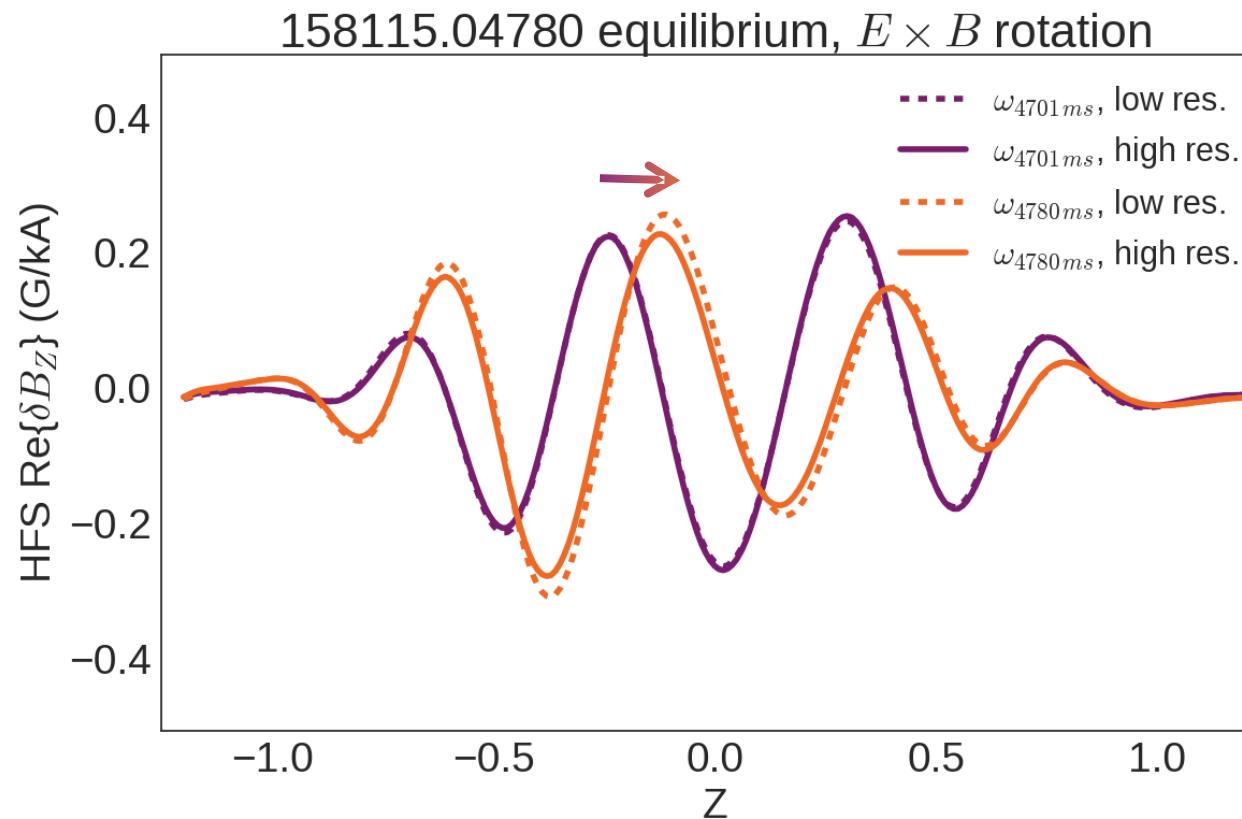
Resonant penetration permitted by zero in ExB rotation profile at q=4 surface

- Fix equilibrium, as largely unchanged across bifurcation
- Rotation profile varied as this changes on bifurcation time scale
- Resonant field at q=4 greatly amplified with ELM-suppressed rotation profile



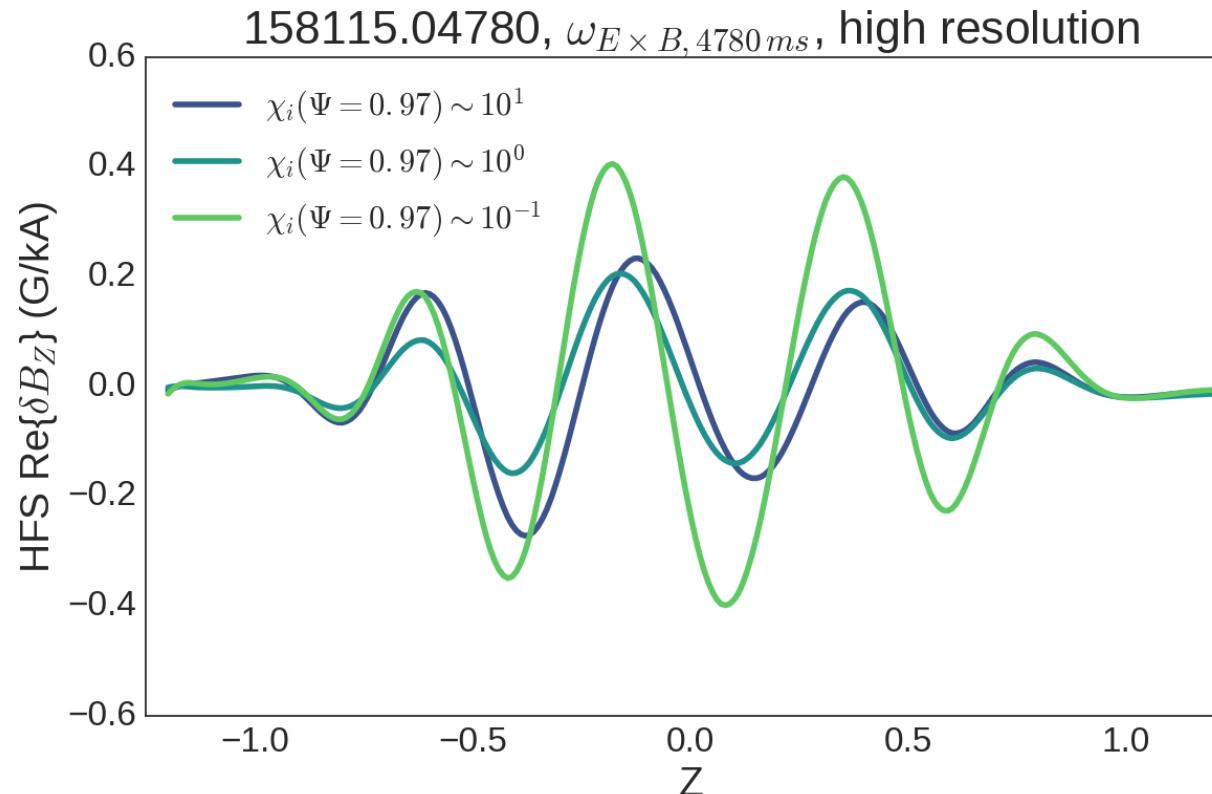
M3D-C¹ simulations of screened versus penetrated states reveal phase shift on HFS wall

- Phase shift also seen in divertor footprint calculations with MAFOT
- Qualitative agreement between M3D-C¹, MARS-F, and data
- Ongoing verification and validation effort



Dissipation levels may be important for plasma response calculations

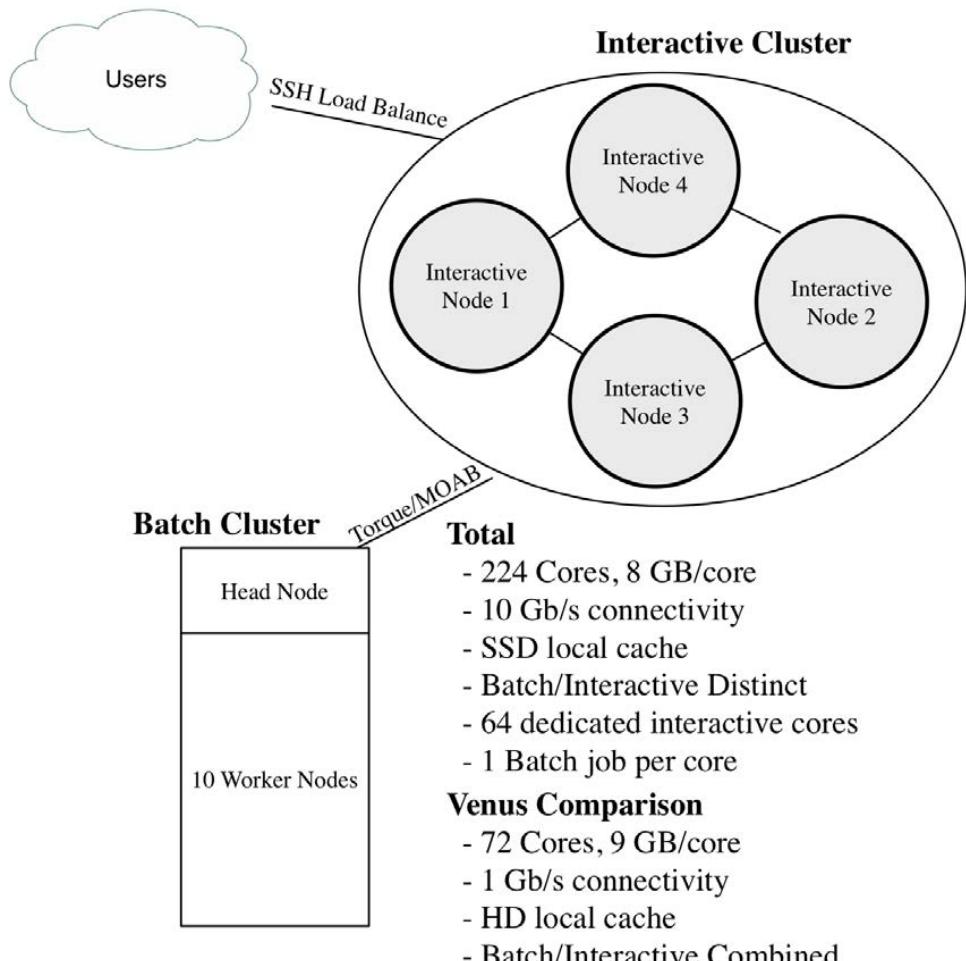
- Varying viscosity (along with density diffusivity and thermal conductivity) can change phase and amplitude of response
- Still verifying numerical convergence
- For validation, may be important to match experimental dissipation



New ways to run M3D-C¹

M3D-C¹ runs on GA cluster and with automated script

- M3D-C¹ has been installed and tested on Iris, the new cluster that will become the base for computing at GA
- A new Python script has been developed to run linear M3D-C¹
 - Routine parameters and templates
 - Works on GA and PPPL clusters
 - Allows for straightforward analysis of new kinetic EFITs (g-, p-, and a-files)



Iris cluster. D.P. Schissel.
GA Friday Science Meeting. 1/29/16

New script allows for simple running of linear M3D-C¹

- **Tasks that can be run with script**
 - Preprocess kinetic EFIT files into M3D-C¹ readable formats
 - Includes extending density & temperature profiles beyond separatrix
 - View EFIT equilibrium within M3D-C¹
 - Recompute equilibrium on uniform finite element mesh
 - Can iterate on this step for better equilibrium matching
 - Adapt finite element mesh to equilibrium
 - Compute equilibrium on adapted mesh
 - Compute linear stability for arbitrary toroidal mode number
 - Compute linear, time-independent response to external 3D magnetic perturbations for arbitrary toroidal mode number
- **Currently used to provide quick turnaround for experimental analysis**
- **Available on GitHub: <https://github.com/bclyons12/autoC1>**
- **Default parameters, of course, don't always work, so some expertise required**

Plans for DK4D

DK4D¹ is a time-dependent, axisymmetric drift-kinetic equation (DKE) solver

- Developed for purpose of extended MHD coupling
- Solves ion and electron DKEs for non-Maxwellian part of distribution function
- Uses linearized Fokker-Planck-Landau collision operators
- Chapman-Enskog-like formulation ensures self-consistency with extended MHD equations
- Encouraging initial results
 - Benchmarked to Sauter model, along with NIMROD, NCLASS, and NEO codes²
 - Coupling to reduced MHD code has produced self-consistent simulations of dynamic bootstrap current formation³

¹B.C. Lyons, S.C. Jardin, & J.J. Ramos. Phys. Plasmas 22, 056103 (2015).

²E.D. Held et al. Phys. Plasmas 22, 032511 (2015).

³B.C. Lyons. Doctoral dissertation. Princeton University 2014.

Coupling M3D-C¹ & DK4D would permit realistic transport-timescale simulations

- **M3D-C¹ can calculate steady-state, axisymmetric equilibria**
 - Sources included in two-fluid MHD equations (e.g., particle source)
 - Transport model assumed (Spitzer resistivity, Braginskii pressure tensor)
 - Evolve to steady-state to predict axisymmetric equilibria (e.g. NSTX¹)
- **DK4D could be used to provide neoclassical transport model**
 - Friction force and pressure anisotropy close momentum equations
 - Parallel heat flux closes temperature equation (if needed)
 - Instability with heat flux term in DKE may complicate this
 - Self-consistent neoclassical resistivity and bootstrap current would develop
- **Would require code development on both ends**
 - DK4D
 - Read M3D-C¹ equilibria (easy; already done for related NIES code)
 - Fix or find workaround for heat flux instability
 - M3D-C¹
 - Add new closure terms based on moments of drift-kinetic solution
 - Possibly generate equilibrium in flux coordinates without post-processing
 - May require more tightly-coupled implementation for efficiency

¹Ferraro, N.M. and S.C. Jardin. *J. Comput. Phys.* 228 (2009) 7742–7770.

Modifications to DK4D would allow linear stability analysis of resistive wall modes

- **New coupled drift-kinetic MHD formulation derived by Ramos**
 - Chapman-Enskog-like for self-consistency
 - Fokker-Planck-Landau collisions
 - Rotation of order the sound speed
 - Two-fluid effects
 - Zero-Larmor-radius limit
 - **Linearized about an axisymmetric, single-fluid, collisionless equilibrium with Maxwellian distribution function**
 - **Linear solution evolves time-dependently**
 - $n=0$ perturbation which corrects assumed equilibrium
 - Single toroidal harmonic for stability analysis
 - **Structure of DKE is very similar to that used in DK4D, which should allow for relatively straight-forward implementation**
 - **Can be coupled to M3D-C¹ to study kinetic stabilization of resistive wall modes**
- 

New DKE is very similar to the one already implemented in DK4D

$$\begin{aligned}
 & \frac{\partial \bar{f}_{NMs}}{\partial t} + wy\mathbf{b} \cdot \nabla \bar{f}_{NMs} - \frac{1}{2}w(1-y^2)\mathbf{b} \cdot \nabla \ln B \frac{\partial \bar{f}_{NMs}}{\partial y} = \langle C_{ss} + C_{ss'} \rangle_\alpha \\
 \text{DK4D} \quad & + \left\{ \frac{wy}{nT_s} \left[\frac{2}{3}\mathbf{b} \cdot \nabla (p_{s\parallel} - p_{s\perp}) - (p_{s\parallel} - p_{s\perp})\mathbf{b} \cdot \nabla \ln B - \mathbf{b} \cdot \mathbf{F}_s^{coll} \right] \right. \\
 & + P_2(y) \frac{w^2}{3v_{ths}^2} (\nabla \cdot \mathbf{u}_s - 3\mathbf{b} \cdot [\mathbf{b} \cdot \nabla \mathbf{u}_s]) + \frac{1}{3nT_s} \left(\frac{w^2}{v_{ths}^2} - 3 \right) \nabla \cdot (q_{s\parallel} \mathbf{b}) \\
 & \left. - \frac{\varsigma(e_s) I}{3m_s \Omega_s} \left[\frac{1}{2}P_2(y) \frac{w^2}{v_{ths}^2} \left(\frac{w^2}{v_{ths}^2} - 5 \right) + \frac{w^4}{v_{ths}^4} - 10\frac{w^2}{v_{ths}^2} + 15 \right] \mathbf{b} \cdot \nabla \ln B \frac{dT_s}{d\psi} \right\} f_{Ms} \\
 \\
 & \frac{\partial \bar{f}_{s1}}{\partial t} + (\mathbf{u}_0 + w_{\parallel} \mathbf{b}_0) \cdot \frac{\partial \bar{f}_{s1}}{\partial \mathbf{x}} + \frac{\mathbf{b}_0}{m_s} \cdot (T_{s0} \nabla \ln n_0 + e_s \eta_{cl0} \mathbf{j}_0) \frac{\partial \bar{f}_{s1}}{\partial w_{\parallel}} + \frac{w_{\perp}}{2} (\mathbf{b}_0 \cdot \nabla \ln B_0) \left(w_{\parallel} \frac{\partial \bar{f}_{s1}}{\partial w_{\perp}} - w_{\perp} \frac{\partial \bar{f}_{s1}}{\partial w_{\parallel}} \right) = \\
 & = \left\{ - \left[\mathbf{u}_{s1} + \frac{n_1}{n_0} w_{\parallel} \mathbf{b}_0 \right] \cdot \nabla \ln n_0 + \left[\left(\frac{3}{2} - \frac{m_s w^2}{2T_{s0}} \right) \mathbf{u}_{s1} + \left(\frac{5}{2} - \frac{m_s w^2}{2T_{s0}} \right) \frac{w_{\parallel}}{B_0} \mathbf{B}_1 \right] \cdot \nabla \ln T_{s0} + \right. \\
 \text{New} \quad & + \frac{w_{\parallel}}{n_0 T_{s0}} \mathbf{b}_0 \cdot [\nabla p_{s\parallel 1} - (p_{s\parallel 1} - p_{s\perp 1}) \nabla \ln B_0 + e_s (n_0 - n_1) \eta_{cl0} \mathbf{j}_0 - \mathbf{F}_{s1}^{coll}] + \frac{e_s \eta_{cl0} w_{\parallel}}{B_0 T_{s0}} (\mathbf{B}_1 - B_1 \mathbf{b}_0) \cdot \mathbf{j}_0 - \\
 & \left. - \frac{m_s}{2T_{s0}} \left[w_{\perp}^2 \nabla \cdot \mathbf{u}_{s1} + (2w_{\parallel}^2 - w_{\perp}^2) (\mathbf{b}_0 \mathbf{b}_0) : (\nabla \mathbf{u}_{s1}) + \left(\frac{2w_{\parallel}^2 - w_{\perp}^2}{B_0} \right) (\mathbf{b}_0 \mathbf{B}_1 + \mathbf{B}_1 \mathbf{b}_0) : (\nabla \mathbf{u}_{s0}) \right] \right\} f_{Ms0} + \\
 & + \sum_{s'} (C_{ss'}[f_{Ms0}, f_{Ms'0}] + C_{ss'}[f_{Ms0}, \bar{f}_{s'1}] + C_{ss'}[\bar{f}_{s1}, f_{Ms'0}])
 \end{aligned}$$

Proposed plan of implementation

- **Expand DKE in DK4D variables/representations (in progress)**
- **Modify DK4D implementation**
 - Change to complex variables for linear stability analysis ($e^{in\phi}$)
 - $\mathbf{Ax}=\mathbf{B}$ system
 - \mathbf{A} will require some modification, but much can be reused
 - \mathbf{B} will be redone completely for new source terms
- **Couple to M3D-C¹**
 - Procedure may be similar to transport-timescale simulations
 - Fast evolution of instability could introduce new complications
- **Codes will likely need to be compiled together and run simultaneously**
 - Both codes would be linear
 - Reuse matrices and LU decompositions for maximum efficiency

Summary

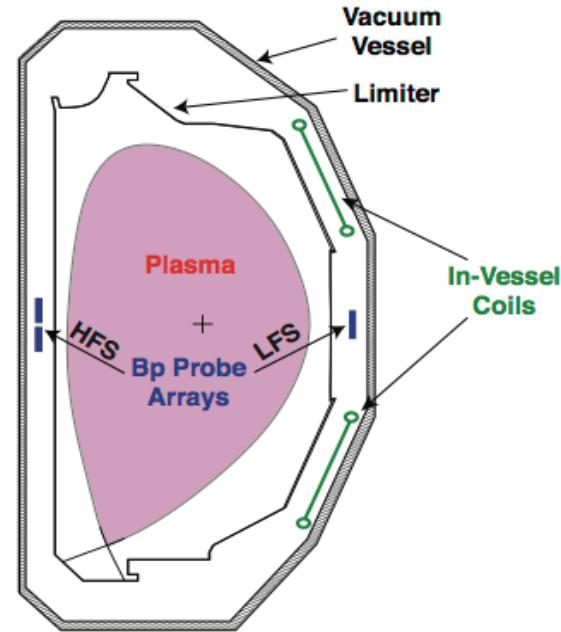
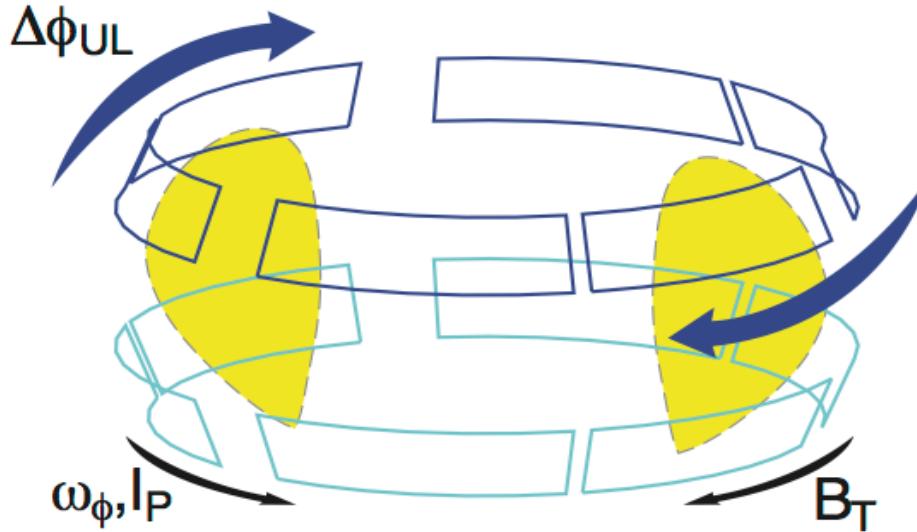
- **M3D-C¹ is used to calculate the linear, plasma response to external 3D magnetic perturbations**
- **ELM suppression bifurcation dynamics**
 - DIII-D experiments reveal bifurcation in and out of ELM suppression with quickly evolving rotation profiles and magnetic signals
 - M3D-C¹ reveals island equilibration timescale and magnetic phase shift roughly consistent observed magnetic signal changes
 - Initial results seem sensitive to dissipation levels
- **M3D-C¹ can now be run on Iris cluster at GA**
- **Automated python script written to facilitate new plasma response calculations**
- **Possible future work on DK4D**
 - Transport-timescale axisymmetric coupling to M3D-C¹
 - Solve new DKE for kinetic-MHD stability calculations

Additional Slides

DIII-D Reference Equilibrium

158103.03796

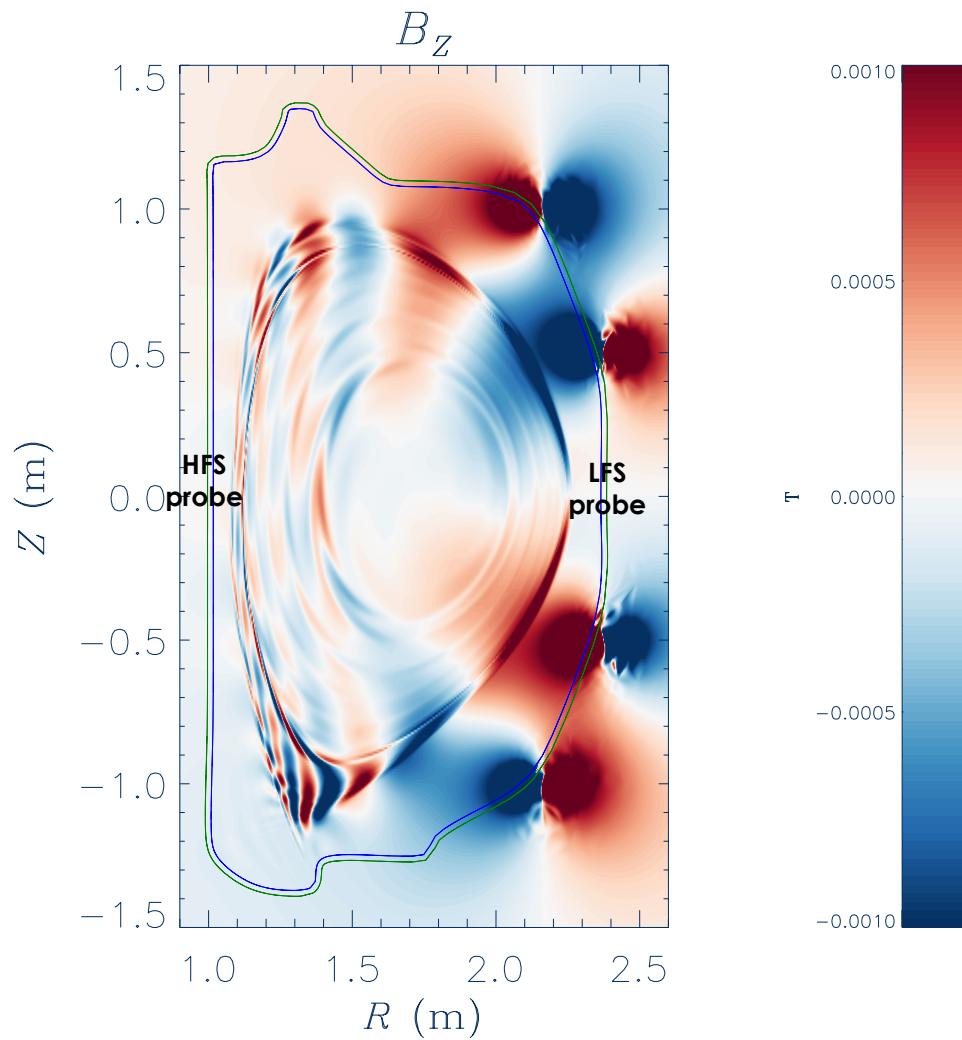
External field coils on DIII-D



- Three rows of six saddle coils
 - Two in-vessel rows (I-coils)
 - One external row (C-coils, not pictured)
 - Toroidal mode number of perturbations up to n=3
- For n=2 fields, phasing $\Delta\phi_{UL} = \phi_{up} - \phi_{low}$ can be varied between upper and lower coils sets

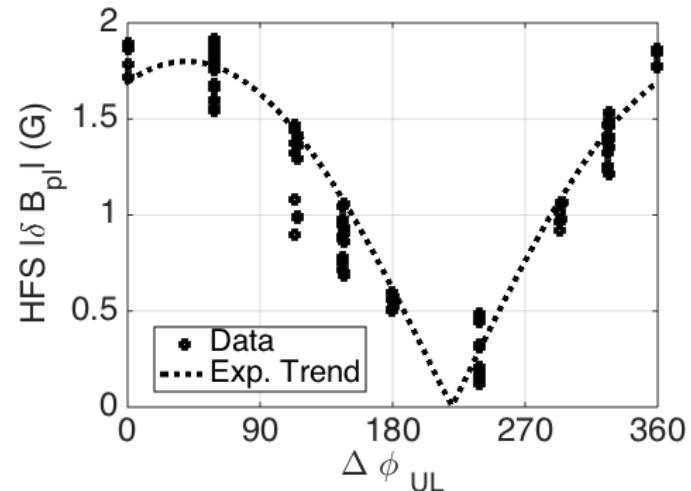
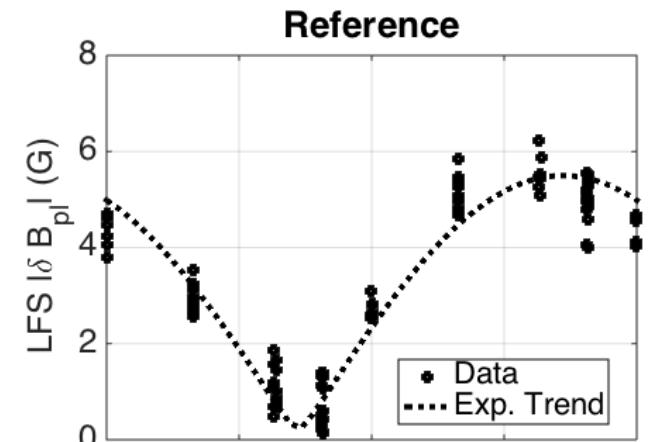
Reference is ITER-similar shape, lower single null plasma

- **Reference equilibrium (shot 158103 at 3796 ms) has**
 - $B_T = 1.93 \text{ T}$ and $I_P = 1.36 \text{ MA}$
 - $\beta_N = 2.2$
 - $v_{e^*} = 0.3$
 - $q_{95} = 4.15$
- **n=2 external 3D field applied with I-coils**
 - Phasing between upper and lower coil changed in piecewise fashion
 - Phase of both coils flipped throughout shot for nonaxisymmetric diagnostic purposes



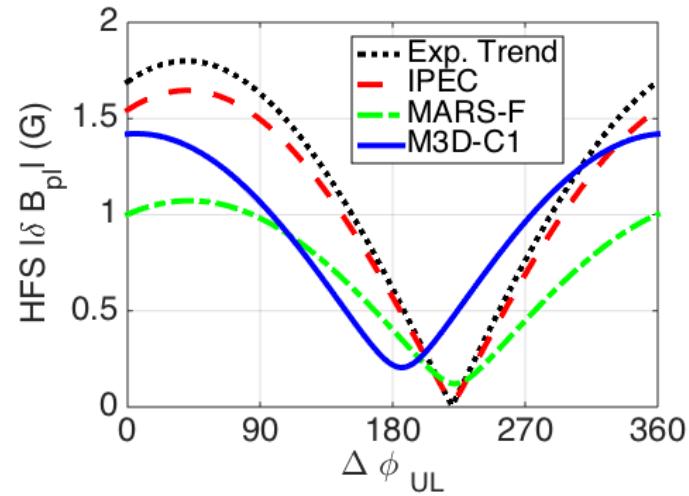
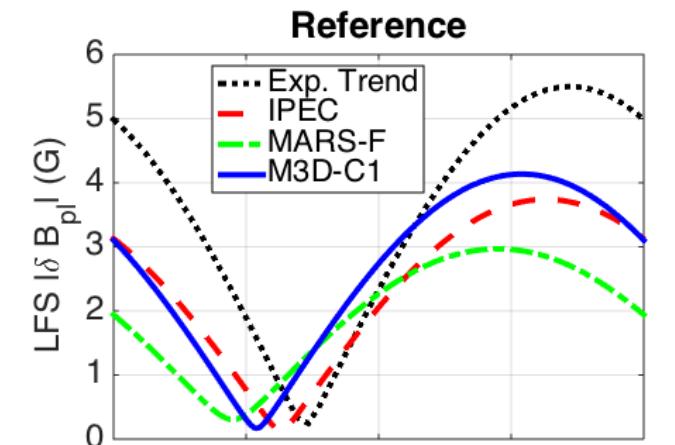
LFS and HFS magnetic response measurements show multimode response on DIII-D

- These plots show
 - Magnitude of perturbed magnetic field as the phasing is varied
 - Signal at low-field side (LFS) and high-field side (HFS) probes
 - Field from plasma response only
 - Null occurring where response from upper and lower coils cancels
- Signals at LFS and HFS have different phasing dependences
- Indicates multiple modes are being driven simultaneously in DIII-D with $n=2$ fields
- For more detail, see C. Paz-Soldan et al., PRL 114, 105001 (2015)



Modeling of reference shows excellent agreement between experimental data and various codes

- **IPEC¹ uses an ideal MHD model**
 - No rotation
 - Perfect screening at rational surfaces
- **MARS-F² uses a single-fluid, resistive MHD model**
 - Simulations performed with carbon toroidal rotation profile
 - Resistivity allows for tearing or imperfect screening
- **Here, M3D-C¹ use single-fluid model with ExB rotation profile**

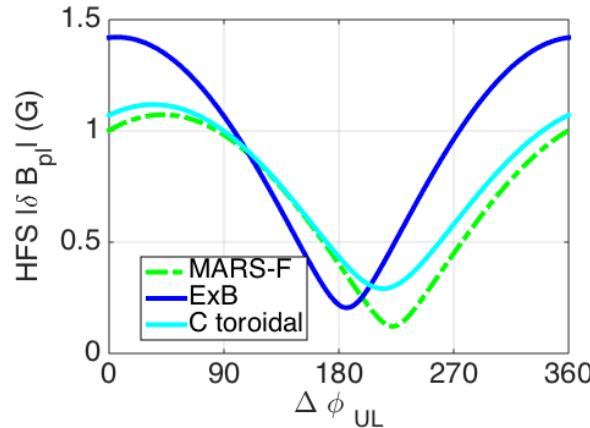
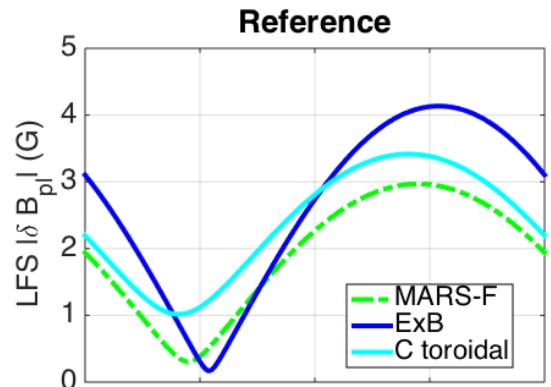


¹ J.-K. Park, A.H. Boozer, and A.H. Glasser,
Phys. Plasmas 14, 052110 (2007).

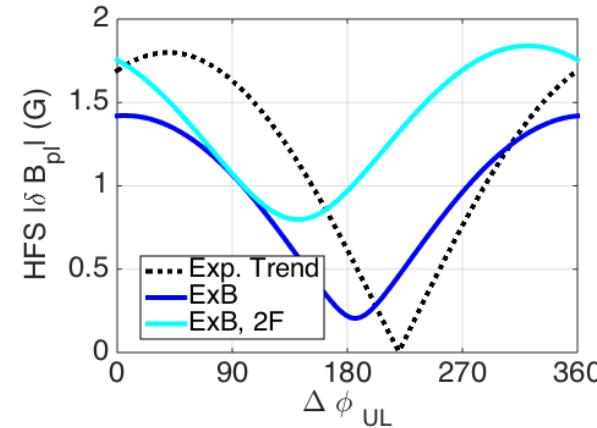
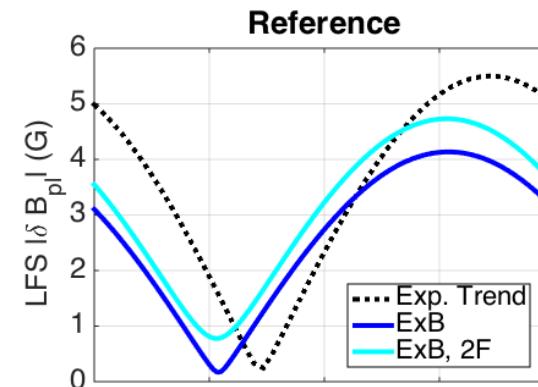
² Y. Q. Liu, et al., Phys. Plasmas 7, 3681 (2000).

M3D-C¹ results sensitive to changes in non-ideal effects

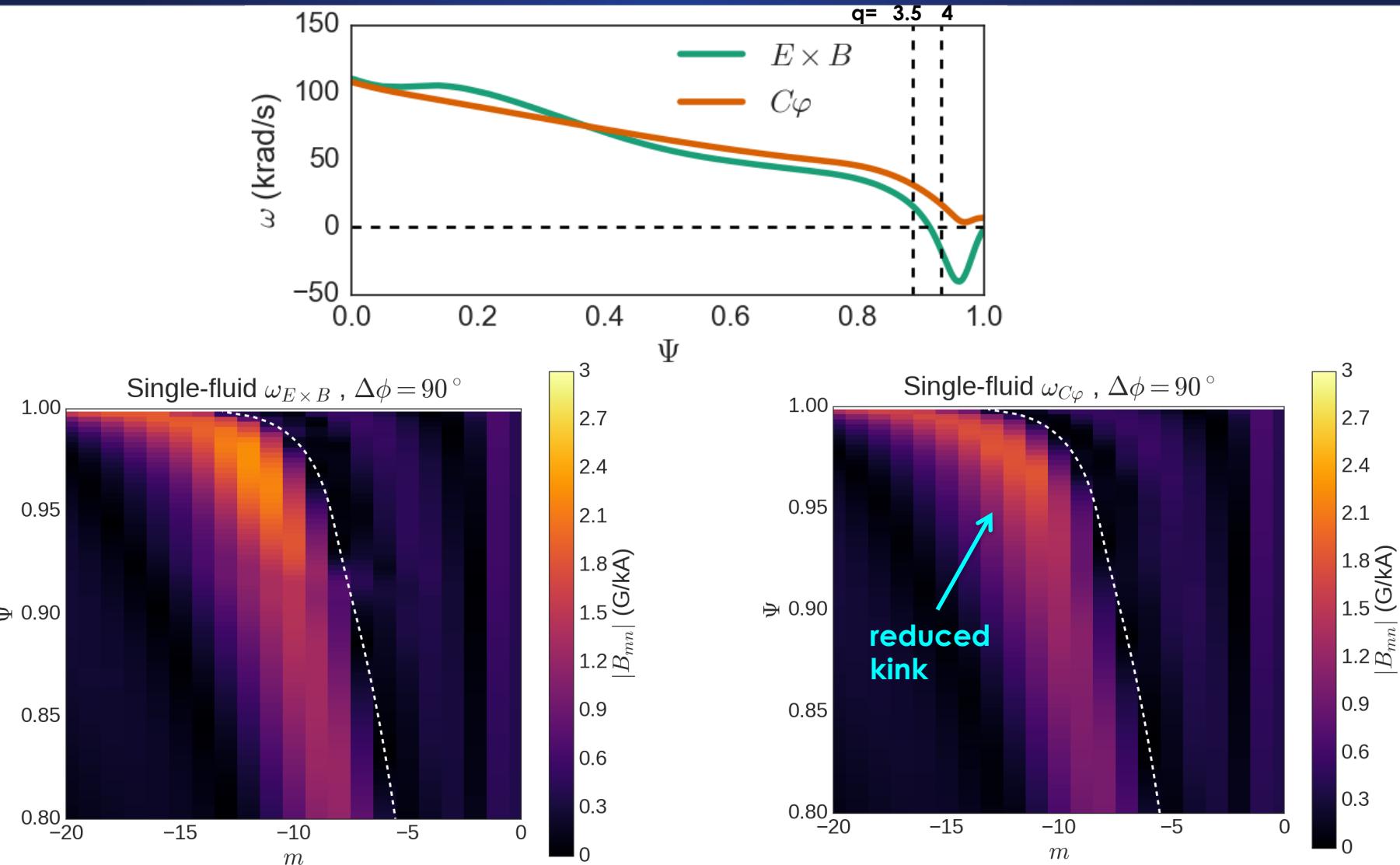
Difference from MARS-F largely due to rotation profile choice



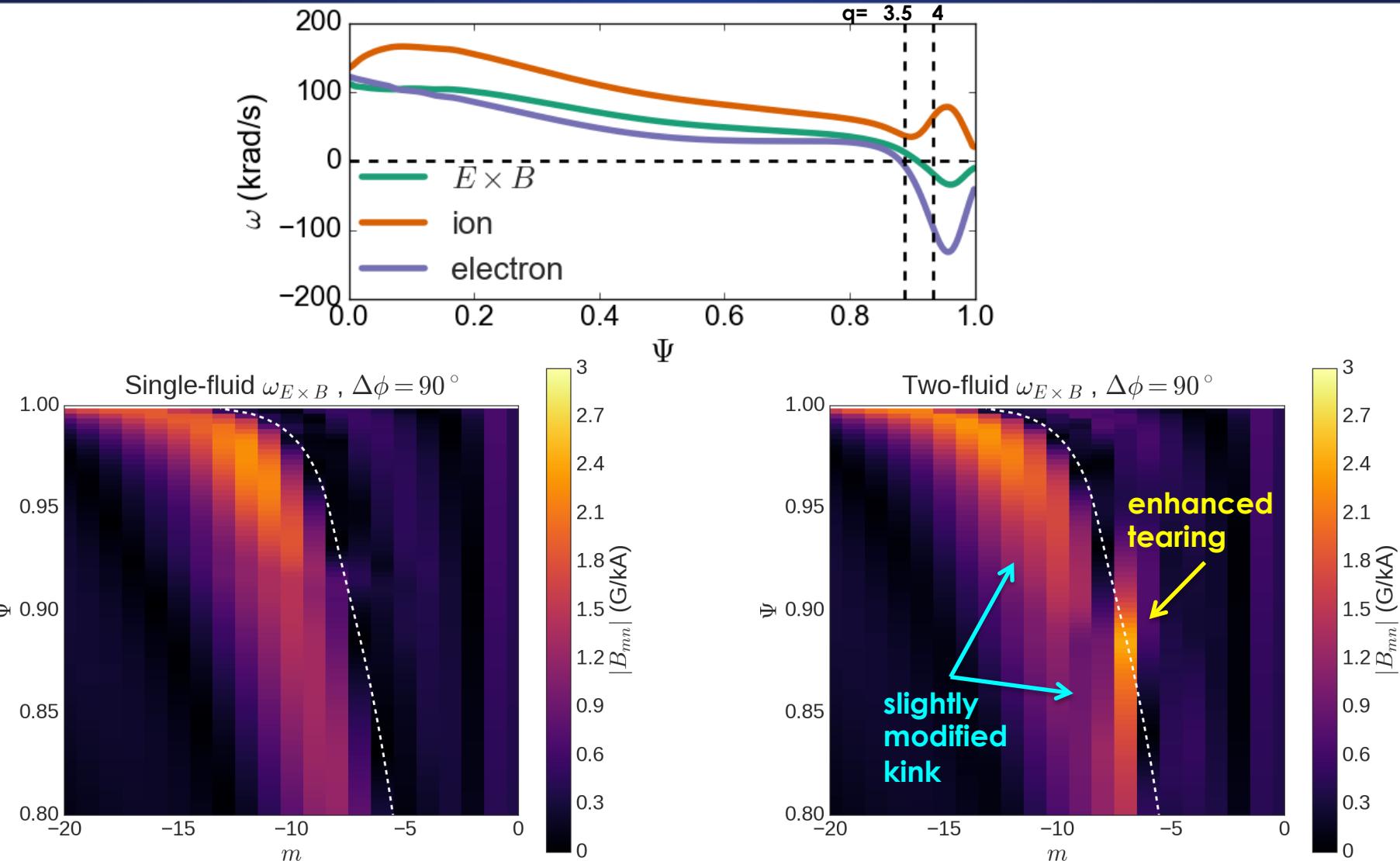
Including two-fluid terms gives poorer agreement with data



Carbon toroidal rotation results in reduced kink response



Enhanced tearing in two-fluid simulation due to electron rotation zero crossing near $q=7/2$



Implicit heat flux causes instability in DK4D

- Parallel temperature gradient should develop if parallel heat flux does not have the Pfrisch-Schulter-like return component
- Should require tight coupling to temperature equation
 - Without: exponential growth
 - With: oscillatory, but exponential
- Unclear if the coupled system is still missing physics or, more likely, there's a numerical instability

