

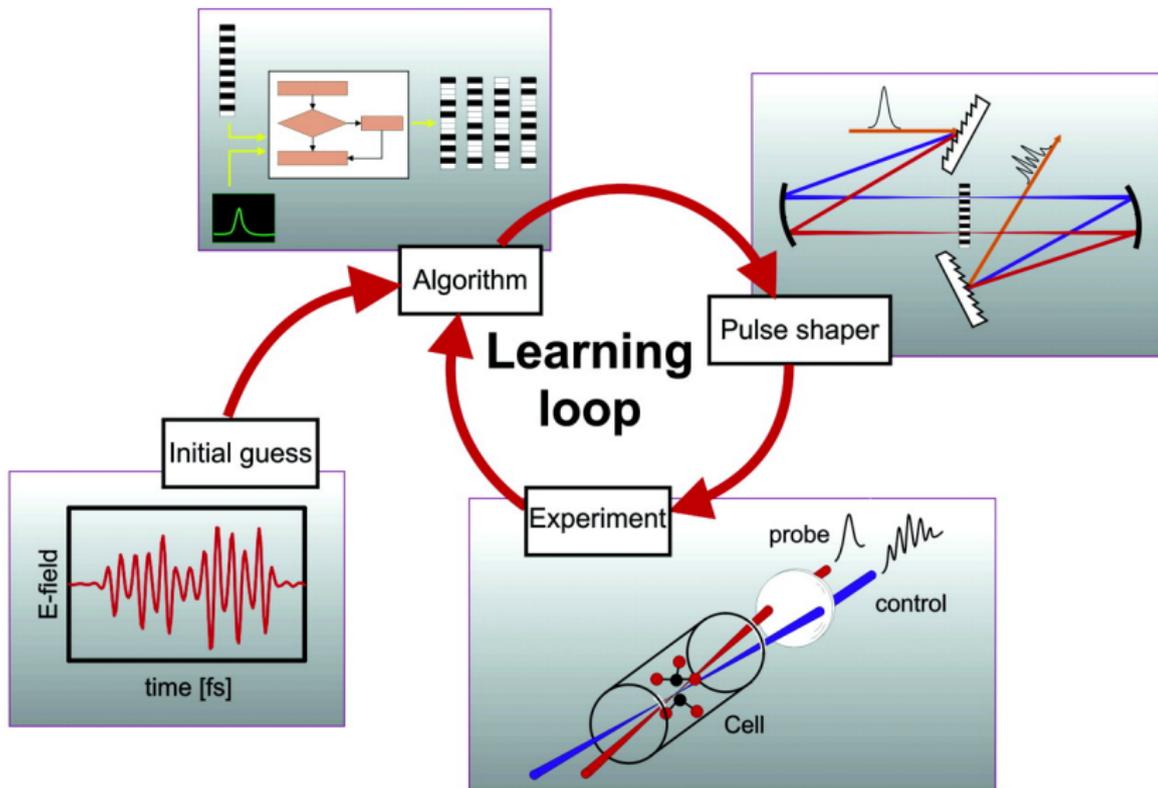
Exploring quantum control landscape structure

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Quantum control



Basics

The dynamics is given by the Schrodinger equation

$$i\hbar \frac{\partial U(t)}{\partial t} = H(t)U(t), \quad U(0) = \mathbb{1}.$$

Add the interaction to the Hamiltonian

$$H(t) = H_0 - \mu E(t)$$

in order to “control” the observable

$$P_{i \rightarrow f} = |\langle f | U(T; 0) | i \rangle|^2.$$

D-MORPH

How to achieve control?

Parameterize the electric field using a continuous variable s .

To require

$$\frac{dP_{i \rightarrow f}}{ds} = \int_0^T \frac{\delta P_{i \rightarrow f}}{\delta E(s, t)} \frac{\partial E(s, t)}{\partial s} dt \geq 0$$

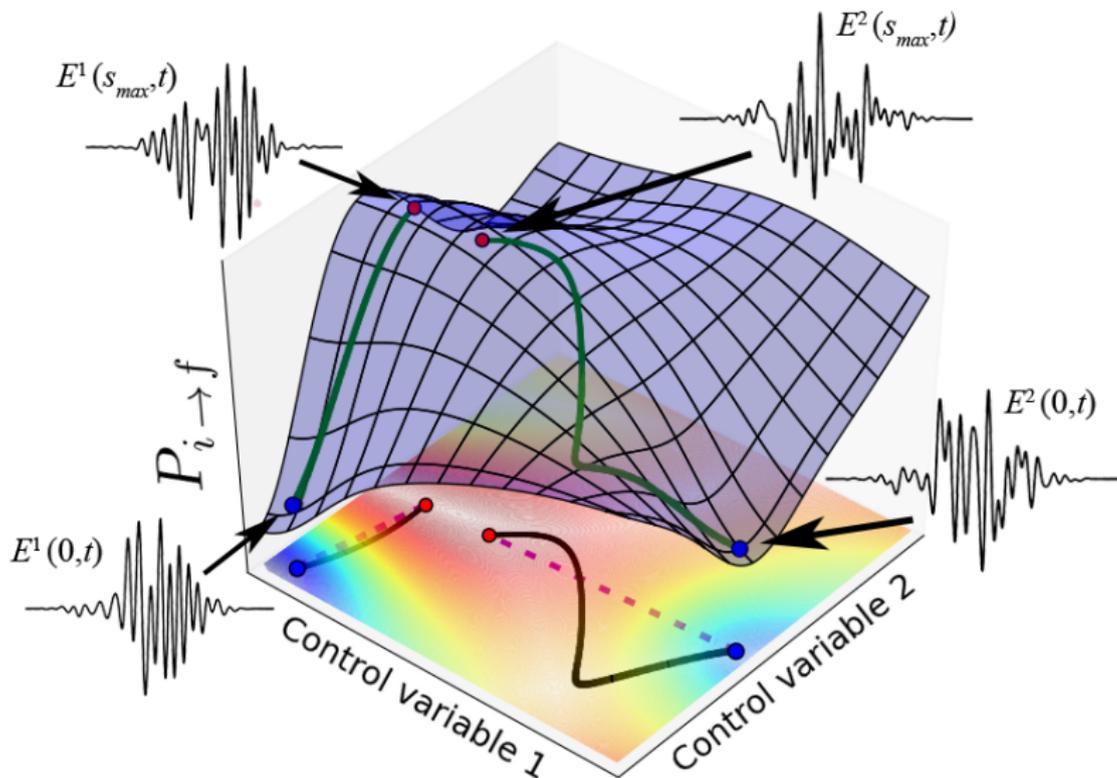
we set

$$\frac{\partial E(s, t)}{\partial s} = \frac{\delta P_{i \rightarrow f}}{\delta E(s, t)},$$

where

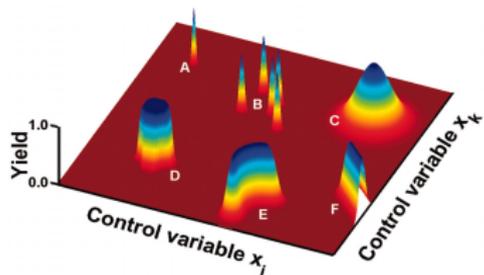
$$\frac{\delta P_{i \rightarrow f}}{\delta E(t)} = -\frac{2}{\hbar} \Im \left\{ \langle i | U^\dagger(T; 0) | f \rangle \langle f | U(T; 0) U^\dagger(t; 0) \mu U(t; 0) | i \rangle \right\}.$$

Quantum control landscape



Structure vs. topology

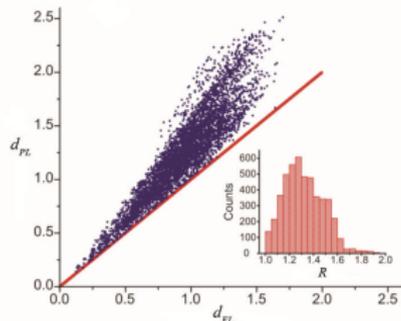
H. Rabitz, M. Hsieh, C. Rosenthal *Science* (2004) examined the *topology* of the quantum control landscape.



Results very encouraging: no sub-optimal extrema!

Our work is to examine the *structure*, using the linearity metric R .

Figure from J. Roslund and H. Rabitz *Phys. Rev. A* (2009)



Results again very encouraging: landscape is structurally simple.

What is R ?

Optimizations are trajectories in *control space*.

The path length of a trajectory is

$$d_{PL} = \int_0^{s_{max}} \left[\int_0^T \left(\frac{\partial E(s, t)}{\partial s} \right)^2 dt \right]^{\frac{1}{2}} ds.$$

The Euclidean distance is

$$d_{EL} = \left[\int_0^T (E(s_{max}, t) - E(0, t))^2 dt \right]^{\frac{1}{2}}$$

The ratio R is defined by

$$R = \frac{d_{PL}}{d_{EL}}.$$

Statistical behavior of R

Perform random optimizations and calculate R .

$$H_0 = \begin{pmatrix} -10 & 0 & 0 & 0 & 0 \\ 0 & -7 & 0 & 0 & 0 \\ 0 & 0 & -3 & 0 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0 & 8 \end{pmatrix}$$

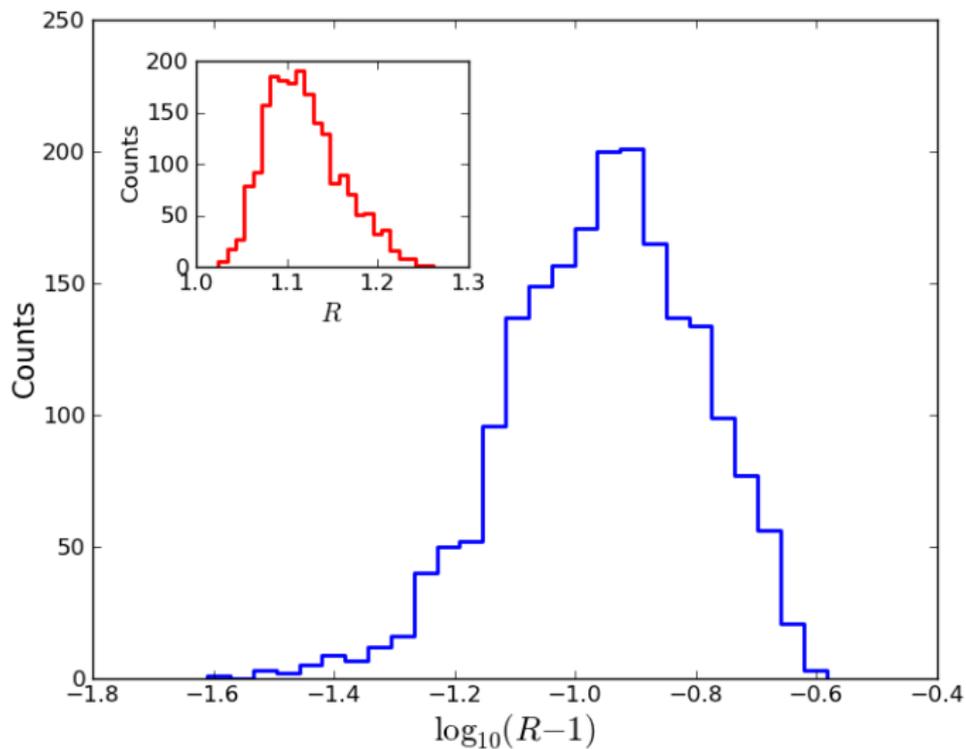
and

$$\mu = \begin{pmatrix} 0 & \pm 1 & \pm 0.5 & \pm 0.5^2 & \pm 0.5^3 \\ \pm 1 & 0 & \pm 1 & \pm 0.5 & \pm 0.5^2 \\ \pm 0.5 & \pm 1 & 0 & \pm 1 & \pm 0.5 \\ \pm 0.5^2 & \pm 0.5 & \pm 1 & 0 & \pm 1 \\ \pm 0.5^3 & \pm 0.5^2 & \pm 0.5 & \pm 1 & 0 \end{pmatrix}.$$

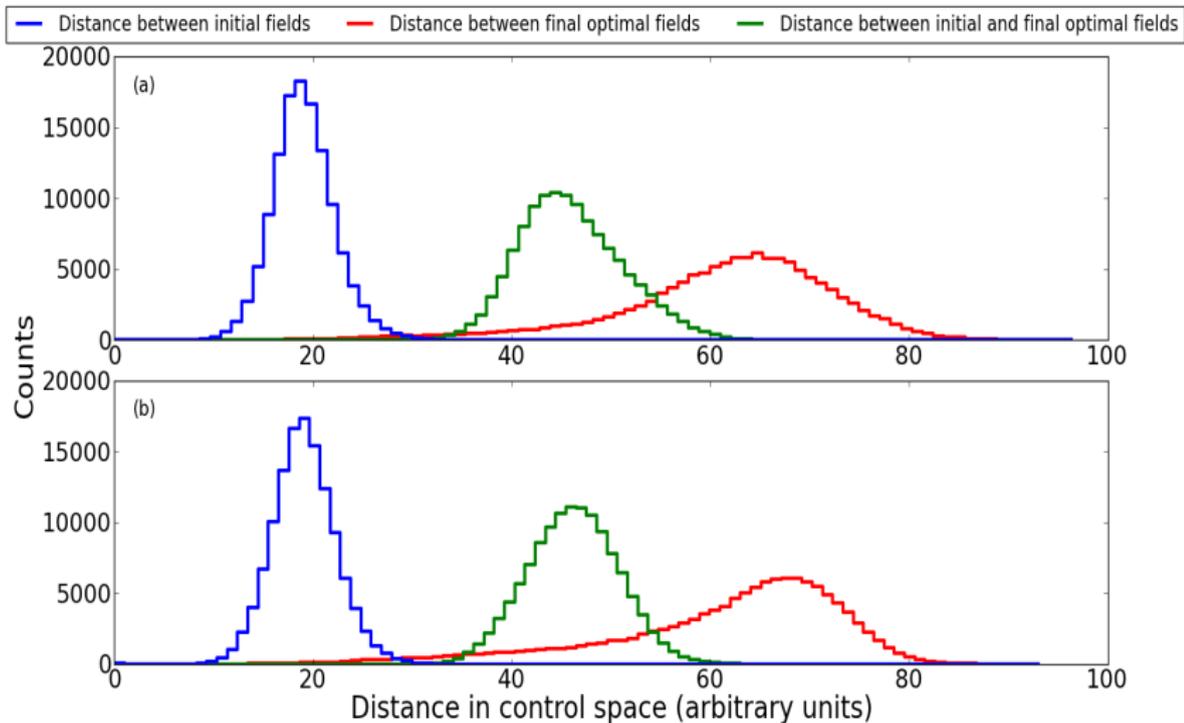
Initial field parametrized in the form

$$E(t) = \frac{1}{F} \sum_{n=1}^{20} \exp[-0.3(t - \frac{T}{2})^2] a_n \sin(\omega_n t + \phi_\omega).$$

Statistical behavior of R



Statistical behavior of R

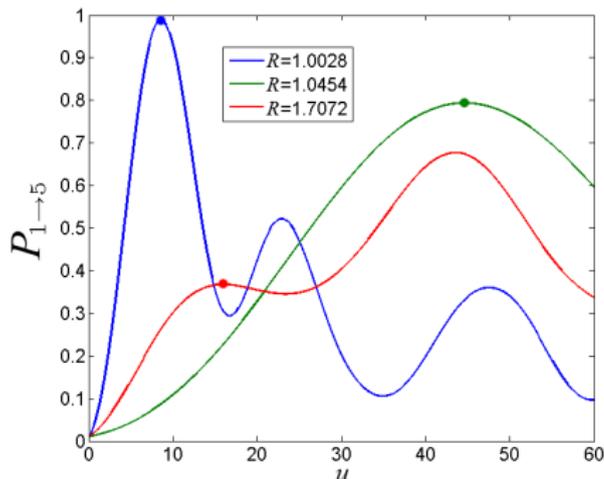


“Straight shot” assessment and algorithm

How straight is “straight”?

$$E(u, t) = \left(\frac{\delta P_{i \rightarrow f}^I}{\delta E(s=0, t)} \right) u + E(0, t), \quad u \geq 0.$$

Could be another method to optimize.



Minimizing R

Use the Particle Swarm Optimization (PSO) algorithm to search for low R .

Particles updated through

$$E_k^g = E_k^{g-1} + v_k^g.$$

Velocities of particles given by

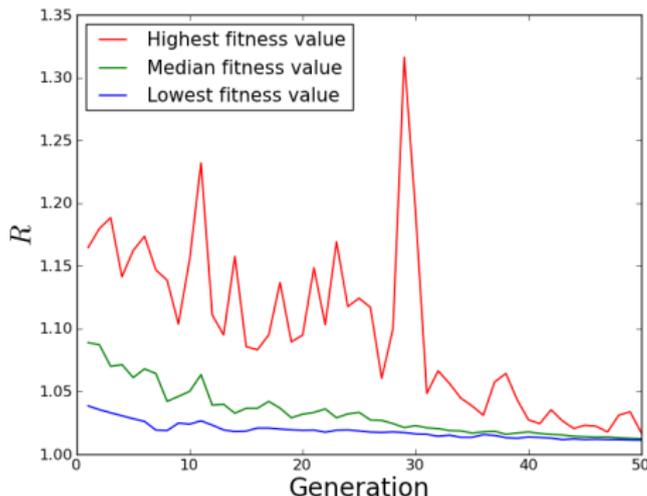
$$v_k^g = C_0 v_k^{g-1} + C_1 S_1 (E_{swarm}^{best,g-1} - E_k^{best,g-1}) + C_2 S_2 (E_{swarm}^{best,g-1} - E_k^{g-1})$$

PSO algorithm is a *stochastic* optimization algorithm.

Minimizing R

With our landscape, $R - 1$ can be driven down to $\sim 10^{-4}$, two orders of magnitude lower than random trajectories.

R can also be maximized, highest values are $R \sim 1.7$.

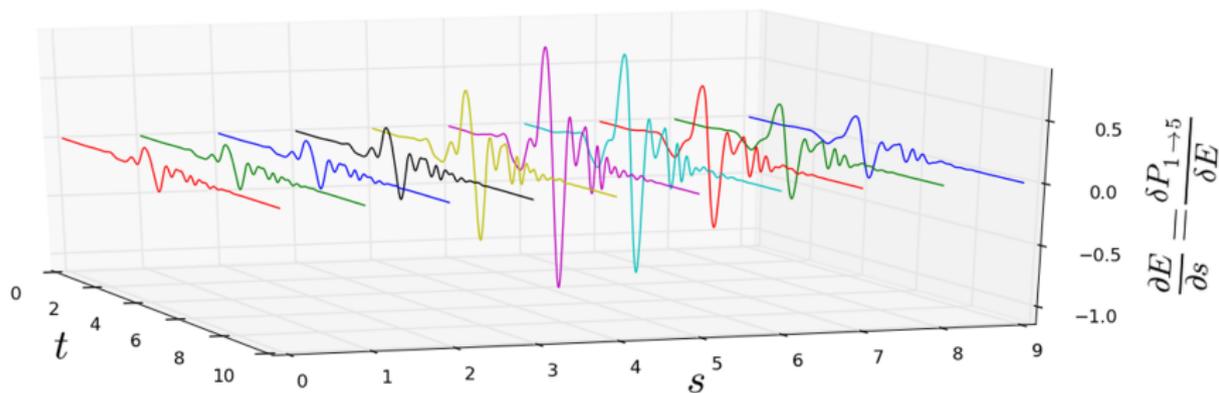


Mathematics of straight trajectories

In order to achieve $R = 1$, the gradient function must be separable

$$\frac{\partial E(s, t)}{\partial s} = \frac{\delta P_{i \rightarrow f}}{\delta E(s, t)} = \alpha(s) \times \beta(t).$$

The slope-intercept equation for a line in infinite dimensions.



Mathematics of straight trajectories

If $R = 1$, then the gradient points in the same direction everywhere on the path.

More precisely, $\frac{\delta P_{i \rightarrow f}}{\delta E}$ should be proportional to itself at two points on the path.

Sliding from point to point involves translating by $\frac{\delta P_{i \rightarrow f}}{\delta E}$ itself, so

$$\begin{aligned} \frac{\delta P_{i \rightarrow f}}{\delta E} [E] &\propto \frac{\delta P_{i \rightarrow f}}{\delta E} \left[E + \frac{\delta P_{i \rightarrow f}}{\delta E} \times const. \right] \\ &\approx \frac{\delta P_{i \rightarrow f}}{\delta E} [E] + \frac{\delta^2 P_{i \rightarrow f}}{\delta E \delta E} [E] \cdot \frac{\delta P_{i \rightarrow f}}{\delta E} [E] \times const. \end{aligned}$$

Mathematics of straight trajectories

This implies that

$$\frac{\delta^2 P_{i \rightarrow f}}{\delta E \delta E} \cdot \frac{\delta P_{i \rightarrow f}}{\delta E} [E_1] \propto \frac{\delta P_{i \rightarrow f}}{\delta E} [E_1]$$

which *appears* to say that the gradient is an eigenvector of the Hessian.

Can we then find the eigenvalue? Exact relation:

$$\int \frac{\delta^2 P_{i \rightarrow f}}{\delta E(t) \delta E(t')} [E(s', \tau)] \frac{\delta P_{i \rightarrow f}}{\delta E(t')} [E(s', \tau)] dt' = \frac{\alpha'(s')}{\alpha(s')} \cdot \frac{\delta P_{i \rightarrow f}}{\delta E(t)} [E(s', \tau)].$$

Mathematics of straight trajectories

We can factor the Hessian

$$\frac{\delta^2 P_{i \rightarrow f}}{\delta E(s, t') \delta E(s, t)} = \beta^{[2]}(s) \times K^{[2]}(t, t')$$

where $K^{[2]}(t, t')$ is a symmetric kernel that leaves the gradient invariant, and $\beta^{[2]}(s) = \frac{\alpha'(s)}{\alpha(s)}$.

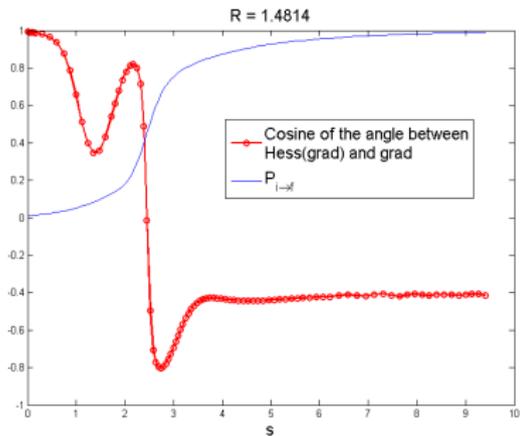
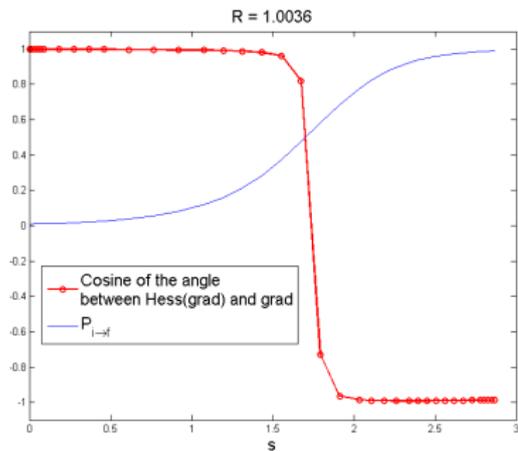
More generally, every higher-order derivative factors

$$\frac{\delta^n P_{i \rightarrow f}}{\delta E(s, t_n) \cdots \delta E(s, t_1)} = \beta^{[n]}(s) \times K^{[n]}(t_n, \dots, t_1)$$

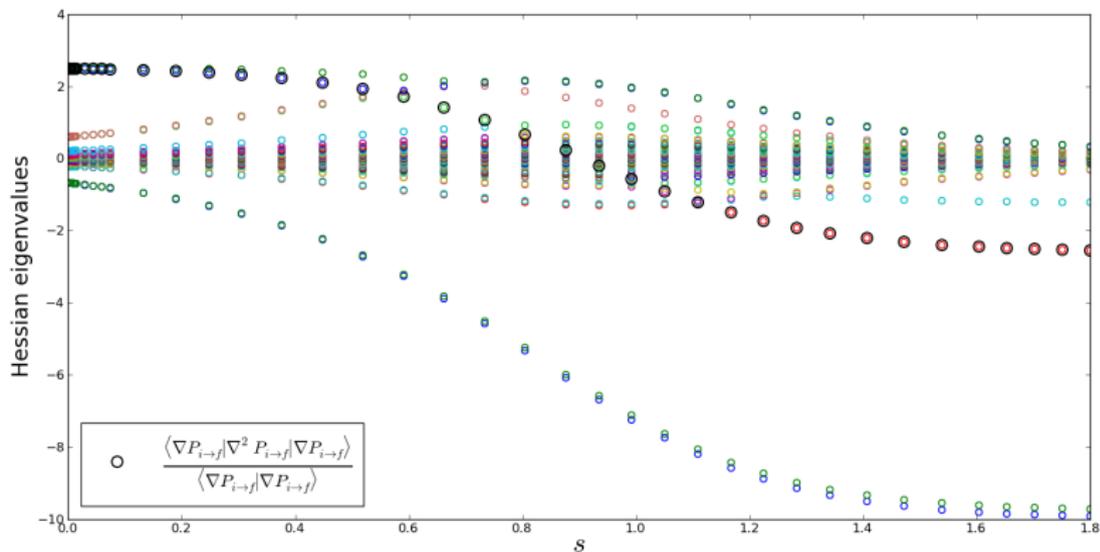
with $K^{[n]}$ a symmetric kernel and

$$\beta^{[n]}(s) = \frac{1}{\alpha(s)} \frac{d\beta^{[n-1]}(s)}{ds}, \quad \beta^{[1]}(s) = \alpha(s).$$

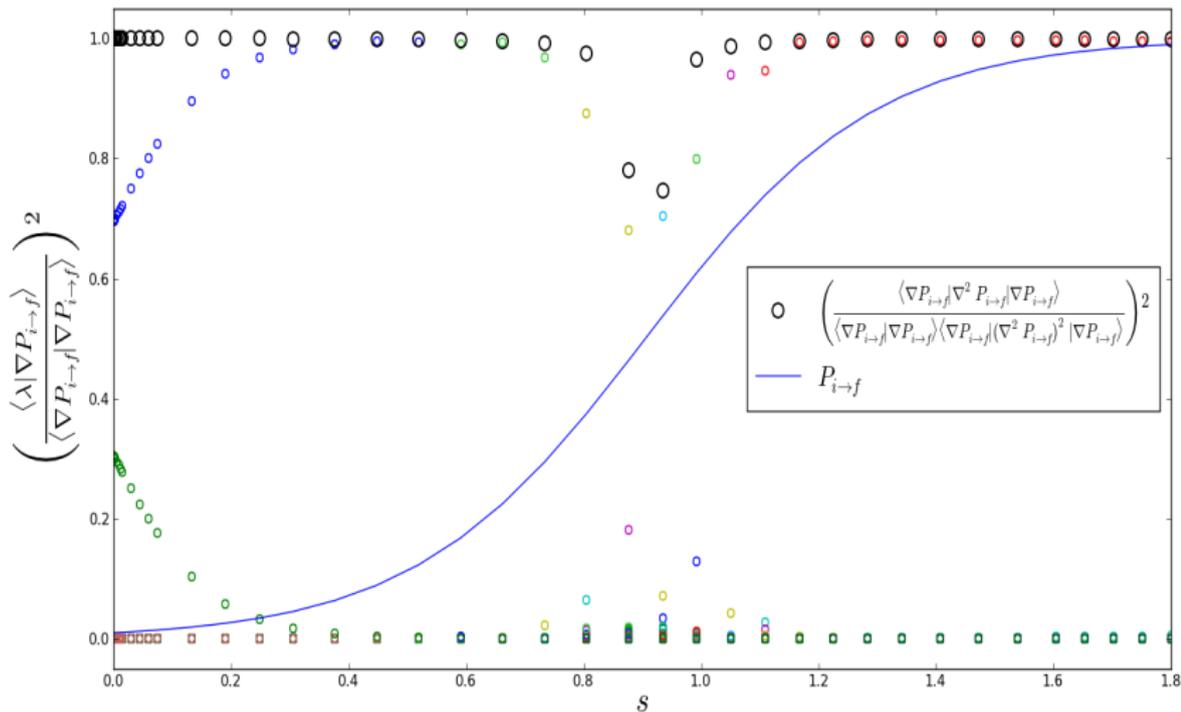
Hessian-gradient eigenrelation



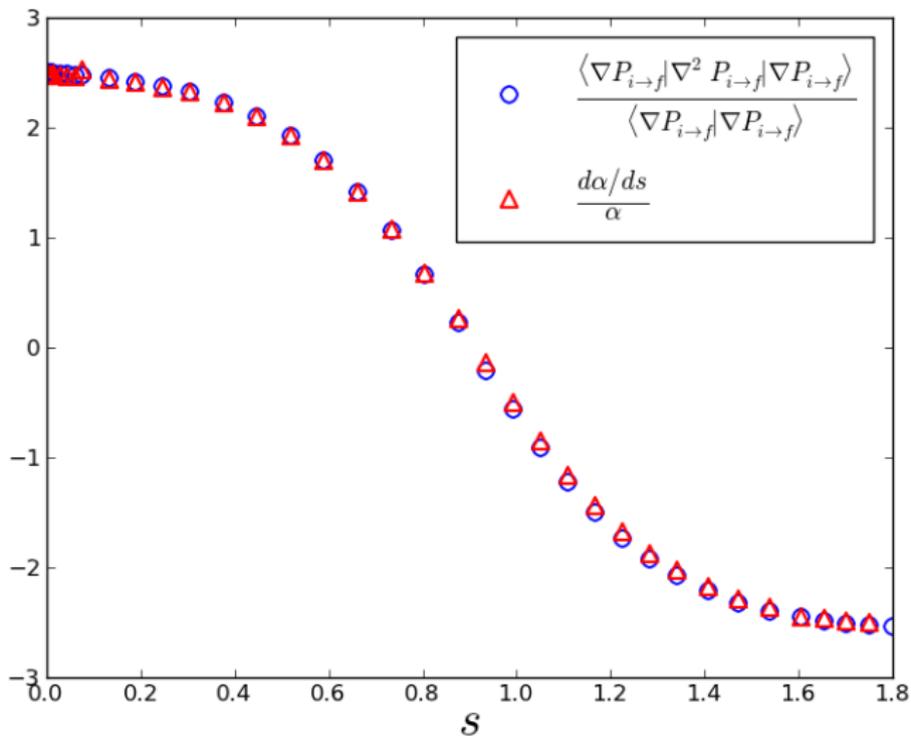
Hessian-gradient eigenrelation



Hessian-gradient eigenrelation



Hessian-gradient eigenrelation



Additional optimization objectives

Can optimize unitary transformations

$$J = \|W - U(T)\|^2$$

Gradient is then

$$\frac{\delta J}{\delta E(t)} = 2 \operatorname{Tr} \Im \left\{ W^\dagger U \mu(t) \right\}.$$

Or optimize arbitrary observables

$$J = \operatorname{Tr} \left(\rho(T) O \right)$$

Gradient is then

$$\frac{\delta J}{\delta E(t)} = 2 \Im \left\{ \operatorname{Tr} U \rho \mu(t) U^\dagger O \right\}.$$

Saddle points

Topology of landscape is now more complex; consequently, so is the structure.

Kinematics: view landscape as Lie Group $\mathcal{U}(N)$.

Additional critical points appear in the middle of the landscape, but always *saddle points*.

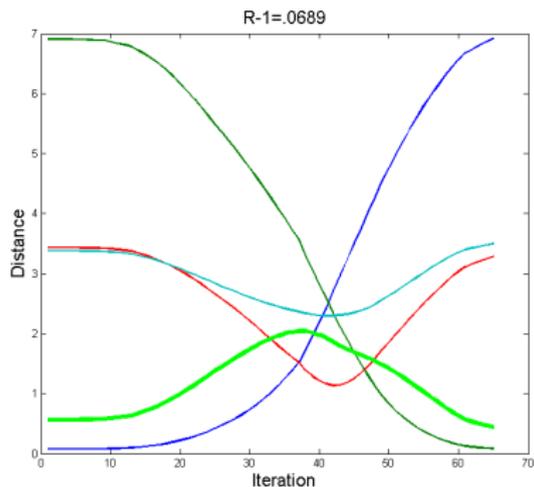
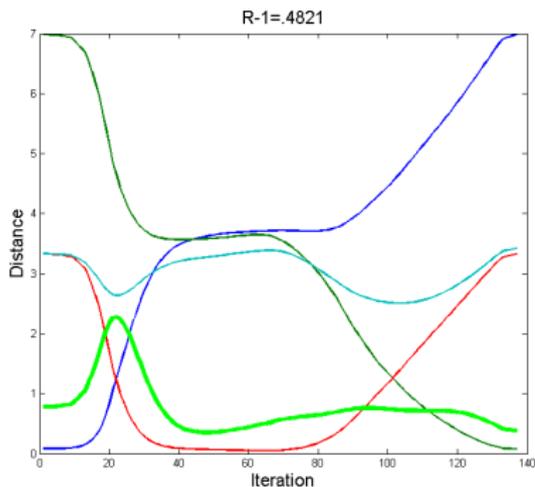
For W problem, critical submanifolds occur when

$$\text{Tr}(W^\dagger U) = -N, -N + 2, \dots, N.$$

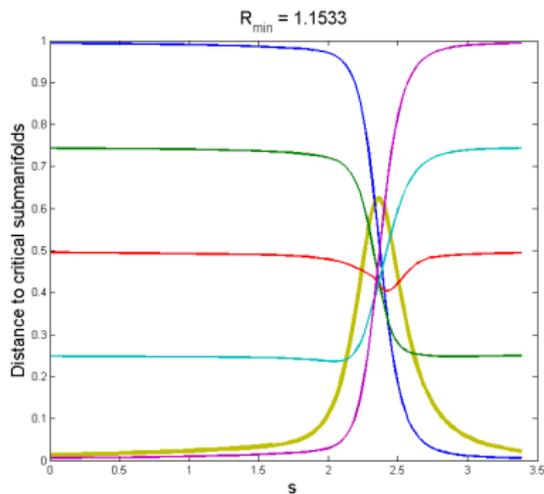
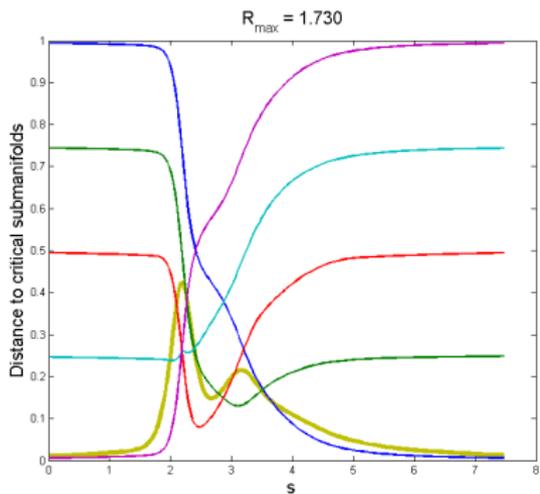
For $\text{Tr}(\rho O)$ problem, critical submanifolds correspond to the double cosets

$$\bigcup_{\pi \in \mathcal{P}(N)} \mathcal{U}(m)\pi\mathcal{U}(n)$$

Saddle points ($\text{Tr}(\rho O)$)



Saddle points (W)



References

A. Nanduri, A. Donovan, T. S. Ho, and H. Rabitz, Exploring quantum control landscape structure, *Phys. Rev. A* **88**, 033425 (2013).

A. Nanduri, A. Donovan, T. S. Ho, and H. Rabitz, On the complexity of quantum control optimization trajectories, *In preparation*.

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