

On the Noether Derivation of the Gyrokinetic Energy-Momentum Conservation Laws*

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Outline

- **A Tutorial on the Calculus of Variations for Fields**
- **Gyrokinetic Variational Principles**
- **Gyrokinetic Energy-Momentum Conservation Laws**
- **Gyrokinetic Angular Momentum Conservation in Axisymmetric Tokamak Geometry**
- **Summary and Future Work**

A Tutorial on the Calculus of Variations for Fields

- **Action functional → Variational Principle**

$$\mathcal{A}[\psi^a] = \int \mathcal{L}(\psi^a, \partial_\mu \psi^a; x^\mu) d^4x \rightarrow \delta\mathcal{A} = 0$$

- **Functional derivative**

$$\begin{aligned} \delta\mathcal{A} &\equiv \left(\frac{d}{d\epsilon} \mathcal{A}[\psi^a + \epsilon \delta\psi^a] \right)_{\epsilon=0} \equiv \int \delta\psi^a \frac{\delta\mathcal{A}}{\delta\psi^a} d^4x \\ &= \int \delta\psi^a \left[\frac{\partial\mathcal{L}}{\partial\psi^a} - \frac{\partial}{\partial x^\mu} \left(\frac{\partial\mathcal{L}}{\partial(\partial_\mu\psi^a)} \right) \right] d^4x \end{aligned}$$

- **Variation of Lagrangian density**

$$\delta\mathcal{L} \equiv \underbrace{\left(\delta\psi^a \frac{\delta\mathcal{A}}{\delta\psi^a} \right)}_{\text{Euler-Lagrange Equations}} + \underbrace{\frac{\partial}{\partial x^\mu} \left[\frac{\partial\mathcal{L}}{\partial(\partial_\mu\psi^a)} \delta\psi^a \right]}_{\text{Noether Equation}}$$

- **Noether Method**

Lagrangian Symmetry \Leftrightarrow Conservation Law

- **Eulerian variation: Dynamical definition**

$$\delta\psi^a \equiv \lim_{\Delta t \rightarrow 0} \left(\Delta t \frac{\partial\psi^a}{\partial t} \right)_{\dot{\mathbf{x}} \Delta t \rightarrow \delta\mathbf{x}}$$

- **Lagrangian variation: Constraints!**

$$\Delta\psi^a \equiv \delta\psi^a + \delta x^\mu \partial_\mu \psi^a$$

- **Example: Fluid density** ($\delta\mathbf{x} = \boldsymbol{\xi}$)

$$\partial_t n = -\nabla \cdot (n \mathbf{u}) \rightarrow \delta n = -\nabla \cdot (n \boldsymbol{\xi})$$

$$\Delta(n d^3x) \equiv 0 \rightarrow (\Delta n) d^3x = -n \Delta(d^3x) = -n [(\nabla \cdot \boldsymbol{\xi}) d^3x]$$

- **Energy-momentum Conservation Law**

- **Infinitesimal space-time translations** $x^\nu \rightarrow x^\nu + \delta x^\nu$

$$\delta\psi^a = \Delta\psi^a - \delta x^\nu \partial_\nu \psi^a \equiv -\delta x^\nu \partial_\nu \psi^a$$

$$\delta\mathcal{L} = \underbrace{-\partial_\nu (\delta x^\nu \mathcal{L})}_{\text{Density Variation}} + \underbrace{\delta x^\nu \partial'_\nu \mathcal{L}}_{\text{Explicit Gradient}}$$

$$\partial_\mu T^{\mu\nu} = \partial'^\nu \mathcal{L}$$

- **Canonical energy-momentum tensor**

$$T^{\mu\nu} \equiv g^{\mu\nu} \mathcal{L} - \frac{\partial \mathcal{L}}{\partial(\partial_\mu \psi^a)} \frac{\partial \psi^a}{\partial x_\nu}$$

- **Noether Theorem**

Symmetry : $\partial'_\lambda \mathcal{L} = 0 \Leftrightarrow$ Conservation Law : $\partial_\mu T^\mu_\lambda = 0$

Gyrokinetic Variational Principles

- **Gyrocenter Hamilton variational principle**

Particle \rightarrow Guiding-center (gc) \rightarrow Gyrocenter (gy)

- **Guiding-center transformation**

$\rho_0 \equiv$ guiding-center gyroradius

$\{ , \}_{gc} \equiv$ Guiding-center Poisson bracket

- **Noncanonical phase-space Lagrangian**

$$\Gamma_{gy} \equiv \underbrace{\left[\left(\frac{e}{c} \mathbf{A} + p_{\parallel} \hat{\mathbf{b}} \right) \cdot d\mathbf{X} - W dt \right]}_{\equiv \text{gc Symplectic Part}} - (H_{gy} - W) d\tau$$

$\mathbf{B} \equiv \nabla \times \mathbf{A} =$ Background magnetic field

$(\mathbf{X}, p_{\parallel}, W, t; \mu, \zeta) \equiv$ Gyrocenter phase-space coordinates

- **Gyrocenter Hamiltonian**

$$H_{\text{gy}}(\mathbf{X}, p_{\parallel}, \mu, t; \phi_1) \equiv \underbrace{\left(\mu B + \frac{p_{\parallel}^2}{2m} \right)}_{\equiv H_{\text{gc}}} + e \Phi_{\text{gy}}(\mathbf{X}, \mu, t)$$

- **Effective gyrocenter potential**

$$\Phi_{\text{gy}} \equiv \epsilon \langle \phi_{1\text{gc}} \rangle - \frac{\epsilon^2}{2} \left\langle \left\{ S_1, \tilde{\phi}_{1\text{gc}} \right\}_{\text{gc}} \right\rangle + \dots$$

- **Gyrocenter transformation** ($\langle \rangle \equiv$ gyroangle average)

$$\phi_{1\text{gc}} \equiv \mathsf{T}_{\text{gc}}^{-1} \phi_1 = \phi_1(\mathbf{X} + \boldsymbol{\rho}_0, t) \equiv \langle \phi_{1\text{gc}} \rangle + \tilde{\phi}_{1\text{gc}}$$

$$S_1 \equiv (e/\Omega) \int \tilde{\phi}_{1\text{gc}} d\zeta$$

- Gyrocenter Equations of Motion in Axisymmetric Tokamak Geometry

- Gyrocenter Euler-Lagrange equations

$$0 = \delta \int \Gamma_{gy} \equiv \int \delta Z^a \left(\omega_{ab} \frac{d_{gy} Z^b}{d\tau} - \frac{\partial H_{gy}}{\partial Z^a} \right) d\tau$$

$$\frac{e}{c} \frac{d_{gy} \mathbf{X}}{dt} \times \mathbf{B}^* - \frac{d_{gy} p_{\parallel}}{dt} \hat{\mathbf{b}} - \nabla H_{gy} = 0 = \hat{\mathbf{b}} \cdot \frac{d_{gy} \mathbf{X}}{dt} - \frac{\partial H_{gy}}{\partial p_{\parallel}}$$

$$\mathbf{B}^* \equiv \nabla \times \left(\mathbf{A} + p_{\parallel} \frac{c \hat{\mathbf{b}}}{e} \right) \rightarrow B_{\parallel}^* \equiv \hat{\mathbf{b}} \cdot \mathbf{B}^*$$

- Gyrocenter noncanonical Hamilton equations

$$\begin{aligned}\frac{d_{gy}\mathbf{X}}{dt} &= \frac{p_{\parallel}}{m} \frac{\mathbf{B}^*}{B_{\parallel}^*} + \frac{c\hat{\mathbf{b}}}{eB_{\parallel}^*} \times \nabla H_{gy} \\ \frac{d_{gy}p_{\parallel}}{dt} &= - \frac{\mathbf{B}^*}{B_{\parallel}^*} \cdot \nabla H_{gy}\end{aligned}$$

$$\frac{1}{B_{\parallel}^*} \nabla \cdot \left(B_{\parallel}^* \frac{d_{gy}\mathbf{X}}{dt} \right) + \frac{1}{B_{\parallel}^*} \frac{\partial}{\partial p_{\parallel}} \left(B_{\parallel}^* \frac{d_{gy}p_{\parallel}}{dt} \right) \equiv 0$$

- Gyrocenter canonical Hamilton equations

$$\mathbf{p}_{gy} \equiv \frac{e}{c} \mathbf{A} + p_{\parallel} \hat{\mathbf{b}} \Rightarrow \frac{d_{gy}\mathbf{p}_{gy}}{dt} = - \nabla H_{gy}$$

- **Axisymmetric Tokamak Geometry**

$$\mathbf{B} \equiv \nabla\varphi \times \nabla\psi + q(\psi) \nabla\psi \times \nabla\vartheta$$

- **Toroidal canonical gyrocenter momentum**

$$p_{gy\varphi} \equiv \frac{\partial \mathbf{X}}{\partial \varphi} \cdot \mathbf{p}_{gy} = -\frac{e}{c} \psi + p_{\parallel} b_{\varphi}$$

- **Gyrocenter toroidal-momentum Euler-Lagrange equation**

$$\begin{aligned} -\frac{\partial H_{gy}}{\partial \varphi} &= \frac{\partial \mathbf{X}}{\partial \varphi} \cdot \left(\frac{d_{gy} p_{\parallel}}{dt} \hat{\mathbf{b}} - \frac{e}{c} \frac{d_{gy} \mathbf{X}}{dt} \times \mathbf{B}^* \right) \\ &= \frac{d_{gy} p_{\parallel}}{dt} b_{\varphi} - \left(\frac{e}{c} \frac{d_{gy} \psi}{dt} - p_{\parallel} \frac{d_{gy} b_{\varphi}}{dt} \right) \\ &\equiv \frac{d_{gy}}{dt} p_{gy\varphi} \end{aligned}$$

- **Gyrokinetic Vlasov-Poisson Action Functional**

$$\begin{aligned}
 A_{\text{gy}} &= \int \frac{d^4x}{8\pi} \left(\epsilon^2 |\mathbf{E}_1|^2 - |\mathbf{B}|^2 \right) \\
 &\quad - \sum \int d^8\mathcal{Z} \mathcal{F}_{\text{gy}}(\mathcal{Z}) \mathcal{H}_{\text{gy}}(\mathcal{Z}; \phi_1) \\
 &\equiv \int \mathcal{L}_{\text{gy}} d^4x
 \end{aligned}$$

- **Extended gyrocenter Hamiltonian**

$$\mathcal{H}_{\text{gy}}(\mathcal{Z}; \phi_1) \equiv H_{\text{gy}}(\mathbf{X}, p_{\parallel}, \mu, t; \phi_1) - W$$

- **Extended gyrocenter Vlasov distribution**

$$\mathcal{F}_{\text{gy}}(\mathcal{Z}) \equiv c \delta(W - H_{\text{gy}}) F(\mathbf{X}, p_{\parallel}, \mu, t)$$

- **Gyrokinetic Vlasov-Poisson Variational principle**

$$\int \delta \mathcal{L}_{\text{gy}} d^4 x = 0$$

$$\begin{aligned} \delta \mathcal{L}_{\text{gy}} = & -\epsilon \delta \phi_1 \left[\sum \int \left(\epsilon^{-1} \frac{\delta H_{\text{gy}}}{\delta \phi_1} \right) \mathcal{F}_{\text{gy}} d^4 p \right] \\ & + \frac{\epsilon^2}{4\pi} (\delta \mathbf{E}_1 \cdot \mathbf{E}_1) - \sum \int \left[\delta \mathcal{F}_{\text{gy}} \mathcal{H}_{\text{gy}} \right] d^4 p \end{aligned}$$

- **Eulerian variation of Vlasov distribution**

$$\delta \mathcal{F}_{\text{gy}} \equiv \{ \mathcal{S}_{\text{gy}}, \mathcal{F}_{\text{gy}} \}_{\text{gc}} = \frac{1}{B_{\parallel}^*} \frac{\partial}{\partial Z^a} \left(B_{\parallel}^* \mathcal{S}_{\text{gy}} \{ Z^a, \mathcal{F}_{\text{gy}} \}_{\text{gc}} \right)$$

- **Functional derivative** $[\delta_{\text{gc}}^3 \equiv \delta^3(\mathbf{X} + \rho_0 - \mathbf{x})]$

$$\epsilon^{-1} \frac{\delta H_{\text{gy}}}{\delta \phi_1(\mathbf{x})} = e \left\langle \delta_{\text{gc}}^3 - \epsilon \{ S_1, \delta_{\text{gc}}^3 \}_{\text{gc}} + \dots \right\rangle \equiv e \left\langle \mathbb{T}_{\text{gy}}^{-1} \delta_{\text{gc}}^3 \right\rangle$$

- **Total Gyrocenter Reduced Displacement**

$$\mathbb{T}_{gy}^{-1} \delta_{gc}^3 \equiv \mathbb{T}_\epsilon^{-1} \delta^3(\mathbf{X} - \mathbf{x}) \equiv \delta^3(\mathbf{X} + \boldsymbol{\rho}_\epsilon - \mathbf{x})$$

$$\begin{aligned} \boldsymbol{\rho}_\epsilon &\equiv \boldsymbol{\rho}_0 + \epsilon \boldsymbol{\rho}_1 + \dots \\ &= (\boldsymbol{\rho}_{00} + \epsilon_B \boldsymbol{\rho}_{01} + \dots) \rightarrow \text{Guiding-center} \\ &\quad + \epsilon (\boldsymbol{\rho}_{10} + \epsilon_B \boldsymbol{\rho}_{11} + \dots) + \dots \rightarrow \text{Gyrocenter} \end{aligned}$$

- **First-order gyrocenter displacement**

$$\boldsymbol{\rho}_{10} \equiv \left\{ \mathbf{X} + \boldsymbol{\rho}_{00}, S_{10} \right\}_{gc} = \langle \boldsymbol{\rho}_{10} \rangle + \tilde{\boldsymbol{\rho}}_{10}$$

- **Gyrokinetic polarization = Guiding-center** ($\epsilon_B \langle \boldsymbol{\rho}_{01} \rangle$)
+ Gyrocenter ($\epsilon \langle \boldsymbol{\rho}_{10} \rangle + \dots$)

- **Final form of Gyrokinetic Vlasov-Poisson Variational principle**

$$\begin{aligned}
 \int \delta \mathcal{L}_{\text{gy}} d^4 x &= \int \epsilon \delta \phi_1 \left[\frac{\epsilon \nabla \cdot \mathbf{E}_1}{4\pi} - \sum e \int F \langle \mathbb{T}_{\text{gy}}^{-1} \delta_{\text{gc}}^3 \rangle d^6 z \right] d^4 x \\
 &\quad - \sum \int \mathcal{S}_{\text{gy}} \left\{ \mathcal{F}_{\text{gy}}, \mathcal{H}_{\text{gy}} \right\}_{\text{gc}} d^8 Z \\
 &\quad + \int \left(\frac{\partial \Lambda}{\partial t} + \nabla \cdot \Gamma \right) d^4 x \rightarrow 0
 \end{aligned}$$

- **Noether fields**

$$\Lambda \equiv \sum \int \mathcal{S}_{\text{gy}} \mathcal{F}_{\text{gy}} d^4 p$$

$$\Gamma \equiv - \frac{\epsilon^2 \delta \phi_1}{4\pi} \mathbf{E}_1 + \sum \int \left(\mathcal{S}_{\text{gy}} \mathcal{F}_{\text{gy}} \right) \frac{d_{\text{gy}} \mathbf{X}}{dt} d^4 p$$

- **Gyrokinetic Vlasov Equation**

$$\{\mathcal{F}_{gy}, \mathcal{H}_{gy}\}_{gc} = 0$$

$$\begin{aligned} 0 &= \frac{\partial F}{\partial t} + \left\{ F, H_{gy} \right\}_{gc} \\ &\equiv \frac{\partial F}{\partial t} + \frac{d_{gy}\mathbf{X}}{dt} \cdot \nabla F + \frac{d_{gy}p_{\parallel}}{dt} \frac{\partial F}{\partial p_{\parallel}} \end{aligned}$$

- **Iterative solution of (Particle) Vlasov equation**

$$f \equiv \mathcal{T}_{\epsilon} F = \mathcal{T}_{gc}(\mathcal{T}_{gy} F) \simeq e^{-\boldsymbol{\rho}_0 \cdot \nabla} \left(F + \epsilon \{S_1, F\}_{gc} + \dots \right)$$

$$0 = \frac{df}{dt} \rightarrow 0 = \mathcal{T}_{\epsilon}^{-1} \left[\frac{d}{dt} \left(\mathcal{T}_{\epsilon} F \right) \right] \equiv \frac{d_{\epsilon} F}{dt}$$

- **Gyrokinetic Poisson Equation**

$$\begin{aligned} \epsilon \nabla \cdot \mathbf{E}_1 &= 4\pi \sum e \int F \langle T_{\text{gy}}^{-1} \delta_{\text{gc}}^3 \rangle d^6 z \\ &\equiv 4\pi \left(\varrho - \nabla \cdot \mathcal{P} \right) \end{aligned}$$

- **Gyrocenter charge density**

$$\varrho \equiv \sum e \int F d^3 p$$

- **Gyrocenter polarization** → multipole expansion

$$\mathcal{P} \equiv \underbrace{\sum e \int F \langle \boldsymbol{\rho}_\epsilon \rangle d^3 p}_{\text{Linear}} - \underbrace{\nabla \cdot \left(\sum \frac{e}{2} \int F \langle \boldsymbol{\rho}_\epsilon \boldsymbol{\rho}_\epsilon \rangle d^3 p \right)}_{\text{Quadratic (with FLR)}} + \dots$$

Gyrokinetic Energy-Momentum Conservation Laws

- **Noether Equation**

$$\delta\mathcal{L}_{gy} = \frac{\partial\Lambda}{\partial t} + \nabla \cdot \Gamma$$

- **Eulerian variations associated with space-time translations**

$$\begin{aligned} \mathcal{S}_{gy} &\equiv -W \delta t + \mathbf{p}_{gy} \cdot \delta \mathbf{x} \\ \delta\phi_1 &\equiv -\delta t \partial_t \phi_1 + \delta \mathbf{x} \cdot \mathbf{E}_1 \\ \delta\mathcal{L}_{gy} &= -\partial_\nu \left(\delta x^\nu \mathcal{L}_{gy} \right) + \delta x^\nu \partial'_\nu \mathcal{L}_{gy} \end{aligned}$$

- **Explicit gradient in time-independent ($\partial'_t \mathcal{L}_{gy} \equiv 0$) nonuniform background plasma**

$$\nabla' \mathcal{L}_{gy} = - \left(\frac{B}{4\pi} + \sum \int F \mu d^3p \right) \nabla B - \sum e \int F \nabla' \Phi_{gy} d^3p$$

- Gyrokinetic Energy Conservation Law

$$\frac{\partial \mathcal{E}}{\partial t} + \nabla \cdot \mathbf{S} = 0$$

- Gyrokinetic energy density

$$\mathcal{E} = \sum \int F \left(H_{gy} - \epsilon e \langle T_{gy}^{-1} \phi_{1gc} \rangle \right) d^3 p + \frac{\epsilon^2 |\mathbf{E}_1|^2}{8\pi}$$

- Gyrokinetic energy-density flux

$$\mathbf{S} = \sum \int F H_{gy} \frac{d_{gy} \mathbf{X}}{dt} d^3 p + \frac{\epsilon^2 \phi_1}{4\pi} \frac{\partial \mathbf{E}_1}{\partial t}$$

- **Gyrokinetic Linear Momentum Conservation Law**

$$\frac{\partial \mathbf{P}}{\partial t} + \nabla \cdot \mathbf{\Pi} = - \sum \int F \nabla' H_{gy} d^3 p$$

- **Gyrokinetic momentum density**

$$\mathbf{P} = \sum \int F \mathbf{p}_{gy} d^3 p$$

- **Gyrokinetic momentum-stress tensor**

$$\mathbf{\Pi} = \frac{\epsilon^2}{4\pi} \left(|\mathbf{E}_1|^2 \frac{\mathbf{I}}{2} - \mathbf{E}_1 \mathbf{E}_1 \right) + \sum \int F \frac{dgy\mathbf{X}}{dt} \mathbf{p}_{gy} d^3 p$$

- **Proofs of Energy-Momentum Conservation**

- **Gyrokinetic Energy Conservation**

$$\begin{aligned} \frac{\partial \mathcal{E}}{\partial t} &= -\nabla \cdot \mathbf{S} + \sum \epsilon e \int F \left[\left\langle \mathsf{T}_{\text{gy}}^{-1} \left(\frac{\partial \phi_{1\text{gc}}}{\partial t} \right) \right\rangle - \left\langle \frac{\partial}{\partial t} \left(\mathsf{T}_{\text{gy}}^{-1} \phi_{1\text{gc}} \right) \right\rangle \right] \\ &\quad + \frac{\epsilon^2 \phi_1}{4\pi} \nabla \cdot \frac{\partial \mathbf{E}_1}{\partial t} - \sum \epsilon e \int \frac{\partial F}{\partial t} \left\langle \mathsf{T}_{\text{gy}}^{-1} \phi_{1\text{gc}} \right\rangle \equiv -\nabla \cdot \mathbf{S} \end{aligned}$$

- **Gyrokinetic Momentum Conservation**

$$\begin{aligned} \frac{\partial \mathbf{P}}{\partial t} &= -\nabla \cdot \mathbf{\Pi} + \sum \int F \left(\frac{d_{\text{gy}} \mathbf{P}_{\text{gy}}}{dt} - \epsilon e \left\langle \mathsf{T}_{\text{gy}}^{-1} \mathbf{E}_{1\text{gc}} \right\rangle \right) \\ &= -\nabla \cdot \mathbf{\Pi} + \sum \int F \left[\left(-\mu \nabla B - \epsilon e \nabla \Phi_{\text{gy}} \right) \right. \\ &\quad \left. + \epsilon e \left(\nabla \Phi_{\text{gy}} - \nabla' \Phi_{\text{gy}} \right) \right] \\ &\equiv -\nabla \cdot \mathbf{\Pi} - \sum \int F \nabla' H_{\text{gy}} \end{aligned}$$

- **Gyrokinetic Angular Momentum Conservation in Axisymmetric Tokamak Geometry**

- **Infinitesimal toroidal rotation**

$$\delta \mathbf{x} \equiv \frac{\partial \mathbf{x}}{\partial \varphi} \delta \varphi = \delta \varphi \hat{\mathbf{z}} \times \mathbf{x}$$

- **Gyrokinetic angular-momentum conservation law**

$$\frac{\partial P_\varphi}{\partial t} + \nabla \cdot \Pi_\varphi = \frac{\partial' H_{gy}}{\partial \varphi} \equiv 0 \text{ (axisymmetry)}$$

- **Gyrokinetic angular-momentum density**

$$P_\varphi \equiv \sum \int F p_{gy\varphi} d^3 p$$

- **Gyrokinetic angular-momentum flux**

$$\begin{aligned} \Pi_\varphi \equiv & \left(\frac{\epsilon^2}{8\pi} |\mathbf{E}_1|^2 \right) \frac{\partial \mathbf{X}}{\partial \varphi} - \frac{\epsilon^2}{4\pi} \mathbf{E}_1 \left(\mathbf{E}_1 \cdot \frac{\partial \mathbf{X}}{\partial \varphi} \right) \\ & + \sum \int F \frac{d_{gy} \mathbf{X}}{dt} p_{gy\varphi} d^3 p \end{aligned}$$

○ **Gyrokinetic Poisson equation** \Rightarrow

$$\nabla \cdot \left[\left(\frac{\epsilon^2}{8\pi} |\mathbf{E}_1|^2 \right) \frac{\partial \mathbf{X}}{\partial \varphi} - \frac{\epsilon^2}{4\pi} \mathbf{E}_1 \left(\mathbf{E}_1 \cdot \frac{\partial \mathbf{X}}{\partial \varphi} \right) \right] = \sum \int F \frac{\partial H_{gy}}{\partial \varphi} d^3 p$$

$$\begin{aligned} \frac{\partial H_{gy}}{\partial \varphi} &= \epsilon e \left\langle \frac{\partial \phi_{1gc}}{\partial \varphi} - \epsilon \left\{ S_1, \frac{\partial \phi_{1gc}}{\partial \varphi} \right\}_{gc} + \dots \right\rangle \\ &\equiv \epsilon e \left\langle \mathbb{T}_{gy}^{-1} \left(\frac{\partial \phi_{1gc}}{\partial \varphi} \right) \right\rangle \end{aligned}$$

○ **Scott-Smirnov equation**

(Gyrokinetic Vlasov moment approach)

$$\frac{\partial P_\varphi}{\partial t} = - \nabla \cdot \mathbf{Q}_\varphi - \sum \int F \left(\frac{\partial H_{gy}}{\partial \varphi} \right) d^3 p$$

$$\mathbf{Q}_\varphi \equiv \sum \int F \frac{d_{gy} \mathbf{X}}{dt} p_{gy\varphi} d^3 p$$

Gyrokinetic Momentum Conservation in Axisymmetric Tokamak Geometry

- **Magnetic-surface average**

$$\llbracket \cdots \rrbracket \equiv \frac{1}{\mathcal{V}} \oint (\cdots) \mathcal{J} d\vartheta d\varphi$$

- **Jacobian for magnetic coordinates** (ψ, θ, φ)

$$\begin{aligned} \mathcal{J}^{-1} &\equiv \nabla\psi \times \nabla\theta \cdot \nabla\varphi = B^\theta \\ \mathcal{V}(\psi) &\equiv \oint \mathcal{J} d\vartheta d\varphi \end{aligned}$$

- **Important identity for arbitrary vector field \mathbf{C}**

$$\llbracket \nabla \cdot \mathbf{C} \rrbracket \equiv \frac{1}{\mathcal{V}} \frac{\partial}{\partial \psi} \left(\mathcal{V} \llbracket \mathbf{C} \cdot \nabla\psi \rrbracket \right)$$

- Gyrokinetic parallel-toroidal momentum equation
- Gyrokinetic parallel-toroidal momentum

$$P_{\parallel\varphi} \equiv P_\varphi + \frac{\psi}{c} \varrho = \left(\sum \int F p_{\parallel} d^3p \right) b_\varphi$$

- Gyrokinetic parallel-toroidal momentum equation

$$\frac{\partial P_{\parallel\varphi}}{\partial t} + \nabla \cdot \mathbf{Q}_\varphi = \frac{\psi}{c} \frac{\partial \varrho}{\partial t} - \sum \int F \frac{\partial H_{gy}}{\partial \varphi} d^3p$$

- **Surface-averaged gyrokinetic parallel-toroidal momentum equation**

$$\frac{\partial \llbracket P_{\parallel \psi} \rrbracket}{\partial t} = -\frac{1}{\nu} \frac{\partial}{\partial \psi} \left(\nu \llbracket Q_{\psi}^{\psi} \rrbracket \right) + \frac{\psi}{c} \frac{\partial \llbracket \rho \rrbracket}{\partial t} - \sum \left[\int F \frac{\partial H_{gy}}{\partial \varphi} d^3 p \right]$$

$$\begin{aligned} \llbracket Q_{\psi}^{\psi} \rrbracket &= \sum \left[\int F \frac{dgy\psi}{dt} p_{gy\varphi} d^3 p \right] \\ &\equiv \llbracket Q_{\parallel \psi}^{\psi} \rrbracket - \underbrace{\frac{\psi}{c} \left(\sum e \left[\int F \frac{dgy\psi}{dt} d^3 p \right] \right)}_{\text{Gyrocenter current } \llbracket \nabla \psi \cdot \mathbf{J} \rrbracket} \end{aligned}$$

- **Gyrocenter charge conservation law**

$$\frac{\partial \llbracket \rho \rrbracket}{\partial t} = - \llbracket \nabla \cdot \mathbf{J} \rrbracket \equiv - \frac{1}{\nu} \frac{\partial}{\partial \psi} \left(\nu \sum e \left[\int F \frac{d_{gy}\psi}{dt} d^3p \right] \right)$$

$$\begin{aligned} \frac{\partial \llbracket P_{\parallel\varphi} \rrbracket}{\partial t} &= - \frac{1}{\nu} \frac{\partial}{\partial \psi} \left(\nu \llbracket Q_{\parallel\varphi}^{\psi} \rrbracket \right) \\ &\quad + \sum e \left[\int F \left(\frac{1}{c} \frac{d_{gy}\psi}{dt} - \frac{\partial \Phi_{gy}}{\partial \varphi} \right) d^3p \right] \end{aligned}$$

$$\frac{1}{c} \frac{d_{gy}\psi}{dt} - \frac{\partial \Phi_{gy}}{\partial \varphi} \equiv \text{Generalized toroidal electric field}$$

- Gyrocenter quasineutrality condition

$$\mathbf{E}_1 + 4\pi \mathcal{P} \simeq 4\pi \mathcal{P} \Rightarrow \varrho \equiv \nabla \cdot \mathcal{P}$$

$$\frac{\partial[\varrho]}{\partial t} \equiv \left[\nabla \cdot \frac{\partial \mathcal{P}}{\partial t} \right] = \frac{1}{v} \frac{\partial}{\partial \psi} \left(v \frac{\partial[\mathcal{P}\psi]}{\partial t} \right)$$

- Gyrocenter charge conservation law \Rightarrow

$$\frac{\partial[\mathcal{P}\psi]}{\partial t} + \sum e \left[\int F \frac{dgy\psi}{dt} d^3p \right] \equiv 0$$

- **Parallel-toroidal momentum equation**

$$\frac{\partial}{\partial t} \left(\llbracket P_{\parallel\varphi} \rrbracket + \frac{1}{c} \llbracket \mathcal{P}^\psi \rrbracket \right) = - \frac{1}{\mathcal{V}} \frac{\partial}{\partial \psi} \left(\mathcal{V} \llbracket Q_{\parallel\varphi}^\psi \rrbracket \right) - \sum e \left[\int F \frac{\partial \Phi_{gy}}{\partial \varphi} d^3 p \right]$$

- **Physics of total toroidal-momentum density**

$$\underbrace{\left(\frac{\partial \mathbf{X}}{\partial \varphi} \cdot p_{\parallel} \hat{\mathbf{b}} \right)}_{\rightarrow P_{\parallel\varphi}} + \underbrace{\left[\frac{\partial \mathbf{X}}{\partial \varphi} \times \frac{\hat{\mathbf{b}}}{\Omega} \cdot \left(\mu \nabla B + \frac{p_{\parallel}^2}{m} \hat{\mathbf{b}} \cdot \nabla \hat{\mathbf{b}} + \epsilon e \nabla \phi_1 \right) \right]}_{\rightarrow c^{-1} \mathcal{P}^\psi}$$

- Gyrokinetic Parallel-toroidal Momentum Conservation Law

- Multipole expansion + Quasineutrality condition

$$\frac{\partial H_{gy}}{\partial \varphi} \equiv \epsilon e \left(\frac{\partial \phi_1}{\partial \varphi} + \langle \rho_\epsilon \rangle \cdot \nabla \frac{\partial \phi_1}{\partial \varphi} + \frac{1}{2} \langle \rho_\epsilon \rho_\epsilon \rangle : \nabla \nabla \frac{\partial \phi_1}{\partial \varphi} + \dots \right)$$

$$\sum \int F \frac{\partial H_{gy}}{\partial \varphi} d^3 p = \nabla \cdot \left[\epsilon \mathcal{P} \frac{\partial \phi_1}{\partial \varphi} + \epsilon \left(\sum \frac{e}{2} \int F \langle \rho_\epsilon \rho_\epsilon \rangle d^3 p \right) \cdot \nabla \frac{\partial \phi_1}{\partial \varphi} + \dots \right]$$

- ZLR model of Scott and Smirnov

$$\mathcal{P} \simeq \epsilon (mnc^2/B^2) \nabla_\perp \phi_1$$

- **Gyrokinetic parallel-toroidal momentum conservation law**

$$0 = \frac{\partial}{\partial t} \left(\llbracket P_{\parallel\varphi} \rrbracket + \frac{1}{c} \llbracket \mathcal{P}^\psi \rrbracket \right) + \frac{1}{\nu} \frac{\partial}{\partial \psi} \left(\nu \llbracket Q_{\parallel\varphi}^\psi \rrbracket \right) + \frac{1}{\nu} \frac{\partial}{\partial \psi} \left[\nu \left(\epsilon \llbracket \mathcal{P}^\psi \frac{\partial \phi_1}{\partial \varphi} + \mathbf{R}^\psi \cdot \nabla \frac{\partial \phi_1}{\partial \varphi} + \dots \rrbracket \right) \right]$$

$$\mathbf{R}^\psi \equiv \nabla \psi \cdot \left(\sum \frac{e}{2} \int F \langle \rho_\epsilon \rho_\epsilon \rangle d^3 p \right) = \mathbf{R}_0^\psi + \epsilon \mathbf{R}_1^\psi + \dots$$

- **Residual-stress toroidal-momentum transport**

$$\mathcal{P}^\psi \frac{\partial \phi_1}{\partial \varphi} \equiv \text{Turbulent contribution}$$

$$\mathbf{R}^\psi \cdot \nabla \frac{\partial \phi_1}{\partial \varphi} \equiv \text{Electric-field shear-induced contribution}$$

Summary and Future Work

- **Noether Method**

Frontload Physics into Lagrangian \Rightarrow Exact Conservation Laws

- **Dynamical Reduction**

Conservation of Toroidal Canonical Momentum

$$p_{gy\varphi} = -\frac{e}{c} \psi + p_{\parallel} b_{\varphi}$$

\Downarrow

Conservation of Toroidal Momentum Density

$$\sum \int F \left(m \frac{dgy\varphi}{dt} \right) d^3p$$

- **Future Work: Electromagnetic Case**