RECENT RESEARCH PROJECTS Allen Boozer

- 1. Plasma effects on location of outermost magnetic surface PoP <u>12</u>, 092504 (2005). Shielding unless $\delta\beta \leq \frac{\iota^2}{16} \frac{w}{a} \frac{a}{R} \left(\frac{a\iota'}{2\iota}\right) \approx 10^{-3}$ to 10^{-4} with $\delta\beta \propto m^4$.
- 2. Density limit in toroidal pure electron plasmas PoP <u>12</u>, 104502 (2005). $\frac{n}{n_B} \le \iota^2 \frac{a}{R} \text{ axisymmetric and } \frac{n}{n_B} \le \frac{\iota^2}{8m^2} \left(\frac{a}{R}\right)^2 \frac{1}{\delta_{mn}} \text{ non-axisymmetric. } n_B = \frac{\varepsilon_0 B^2}{2m_e}$
- 3. Perturbed plasma equilibria using δW codes (with C. Nührenberg) $\Delta_{mn} = \left[\frac{\partial}{\partial \psi} \frac{\delta \vec{B} \cdot \vec{\nabla} \psi}{\vec{B} \cdot \vec{\nabla} \varphi}\right]_{mn}; \text{ island half-width } \frac{\delta \psi}{\psi} \approx 2\sqrt{\left|\frac{\Delta_{mn}}{m^2 \iota} \left(\frac{\iota}{\psi \, d\iota / d\psi}\right)\right|}.$
- 4. Resistive wall modes with plasma rotation and multimodes (with J. Bialek and D. Maslovsky) Maslovsky & Boozer, PoP <u>12</u>, 042108 (2005).

MAGNETIC RECONNECTION IN NON-TOROIDAL PLASMAS

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Reconnection is a breaking and connecting of magnetic field lines. Requires a non-ideal magnetic evolution, $\vec{E} \cdot \vec{B}$ non-zero. Evolution is ideal if $\vec{E} + \vec{v} \times \vec{B} = 0$.

Magnetic reconnection in nontoroidal plasmas, Boozer, Phys. Plasmas <u>12</u>, 070706 (2005) Physics of Magnetic Confinement, Boozer, Rev. Mod. Phys. <u>76</u>, 1071 (2004).

WHY RECONNECTION IS IMPORTANT

Charged particles can move rapidly along magnetic field lines but slowly across, $md\vec{v}/dt = q\vec{v} \times \vec{B}$. Changing the way magnetic field lines connect to objects (like the sun) can change the motion or the energy of a plasma (an ideal gas of charged particles).

RECONNECTION BREAKS A CONSERVATION LAW

Conservation Laws of Ideal Behavior

- 1. Tying of a conducting fluid to a magnetic field. (weak)
- 2. Preservation of the magnetic field lines. (strong, topic of talk)

Reconnection associated with the rapid transfer of energy from the magnetic field to the fluid. (easy, need not break a conservation law)

PRIMARY RESULTS

1. Maxwell equations imply any evolution $\vec{B}(\vec{x},t)$ is ideal locally.

2. Local ideality broken in laboratory plasmas on toroidal surfaces on which field lines close on themselves. *Textbook example of reconnection but not relevant to space or astrophysics.*

3. Separation between neighboring field lines normally increases exponentially with distance along lines, $\delta \propto \exp(\ell/L_L)$. Leads to reconnection if $\ell > 20L_L$.

4. A rapid transfer of energy from field to plasma need not imply nonideal field behavior, as reconnection. For example, runaway electrons reduce dissipation and will produce a corona around any star with a large scale \vec{B} exiting a convective zone. I. GIVEN $\vec{B}(\vec{x},t)$ IS THE EVOLUTION IDEAL? Yes locally!

Electric field $\vec{E}(\vec{x},t)$ gives \vec{B} evolution, $\partial \vec{B} / \partial t = -\vec{\nabla} \times \vec{E}$.

Where \vec{B} is non-zero, any electric field can be represented as $\vec{E} + \vec{u}(\vec{x},t) \times \vec{B} = -\vec{\nabla} \Phi_u(\vec{x},t)$.

Parallel representation:
$$\vec{B} \cdot \vec{E} = -\vec{B} \cdot \vec{\nabla} \Phi_u$$
, or $\frac{d\Phi_u}{d\ell} = -\frac{\vec{E} \cdot \vec{B}}{B}$

Perpendicular representation: $\vec{u} = \frac{(\vec{E} + \vec{\nabla} \Phi_u) \times \vec{B}}{B^2}$.

Gives same \vec{B} evolution as $\vec{E} + \vec{u} \times \vec{B} = 0$, which is ideal.

II. MAGNETIC FIELD NULLS

Near a null can write $\vec{B}(\vec{x},t) = \vec{\mathcal{E}} \cdot \{\vec{x} - \vec{x}_0(t)\}.$

An arbitrary electric field can be represented as $\vec{E} + \vec{u} \times \vec{B} = -\vec{\nabla}\Phi_u$ near a null if $|\vec{z}|$ non-zero, called a point null.

Four equations, $B_x = B_y = B_z = 0$ plus $|\vec{z}| = 0$, for four unknowns (x, y, z, t) can generally be solved only at isolated points. These points correspond to the collision or separation of two point nulls.

Note a line null is split into a set of discrete point nulls by an arbitrarily small perturbation $\delta \vec{B}$.

Nulls of \vec{B} do not explain reconnection.

III. RECONNECTION IN TOROIDAL PLASMAS

In toroidal laboratory plasmas, \vec{B} lines close on themselves on isolated surfaces, the rational surfaces.

$$\frac{d\Phi_u}{d\ell} = -\frac{\vec{E} \cdot \vec{B}}{B}$$
 has no global solution if $V = \oint \frac{\vec{E} \cdot \vec{B}}{B} d\ell$ non-zero.

If V varies from field line to field line on a rational surface, the surface splits into islands, and reconnection occurs.



Field lines don't close on themselves in space, so island reconnection is not applicable there.

IV. EVOLUTION of $\underline{\vec{B}}$ in NON-TOROIDAL SYSTEMS

A. Definition of Clebsch coordinates (ψ, α, ℓ) : $\vec{B} = \vec{\nabla}\psi \times \nabla \alpha$.

Define (r, α, ℓ) coordinates so $\vec{B} \cdot \vec{\nabla} r = 0$ and $\vec{B} \cdot \vec{\nabla} \alpha = 0$.



 $\vec{B} = F(r, \alpha, \ell) \vec{\nabla} r \times \nabla \alpha$, but $\vec{\nabla} \cdot \vec{B} = 0$, so $\partial F / \partial \ell = 0$.

Let $\partial \psi / \partial r = F(r, \alpha)$, then $\vec{B} = \vec{\nabla} \psi \times \nabla \alpha$.

B. Magnetic evolution when Clebsch coordinates exist:

$$\left(\frac{\partial \vec{B}}{\partial t}\right)_{\vec{x}} = -\vec{\nabla} \times \vec{E} \text{ with } \vec{E} + \vec{u} \times \vec{B} = -\vec{\nabla} \Phi_{u}.$$
$$\vec{u} = \frac{\partial \vec{x}(\psi, \alpha, \ell, t)}{\partial t} = \left(\frac{\partial \vec{x}}{\partial t}\right)_{c}, \text{ velocity of } (\psi, \alpha, \ell) \text{ coordinates.}$$
$$\vec{B} = \vec{\nabla} \times \vec{A} \text{ with } \vec{A} = \psi \vec{\nabla} \alpha + \vec{\nabla} g.$$
$$\left(\frac{\partial \vec{A}}{\partial t}\right)_{\vec{x}} = \left(\frac{\partial \psi}{\partial t}\right)_{\vec{x}} \vec{\nabla} \alpha - \left(\frac{\partial \alpha}{\partial t}\right)_{\vec{x}} \vec{\nabla} \alpha + \vec{\nabla} \left\{\psi\left(\frac{\partial \alpha}{\partial t}\right)_{\vec{x}}\right\} + \vec{\nabla} \left(\frac{\partial g}{\partial t}\right)_{\vec{x}}$$
$$\left(\frac{\partial \psi}{\partial t}\right)_{c} = \left(\frac{\partial \psi}{\partial t}\right)_{\vec{x}} + \vec{u} \cdot \vec{\nabla} \psi = 0, \text{ which implies } \left(\frac{\partial \psi}{\partial t}\right)_{\vec{x}} = -\vec{u} \cdot \vec{\nabla} \psi.$$

9

$$\left(\frac{\partial \vec{A}}{\partial t}\right)_{\vec{x}} = -(\vec{u} \cdot \vec{\nabla}\psi)\vec{\nabla}\alpha + (\vec{u} \cdot \vec{\nabla}\alpha)\vec{\nabla}\psi + \vec{\nabla}\left\{\psi\left(\frac{\partial\alpha}{\partial t}\right)_{\vec{x}} + \left(\frac{\partial g}{\partial t}\right)_{\vec{x}}\right\}$$

Equivalently
$$\left(\frac{\partial \vec{A}}{\partial t}\right)_{\vec{x}} = \vec{u} \times \vec{B} + \vec{\nabla} \Phi_c$$

Faraday's law implies $\vec{E} = -(\partial \vec{A} / \partial t)_{\vec{x}} - \vec{\nabla} \Phi$, so

$$\vec{E} + \vec{u} \times \vec{B} = -\vec{\nabla}\Phi_u.$$

V. REQUIREMENT FOR RECONNECTION

Need \vec{u} or Φ_u in $\vec{E} + \vec{u} \times \vec{B} = -\vec{\nabla} \Phi_u$ to be ill defined.

- 1. In a torus Φ_{μ} non-single-valued where field lines are closed.
- 2. Sometimes boundary conditions prevent a smooth Φ_{μ} .
- 3. Exponentially separating field lines make Φ_u and \vec{u} ill behaved with enough exponentiations (about 20).

VI. EXPONENTIAL SEPARATION OF FIELD LINES

Generically neighboring field lines separate exponentially $\delta(\ell) = \delta_0 \exp(\ell/L_L)$; Lyapunov length is L_L.

Field lines equations $d\vec{x}/d\ell = \vec{B}(\vec{x})/B = \hat{b}(\vec{x})$.

Trajectories given by $\vec{x}(\psi, \alpha, \ell)$ with $\psi = const.$ and $\alpha = const.$, where $\vec{B} = \vec{\nabla}\psi \times \vec{\nabla}\alpha$.

Trajectory separation $\vec{\delta}(\ell) = (\partial \vec{x} / \partial \psi) \delta \psi + (\partial \vec{x} / \partial \alpha) \delta \alpha$ with two independent separations, $\delta \psi$ and $\delta \alpha$.

 $\vec{B} \cdot (\vec{\delta}_1 \times \vec{\delta}_2) = const.$ Because, $d\vec{a}_\ell = (\partial \vec{x} / \partial \psi) \times (\partial \vec{x} / \partial \alpha) d\psi d\alpha.$

$$\frac{d\vec{\delta}}{d\ell} = \vec{\delta} \cdot \vec{K} \text{ where } \vec{K} \equiv (\vec{1} - \hat{b}\hat{b}) \cdot \vec{\nabla}\hat{b} \cdot (\vec{1} - \hat{b}\hat{b}).$$

If \vec{K} were constant, $\vec{\delta}(\ell) = \vec{\delta}_0 \cdot \exp(\vec{K}\ell)$.

 \vec{K} has two non-zero eigenvalues. If one has a positive real part, two orthogonal separation directions exist:

 $\vec{\delta}_d(\ell)$ with exponentially diverging trajectories, $\propto \exp(\ell/L_L)$. $\vec{\delta}_c(\ell)$ with exponentially converging trajectories, $\propto \exp(-\ell/L_L)$.

 $\left|\vec{\delta}_{c}(\ell) \times \vec{\delta}_{d}(\ell)\right| \propto 1/B(\ell).$

Field lines separate exponentially unless \vec{K} happens to be a perfectly antisymmetric tensor—requires careful design.

VII. RECONNECTION & EXPONENTIAL SEPARATION

With exponential separation, \vec{u} and Φ_u of $\vec{E} + \vec{u} \times \vec{B} = -\vec{\nabla} \Phi_u$ are ill behaved.

$$\vec{B} \cdot \vec{\nabla} \Phi_u = \vec{E} \cdot \vec{B} \text{ implies } \frac{\delta_c}{\left|\vec{\delta}_c\right|} \cdot \vec{\nabla} \Phi_u \propto e^{\ell/L_L};$$

Field line velocity $\vec{u} \propto \exp(\ell/L_L)$.

To illustrate reconnection, assume:

- 1. Small perturbation $\delta \vec{B}$ is added to a system, $\vec{B}(\vec{x},t) = \vec{B}_0 + \delta \vec{B}$, which twists field lines in a small region transverse to \vec{B}_0 .
- 2. \vec{B}_0 is static and curl free.
- 3. Field lines of \vec{B}_0 e-fold apart many times within the system.

Assume transverse variations large and linearize equations:

- 1. Ohm's law $\delta \vec{E} + \delta \vec{v} \times \vec{B}_0 = \eta_{\parallel} \delta \vec{j}_{\parallel}$.
- 2. Twist perturbation to vector potential $\delta \vec{A} = (\delta A_{\parallel} / B_0) \vec{B}_0$.
- 3. Force balance $\rho \partial \delta \vec{v} / \partial t = \delta \vec{j} \times \vec{B}_0 + \rho v \nabla^2 \delta \vec{v}$.
- 4. Ampere's law $\vec{\nabla} \times \delta \vec{B} = \mu_0 \delta \vec{j}$.

Obtain
$$\frac{\partial}{\partial \ell} \left(v_A^2 \frac{\partial (\delta j_{\parallel} / B_0)}{\partial \ell} \right) - \Re \left[\frac{\delta j_{\parallel}}{B_0} \right] = \frac{\partial^2 (\delta j_{\parallel} / B_0)}{\partial t^2}.$$

Alfvén speed $v_A = \sqrt{B_0^2 / \mu_0 \rho}$

Dissipation: $\Re\left[\frac{\delta j_{\parallel}}{B_0}\right] = -\left(\frac{\eta_{\parallel}}{\mu_0} + \nu\right) \nabla_{\perp}^2 \frac{\partial(\delta j_{\parallel} / B_0)}{\partial t} + \frac{\nu \eta_{\parallel}}{\mu_0} \nabla_{\perp}^4 \frac{\delta j_{\parallel}}{B_0}.$

If $\Re = 0$, field line twist relaxes at v_A along the field lines.

With dissipation, assume $v_A = const$. and a very slow time variation compared to the time an Alfvén wave takes to propagate.

Then solution is also a solution to $\frac{\partial}{\partial \ell} \frac{\delta j_{\parallel}}{B_0} = \pm \sqrt{\frac{\eta_{\parallel} v}{\mu_0 v_A^2}} \nabla_{\perp}^2 \frac{\delta j_{\parallel}}{B_0}.$

Without dissipation $\delta j_{\parallel} / B_0$ must be constant along each field line, so if field lines exponentially separate $\frac{\vec{\delta}_c}{|\vec{\delta}_c|} \cdot \vec{\nabla} \frac{\delta j_{\parallel}}{B_0} \propto e^{\ell/L_L}$.

With dissipation, $\delta j_{\parallel} / B_0$ constant along \vec{B} for $\ell \ll L_D$ and constant across \vec{B} for $\ell \gg L_D$, where $L_D / L_L \approx \ln(\mu_0 v_A L_L / \eta_{\parallel} v)^{1/4} \approx 20$.

Discussion of Reconnection through Exponentiation

An arbitrary evolution of $\vec{B}(\vec{x},t)$ tends to increase the number of Lyapunov lengths along some field lines.

Once a field line has a length of more than $20L_L$, a further tendency to increase would cause reconnection (diffusion of magnetic field lines) rather give more Lyapunov lengths.

Note Ampere's law implies a magnetic field must have a significant curl within a Lyapunov length, L_L .

VIII. RAPID ENERGY TRANSFER FROM \vec{B} TO PLASMA

Reconnection need not be implied by a rapid transfer of energy.

- 1. Ideal \vec{B} evolution can give kinks and loss of equilibrium, which transfer energy to the plasma.
- 2. If $j_{\parallel}/(en\sqrt{T_e/m_e})$ increases along \vec{B} , electron distribution suddenly switches from a near Maxwellian to a very high energy, or runaway, distribution for $j_{\parallel}/(en\sqrt{T_e/m_e}) \approx 1$.



Physics of Runaway Electrons (Dreicer 1960)

For a near Maxwellian, $f=f_M+\delta f$, kinetic eq. $\frac{\partial \delta f}{\partial t} - \frac{eE}{m_e} \frac{\partial f_M}{\partial V} = -v_e \delta f$.

$$\delta f = -\frac{e v E}{T v_e} f_M$$
, so $j = e \int v \delta f d^3 v = \frac{n e^2 E}{m_e \overline{v_e}}$, and $\frac{\delta f}{f_M} \approx \frac{j}{e n \sqrt{T_e / m_e}}$.

For super thermal electrons $m_e dv/dt = -eE - m_e v_e v$, but $v_e \propto 1/v^3$.

Runaway electons reach whatever energy is needed to carry current.

Runaway phenomenon reduces E_{\parallel} and hence dissipation. Without runaway $E_{\parallel} = \eta j_{\parallel}$ with $\eta \propto n^0 / T_e^{3/2}$.

Runaway Electron Effect in Solar Atmosphere

Scale of magnetic fields on sun is of order $10^4 km$.

Density scale height (due to gravity) above photosphere and below corona is n/(dn/dr)=100km with T_e almost constant.

Magnetic field lines above sun form 10^4 km loops exiting from convective zone. j_{\parallel} must be large with short correlation across \vec{B} .

 $j_{||}/B$ must be essentially constant along a magnetic field line since $\vec{\nabla} \cdot \vec{j} = 0$ and plasma pressure is too small to support $\vec{j} \times \vec{B}$ force.

If electrons remained Maxwellian, $j_{\parallel}/en_{\sqrt{T_e/m_e}}$ would increase by exp(10⁴km/100km)= 3x10⁴³ from bottom to top of loops.

PRIMARY RESULTS

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