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# Lowering the reactor breakeven requirements for proton-boron 11 fusion

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#### ABSTRACT

Recently, it has been shown that altering the natural collisional power flow of the proton–boron 11 (pB11) fusion reaction can significantly reduce the Lawson product of ion density and confinement time required to achieve ignition. However, these products are still onerous—on the order of  $7 \times 10^{15}$  cm<sup>-3</sup>s under the most optimistic scenarios. Fortunately, a breakeven fusion power plant does not require an igniting plasma, but rather a reactor that produces more electrical power than it consumes. Here, we extend the existing 0D power balance analysis to check the conditions on power plant breakeven. We find that even for the base thermonuclear reaction, modern high-efficiency thermal engines should reduce the Lawson product to  $1.2 \times 10^{15}$  cm<sup>-3</sup>s. We then explore the impact of several potential improvements, including fast proton heating, alpha power capture, direct conversion, and efficient heating. We find that such improvements could reduce the required Lawson product by a further order of magnitude, bringing aneutronic fusion to target ITER ion densities and confinement times.

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#### I. INTRODUCTION

While the deuterium-tritium (DT) reaction has historically dominated fusion research efforts because of its high cross section at relatively low temperature, the fact that it relies on scarce, radioactive tritium and produces 14 MeV fast neutrons introduce large technological and market fit risks. This has recently led to a resurgence of interest in abundant, aneutronic fuels, such as proton-boron 11 (pB11).

However, pB11 is a much harder reaction than DT, occurring with smaller cross sections at much greater temperatures. For a long time, it was thought that a self-sustaining reaction would be impossible to produce in a pB11 plasma, since the bremsstrahlung power would always exceed the fusion power.<sup>1.2</sup> Fortunately, this assertion was based in part on overly low cross sections,<sup>3</sup> and newer cross section data<sup>4</sup> opened up a narrow window of feasibility for thermonuclear pB11 ignition.<sup>5</sup> However, this ignition window required extremely long confinement times, on the order of 450 s at ion densities of 10<sup>14</sup> cm<sup>-3</sup>.<sup>6</sup>

The thermonuclear power balance analysis assumes that power is transferred collisionally from the fusion-born alpha particles into the various species in the plasma. However, this need not be the case—one can alter the natural flow of energy in the plasma by either colocalizing certain species, or enhancing the energy transfer rate via waves.<sup>7–9</sup> Recent work<sup>6,10</sup> has shown that altering the power balance by transferring more alpha energy directly into fast protons could substantially increase the fusion power and reduce the bremsstrahlung power, reducing the confinement time needed for ignition by a factor of more than 6, to 70 s at ion densities of  $10^{14}$  cm<sup>-3</sup>.

A 70s confinement time is still quite prohibitive. However, this only represents the confinement time needed for a self-sustaining fusion reaction, without external heating. Such a reaction is not strictly necessary for the achievement of a breakeven fusion power plant. Instead, all that is required is that the electrical power output exceed the electrical power input. Indeed, the pB11 literature is characterized by interest in nonthermal fusion schemes with external heating.<sup>11–23</sup>

In this paper, we extend the existing 0D power balance analysis<sup>6</sup> to account for the possibility of external heating, and optimize for the power-plant-relevant metric  $Q_{eng} = (P_{out} - P_{in})/P_{in}$ . This extension allows us to test the impacts of various possible approaches to reducing the energy confinement time required for breakeven, including targeting fast particles for heating, redirecting power from alpha particles into fast protons, more efficiently delivering heating power to the reactor, and using direct conversion (DC) to efficiently recapture power from lost particles.

#### II. REACTOR POWER FLOW AND Q

In this section, we quickly review the power flow model (Fig. 1) from Ref. 6, which is related to that from Ref. 24, and which forms the basis for the analysis in this paper. In the reactor power flow, electrical power input  $P_{\rm in}$  consists both of power used to heat ( $P_{H,e}$ ) and confine ( $P_{C,e}$ ) the plasma. With a conversion efficiency  $\eta_{H}$  this electrical heating

## **Physics of Plasmas**



**FIG. 1.** Reactor power flow, as described in Sec. II. Reactor feasibility requires  $P_{out} > P_{in}$ , which forms the basis for the parameter  $Q_{ang}$ . Different values of heating efficiency  $\eta_{H}$ , bremsstrahlung radiation conversion efficiency  $\eta_{B}$ , and thermal conduction conversion efficiency  $\eta_{L}$  generally result in different values of  $Q_{eng}$ . Figure adapted from Ref. 6.

power is delivered to the plasma as heating power  $P_H = \eta_H P_{H,e}$ . At the same time, the plasma produces some amount of fusion power  $P_F$ . Power exits the plasma through two possible mechanisms: bremsstrahlung radiation  $P_B$  or thermal conduction loss  $P_L$ . In the steady state,

$$P_H + P_F = P_B + P_L. \tag{1}$$

The relationship between these terms is determined by the internal power balance equations. In keeping with past work, we will often write the thermal conduction losses in terms of the confined kinetic energy density  $U_K$  and the energy confinement time  $\tau_E$  as follows:

$$\tau_E \equiv U_K / P_L. \tag{2}$$

At the exhaust, the power that exits the plasma is converted back into electrical power, with generally different efficiencies  $\eta_B$  and  $\eta_L$ for bremsstrahlung and thermal conduction losses, respectively. This results in a final output electrical power  $P_{out} = \eta_B P_B + \eta_L P_L$ . Economical fusion energy requires that  $P_{out}$  exceed  $P_{in}$ . In the limit of the confinement system power going to 0, this condition requires  $Q_{eng} > 0$ , where

$$Q_{\rm eng} \equiv \frac{P_{\rm out} - P_{\rm in}}{P_{\rm in}} = \frac{\eta_H (\eta_B P_B + \eta_L P_L)}{P_H} - 1. \tag{3}$$

In this paper, we will determine the maximum value of  $Q_{eng}$ , which we denote  $Q_{eng}^*$ , which is achievable for given constraints on the various efficiencies  $\eta$ , as well as on internal power balance parameters, discussed in Sec. III.

#### **III. INTERNAL POWER BALANCE**

In this section, we briefly review the internal power balance model from Ref. 6, which the reader should refer to for more details. The power balance equations for fast protons f, thermal protons p, thermal boron b, and thermal electrons e are given by

$$\begin{aligned} \frac{dU_f}{dt} &= -K_{fp}E_f - K_{fb}E_f - K_{fe}E_f \\ &- K_{F,f}E_f + \alpha_f P_\alpha + \chi P_H - \gamma_f P_L, \end{aligned} \tag{4}$$

$$\frac{dU_p}{dt} = K_{fp}E_f + K_{pb}(T_b - T_p) + K_{pe}(T_e - T_p)$$

$$\frac{dr_{b}}{dt} = K_{fb}E_{f} + K_{pb}(T_{p} - T_{b}) + K_{be}(T_{e} - T_{b}) - \frac{3}{2}(K_{F,f} + K_{F,p})T_{b} + \alpha_{b}P_{\alpha} - \gamma_{b}P_{L},$$
(6)



**FIG. 2.** Internal power balance, as described in Sec. III. Different values of alpha power capture efficiency  $\eta_{\chi}$  and fast particle heating  $\chi$  result in different relationships between  $P_{H}$ ,  $P_{F}$ ,  $P_{L}$ , and  $P_{B}$ .

$$\frac{dU_e}{dt} = K_{fe}E_f + K_{pe}(T_p - T_e) + K_{be}(T_b - T_e) - P_B + \alpha_e P_\alpha.$$
(7)

Here, we recognize the heating power  $P_{H}$ , thermal conduction loss power  $P_{L}$ , and bremsstrahlung power  $P_{B}$ .

The various variables are described in detail in Ref. 6. In a quick summary,  $K_{ss'}$  for  $s, s' \in \{f, p, b, e\}$  represents the energy transfer rate between species s and s'.  $K_{F,f}$  and  $K_{F,p}$  represent the fusion rate from fast and thermal protons, respectively.  $\gamma_s$  represents the proportion of thermal conduction losses from species s. The power  $P_{\alpha}$  represents the power flowing through the alphas from both the reactant kinetic energy and the fusion energy ( $\mathcal{E}_F = 8.7$  MeV),

$$P_{\alpha} \equiv \mathcal{E}_F(K_{F,f} + K_{F,p}) + K_{F,f}\left(E_f + \frac{3}{2}T_b\right) + \frac{3}{2}K_{F,p}(T_p + T_b).$$
 (8)

The terms that alter the internal power balance reside in the coefficients  $\chi$  and  $\alpha_s$ . First, we assume that some fraction of the heating power  $\chi$  ends up in the fast protons f, with  $(1 - \chi)$  going to the thermal protons p. Then, we assume that some determined fraction  $\eta_{\alpha}$  of the alpha power can be redirected as heating power into the protons, again apportioned between fast and thermal protons according to  $\chi$ . The remaining alpha particle energy is partitioned between species according to the collisional slowing down on those species, which is captured in the parameter

$$\alpha_{s0} \equiv \left\langle \frac{K_{\alpha s}}{K_{\alpha p} + K_{\alpha b} + K_{\alpha e}} \right\rangle, \quad s \in \{p, b, e\}.$$
(9)

Here, the average is performed appropriately over the hot alpha particle distribution.<sup>6</sup> Thus, the total fraction of alpha particle power going to each species, including both altered power flow and collisional effects, is given by

 $\alpha_{p}$ 

$$\alpha_f = \eta_\alpha \chi, \tag{10}$$

$$\alpha_b = (1 - \eta_\alpha) \alpha_{b0}, \tag{12}$$

$$\alpha_e = (1 - \eta_\alpha) \alpha_{e0}. \tag{13}$$

There are a few changes to this model relative to Ref. 6. First, the bremsstrahlung power is given by 5.6,25,26

$$P_B \approx 7.56 \times 10^{-11} n_e^2 x^{1/2} \left[ Z_{\rm eff} (1 + 1.78 x^{1.34}) + 2.12 x \left( 1 + 1.1 x + x^2 - 1.25 x^{2.5} \right) \right] \text{eV cm}^3 / \text{s}, \qquad (14)$$

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where  $x = T_e/E_{\text{rest}}$ ,  $Z_{\text{eff}} = \sum_i n_i Z_i^2 / \sum_i n_i Z_i$ , and  $E_{\text{rest}} = 5.11 \times 10^5$  eV is the electron rest energy. Note that Ref. 6 missed the  $x^2$  term in the second bracket. As a result, the kinetic enhancement factor for the fusion rate also had to be slightly changed to agree with Ref. 5, from a maximum  $\phi_k(0 \text{ keV}) = 1.16$  to  $\phi_k(0 \text{ keV}) = 1.178$ .

Second, in this paper, we assume that thermal losses in each ion species occur proportionally to that species' internal energy, including for the fast proton species. Thus,

$$\gamma_i \equiv \frac{U_i}{\sum_j U_j}, \quad i, j \in \{f, p, b\},$$
(15)

where  $U_i = \frac{3}{2}n_iT_i$  for  $i \in \{p, b\}$ , while  $U_f = n_fE_f$ .

Finally, unlike in Ref. 6, we do not consider the effect of finite alpha particle density in the reactor. We assume that alpha particles are promptly removed after collisional equilibration, and never represent a substantial fraction of the ions in the reactor.

The overall power flow represented by Eqs. (4)–(7) and (10)–(13), incorporating both collisions and alpha channeling, is schematically represented in Fig. 2.

#### IV. REACTOR PERFORMANCE OPTIMIZATION

To measure reactor performance, our goal is to find the optimal  $Q_{eng}^*$  for given  $\eta_{Ib}$ ,  $\eta_L$ ,  $\eta_B$ ,  $\eta_z$ ,  $\chi$ , and  $\tau_E$ . We perform a constrained optimization over the four variables  $n_p$ ,  $n_b$ ,  $E_f$  and  $P_{Hb}$  with the remaining variables ( $n_e$ ,  $T_p$ ,  $T_b$ , and  $T_e$ ) determined by the power balance Eqs. (4)–(7) and quasineutrality. The optimization is constrained so that  $n_i \equiv n_f + n_p + n_b = 10^{14} \text{ cm}^{-3}$ . The optimization is performed using the trust region algorithm<sup>27</sup> for constrained optimization (trust-constr) implemented as an option into scipy.minimize. Note that in contrast to Ref. 6,  $P_L$  is now a fixed function of the other variables based on the given confinement time.

First, we note that a separate optimization is not required for each value of  $\eta_H$  and  $\eta_B$ , since (a)  $\eta_H$  and  $\eta_B$  do not enter the internal power balance, and (b) from Eq. (3), it is clear that  $Q_{eng}^*$  is maximized for a given value of  $\eta_H$  and  $\eta_B$  when  $(P_B + (\eta_L/\eta_B)P_L)/P_H$  is maximized. Taking  $\bar{\eta}_H$  and  $\bar{\eta}_B$  as the values of  $\eta_H$  and  $\eta_B$  for the simulated case, the value of  $Q_{eng}^*$  for other values is

$$Q_{\text{eng}}^*|_{\eta_H,\eta_B} = \frac{\eta_H \eta_B}{\bar{\eta}_H \bar{\eta}_B} \left( Q_{\text{eng}}^*|_{\bar{\eta}_H,\bar{\eta}_B} + 1 \right) - 1.$$
(16)

Thus, we only need to run separate optimization simulations for different sets of parameters  $\eta_{\alpha}$ ,  $\chi$ ,  $\tau_E$ , and the ratio  $\eta_L/\eta_B$ .

## A. Thermonuclear base case and breakeven confinement time

For the thermonuclear base case, we assume bremsstrahlung and thermal conduction losses are converted into electricity with 64% efficiency, in line with state-of-the-art gas turbine technology. We also assume  $\chi = 0$  and  $\eta_{\alpha} = 0$ , and a base heating efficiency of  $\eta_H = 0.8$  (slightly more optimistic than the  $\eta_H = 0.7$  used in Ref. 24). We can then scan  $Q_{\text{eng}}^*$  as a function of  $\tau_E$  to get a mapping of reactor performance as a function of confinement time (at  $n_i = 10^{14} \text{ cm}^{-5}$ ). This plot is shown in Fig. 3.

The scan of  $Q_{eng}^*$  vs  $\tau_E$  allows us to define an important new quantity: the reactor breakeven confinement time  $\tau_E^{\dagger}$ , at which the electrical power out exceeds the electrical heating power in. This is given



**FIG. 3.** Plot of  $Q_{eng}^{\circ}$  vs  $\tau_E$  for base case of thermonuclear pB11 fusion at an ion density of 10<sup>14</sup> cm<sup>-3</sup>. The reactor breakeven confinement time  $\tau_E^{\dagger}$  at which electrical power out exceeds electrical power in has a value of 11.8 s for this reaction.

by the point at which  $Q_{eng}^*(\tau_E^*) = 0$ , as represented by the circle in Fig. 3. For the thermonuclear base case, this breakeven confinement time is 11.8 s. Note that  $\tau_E^*$ , the reactor breakeven time, is a different (and generally much smaller) quantity than  $\tau_E^*$ , the ignition breakeven time.<sup>6,10</sup>

#### B. Improving on the thermonuclear baseline

With the base case established, we can begin to consider the impact of additional strategies. First, we can consider fast proton heating (FPH), i.e., directing heating power into the beam of high-energy protons. For such a scenario, we take  $\chi = 0.8$ , instead of the base case of  $\chi = 0$ .

Second, we can consider the effect of altering the power flow from alpha particles into protons, which we term alpha power capture (APC). In such a case, we take  $\eta_{\alpha} = 0.8$ , instead of  $\eta_{\alpha} = 0$ . Note that the definitions of  $\eta_{\alpha}$  and  $\chi$  imply that in scenarios with both FPH and APC, the power directly channeled into fast protons from alphas is  $\eta_{\alpha} \chi P_{\alpha} = 0.64 P_{\alpha}$  [see Eq. (10)].

Third, we examine the effect that direct conversion (DC) might play on the power balance. In direct conversion, some fraction of the charged particle power flowing out of the plasma is captured as electrical potential energy, before the remainder is converted to thermal energy. Schemes for this include the Venetian blind<sup>28</sup> or concentric end electrode traps.<sup>13,14</sup> The efficiency of the direct conversion need not be particularly high to significantly improve the overall electrical conversion efficiency  $\eta_L$ , since the total conversion efficiency is

$$\eta_L = \eta_{\rm DC} + (1 - \eta_{\rm DC})\eta_{\rm Th},$$
(17)

where  $\eta_{\rm DC}$  and  $\eta_{\rm Th}$  are the electrical conversion efficiencies from direct conversion and thermal cycles, respectively. So, for instance, if  $\eta_{\rm DC} = 0.6$  and  $\eta_{\rm Th} = \eta_B = 0.64$ , then  $\eta_L = 0.86 \approx \frac{4}{3}\eta_B$ . Thus, we take our direct conversion scenario to be  $\eta_L = \frac{4}{3}\eta_B$ , rather than the base case.

Finally, we can also look at the impact of more efficient heating (EH). To do this, we simply take  $\eta_H = 0.95$  rather than the base case of  $\eta_H = 0.8$ . These various strategies, along with their meanings, mathematical definitions, and comparison to the base thermonuclear scenario, are collected in Table I.

ARTICLE

TABLE I. The meaning of the various strategies for increasing reactor performance in terms of the power balance variables, compared to the variables in the base thermonuclear case.

Symbol	Meaning	Definition	Base
FPH	Fast proton heating	$\chi = 0.8$	$\chi = 0$
APC	Alpha power capture	$\eta_{\alpha} = 0.8$	$\eta_{lpha}=0$
DC	Direct conversion	$\eta_L = \frac{4}{3} \eta_B$	$\eta_L = \eta_B$
EH	Efficient heating	$\eta_H = 0.95$	$\eta_H = 0.8$

In Fig. 4, we see the impact of each individual strategy on the reactor performance  $Q_{eng}^*(\tau_E)$ . All strategies improve the reactor performance, but DC and EH, at the levels given in Table I, have the biggest individual impacts. However, it is also notable that the performance of a combination of FPH and APC is much greater than the sum of the parts, with each individually yielding an increase of  $\Delta Q_{eng}^* \approx 0.1$ , while the combination gives an increase of up to  $\Delta Q_{eng}^* \approx 0.5$ . This is reminiscent of the results of the ignition calculation of Refs. 6 and 10, where transferring alpha particle energy into their required for *ignition* than transferring alpha particle energy into thermal protons.

We can also look at the different strategies in terms of their reduction of the reactor breakeven confinement time  $\tau_E^{\dagger}$ , which are shown in Fig. 5. These show that, as for  $Q_{eng}^*$ , DC and EH have the biggest individual impacts, with  $\Delta \tau_E^{\dagger} \approx -4.2$  s relative to the base case, compared to  $\Delta \tau_E^{\dagger} \approx -1.3$  s for APC and  $\Delta \tau_E^{\dagger} \approx -2$  s for FPH. Again, however, the FPH and APC synergize, so that the combination of both strategies produces a reduction  $\Delta \tau_E^{\dagger} \approx -4.6$  s.

The extreme nonlinearity of the system makes a linear sensitivity analysis rather crude, as can be seen from the fact that the reduction in  $\tau_E^{\dagger}$  from the combination APC + FPH strategy (-4.6 s) is much greater than the sum of the reductions from each individual strategy (-3.3 s). Nevertheless, one can translate the simulation results into a linear model to get a very rough idea of the impact of each term on the reactor performance, simply by dividing the change in  $\tau_E^{\dagger}$  by the change in the parameter that led to it. This gives

$$\tau_E^{\dagger} \sim 11.8 - 1.5 \eta_{\alpha} - 2.4 \chi - 20 (\eta_L - 0.64) - 28 (\eta_H - 0.8).$$
 (18)

It is important to remember that the large coefficients in front of  $\eta_L$ and  $\eta_H$  tend to multiply quantities that can only change by  $\mathcal{O}(0.1)$ , while  $\eta_\alpha$  and  $\chi$  can change by  $\mathcal{O}(1)$ .

#### C. Combination strategies

Section IV B made it clear that using combinations of strategies can produce quite different results from considering the strategies individually. Thus, in this section, we look at how various combinations of the strategies in Table I can impact the reactor performance.

For combinatoric organization, we consider two basic base scenarios: FPH alone, and FPH + APC. To each of these base scenarios, we then add DC, EH, and finally DC + EH. The results are summarized in Fig. 6, with base FPH on the left and FPH + APC on the right, and  $Q^*_{\text{eng}}(\tau_E)$  on the top and  $\tau^+_E$  on the bottom.



**FIG. 4.** Reactor performance  $Q_{ang}^*$  as a function of confinement time  $\tau_E$  for the strategies presented in Table I, as well as for a combination of fast proton heating (FPH) and alpha power capture (APC). While FPH and APC each produce a modest performance increase individually, the combination strategy is greater than the sum of the parts.

Some general trends are clearly visible from the plots. First, regardless of DC or EH, the combination of FPH + APC leads to about a 30% reduction in  $\tau_E^+$  relative to FPH alone. Second, DC and EH each individually reduce  $\tau_E^+$  by around 40%, and in combination lower  $\tau_E^+$  by around 80%. These results suggest that the synergy observed between FPH and APC is somewhat unique in the parameter space, while other effects stack more straightforwardly. As a net result of using all the strategies, the energy confinement time  $\tau_E^+$  can be reduced by almost an order of magnitude relative to the thermonuclear base case, i.e., from 11.8 to 1.4 s.

It is important to note while the ion density is fixed at  $10^{14}$  cm<sup>-3</sup>, the optimization results can be extrapolated to other densities. This extrapolation is possible because most terms in the power balance scale as  $n_i^2$ , with the exception of the thermal conduction loss rate that scales as  $n_i/\tau_E$ , meaning that the Lawson product  $n_i\tau_E$  is approximately independent of the density. Thus, at a new set ion density  $n'_i$ , the new breakeven confinement time will be given by  $\tau_E^{\dagger \prime} = \tau_E^{\dagger}(n_i/n'_i)$ ; i.e., a more dense plasma will require a correspondingly lower energy



**FIG. 5.** Reactor breakeven confinement time  $\tau_E^1$  (see Fig. 3) for different scenarios from Table I. DC and EH have the greatest individual impacts, but the combination of FPH and APC is also more powerful than either strategy individually.

## **Physics of Plasmas**

## ARTICLE



FIG. 6. Reactor performance  $Q_{eng}^*$  (top) and reactor breakeven time  $\tau_E^{\dagger}$  (bottom) for combinations of the strategies in Table I. On the left FPH is the base case, and DC and EH are added, while on the right FPH + APC is the base case. Generally, FPH + APC leads to approximately a 30% reduction in  $\tau_E^{\dagger}$  relative to FPH alone. DC and EH each provide around a 40% reduction individually, which stack straightforwardly, producing an 80% reduction in combination. Use of all four strategies reduces the breakeven confinement time by almost an order of magnitude, from 11.8 s in the thermonuclear base case to 1.4 s.

confinement time for breakeven. This linear agreement only breaks at very substantially different density regimes, where the effect of the density on the Coulomb logarithm in the collision terms begins to significantly alter the power balance.<sup>6</sup>

#### V. OPTIMAL REACTOR PARAMETER SPACE

In addition to considering the values of  $Q_{eng}^*$  and  $\tau_E^+$  that result from the optimization, it is also informative to consider what the optimal reactor parameter space looks like. We focus on the scenarios covered in Figs. 4 and 5 to explore the different features of each strategy. For compactness, the results for all parameters are presented together in the grid in Fig. 7, which shows the optimal (for  $Q_{eng}^*$ ) densities and temperatures (or energies) of each species as a function of  $\tau_{E_2}$  as well as the corresponding fast proton fusion power  $P_{E,f}$ , thermal proton fusion power  $P_{E,p}$ , bremsstrahlung power  $P_{B}$ , and heating power  $P_H$ . Results are not shown for EH, since the parameters in this case are the same as for the thermonuclear base case, following the discussion at the beginning of Sec. IV.

Several trends are apparent from the figure. First, for the thermonuclear base case, as  $\tau_E$  increases, the optimal boron fraction falls from 60% to just over 20%. It is notable that these boron fractions are substantially higher than the common mix of 15% boron and 85% protons, which is characteristic of optimizing for ignition.<sup>5,6</sup> This trend of falling boron proportion with increasing confinement time persists across all fusion strategies.

Second, for the thermonuclear base case, as  $\tau_E$  increases, the temperature of the protons falls and the temperature of boron and electrons increases, as less heating power (which goes into protons) is

required to maintain the reaction and less energy is lost. This trend holds across all the cases that have only a thermal proton population; however, it does *not* hold for the fast proton heating cases. For these cases, above a certain value of  $\tau_E$ , the optimal value of  $T_p$  actually plunges, and most of the fusion power is provided by the fast particle beam: in fact, the low- $\tau_E$  optimal mix is ~ 25% fast protons and ~ 70% boron, with only ~ 5% thermal protons. As  $\tau_E$  grows even larger, the optimal thermal proton fraction and temperature both rise, so that, eventually, in the reactor breakeven scenarios, comparable fusion power is produced by thermal and fast protons.

Third, one might have expected DC to be optimized at the lowest electron temperatures, since for DC one desires power to come out as thermal conduction loss (with an electrical conversion efficiency of 85%) rather than bremsstrahlung (with an electrical conversion efficiency of 64%), and bremsstrahlung power scales roughly as  $T_e^{1/2}$ . However, the electron temperatures are in fact the highest for the DC case. Nevertheless, the bremsstrahlung power is lowest for the DC case as expected, which is accomplished by lowering the fraction of high-Z boron.

Fourth, one might note that for cases with FPH, the optimal fast proton energy  $E_f$  bounces around a bit as a function of  $\tau_E$ . It is important here to note that given the broad scan, for the code to run, we did not require machine-precision optimality from the solved equilibrium. Instead, we required only that it be near the optimum, as encoded in the gtol and xtol parameters, which were chosen such that the optimal point should lie within around 10 keV of the discovered solution. Since the optimum value of the fast ion energy does not change very much, this variation is visible in the final plot.

## **Physics of Plasmas**

## ARTICLE



FIG. 7. Optimal reactor parameters as a function of  $\tau_E$  for the single-scenario runs from Table I, as well as for the combination strategy of FPH + APC. Parameters other than  $Q_{eng}^*$  are not shown for EH, since they are the same as for the base thermonuclear case as they do not enter the internal power balance. In the first column are the densities for fast protons, thermal protons, and boron, as well as  $Q_{eng}^*$  (which is repeated from Fig. 4 for ease of reference). In the second column are the fast proton energy, and the temperatures of thermal protons, boron, and electrons. In the third column are the fusion power from fast protons, fusion power from thermal protons, bremsstrahlung power, and heating power.

## VI. SUMMARY AND CONCLUSION

In this paper, we have examined the 0D power balance of a nonigniting pB11 reactor. For the thermonuclear base case with state-ofthe-art thermal conversion, we showed that (before considering the power required to sustain the confinement system) a Lawson product of  $n_i \tau_E \approx 1.2 \times 10^{15}$  was required for power plant breakeven, a factor-of-40 improvement over the required product for ignition. For a typical magnetic confinement fusion density of  $n_i \sim 10^{14}$ , this implies

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## ARTICLE

a 12s confinement time, rather than a 450s energy confinement time for an igniting thermonuclear reactor. Thus, non-igniting pB11 "wet wood burner"-type reactors, with substantial recirculating power, are much more easy to envision than the ignited reactors that are typically the target of DT fusion research.

However, 12 s is still a formidable energy confinement time, and so we have examined how several strategies, including fast proton heating (FPH), alpha power capture (APC), direct conversion (DC), and efficient heating (EH) might improve reactor feasibility for pB11 fusion. Each of these strategies offered significant improvements to the confinement time, as roughly mapped out in Eq. (18), with FPH and APC working particularly well in tandem. A combination of all four strategies reduced the confinement time by almost an order of magnitude, to 1.4 s at an ion density of  $10^{14}$  cm<sup>-3</sup>, bringing it below the target energy confinement time (6 s) at the same ion density for established DT fusion experiments such as ITER.<sup>29</sup> Of course, the temperatures required for such reactions are still substantially higher than for DT, but overall the results suggest that pB11 fusion is not as distant as has often been thought and is worthy of a significant research effort given the many safety and regulatory benefits relative to DT. Thus, understanding and harnessing the strategies presented here should play an important role in bringing economical aneutronic fusion closer to reality.

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### AUTHOR DECLARATIONS

#### Conflict of Interest

The authors have no conflicts to disclose.

#### Author Contributions

Ian E. Ochs: Conceptualization (equal); Formal analysis (lead); Funding acquisition (equal); Investigation (lead); Software (lead); Visualization (lead); Writing - original draft (lead). Nathaniel J. Fisch: Conceptualization (equal); Funding acquisition (equal); Writing review & editing (supporting).

#### DATA AVAILABILITY

Data sharing is not applicable to this article as no new data were created or analyzed in this study.

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