

# INTRODUCTION TO GYROKINETIC AND FLUID SIMULATIONS OF PLASMA TURBULENCE AND OPPORTUNITIES FOR ADVANCED FUSION SIMULATIONS

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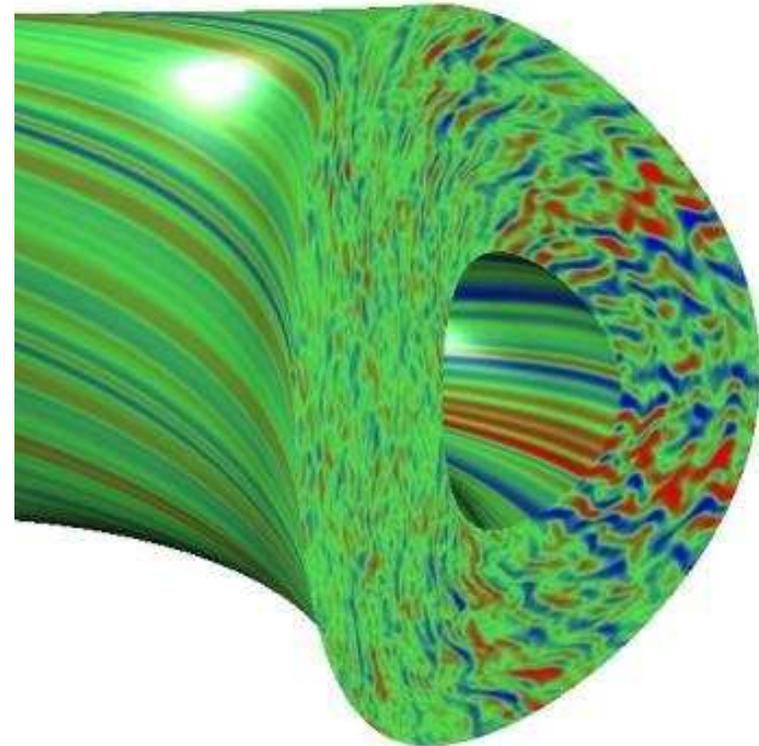
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Thanks to Bill Nevins &  
the Plasma Microturbulence Project  
for many vugraphs. In particular see:

<http://www.isofs.info/nevins.pdf>

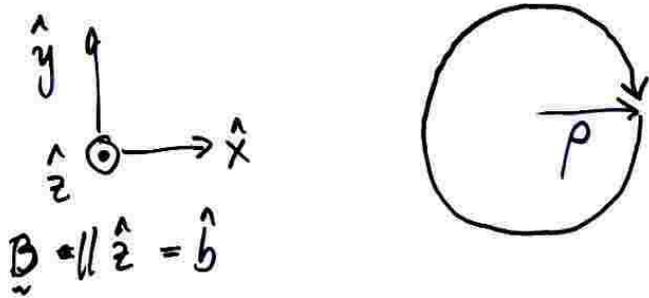
<http://fusion.gat.com/theory/pmp>

and others working on Braginskii  
2-fluid simulations of edge turbulence.  
(Collabs. at Univ. Alberta, UCLA,  
UCI, Univ. Colorado, Dartmouth, Garching,  
General Atomics, LLNL, Univ. Maryland,  
MIT, Princeton PPPL, Univ. Texas)



## Fundamental Particle Motion in Magnetic & Electric Fields

$$m \frac{d\mathbf{v}}{dt} = q \frac{\mathbf{v} \times \mathbf{B}}{c}$$



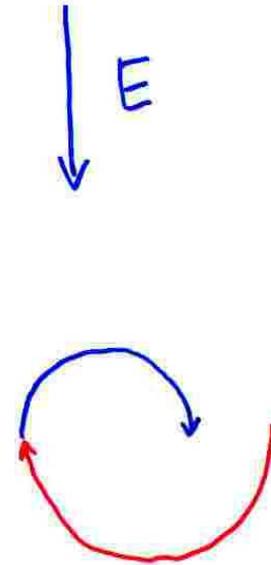
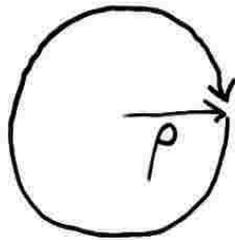
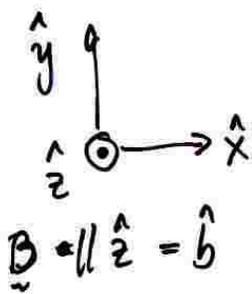
$$\text{Gyrofrequency } \Omega = \frac{qB}{mc}$$

$$\Omega_e \sim 10^{11} \text{ Hz}, \quad \Omega_i \sim 10^8 \text{ Hz}$$

$$\text{Gyroradius } \rho = \frac{v_{\perp}}{\Omega}$$

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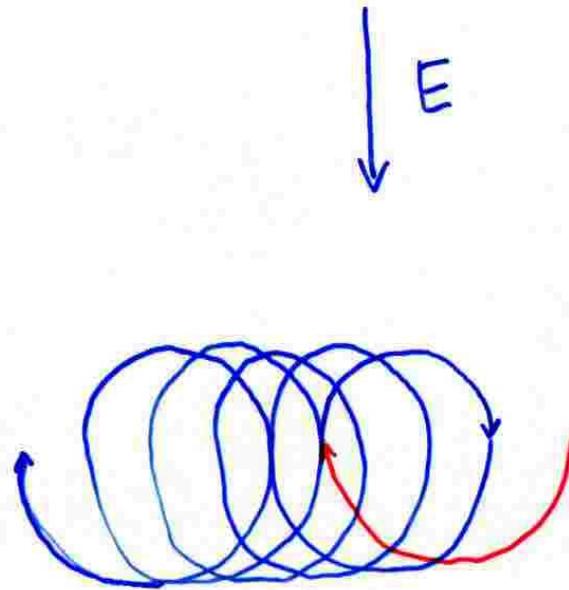
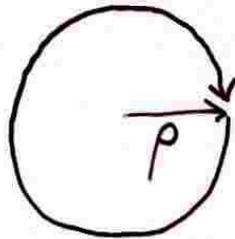
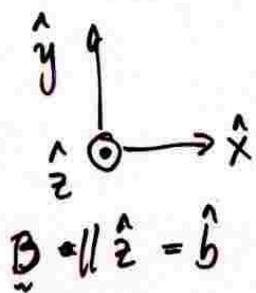
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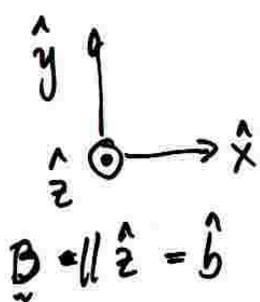
Gyroradius  $\rho = \frac{v_{\perp}}{\Omega}$

Drift  $\underline{v}_d$

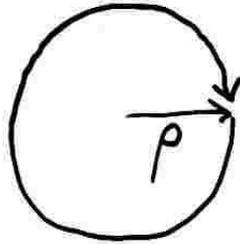
$$= \underline{v}_{E \times B} = \frac{c}{B} \underline{E} \times \hat{b}$$

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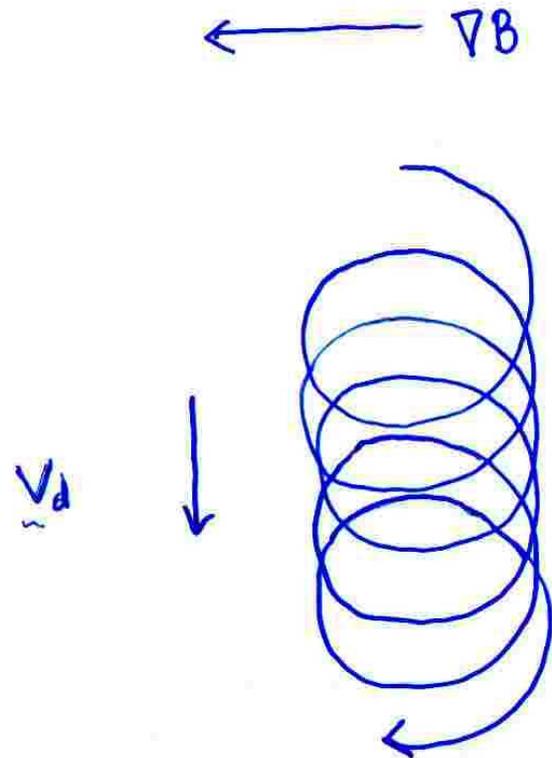


$$\mathbf{B} = B \hat{z} = b$$



Gyrofrequency  $\Omega = \frac{qB}{mc}$   
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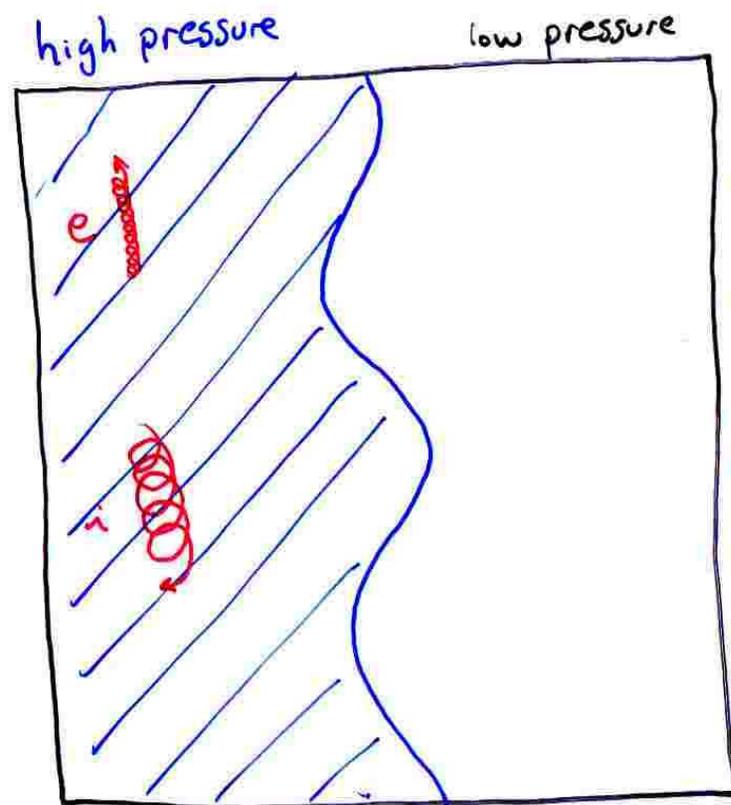
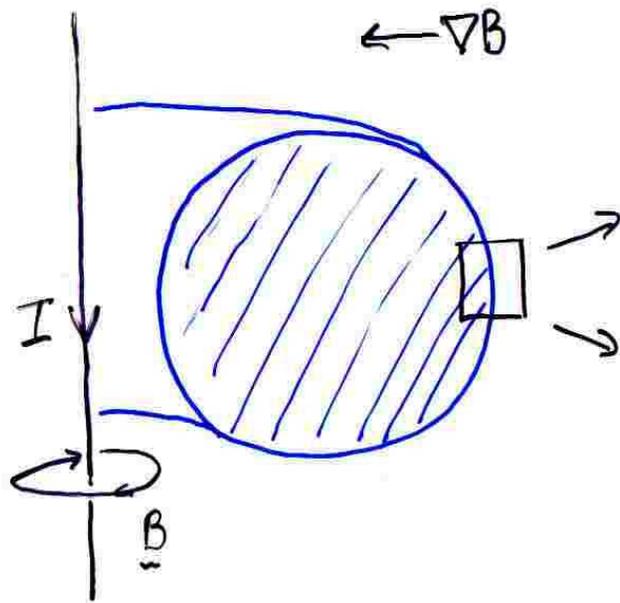


$$\mathbf{v}_d = \frac{v_{\perp}^2}{2\Omega} \hat{b} \times \nabla \ln B$$

"curvature drift" similar

# Qualitative Physical Picture of "Bad Curvature"

Instabilities (ITG, TEM, ETG, Drift waves, MHD ballooning...)





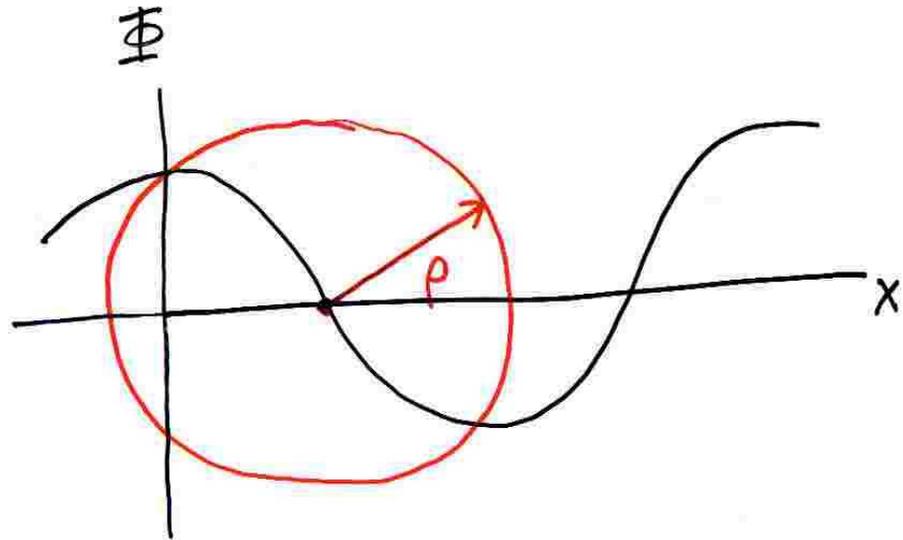


If Electric Field is not uniform, Gyroaverage

$$\underline{E} = -\nabla\Phi$$

$$\underline{v}_{EXB} = \frac{c}{B} \hat{b} \times \nabla \langle \Phi \rangle$$

$$\begin{aligned} \langle \Phi \rangle(x) &= \frac{1}{2\pi} \oint d\varphi \Phi(x+\varphi) \\ &= J_0(k_{\perp} \rho) \Phi \end{aligned}$$



# A complete description of a plasma

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is given by the particle distribution function  $F_s(\vec{x}, \vec{v}, t)$ , the density of particles at (near) position  $\vec{x}$  with velocity  $\vec{v}$  and time  $t$ , for species  $s$  (with charge  $q_s$  and mass  $m_s$ ).

The charge density and current needed for Maxwell's equations to determine the electric and magnetic fields is then:

$$\sigma(\vec{x}, t) = \sum_s q_s \int d^3v F_s(\vec{x}, \vec{v}, t) \qquad \vec{j}(\vec{x}, t) = \sum_s q_s \int d^3v \vec{v} F_s(\vec{x}, \vec{v}, t)$$

$F_s$  is determined by the Vlasov-Boltzmann equation

$$\frac{\partial F}{\partial t} + \vec{v} \cdot \frac{\partial F}{\partial \vec{x}} + \frac{q_s}{m_s} \left( \vec{E} + \frac{\vec{v} \times \vec{B}}{c} \right) \cdot \frac{\partial F}{\partial \vec{v}} = \text{Collisions} + \text{sources} + \text{sinks} \approx 0$$

where sources + sinks includes radiation cooling of electrons, ionization and recombination changes of ion charge state, etc.

# Equivalent particle approach

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**Discrete particle density representation (combined with smoothing and “particle-in-cell” techniques):**

$$F_s = \sum_{i=1,N} w_i(t) \delta(\vec{x} - \vec{x}_i(t)) \delta(\vec{v} - \vec{v}_i(t))$$

$$\frac{d\vec{x}_i}{dt} = \vec{v}_i \qquad \frac{d\vec{v}_i}{dt} = \frac{q_i}{m_i} \left( \vec{E}(\vec{x}_i, t) + \frac{\vec{v}_i \times \vec{B}(\vec{x}_i, t)}{c} \right)$$

**plus Monte Carlo treatment of collisions, sources and sinks.**

**Both “continuum”  $F$  and particle descriptions are equivalent (in the limit of a large number of particles, typical fusion particle density  $\sim 10^{14}/\text{cm}^3$ ) and are “Exact”, but both include an excessive range of time and space scales.**

**Most plasma phenomena of interest are slow compared to the electron and ion gyrofrequencies ( $\sim 10^{11}$  Hz and  $\sim 10^8$  Hz).**

Vlasov, Boltzmann, Liouville Eq:

Particle Distribution

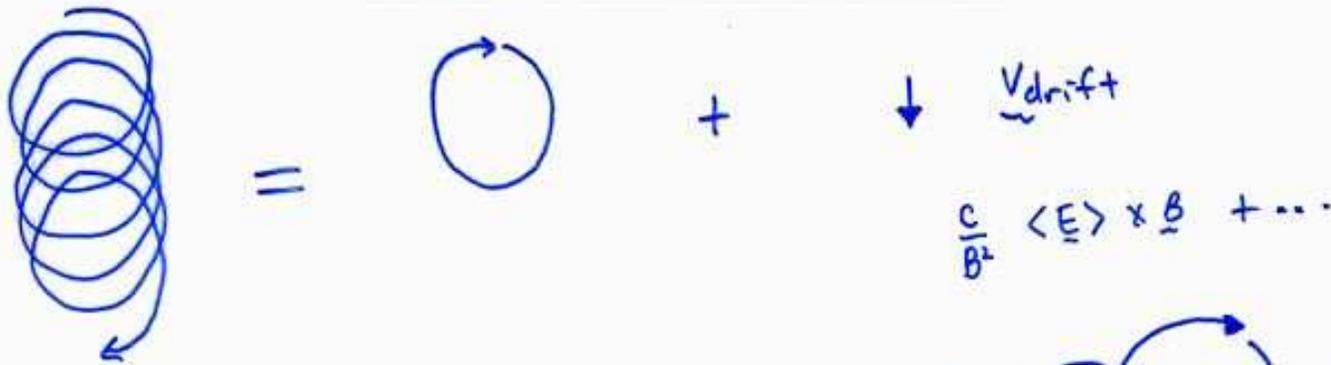
$$\frac{\partial f(\underline{x}, \underline{v}, t)}{\partial t} + \underline{v} \cdot \frac{\partial f}{\partial \underline{x}} + \frac{q}{m} \left( \underline{E} + \frac{\underline{v} \times \underline{B}}{c} \right) \cdot \frac{\partial f}{\partial \underline{v}} = C(f)$$

Nonlinear,  $\underline{E}$  &  $\underline{B}$  depend on  $f$  through Maxwell's Eqs.

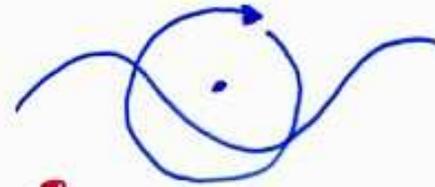
Nonlinear Gyrokinetic Eq. 1982-88

(Frieman & Chen, W.W. Lee, Dubin, Krommes, Hahm, Brizard...)

linear gyrokinetics  
1960's & 70's.



Possible to eliminate fast gyrofrequency  $\Phi$   
time scales & retain nonlinear dynamics  
&  $k_{\perp} \rho_i \sim 1$



# The Nonlinear Gyrokinetic Equation

**Guiding center distribution function**  $F_s(\vec{x}, \vec{v}, t) = F_{0s}(\psi, W) + F_{0s}(\psi, W)q_s\tilde{\phi}/T_s + \tilde{h}_s(\vec{x}, W, \mu, t) = \text{equilibrium} + \text{fluctuating components}$ , where the energy  $W = mv_{\parallel}^2 + \mu B$ , the first adiabatic invariant  $\mu = mv_{\perp}^2/B$ , and

$$\frac{\partial \tilde{h}_s}{\partial t} + (\tilde{v}_{\chi} + v_{\parallel} \hat{b} + \vec{v}_d) \cdot \nabla \tilde{h}_s = -\tilde{v}_{\chi} \cdot \nabla F_{0s} - q_s \frac{\partial F_{0s}}{\partial W} \frac{\partial \tilde{\chi}}{\partial t} + \text{Collisions} + \text{Sources} + \text{Sink}$$

where  $\hat{b}$  points in the direction of the equilibrium magnetic field,  $\vec{v}_d$  is the curvature and grad B drift,  $\Omega_s$  is the gyrofrequency, and the ExB drift is combined with transport along perturbed magnetic fields lines and the perturbed  $\nabla B$  drift as:

$$\tilde{v}_{\chi} = \frac{c}{B} \hat{b} \times \nabla \tilde{\chi} \quad \tilde{\chi} = J_0(\gamma) \left( \tilde{\phi} - \frac{v_{\parallel}}{c} \tilde{A}_{\parallel} \right) + \frac{J_1(\gamma)}{\gamma} \frac{mv_{\perp}^2}{e} \frac{\tilde{B}_{\parallel}}{B}$$

$J_0$  &  $J_1$  are Bessel functions with  $\gamma = k_{\perp} v_{\perp} / \Omega_s$ , and the fields are from

$$0 \approx 4\pi \sum_s q_s \int d^3v \left[ q_s \tilde{\phi} \frac{\partial F_{0s}}{\partial W} + J_0(\gamma) \tilde{h}_s \right]$$

$$\nabla^2 \tilde{A}_{\parallel} = -\frac{4\pi}{c} \sum_s q_s \int d^3v v_{\parallel} J_0(\gamma) \tilde{h}_s$$

$$\frac{\tilde{B}_{\parallel}}{B} = -\frac{4\pi}{B^2} \sum_s \int d^3v m v_{\perp}^2 \frac{J_1(\gamma)}{\gamma} \tilde{h}_s$$

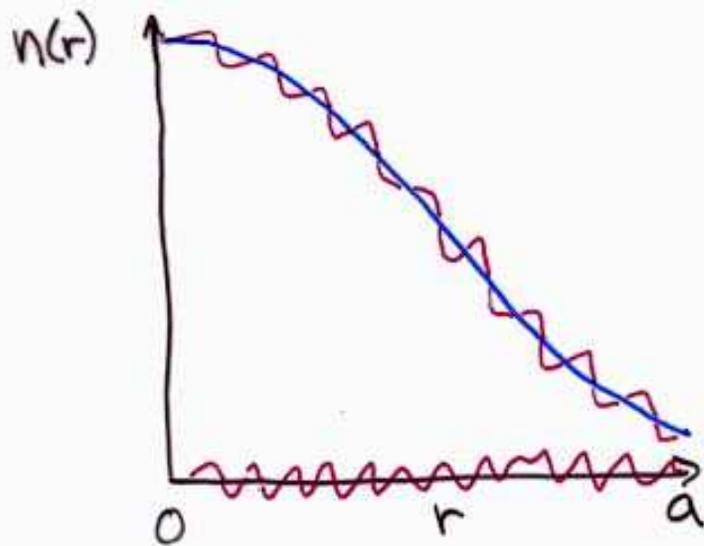
$$\vec{\tilde{E}} = -\nabla\tilde{\phi} - \frac{1}{c}\frac{\partial\tilde{A}_{\parallel}}{\partial t}\hat{b}$$

$$\vec{B} = \vec{B}_0 + \nabla\tilde{A}_{\parallel} \times \hat{b} + \tilde{B}_{\parallel}\hat{b}$$

**In a full-torus simulation where plasma variations must be kept**

$$J_0(k_{\perp}v_{\perp}/\Omega_s)\phi \rightarrow \langle\phi\rangle(\vec{x}) = \frac{1}{2\pi} \int d\vec{\rho}\phi(\vec{x} + \vec{\rho})$$

## Microinstabilities are small-amplitude but still nonlinear



$$n = n_0(r) + \tilde{n}(\underline{x}, t)$$

$$n_0 \gg \tilde{n}$$

$$\text{but } \nabla n_0 \sim \nabla \tilde{n}$$

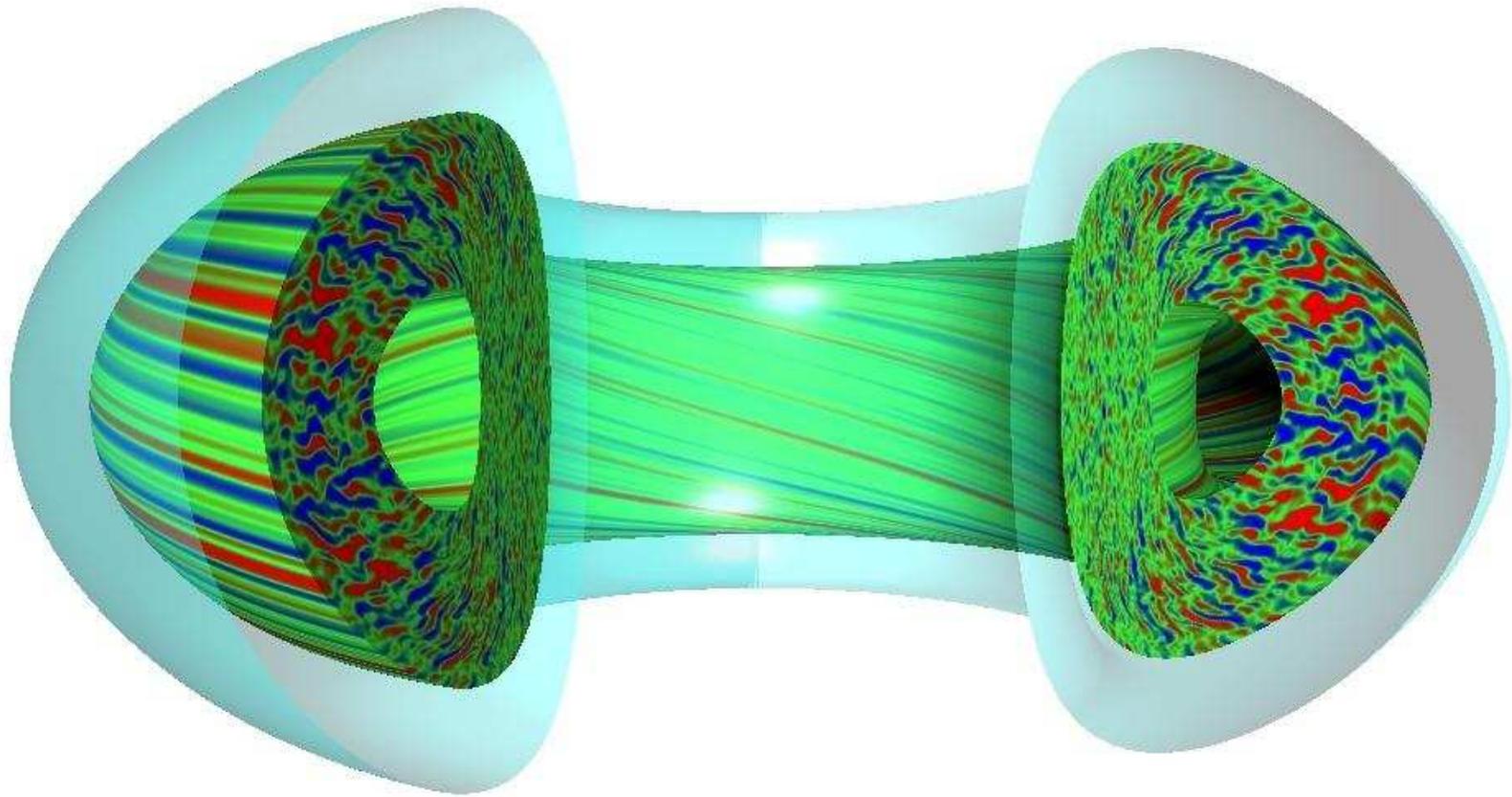


Can locally flatten  
or reverse total gradient  
that was driving instability.

\* Turbulence causes loss of plasma to the wall,  
but confinement still  $\times 10^5$  better than without  $\underline{B}$ .

$$\text{If no } \underline{B}, \text{ loss time } \sim \frac{a}{v_t} \sim 1 \text{ } \mu\text{sec}$$

$$\text{with } \underline{B}, \text{ expts. measure } \sim 0.1 - 1.0 \text{ sec.}$$

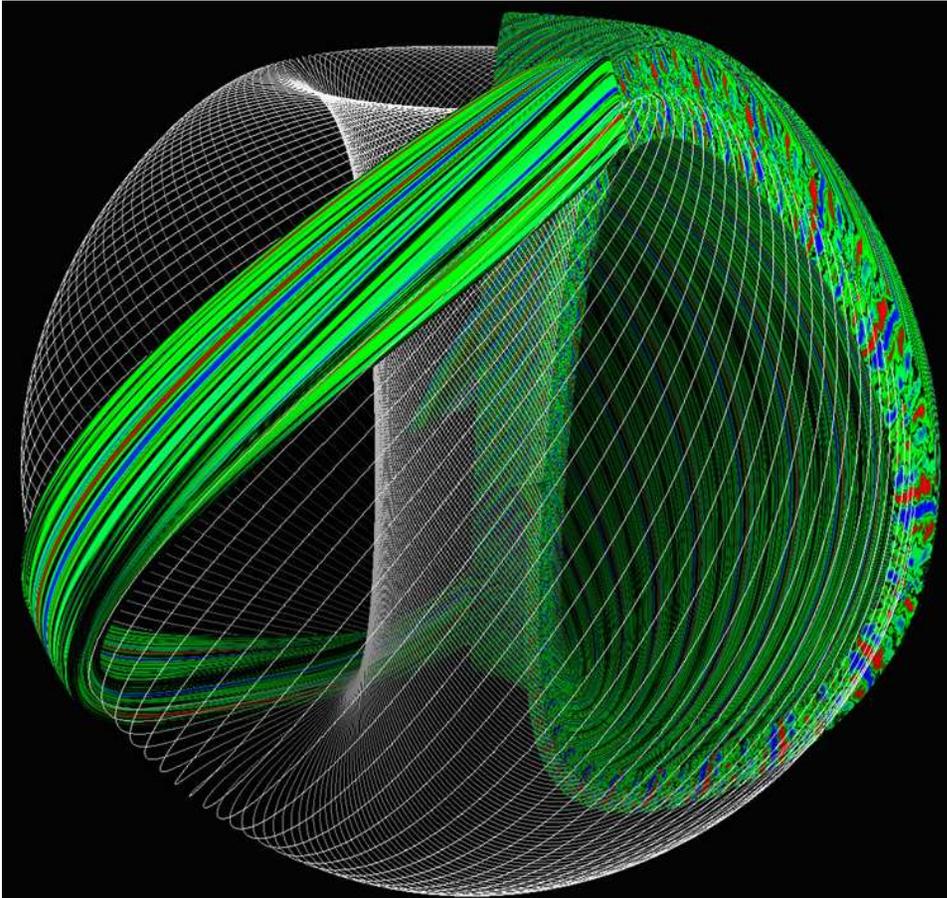


**Candy/Waltz movies available at:**

[http://web.gat.com/comp/parallel/gyro\\_gallery.html](http://web.gat.com/comp/parallel/gyro_gallery.html)

**and other movies can be found from various links starting at:**

<http://fusion.gat.com/theory/pmp>



**The Plasma Microturbulence Project supports a 2x2 matrix of codes (geometry x algorithm), each type of code is tuned to optimize in various regimes and so are optimized to study certain types of problems.**

**Codes using flux-tube geometry (shown here) take advantage of short decorrelation lengths of the turbulence perpendicular to magnetic field lines. Multiple copies of a flux-tube pasted together represent a toroidal annulus.**

# We Support a 2x2 Matrix of Plasma Turbulence Simulation Codes

	Continuum	PIC
Flux Tube	GS2	SUMMIT
Global	GYRO	GTC

- Why both Continuum and Particle-in-Cell (PIC)?
  - Cross-check on algorithms
  - Continuum currently most developed (already has kinetic  $e$ 's ,  $\delta B_{\perp}$ )
  - PIC may ultimately be more efficient
- If we can do Global simulations, why bother with Flux Tubes?
  - Electron-scale ( $\rho_e$ ,  $\delta_e = c/\omega_{pe}$ ) physics (ETG modes, etc.)
  - Turbulence on multiple space scales (ITG+TEM, TEM+ETG, ITG+TEM+ETG, ...)
  - Efficient parameter scans

# Current 'state-of-the-art'

(similar performance achieved in Continuum codes)

## Spatial Resolution

- Plasma turbulence is quasi-2-D
    - Resolution requirement along B-field determined by equilibrium structure
    - Resolution across B-field determined by microstructure of the turbulence.
  - ⇒  $\sim 64 \times (a/\rho_i)^2 \sim 2 \times 10^8$  grid points to simulate ion-scale turbulence at burning-plasma scale in a global code
  - Require  $\sim 8$  particles / spatial grid point
  - ⇒  $\sim 1.6 \times 10^9$  particles for global ion-turbulence simulation at ignition scale
  - $\sim 600$  bytes/particle
  - ⇒ 1 terabyte of RAM
- ⇒ This resolution is achievable

(Such simulations have been performed, see T.S. Hahm, Z. Lin, APS/DPP 2001)

- Simulations including electrons and  $\delta B$  (short space & time scales) are not yet practical at the burning-plasma scale with a global code

## Temporal Resolution

- Studies of turbulent fluctuations
    - Characteristic turbulence time-scale  
⇒  $c_s/a \sim 1 \mu\text{s}$  (10 time steps)
    - Correlation time  $\gg$  oscillation period  
⇒  $\tau_c \sim 100 \times c_s/a \sim 100 \mu\text{s}$   
( $10^3$  time steps)
    - Many  $\tau_c$ 's required  
⇒  $T_{\text{simulation}} \sim \text{few ms}$   
( $5 \times 10^4$  time steps)
    - $4 \times 10^{-9}$  sec/particle-timestep  
(this has been achieved)
    - ⇒  $\sim 90$  hours of IBM-SP time/run
- ☛ Heroic (but within our time allocation)

# Major Computational and Applied Mathematical Challenges

- **Continuum kernels** solve an advection/diffusion equation on a 5-D grid
  - Linear algebra and sparse matrix solves (LAPAC, UMFPAC, BLAS)
  - Distributed array redistribution algorithms (we have developed or own)
- **Particle-in-Cell kernels** advance particles in a 5-D phase space
  - Efficient “gather/scatter” algorithms which avoid cache conflicts and provide random access to field quantities on 3-D grid
- **Continuum and Particle-in-Cell kernels** perform elliptic solves on 3-D grids (often mixing Fourier techniques with direct numerical solves)
- **Other Issues:**
  - Portability between computational platforms
  - Characterizing and improving computational efficiency
  - Distributed code development
  - Expanding our user base

<b>Continuum / Eulerian Codes</b>		<b>Particle-in-Cell/Lagrangian Codes</b>	
<b>Flux-tube / thin-annulus</b>	<b>Full-torus or thin annulus</b>	<b>Flux-tube</b>	<b>Full-torus</b>
<b>All now use field-line following coordinate systems, <math>\Delta x_{\perp}/\Delta x_{\parallel} \sim \rho_i/L \sim 10^{-1}-10^{-3}</math></b>			
<b>GS2 (Dorland, U. Md., Kotschenreuther)</b>	<b>Gyro (Candy-Waltz GA)</b>	<b>Summit (LLNL, U. Co, UCLA)</b>	<b>GTC (Z. Lin et.al. PPPL, UCI)</b>
<b><math>\perp</math> Pseudo-spectral linear &amp; nonlinear. <math>\parallel</math> 2<sup>cd</sup> order finite-diff. (slight upwind)</b>	<b>Toroidal pseudo-spectral 5<sup>th</sup>-6<sup>th</sup> order upwind <math>\tau</math> grid to avoid <math>1/v_{\parallel}</math> collisions w/ direct sparse solver (UMFPACK)</b>	<b>Delta-f algorithm reduces particle noise. Recent hybrid electron algorithm: fluid with kinetic electron closure.</b>	
<b>Linear: fully implicit (elegant algorithm) Nonlinear: 2<sup>cd</sup> order Adams-Bashforth</b>	<b>High accuracy explicit 4<sup>th</sup> order Runge-Kutta</b>	<b>Leap-frog / Predictor-corrector</b>	
<b>Elliptic solvers easy in Fourier space</b>	<b>Elliptic solvers with non-uniform coefficients solved by combination of Fourier, iterative, and direct matrix solution</b>		

**Fast time scales hiding in E & B fields: is there a partially-implicit iterative algorithm that can help?**

# Recommendations (I)

## Strengthening PMP Support to Integrated Modeling

- (1) Improve the fidelity and performance of Plasma Microturbulence Project codes
- (2) Validate these codes against experiment
- (3) Expand the user base of the PMP codes
- (4) Initiate the development of a kinetic edge turbulence simulation code.

# CORE TURBULENT TRANSPORT STILL IMPORTANT

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- Provides most of temperature gradient: 20 keV center → 1-4 keV near-edge. Effects of shaping, density peakedness, rotation, impurities,  $T_i/T_e$ ?
- Detailed experimental comparisons possible, fluctuation diagnostics.
- Are internal transport barriers possible at reactor scales?  $P_{threshold}$ ? Torque? Controllable?
- Electron-scale transport controls advanced reactor performance?

# BUT EDGE TURBULENCE CRITICAL

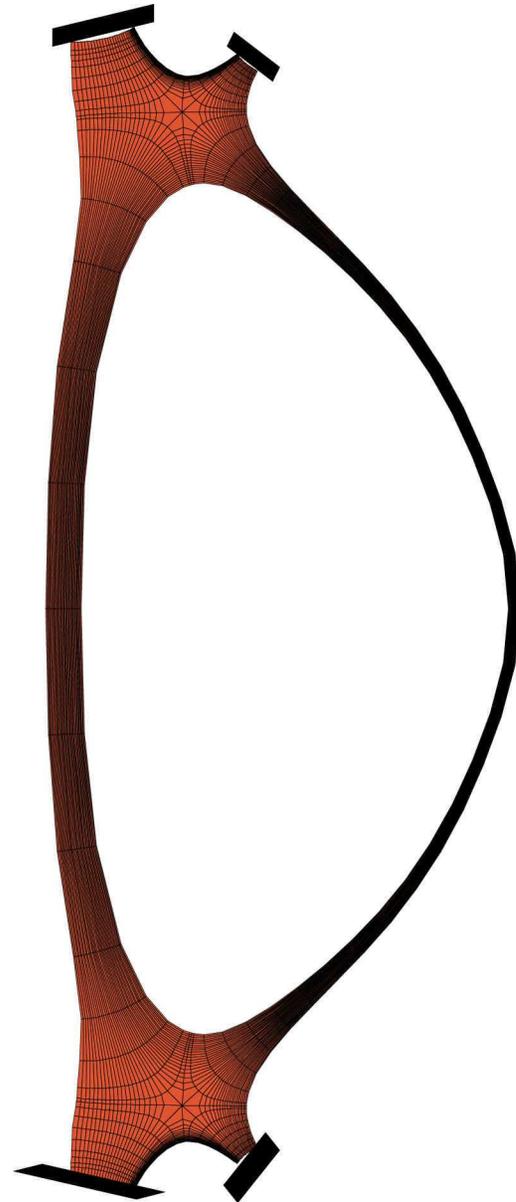
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- H-mode pedestal (edge transport barrier) greatest source of uncertainty for reactor predictions.
- Will divertor melt/erode? Need ELM simulation.
- Edge very complicated: Separatrix & divertor geometry matters. Bootstrap current important, second stability regime. Half of power radiated, intense neutral recycling.
- High and low collisionality regimes. Present edge codes are collisional fluids, need kinetic extensions.

# 3-D Fluid Simulations of Plasma Edge Turbulence

## BOUT (X.Q. Xu, )

- Braginskii — collisional, two fluid electromagnetic equations
  - Realistic  $\times$ -point geometry (open and closed flux surfaces)
  - BOUT is being applied to DIII-D, C-Mod, NSTX, ...
  - There is LOTS of edge fluctuation data!
- ⇒ An Excellent opportunity for code validation



**More info:**

**Plasma Microturbulence Project (PMP):**

<http://fusion.gat.com/theory/pmp>

**Nevins presentation on PMP to ISOFS May 2002:**

<http://www.isofo.info/nevins.pdf>

**GS2 (Dorland Univ. Md.):**

<http://gk.umd.edu/GS2/info.html>

**Useful 2-page gyrokinetic summary:**

[http://gk.umd.edu/GS2/gs2\\_back.ps](http://gk.umd.edu/GS2/gs2_back.ps)

**GTC (Lin PPPL UCI):**

<http://w3.pppl.gov/~zlin/visualization/>

**Gyro (Candy/Waltz GA):**

<http://web.gat.com/comp/parallel/gyro.html>

**Summit (LLNL/UCLA/U. Co.):**

<http://www.nersc.gov/scidac/summit>