

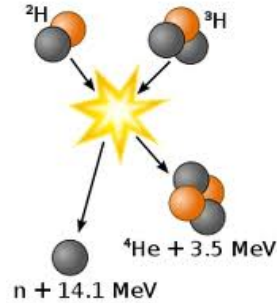
# How do chaotic magnetic fields confine plasma equilibria?

*Stuart R. Hudson*

*Princeton Plasma Physics Laboratory*

# Grand Challenge of Magnetic Plasma Confinement is to create sustainable energy

- Need to confine a **hot, dense** plasma (ionized gas) for a **long time**

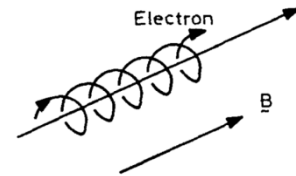


## We need to confine the plasma in a stable equilibrium.

$$\mathbf{F} = q (\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

- Macroscopic force balance = Lorentz force balances gas pressure

*simplest equilibrium equation is* 
$$\nabla p = \mathbf{j} \times \mathbf{B}$$



## The calculation of the equilibrium is fundamental.

Both particle transport studies, and stability calculations, depend on the equilibrium calculation

- pointless to follow *microscopic* particle trajectories if the *macroscopic* forces are not balanced
- to determine the stability of an equilibrium, first the equilibrium state must be known

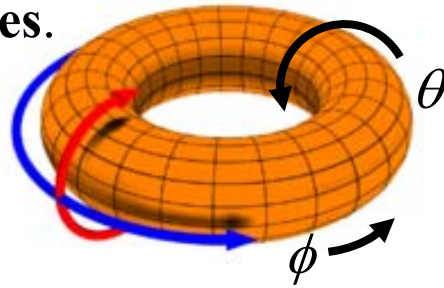
- **many plasma disruptions etc. are caused by the lack of a stable, equilibrium state**
- **experimental design “begins” with an equilibrium calculation**

# Axisymmetric toroidal fields have “nested” flux-surfaces, and flux surfaces are good for confinement

- Because (1) there are no magnetic monopoles, (2) and the “hairy” ball theorem, (3) Noether’s theorem, **in toroidal, axisymmetric fields (i.e. an idealized tokamak), the field lines wrap around on nested, magnetic flux surfaces.**

each surface characterized by frequency  $\omega \equiv \frac{\Delta\theta}{\Delta\phi}$

if  $\omega$  is **rational**, i.e.  $\omega = n/m$ , the field line is “closed”, i.e. “periodic”  
 if  $\omega$  is **irrational**, the field line will come arbitrarily close to every point on the surface



- This is great for confinement → the field lines lie on surfaces  
 → the particles are tied to the field lines  
 → the pressure is constant on flux surfaces,  $p=p(s)$

- $\nabla p = \mathbf{j} \times \mathbf{B}$  is easy to solve because the equilibrium is smooth

if  $\frac{\partial}{\partial\phi} = 0$ , i.e. two-dimensional, then  $\nabla p = \mathbf{j} \times \mathbf{B}$  reduces to the Grad-Shafranov equation  
 (1) calculate equilibrium (2) determine stability (3) study particle transport

# But, non-axisymmetric (3D) perturbations introduce chaos

- the smooth, continuously-nested family of flux-surfaces is “*broken*”
- the equilibrium is greatly complicated, becomes the equilibrium becomes fractal

# With increasing non-axisymmetry, the flux surfaces become increasingly “broken”

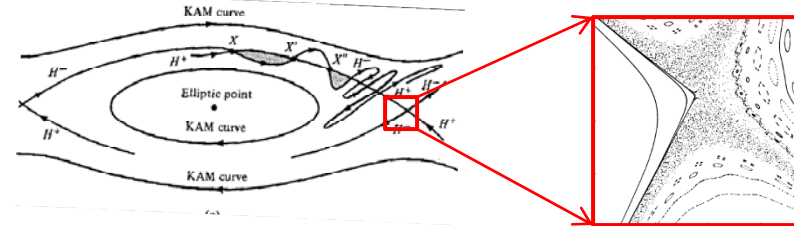
- Invariant flux surfaces are destroyed near “resonances”,  $\omega = n / m$ ,  $n, m$  are integers  
construction of action-angle coordinates for perturbed system fails because of “small-denominators”

- Magnetic islands (resonance zones) form  
chaotic, “irregular” field lines emerge,  
that wander seemingly randomly over a volume

- Confinement deteriorates,  
**the pressure is flat inside islands and chaos**

- The calculation of three-dimensional  
partially-chaotic equilibria must

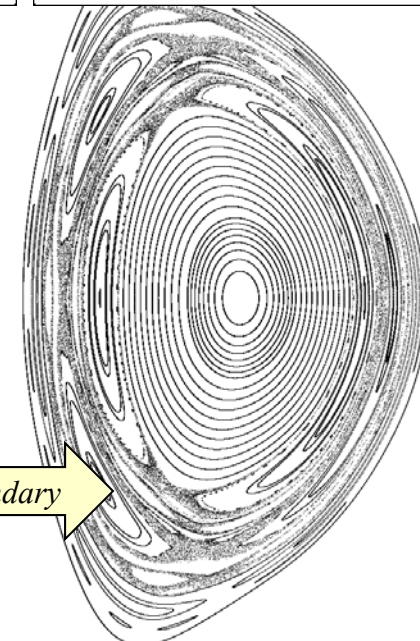
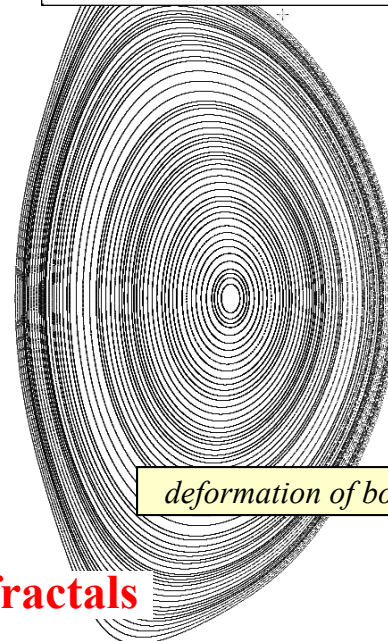
- 1) Be consistent with theoretical plasma physics
- 2) Be consistent with experimental results
- 3) **Be consistent with Hamiltonian chaos theory**
- 4) **employ numerical methods that accommodate fractals**



separatrix splitting, unstable manifold, “chaotic tangle”

*Poincaré Plot of DIIID*  
axisymmetric

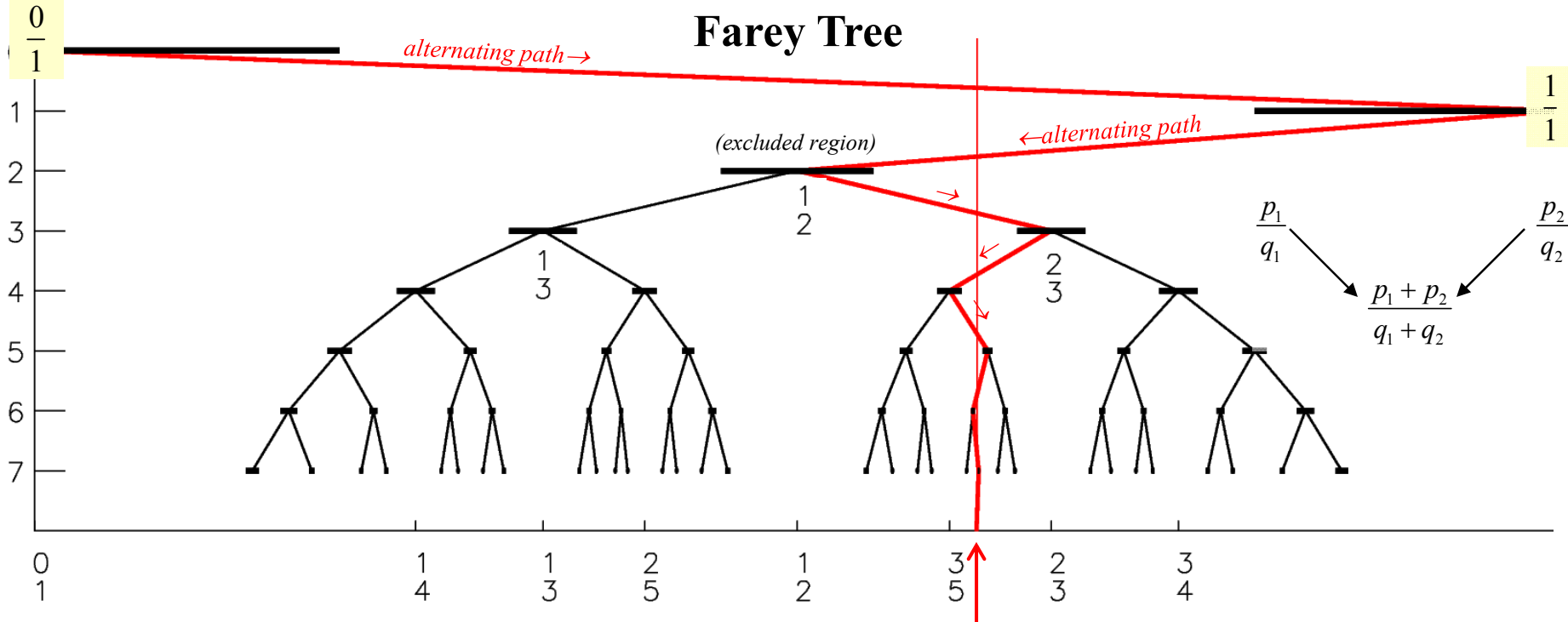
*Poincaré Plot of DIIID*  
non-axisymmetric



deformation of boundary

# WHERE TO START?     START WITH CHAOS

## The fractal structure of chaos is related to the structure of numbers



islands & chaos emerge at every rational

→ about each rational  $n/m$ , introduce excluded region, width  $r/m^k$

### **KAM Theorem**

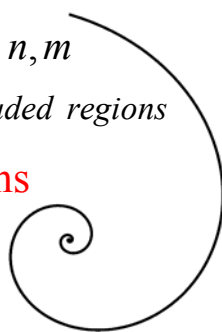
→ flux surface can survive if  $|\omega - n/m| > r/m^k$ , for all  $n, m$

(Kolmogorov, Arnold, Moser)

we say that  $\omega$  is "strongly-irrational" if  $\omega$  avoids all excluded regions

Greene's residue criterion → the most robust flux surfaces are associated with alternating paths

→ Fibonacci ratios  $\frac{0}{1}, \frac{1}{1}, \frac{1}{2}, \frac{2}{3}, \frac{3}{5}, \frac{5}{8}, \frac{8}{13}, \frac{13}{21}, \frac{21}{34}, \dots$



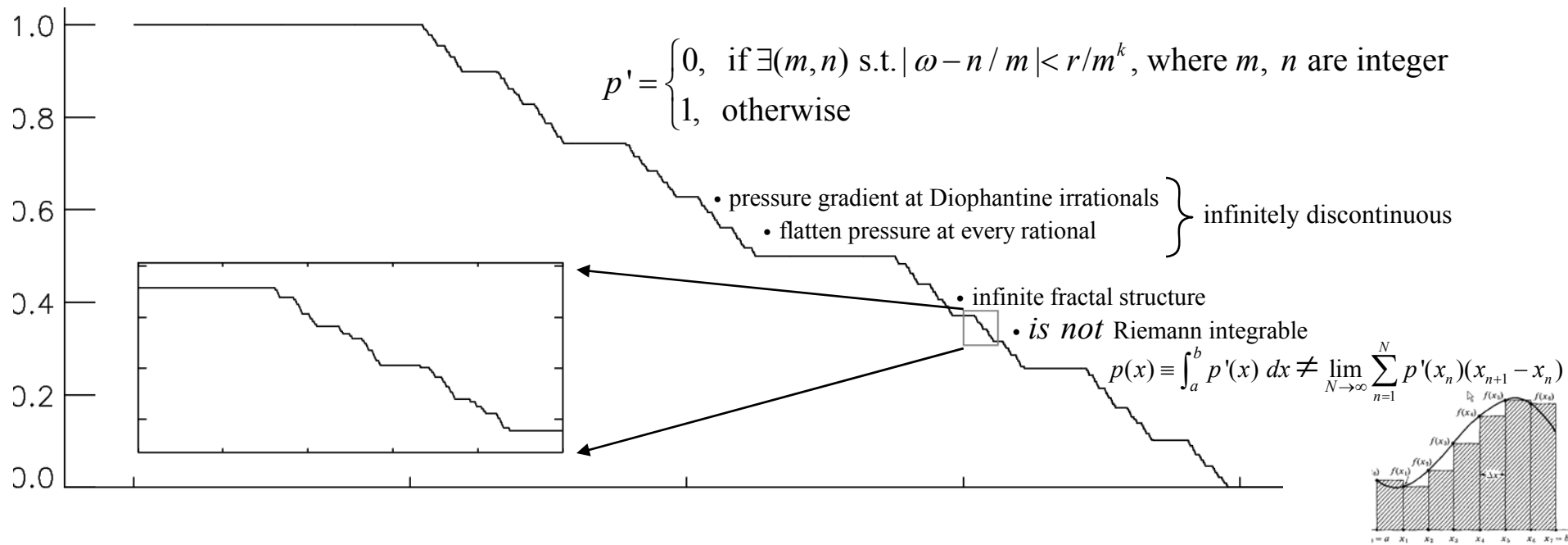
# THEN, ADD PLASMA PHYSICS

## Force balance means the pressure is a “fractal staircase”

- $\nabla p = \mathbf{j} \times \mathbf{B}$ , implies that  $\mathbf{B} \cdot \nabla p = 0$  i.e. pressure is constant along a field line
- Pressure is flat across the rationals  
 → islands and chaos at every rational → chaotic field lines wander about over a volume  
 (assuming no “pressure” source inside the islands)
- Pressure gradients supported on the “most-irrational” irrationals  
 → surviving “KAM” flux surfaces confine particles and pressure

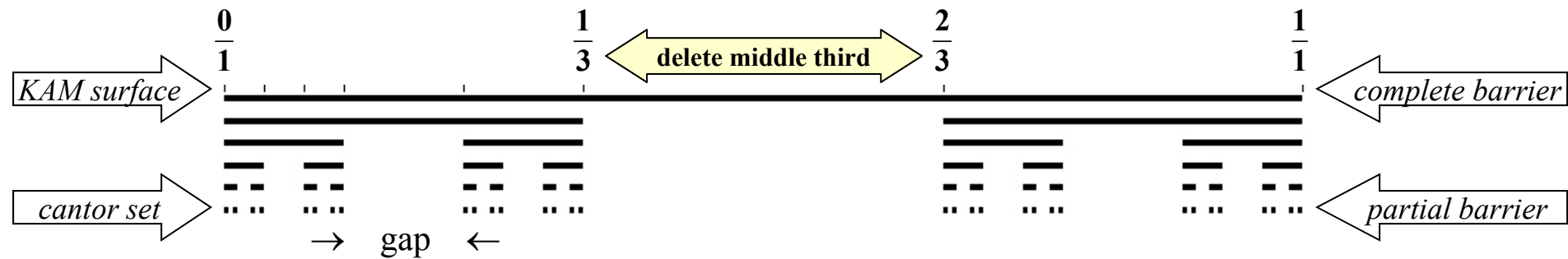
### Diophantine Pressure Profile

is it pathological?



# Q) How do non-integrable fields confine field lines?

## A) Field line transport is restricted by KAM surfaces and cantori

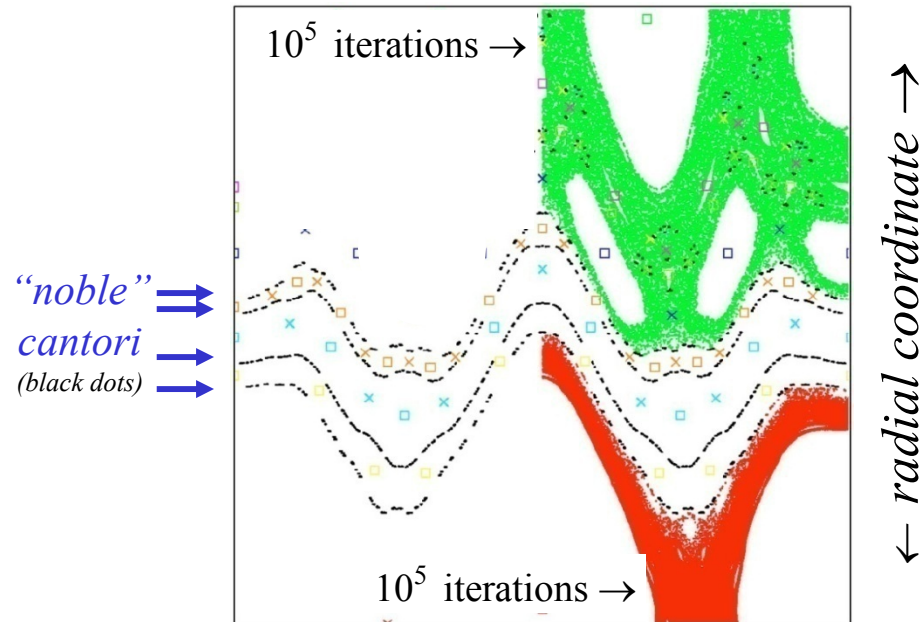


→ KAM surfaces are closed, toroidal surfaces;  
and **stop** radial field line transport

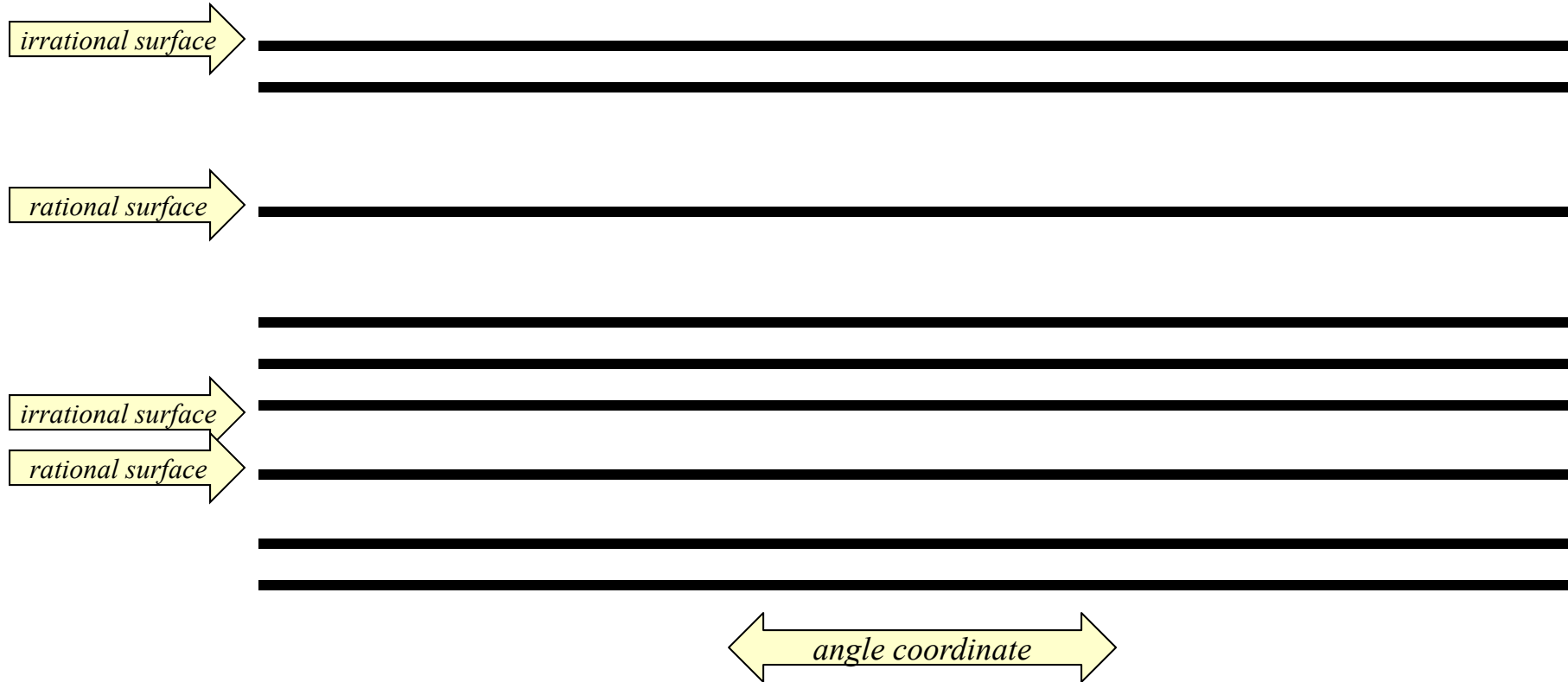
→ Cantori have many holes,  
but still **cantori can severely "slow down"**  
radial field line transport

→ Example, all flux surfaces destroyed by chaos,  
but even after **100 000 transits** around torus  
the field lines **cannot get past cantori**

Calculation of cantori for Hamiltonian flows  
S.R. Hudson, Physical Review E 74:056203, 2006



# Simplified Diagram of the structure of integrable fields, → showing continuous family of invariant surfaces



**Action-angle coordinates can be constructed for “integrable” fields**

- the “action” coordinate coincides with the invariant surfaces
- dynamics then appears simple

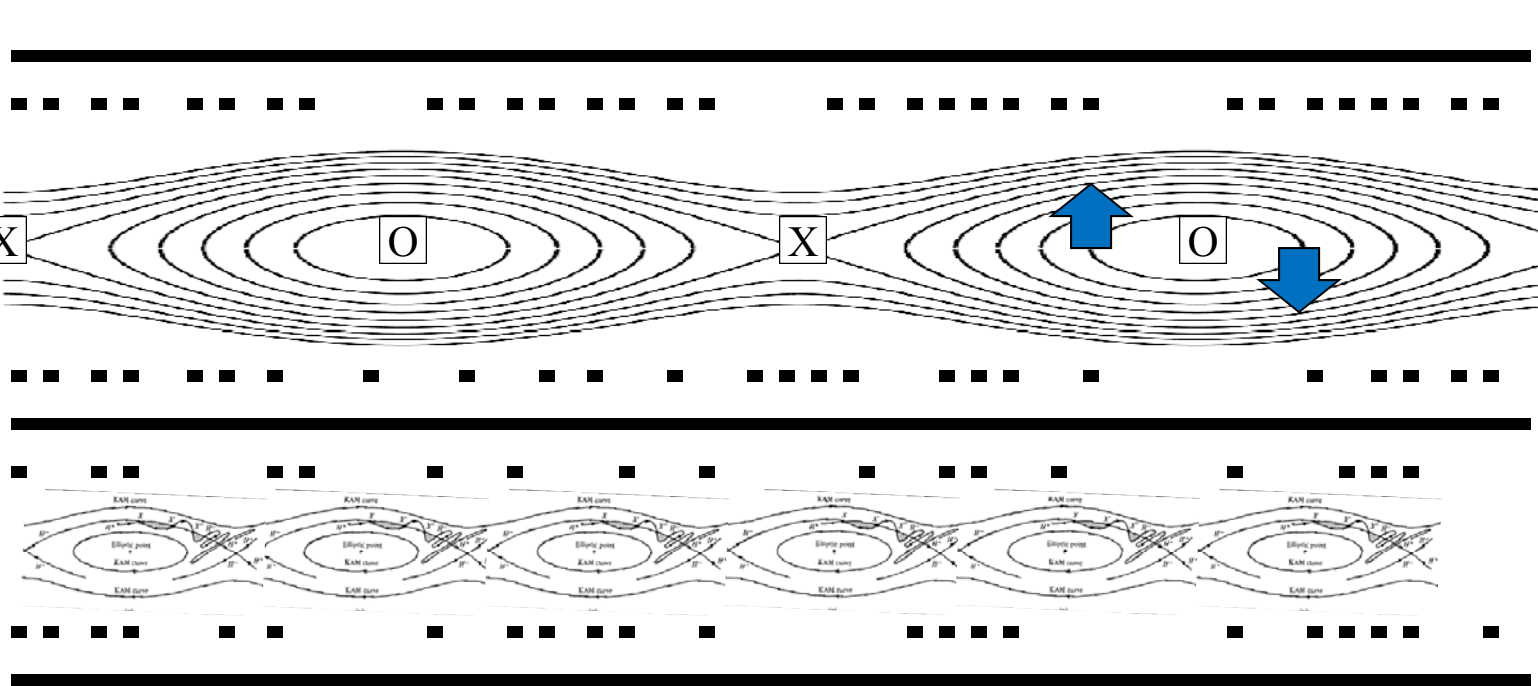


# Simplified Diagram of the structure of non-integrable fields, → showing the fractal hierarchy of invariant sets

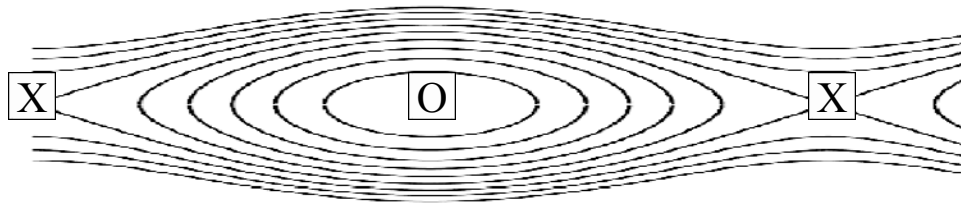
*KAM surface*

*periodic orbits*

*cantorus*  
*island chain*

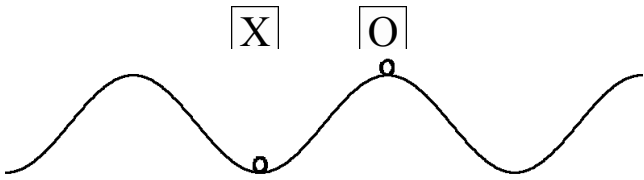
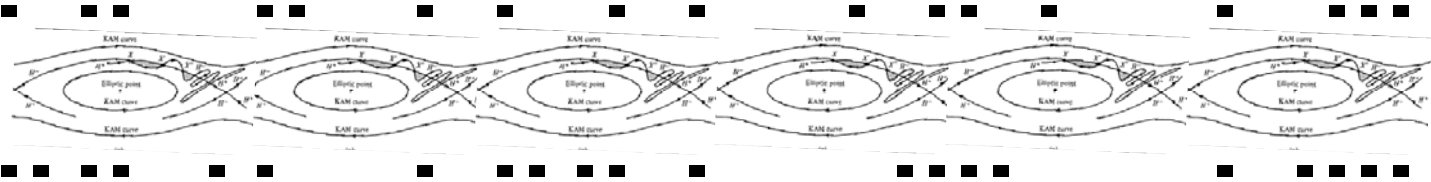


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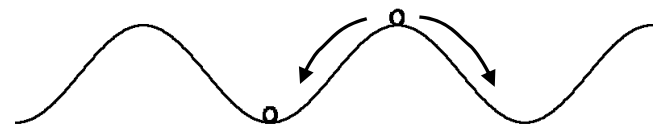
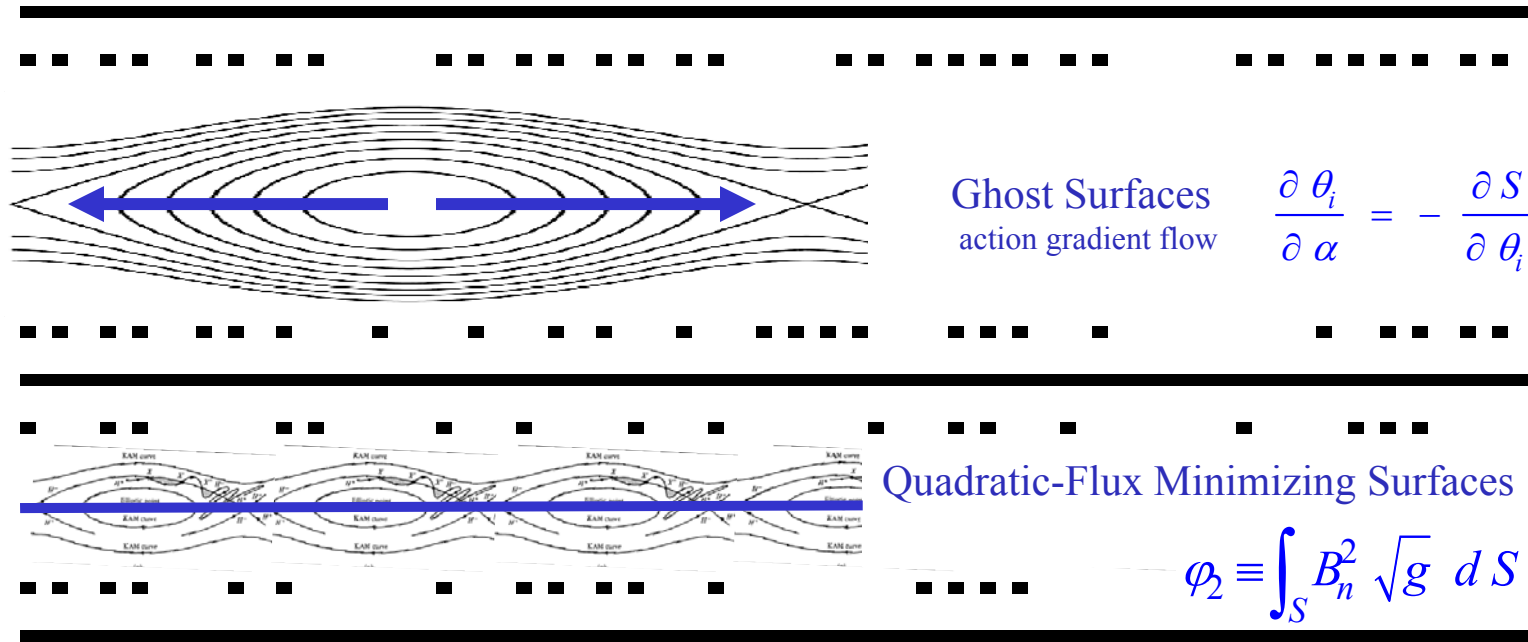


“O” point is a *saddle* of the action  
 “X” point is a *minimum* of the action

$$S[\alpha(\phi)] = \int A(\theta, \dot{\theta}, \phi) \cdot d\mathbf{l}$$



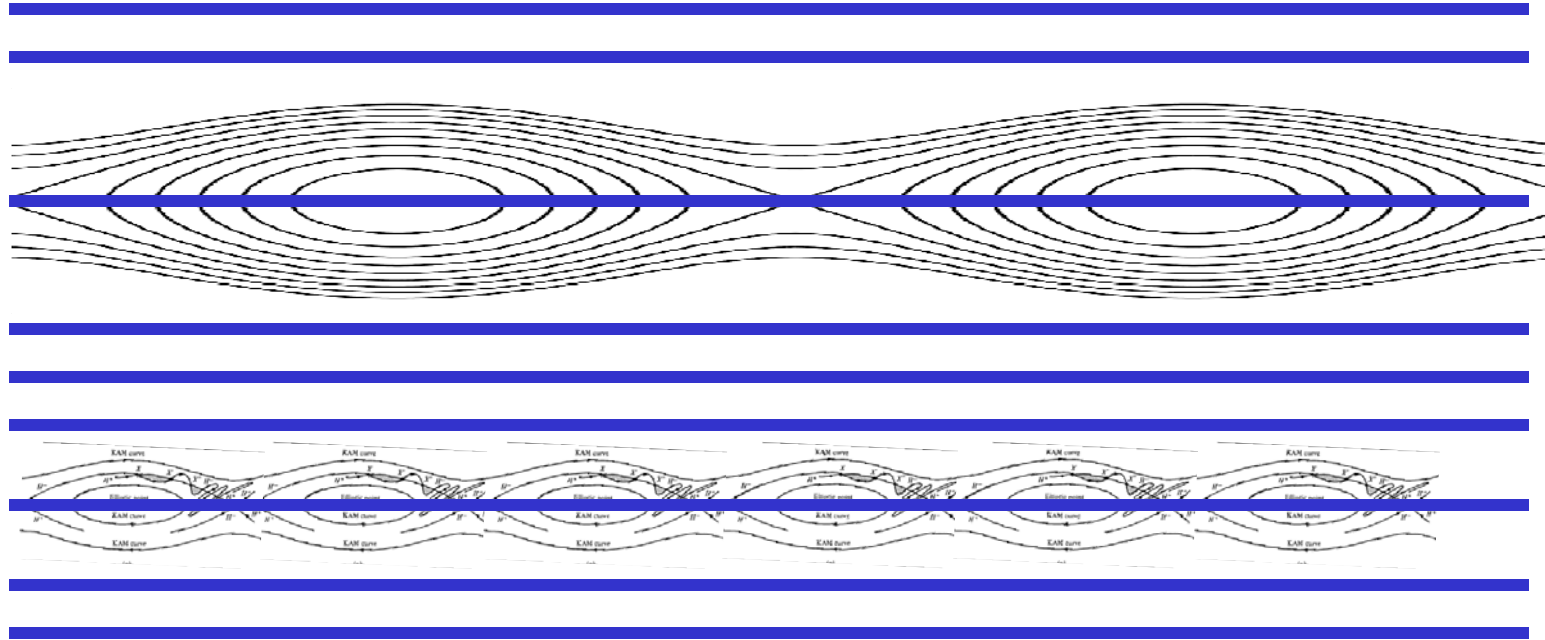
# Simplified Diagram of the structure of non-integrable fields, → showing the fractal hierarchy of invariant sets



**Are ghost-surfaces quadratic-flux minimizing?**  
 S.R. Hudson & R.L. Dewar, Physics Letters A 373:4409, 2009

**Unified theory of Ghost and Quadratic-Flux-Minimizing Surfaces**  
 R.L. Dewar, S.R. Hudson & A.M. Gibson  
 Journal of Plasma and Fusion Research SERIES, 9:487, 2010

# Simplified Diagram of the structure of non-integrable fields, → showing the fractal hierarchy of invariant sets

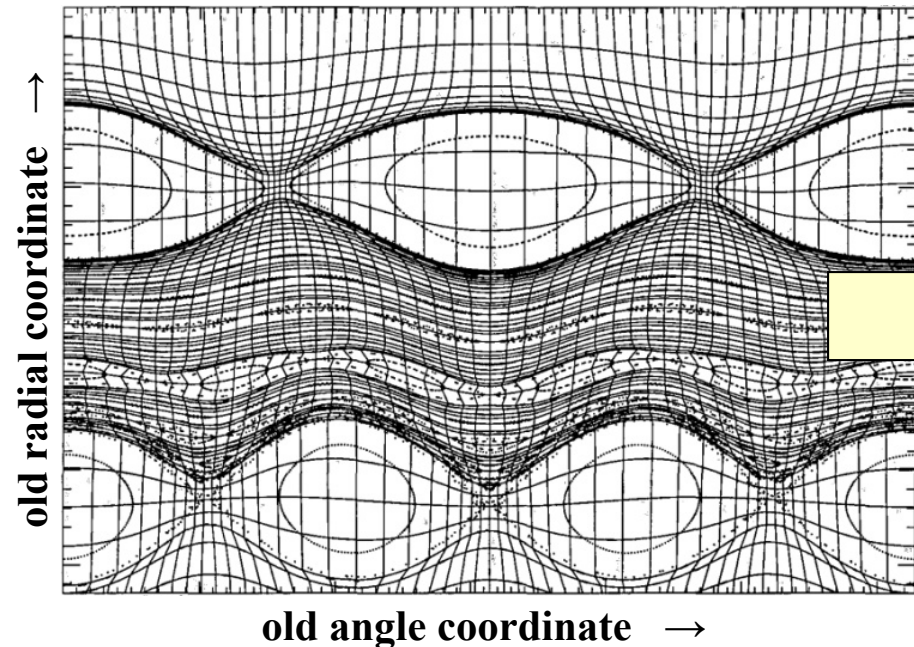


## Chaotic coordinates can be constructed

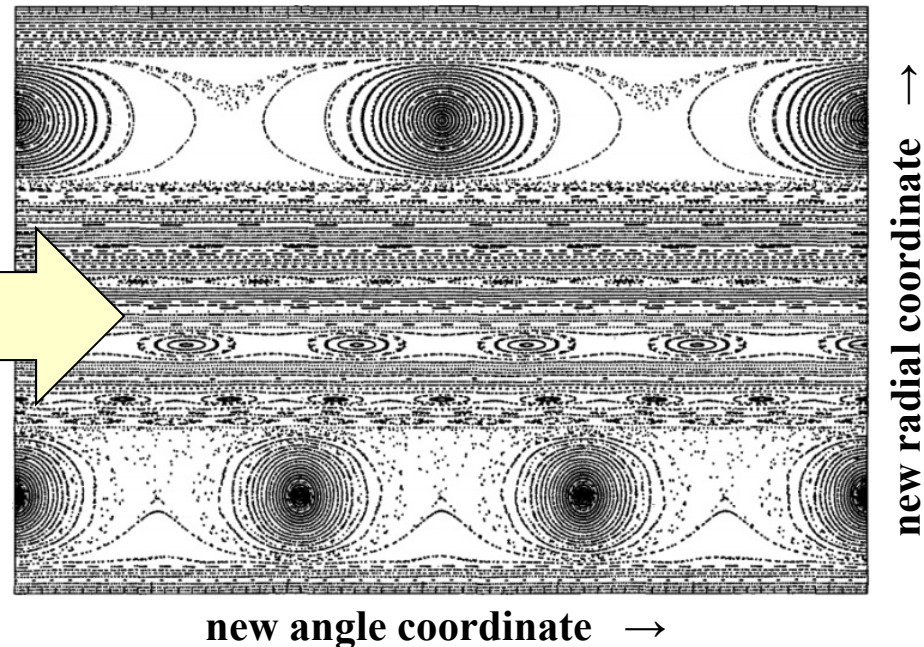
- coordinate surfaces are adapted to the fractal hierarchy of remaining invariant sets
- ghost surfaces  $\equiv$  quadratic-flux minimizing surfaces are “almost-invariant”
- dynamics appears “almost-simple”

# Chaotic coordinates “straighten out” chaos

Poincaré plot of chaotic field  
(in action-angle coordinates of unperturbed field)



Poincaré plot of chaotic field  
in chaotic coordinates



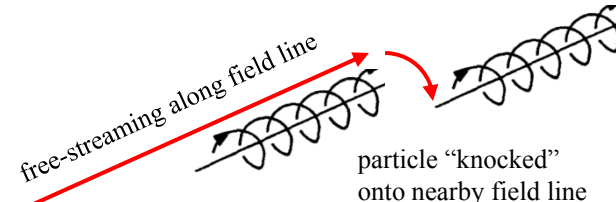
phase-space is partitioned into (1) regular (“irrational”) regions  
and (2) irregular (“rational”) regions

with “good flux surfaces”, temperature gradients  
with islands and chaos, flat profiles

# Chaotic coordinates simplify anisotropic transport

## The temperature is constant on ghost surfaces, $T=T(s)$

1. Transport *along* the magnetic field is *unrestricted*  
 → consider parallel random walk, with **long** steps  $\approx$  collisional mean free path
2. Transport *across* the magnetic field is *very small*  
 → consider perpendicular random walk with **short** steps  $\approx$  Larmor radius



3. Anisotropic diffusion balance

$$\kappa_{\parallel} \nabla_{\parallel}^2 T + \kappa_{\perp} \nabla_{\perp}^2 T = 0, \quad \kappa_{\parallel} \gg \kappa_{\perp}, \quad \kappa_{\perp} / \kappa_{\parallel} \sim 10^{-10}$$

$2^{12} \times 2^{12} = 4096 \times 4096$  grid points  
(to resolve small structures)

4. Compare solution of numerical calculation to ghost-surfaces

5. The temperature adapts to KAM surfaces, cantori, and ghost-surfaces!

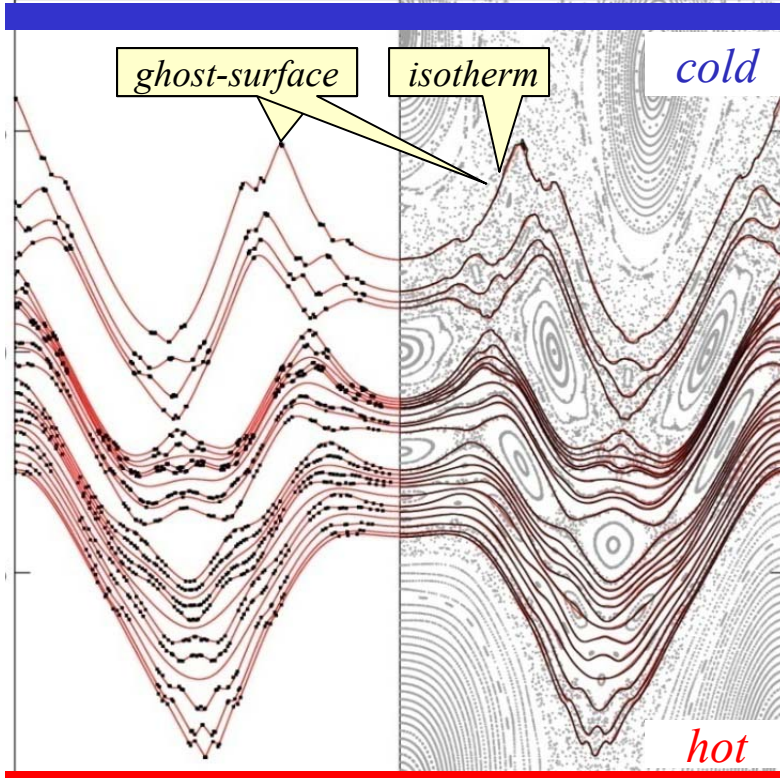
*i.e.*  $T=T(s)$ , where  $s=const.$  is a ghost-surface

from  $T=T(s, \theta, \phi)$  to  $T=T(s)$  is a fantastic simplification, allows analytic solution

$$\frac{dT}{ds} \propto \frac{1}{\kappa_{\parallel} \varphi_2 + \kappa_{\perp} G}$$

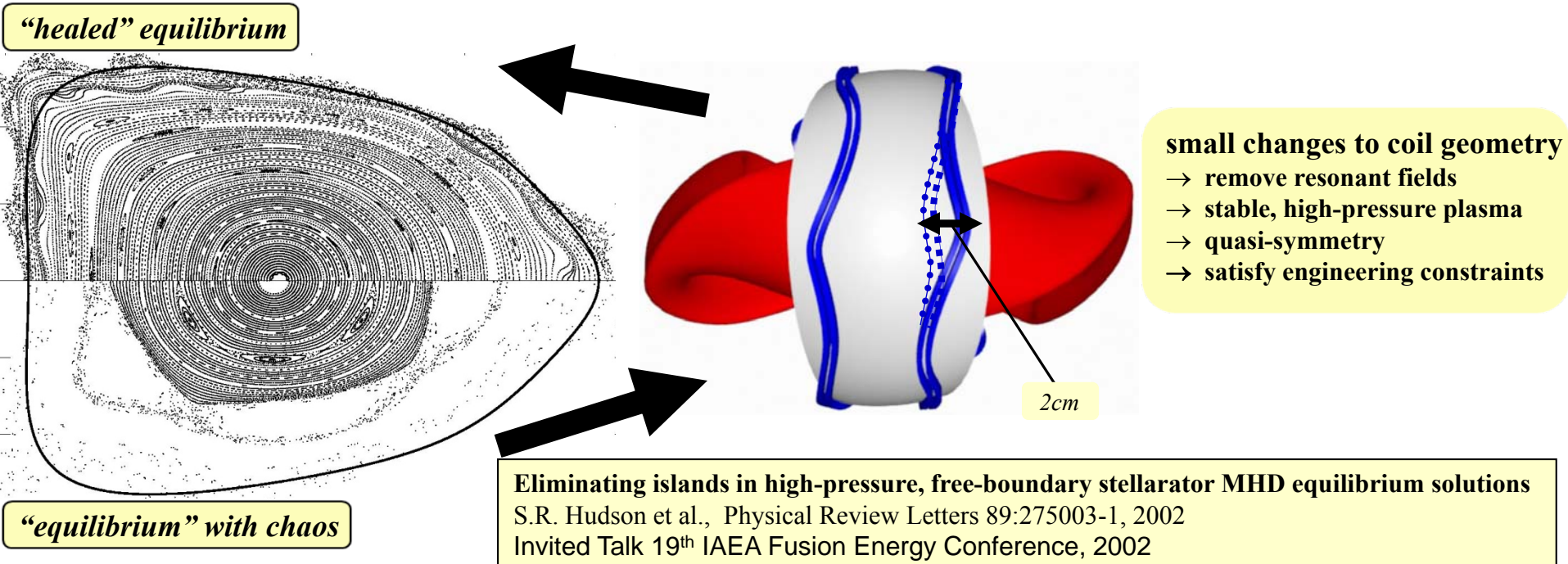
**Temperature contours and ghost-surfaces for chaotic magnetic fields**  
 S.R. Hudson et al., Physical Review Letters, 100:095001, 2008  
 Invited talk 22<sup>nd</sup> IAEA Fusion Energy Conference, 2008  
 Invited talk 17th International Stellarator, Heliotron Workshop, 2009

**An expression for the temperature gradient in chaotic fields**  
 S.R. Hudson, Physics of Plasmas, 16:100701, 2009



# Non-axisymmetric (i.e. three-dimensional) experiments designed to have “good-flux-surfaces”

- The construction of **ghost-surfaces**  $\equiv$  **quadratic-flux minimizing surfaces** provides an easy-to-calculate measure of the island size
- Standard numerical optimization methods can be used to **design non-axisymmetric experiments with “good flux surfaces”**
- Example : In the design of the **National Compact Stellarator Experiment (NCSX)**, small changes in the coil geometry were used to **remove resonant error fields**



# Brief History of MHD equilibrium theory

## 1958 An Energy Principle for hydromagnetic stability problems

I.B. Bernstein, E.A. Freiman, M.D. Kruskal & R.M. Kulsrud

$$W \equiv \int_V \left[ \frac{p}{\gamma - 1} + \frac{B^2}{2} \right] dv$$

plasma displacement

$$\mathbf{x} \rightarrow \mathbf{x} + \boldsymbol{\xi}$$

ideal plasma response

$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times \mathbf{E}, \quad \mathbf{E} + \mathbf{v} \times \mathbf{B} = 0,$$

$$\delta W = \int_V (\nabla p - \mathbf{j} \times \mathbf{B}) \cdot \boldsymbol{\xi} dv$$



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**1967** Toroidal confinement of plasma

H. Grad “very pathological pressure distribution”

*islands and chaos not allowed by ideal variations*  
*dense set of singular currents*  
*plasma variations are over constrained*

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**1983 3D ideal equilibrium codes**

**1984** BETA Garabedian et al., VMEC Hirshman et al.

(other “codes” that are ill-posed, include non-ideal effects,...)

*minimize W allowing ideal variations*  
*do not allow islands & chaos*  
*cannot resolve singular currents, fail to converge*

( If you don't get the mathematics correct, the “numerics” won't work. )

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plasma displacement

$$\mathbf{x} \rightarrow \mathbf{x} + \boldsymbol{\xi}$$

ideal plasma response

~~$$\frac{\partial \mathbf{E}}{\partial t} = \boldsymbol{\nabla} \times \mathbf{E}, \quad \mathbf{E} + \mathbf{v} \times \mathbf{B} = 0,$$~~

~~$$\delta W = \int_V (\mathbf{v} \cdot \mathbf{j} - p - \mathbf{j} \times \mathbf{E}) \cdot \boldsymbol{\xi} dv$$~~

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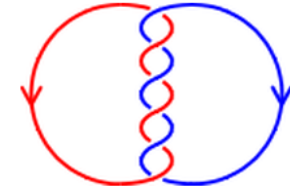
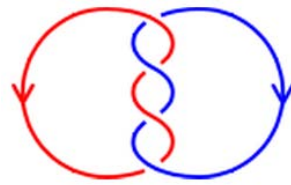
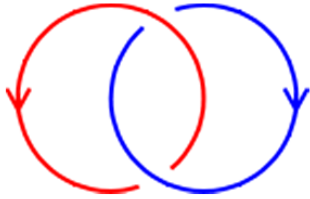
**IDEAL VARIATIONS OVERLY CONSTRAIN THE TOPOLOGY, LEAD TO A DENSE SET OF SINGULARITIES**

**HOWEVER, IF THE VARIATION IS UNCONSTRAINED, THEN THE MINIMIZING STATE IS TRIVIAL**

**i.e. vacuum.**

# Q) What constrains a weakly resistive plasma?

## A) Plasmas cannot easily untangle themselves



Question 1. (topology) *how many times do two closed curves loop through each other?*

$$\text{Gauss linking number} = -\frac{1}{4\pi} \oint \oint \frac{\mathbf{r}}{r^3} \times d\mathbf{y} \cdot d\mathbf{x}, \quad \text{where } \mathbf{r} \equiv \mathbf{y} - \mathbf{x}$$

Question 2. (topology) *how 'knotted' is a magnetic field?*

$$\begin{aligned} \text{Helicity}^\alpha &= -\frac{1}{4\pi} \int \int \frac{\mathbf{r}}{r^3} \times \mathbf{B}(\mathbf{y}) \cdot \mathbf{B}(\mathbf{x}) d^3x d^3y \\ &= \int \mathbf{A} \cdot \mathbf{B} d^3x \end{aligned}$$

Coulomb gauge vector potential  $\mathbf{A}(\mathbf{x}) = -\frac{1}{4\pi} \int \frac{\mathbf{r}}{r^3} \times \mathbf{B}(\mathbf{y}) d^3y$

Question 3. (physics) *are there simple principles that govern plasma confinement?*

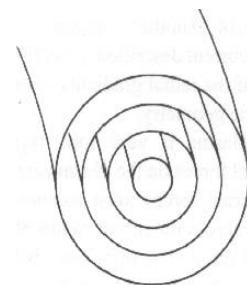
## HYPOTHESIS OF TAYLOR RELAXATION

→ Weakly resistive plasmas will relax to minimize the energy (and some flux surfaces may break), but the plasma cannot easily “untangle” itself i.e. constraint of conserved helicity

→ Minimize  $W \equiv \text{Energy}$ , subject to constraint of conserved  $H \equiv \text{Helicity} = H_0$

→ Taylor relaxed state is a linear force free field,  $\nabla \times \mathbf{B} = \mu \mathbf{B}$

# Multi-Region, Relaxed MHD is a generalization of ideal MHD and Taylor relaxation



**Step 1 :** partition the plasma into N nested volumes

(allows for non-trivial global pressure)

**Step 2 :** define Energy and Helicity integrals

(local to each volume)

$$W_l = \underbrace{\int_{V_l} \left( \frac{p}{\gamma-1} + \frac{B^2}{2} \right) dv}_{\text{energy}}, \quad H_l = \underbrace{\int_{V_l} (\mathbf{A} \cdot \mathbf{B}) dv}_{\text{helicity}}, \quad \text{where } \mathbf{B} = \nabla \times \mathbf{A} \text{ and } pV^\gamma = \text{const.}$$

**Step 3 :** construct **multi-region, relaxed MHD energy functional**, called **MRXMHD**

$$F \equiv \sum_l \left[ W_l - \frac{\mu}{2} (H_l - H_{l,o}) \right]$$

**Step 4 :** The extremizing solutions satisfy the Euler-Lagrange equations

relaxed Taylor state in each volume

continuity of total pressure across volume interfaces

rotational-transform on ideal interfaces is a Fibonacci irrational

$$\nabla \times \mathbf{B}_l = \mu_l \mathbf{B}_l \quad [[p + B^2/2]] = 0$$

**Bruno & Laurence, 1996**

stepped pressure equilibria are guaranteed to exist

**Step 5 :** Numerical implementation, **Stepped Pressure Equilibrium Code (SPEC)**,

- (1) uses mixed Fourier, finite-element representation for magnetic vector potential,  $\mathbf{A}$ , and geometry
- (2) calculation parallelized over volumes
- (3) exploits spectral condensation algorithm
- (4) exploits sparse linear structure of  $\nabla \times \mathbf{B} = \mu \mathbf{B}$
- (5) pre-conditioned conjugate gradient methods and/or globally convergent Newton method
- (6) online documentation
- (7) graphical user interface

## Computation of multi-region relaxed magnetohydrodynamic equilibria

S.R. Hudson, R.L. Dewar, G. Dennis, M.J. Hole, M. McGann, G.von Nessi and S. Lazerson, Physics of Plasmas, 19:112502, 2012

Invited Talk 20<sup>th</sup> International Toki Conference, 2010

Invited Talk 38<sup>th</sup> European Physical Society Conference on Plasma Physics, 2011

Invited Talk 18<sup>th</sup> International Stellarator/Heliotron Workshop, 2012

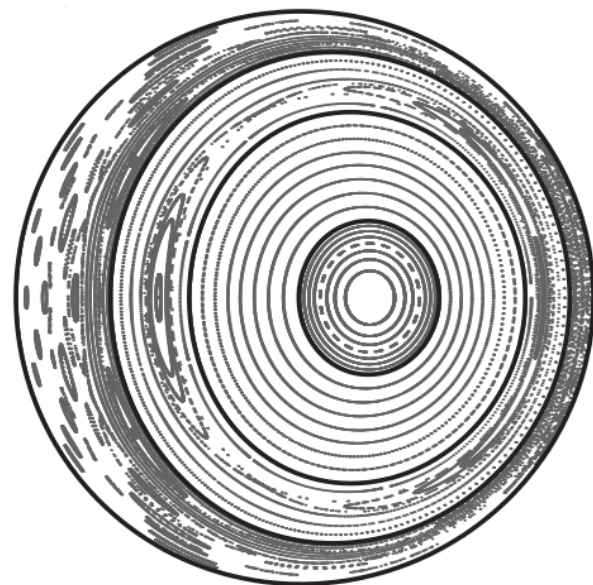
# The Stepped Pressure Equilibrium Code (SPEC), has excellent convergence properties

## • First 3D equilibrium code to

1. allow islands & chaos,
2. have a solid mathematical foundation,
3. give excellent convergence,

*Poincaré Plot (of convergence calculation)*

*(axisymmetric equilibrium plus resonant perturbation)*



approximate solution

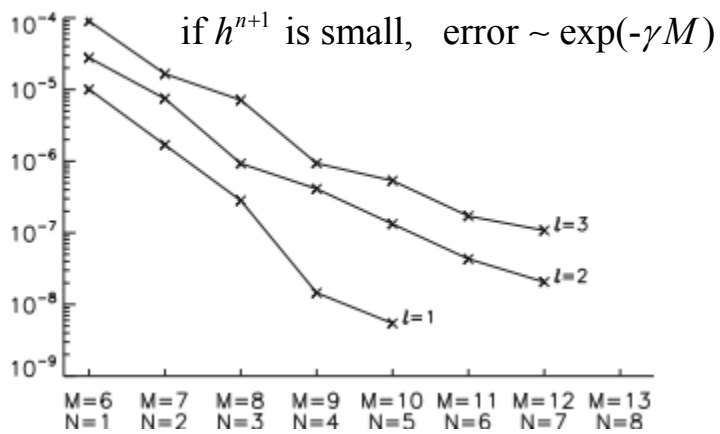
exact solution

$$\text{error} \equiv f_{h,M} - f = \alpha h^{n+1} + \beta \exp(-\gamma M)$$

$h = 1/N$  radial resolution  
 $M$  Fourier resolution

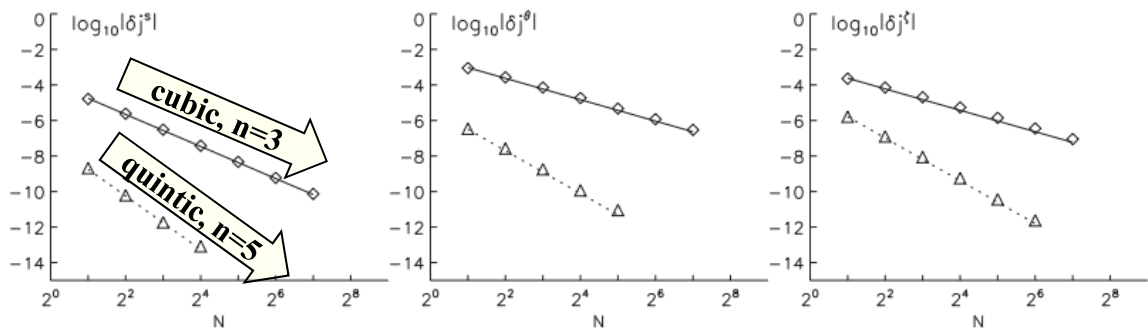
error decreases as  $N=h^{-1}$ ,  $M$  increase

## convergence with respect to Fourier resolution, $M$



## convergence with respect to radial resolution, $N$

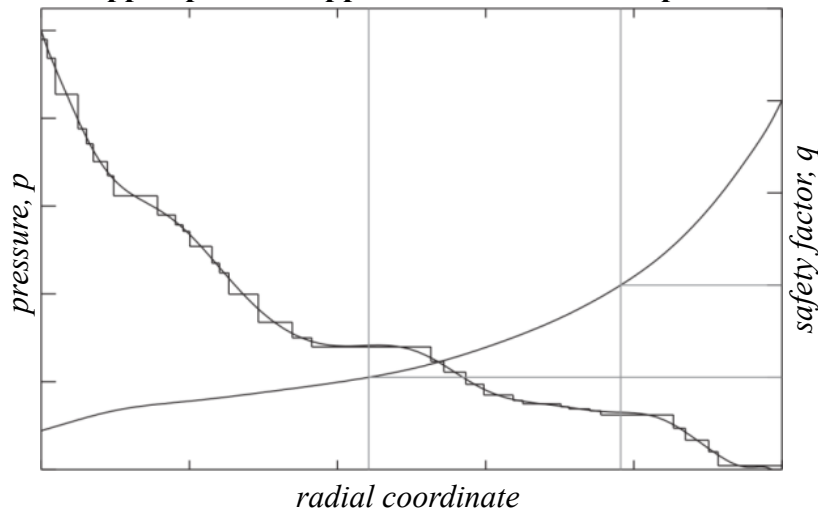
if  $\exp(-\gamma M)$  is small, error  $\sim h^{n+1}$



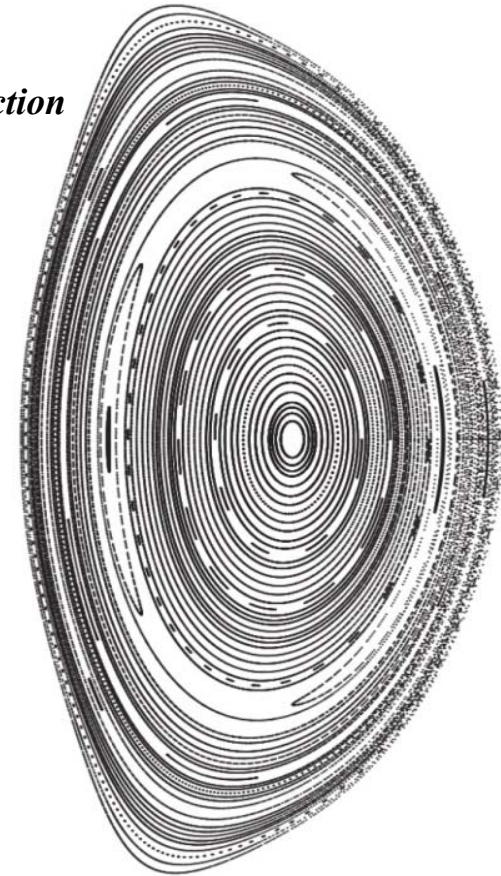
# Equilibrium reconstruction of 3D plasmas is where “theory meets experiment”

- Consider a DIII-D experimental shot, with applied three-dimensional “error fields”  
(used to suppress edge instabilities)
- Vary the equilibrium parameters until “numerical” diagnostics match observations  
(i.e. plasma boundary, pressure and current profiles), (e.g. Thomson scattering, motional Stark effect polarimetry, magnetic diagnostics)

Stepped-pressure approximation to smooth profile



*DIII-D Poincaré Plot  
experimental reconstruction  
using SPEC*



- **SPEC is being incorporated into the “STELLOPT” equilibrium reconstruction code**  
by Dr. S. Lazerson, a post-doctoral fellow at Princeton Plasma Physics Laboratory

**3D Equilibrium Effects due to RMP application on DIII-D**

S. Lazerson, E. Lazarus, S. Hudson, N. Pablant, D. Gates

39<sup>th</sup> European Physical Society Conference on Plasma Physics, 2012

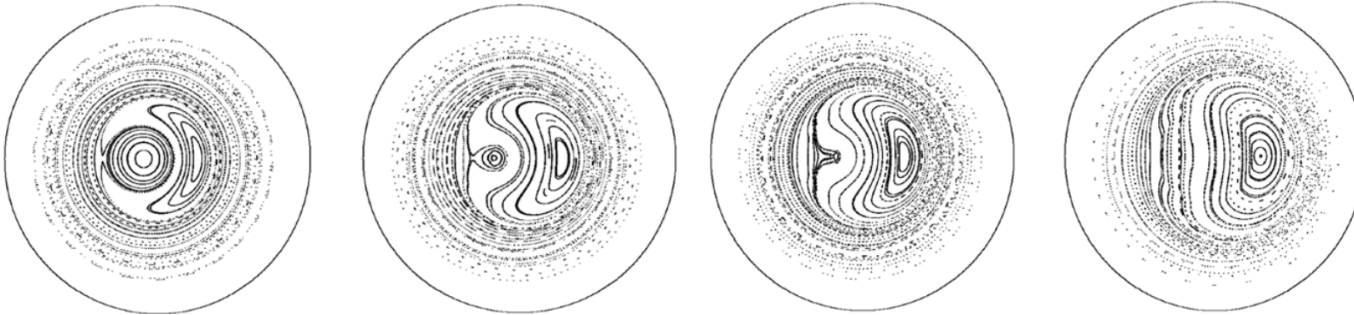
# MRXMHD explains self-organization of Reversed Field Pinch into internal helical state

## EXPERIMENTAL RESULTS

### Overview of RFX-mod results

P. Martin et al., *Nuclear Fusion*, 49 (2009) 104019

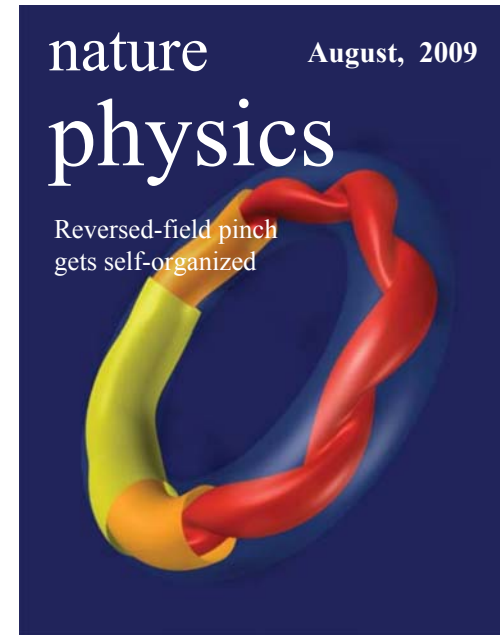
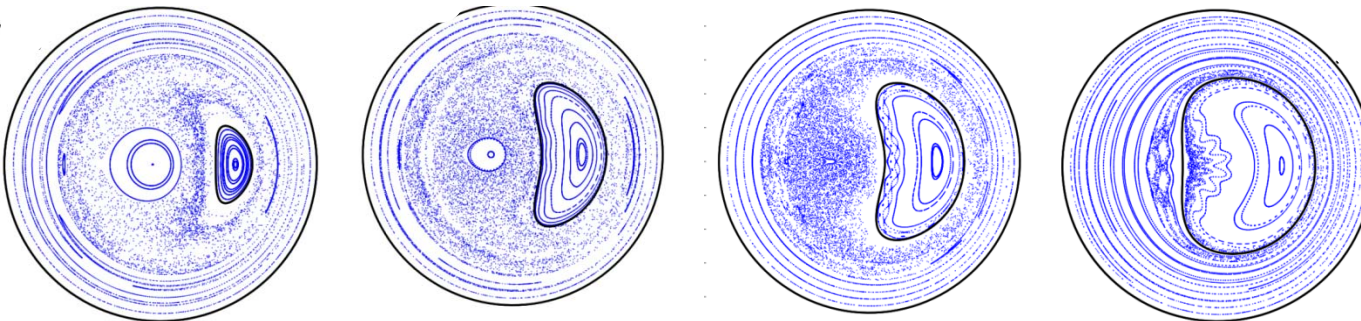
*Fig.6. Magnetic flux surfaces in the transition from a QSH state . . . to a fully developed SHAx state . . .  
The Poincaré plots are obtained considering only the axisymmetric field and dominant perturbation”*



## NUMERICAL CALCULATION USING STEPPED PRESSURE EQUILIBRIUM CODE

### Taylor relaxation and reversed field pinches

G. Dennis, R. Dewar, S. Hudson, M. Hole, 2012 20<sup>th</sup> Australian Institute of Physics Congress



**Excellent Qualitative agreement between numerical calculation and experiment**

→ this is first (and perhaps only?) equilibrium model able to explain internal helical state with two magnetic axes

→ publication presently being prepared by Dr. G Dennis, a post-doctoral fellow at the Australian National University



# Brief History of MHD equilibrium theory

**1958 An Energy Principle for hydromagnetic stability problems**

I.B. Bernstein, E.A. Freiman, M.D. Kruskal & R.M. Kulsrud

$$W \equiv \int_V \left[ \frac{p}{\gamma - 1} + \frac{B^2}{2} \right] dv$$

**1954 KAM theorem**

**1962** A.N. Kolmogorov (1954), J. Moser (1962), V.I. Arnold (1963)

**1967 Toroidal confinement of plasma**

H. Grad *“very pathological pressure distribution”*

**1974 Relaxation of Toroidal Plasma and Generation of Reverse Magnetic Fields**

J.B. Taylor *relax the ideal constraints, include helicity constraint*

$$H = \int_V (\mathbf{A} \cdot \mathbf{B}) dv$$

**1996 Existence of Three-Dimensional Toroidal MHD equilibria with Nonconstant Pressure**

O.P. Bruno & P. Laurence *“... our theorems insure the existence of sharp boundary solutions ...”  
i.e. stepped pressure equilibria are well defined*

$$\nabla \times \mathbf{B}_l = \mu_l \mathbf{B}_l \quad [[p + B^2/2]] = 0$$

**2012 Computation of Multi-Region, Relaxed Magnetohydrodynamic Equilibria**

S.R. Hudson, R.L. Dewar et al. • *chaotic equilibria with arbitrary pressure*  
• *combines ideal MHD and Taylor relaxation*

*for  $N \rightarrow \infty$ , recover globally-constrained, ideal MHD*

*for  $N=1$ , recover globally-relaxed Taylor force-free state*

$$F \equiv \sum_l (W_l - \mu_l H_l / 2)$$

# Ongoing research activities

## 1. Compute “free-boundary”, partially-chaotic equilibria that are supported by vacuum fields with a chaotic-tangle

- investigate structure of chaotic-tangle that surrounds a high-pressure plasma
- explore relationship between cantori and unstable manifold near plasma edge
- explore how transport through chaotic edge is restricted by cantori

## 2. Is the suppression of edge-localized modes by partial chaos a manifestation of multi-region, relaxed MHD instability phenomena?

- MRXMHD allows the stability of partially chaotic equilibria to be defined and calculated
- to what extent do applied error fields ergodize the plasma edge?
- can experimental disruptions be understood using multi-region, relaxed MHD?

## 3. Equilibrium reconstruction calculations

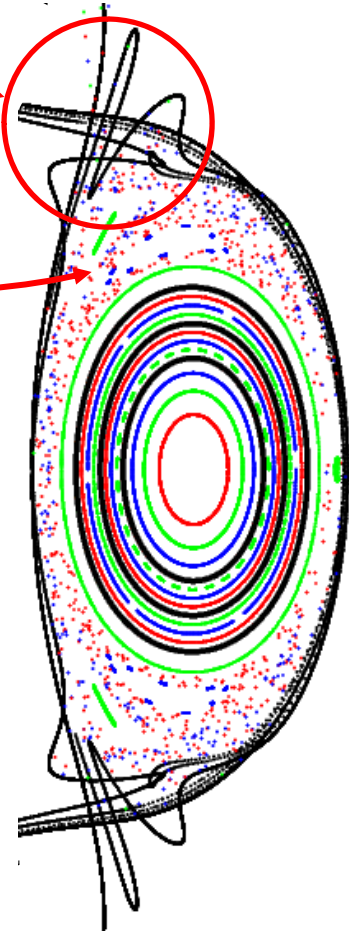
- can numerical calculations predict experimental observations?
- which equilibrium model best fits experiment?

## 4. Development of transport model

- how do charged particles move through partially-chaotic, stepped-pressure equilibria?

## 5. Compute “critical-pressure gradient”, explore avalanche phenomena

- what is the most pressure a flux surface can support before it is destroyed?
- do all the flux-surfaces collapse simultaneously (i.e. avalanche) when pressure exceeds a certain threshold?





# Existence of Three-Dimensional Toroidal MHD Equilibria with Nonconstant Pressure

OSCAR P. BRUNO

PETER LAURENCE

*California Institute of Technology*    *Universita di Roma "La Sapienza"*

We establish an existence result for the three-dimensional MHD equations

$$(\nabla \times \mathbf{B}) \times \mathbf{B} = \nabla p$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\mathbf{B} \cdot \mathbf{n}|_{\partial T} = 0$$

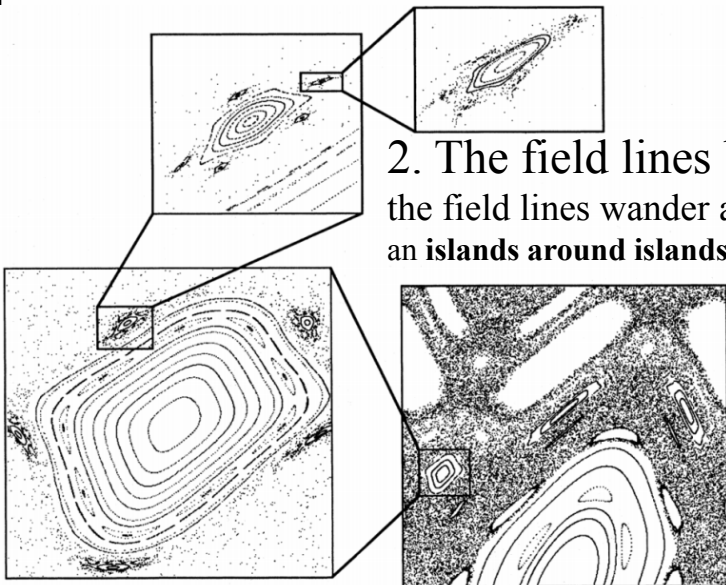
with  $p \neq \text{const}$  in tori  $T$  without symmetry. More precisely, our theorems insure the existence of sharp boundary solutions for tori whose departure from axisymmetry is sufficiently small; they allow for solutions to be constructed with an arbitrary number of pressure jumps. © 1996 John Wiley & Sons, Inc.

Communications on Pure and Applied Mathematics, Vol. XLIX, 717–764 (1996)

# With increasing non-axisymmetry, the flux surfaces become increasingly “broken”

1. The transformation to action-angle coordinates fails where frequency  $\omega = n / m$ , is rational  
**classical problem of small denominators**, resonance zones ~ magnetic islands

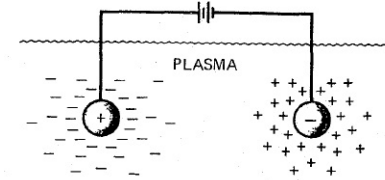
**invariant flux surfaces are destroyed**  
**separatrix splitting, chaotic “tangle”**



2. The field lines become “chaotic”  
the field lines wander about *seemingly randomly*  
an islands around islands around islands fractal hierarachy

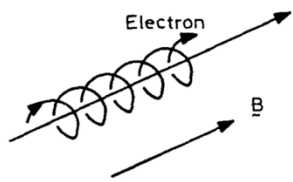
# A plasma is a gas of charged particles

1. electrically conducting, need to consider plasma currents,  $\mathbf{j}$
2. electric fields are shielded,  $\mathbf{E} \approx 0$
3. plasmas display “collective” behaviour, i.e. waves and oscillations



The “physics” of magnetic confinement is based on the *Lorentz force*

4. the Lorentz force ‘ties’ the particles to the field lines, (but free-streaming along field lines)

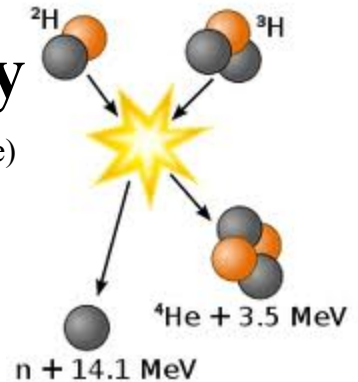


$$\mathbf{F} = q(\mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad (\text{single particle})$$

$$\nabla p = \mathbf{j} \times \mathbf{B} \quad \text{Lorentz force balances gas pressure} \rightarrow \text{equilibrium}$$

## Grand Challenge is to create sustainable energy

- To confine a
1. hot (fast particles can overcome Coulomb repulsion and collide)
  2. dense plasma (so that many particles collide)
- for a
3. long time in an equilibrium state

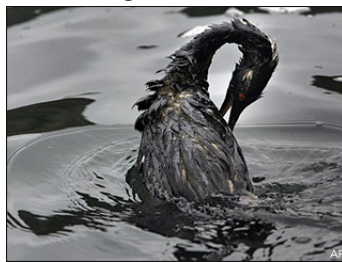


and to have a clean, safe, 21<sup>st</sup> century  
by establishing fusion as the global energy source . . . . .

coal



oil



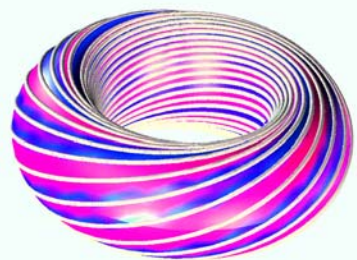
uranium fission



Fusion

- 1) no radioactive products
- 2) no uncontrollable chain reaction

# Several theorems of mathematics & theoretical physics, prove that . . .



1. There are no magnetic monopoles . . .  
 i.e.  $\nabla \cdot \mathbf{B} = 0$ , *magnetic field lines have "no end"*

2. The hairy ball theorem (of algebraic topology)  
*there is no nonvanishing continuous tangent vector field on a sphere, . . . . but there is on a torus!*

3. Noether's theorem (of theoretical physics) e.g. if the system does not depend on the angle, the angular momentum is constant  
*each symmetry (i.e. ignorable coordinate) of a Hamiltonian system has a integral invariant*

action integral  $J = \oint pdq$ , "integrable" Hamiltonian,  $H(J)$

$$\frac{dJ}{dt} \equiv -\frac{\partial H}{\partial \theta} = 0, \quad \text{frequency } \omega \equiv \frac{\partial H}{\partial J} \quad \text{the action is constant and the angle increases linearly with time}$$

4. Toroidal magnetic fields are Hamiltonian *can use all the methods of Hamiltonian and Lagrangian mechanics!*

$$\mathbf{B} = \nabla \times \mathbf{A}$$

$$\text{Action Integral } S = \int_C L(\theta, \dot{\theta}, t) dt$$

$$\mathbf{B} = \nabla \times (\psi \nabla \theta - \chi \nabla \phi + \nabla \text{gauge})$$

*field line satisfies* Hamilton's Equations

$$\boxed{\frac{d\theta}{d\phi} = \frac{\partial \chi}{\partial \psi}, \quad \frac{d\psi}{d\phi} = -\frac{\partial \chi}{\partial \theta}}$$

$$S = \int_C \mathbf{A} \cdot d\mathbf{l}$$

$\psi \equiv$  canonical momentum

$\chi \equiv$  Hamiltonian

*Trajectories are extremal curves of action-integral*

# Theorems from Hamiltonian chaos theory provide a solid foundation

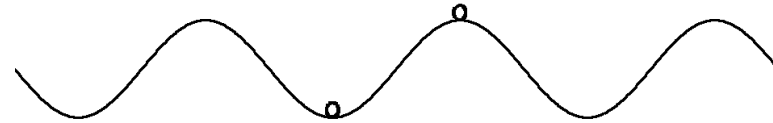
## 1. Poincaré-Birkhoff Theorem

magnetic field-line action =  $\int_{curve} \mathbf{A} \cdot d\mathbf{l}$

curves that extremize the action integral are field lines

For every rational,  $\omega = n / m$ , where  $n, m$  are integers,

- a periodic field-line that is a *minimum* of the action integral will exist
- a *saddle* will exist



## 2. Aubry-Mather Theorem

For every  $\omega \neq n / m$ ,

- there exists an “irrational” field-line that is a *minimum* of the action integral

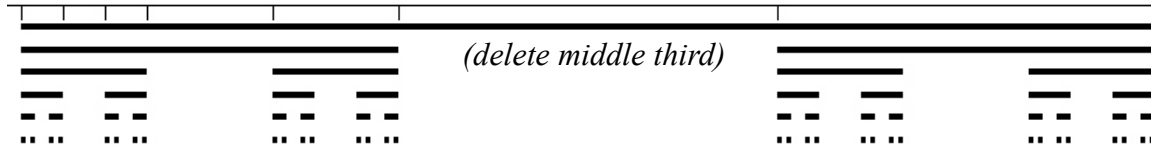
## 3. Kolmogorov-Arnold-Moser Theorem

- if  $\omega$  is *very* irrational then the Aubry-Mather field line will cover a surface, called a KAM surface

$\omega$  is very irrational if there exist an  $r, k$  such that  $|\omega - n / m| > r m^{-k}$ , for all integers  $n, m$

*Diophantine condition*

- if not, the Aubry-Mather field line will cover a Cantor set, called a cantorus



## 4. Greene’s residue criterion

- the existence of a KAM surface is related to the stability of the nearby Poincaré-Birkhoff periodic orbits



# An ideal equilibrium with non-integrable (*chaotic*) field and continuous pressure, is infinitely discontinuous

→ transport of pressure along field is “infinitely” fast  
 → no scale length in ideal MHD  
 → pressure adapts to fractal structure of phase space

ideal MHD theory =  $\nabla p = \mathbf{j} \times \mathbf{B}$ , gives  $\mathbf{B} \cdot \nabla p = 0$

chaos theory = nowhere are flux surfaces continuously nested

\*for non-symmetric systems, nested family of flux surfaces is destroyed

\*islands & irregular field lines appear where transform is rational ( $n/m$ ); rationals are dense in space

Poincare-Birkhoff theorem → periodic orbits, (e.g. stable and unstable) guaranteed to survive into chaos

\*some irrational surfaces survive if there exists an  $r, k \in \mathbb{R}$  s.t. for all rationals,  $|1 - n/m| > r m^{-k}$   
 i.e. rotational-transform,  $\iota$ , is poorly approximated by rationals,

**Diophantine Condition**  
 Kolmogorov, Arnold and Moser

## ideal MHD + chaos → infinitely discontinuous equilibrium

\*iterative method for calculating equilibria is ill-posed;

1)  $\mathbf{B}_n \cdot \nabla p = 0$        $\nabla p$  is everywhere discontinuous, or zero;

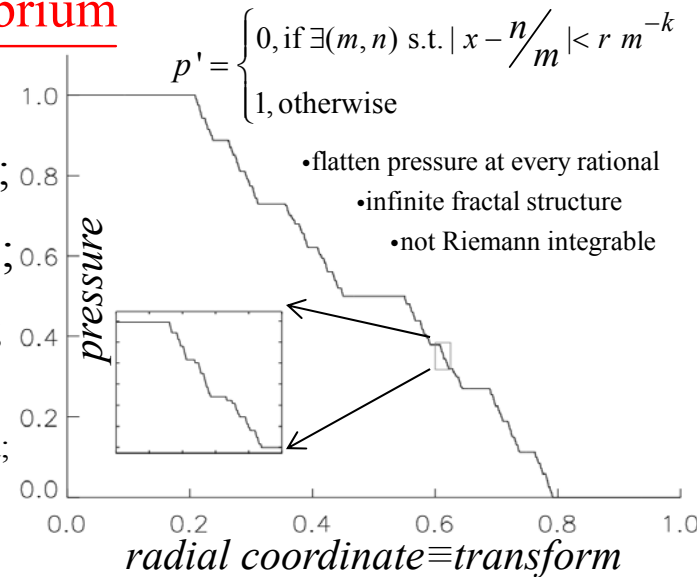
2)  $\mathbf{j}_\perp = \mathbf{B}_n \times \nabla p / B_n^2$        $\mathbf{j}_\perp$  everywhere discontinuous or zero;

3)  $\mathbf{B}_n \cdot \nabla \sigma = -\nabla \cdot \mathbf{j}_\perp$        $\mathbf{B} \cdot \nabla$  is densely and irregularly singular;

$\sigma$  is single valued if and only if  $\oint_C \nabla \cdot \mathbf{j}_\perp dl / B = 0$

pressure must be flat across every closed field line, or parallel current is not single-valued;

4)  $\nabla \times \mathbf{B}_{n+1} = \mathbf{j} \equiv \sigma \mathbf{B}_n + \mathbf{j}_\perp$       solution only if  $\nabla \cdot (\sigma \mathbf{B} + \mathbf{j}_\perp) = 0$



To have a well-posed equilibrium with chaotic  $\mathbf{B}$  need to

→ introduce non-ideal terms, such as resistivity,  $\eta$ , perpendicular diffusion,  $\kappa_\perp$ , [HINT, M3D, NIMROD, ..],

→ or return to an energy principle, but relax infinity of ideal MHD constraints

# Extrema of energy functional obtained numerically; introducing the Stepped Pressure Equilibrium Code (SPEC)

## The vector-potential is discretized

\* toroidal coordinates  $(s, \vartheta, \zeta)$ , \*interface geometry  $R_l = \sum_{m,n} R_{l,m,n} \cos(m\vartheta - n\zeta)$ ,  $Z_l = \sum_{m,n} Z_{l,m,n} \sin(m\vartheta - n\zeta)$

\* exploit gauge freedom  $\mathbf{A} = A_\vartheta(s, \vartheta, \zeta) \nabla \vartheta + A_\zeta(s, \vartheta, \zeta) \nabla \zeta$

\* Fourier  $A_\vartheta = \sum_{m,n} a_\vartheta(s) \cos(m\vartheta - n\zeta)$

\* Finite-element  $a_\vartheta(s) = \sum_i a_{\vartheta,i}(s) \varphi(s)$  *piecewise cubic or quintic basis polynomials*

and inserted into constrained-energy functional  $F = \sum_{l=1}^N (W_l - \mu_l H_l / 2 - \nu_l M_l)$

\* derivatives w.r.t. vector-potential  $\rightarrow$  linear equation for Beltrami field  $\nabla \times \mathbf{B} = \mu \mathbf{B}$  *solved using sparse linear solver*

\* field in each annulus computed independently, distributed across multiple cpus

\* field in each annulus depends on enclosed toroidal flux (boundary condition) and

$\rightarrow$  poloidal flux,  $\psi_p$ , and helicity-multiplier,  $\mu$  *adjusted so interface transform is strongly irrational*

$\rightarrow$  geometry of interfaces,  $\xi \equiv \{R_{m,n}, Z_{m,n}\}$

## Force balance solved using multi-dimensional Newton method.

\* interface geometry is adjusted to satisfy force  $\mathbf{F}[\xi] \equiv \{[[p + B^2/2]]_{m,n}\} = 0$

\* angle freedom constrained by spectral-condensation, adjust angle freedom to minimize  $\sum (m^2 + n^2) (R_{mn}^2 + Z_{mn}^2)$

\* derivative matrix,  $\nabla \mathbf{F}[\xi]$ , computed in parallel using finite-differences *minimal spectral width [Hirshman, VMEC]*

\* call NAG routine: quadratic-convergence w.r.t. Newton iterations; robust convex-gradient method;

# Numerical error in Beltrami field scales as expected

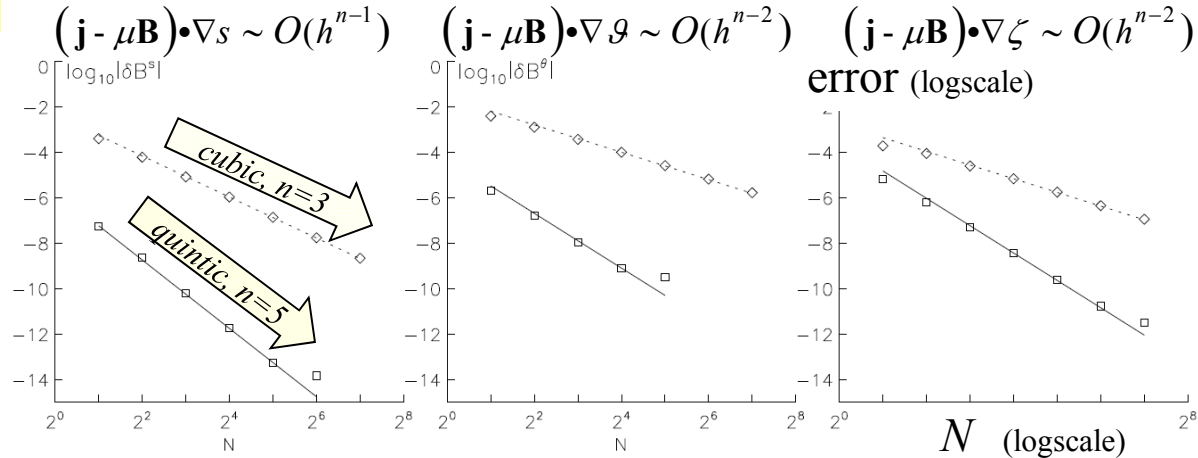
Scaling of numerical error with radial resolution depends on finite-element basis

$\mathbf{A} = A_\vartheta \nabla \vartheta + A_\zeta \nabla \zeta$ ,  $\mathbf{B} = \nabla \times \mathbf{A}$ ,  $\mathbf{j} = \nabla \times \mathbf{B}$ , need to quantify **error** =  $\mathbf{j} - \mu \mathbf{B}$

$A_\vartheta, A_\zeta \sim O(h^n)$   $h = \text{radial grid size} = 1/N$   
 $n = \text{order of polynomial}$

$$\begin{aligned} \sqrt{g} B^s &= \partial_\vartheta A_\zeta - \partial_\zeta A_\vartheta \sim O(h^n) \\ \sqrt{g} B^\vartheta &= -\partial_s A_\zeta \sim O(h^{n-1}) \\ \sqrt{g} B^\zeta &= \partial_s A_\vartheta \sim O(h^{n-1}) \end{aligned}$$

$$\begin{aligned} \sqrt{g} j^s &\sim O(h^{n-1}) \\ \sqrt{g} j^\vartheta &\sim O(h^{n-2}) \\ \sqrt{g} j^\zeta &\sim O(h^{n-2}) \end{aligned}$$



**Example of chaotic Beltrami field in single given annulus;**

$$\begin{aligned} R &= 1.0 + r(\vartheta, \zeta) \cos \vartheta, \\ Z &= r(\vartheta, \zeta) \sin \vartheta, \end{aligned}$$

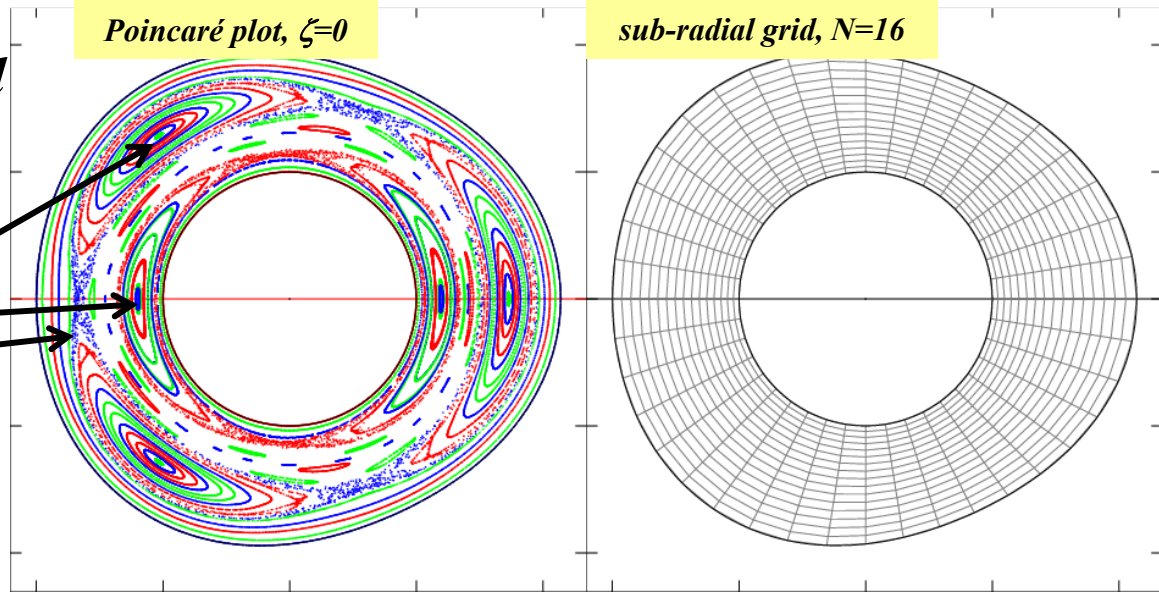
$(m,n)=(3,1)$  island  
 $+$   $(m,n)=(2,1)$  island  
 $=$  chaos

inner surface

$$r = 0.1$$

outer interface

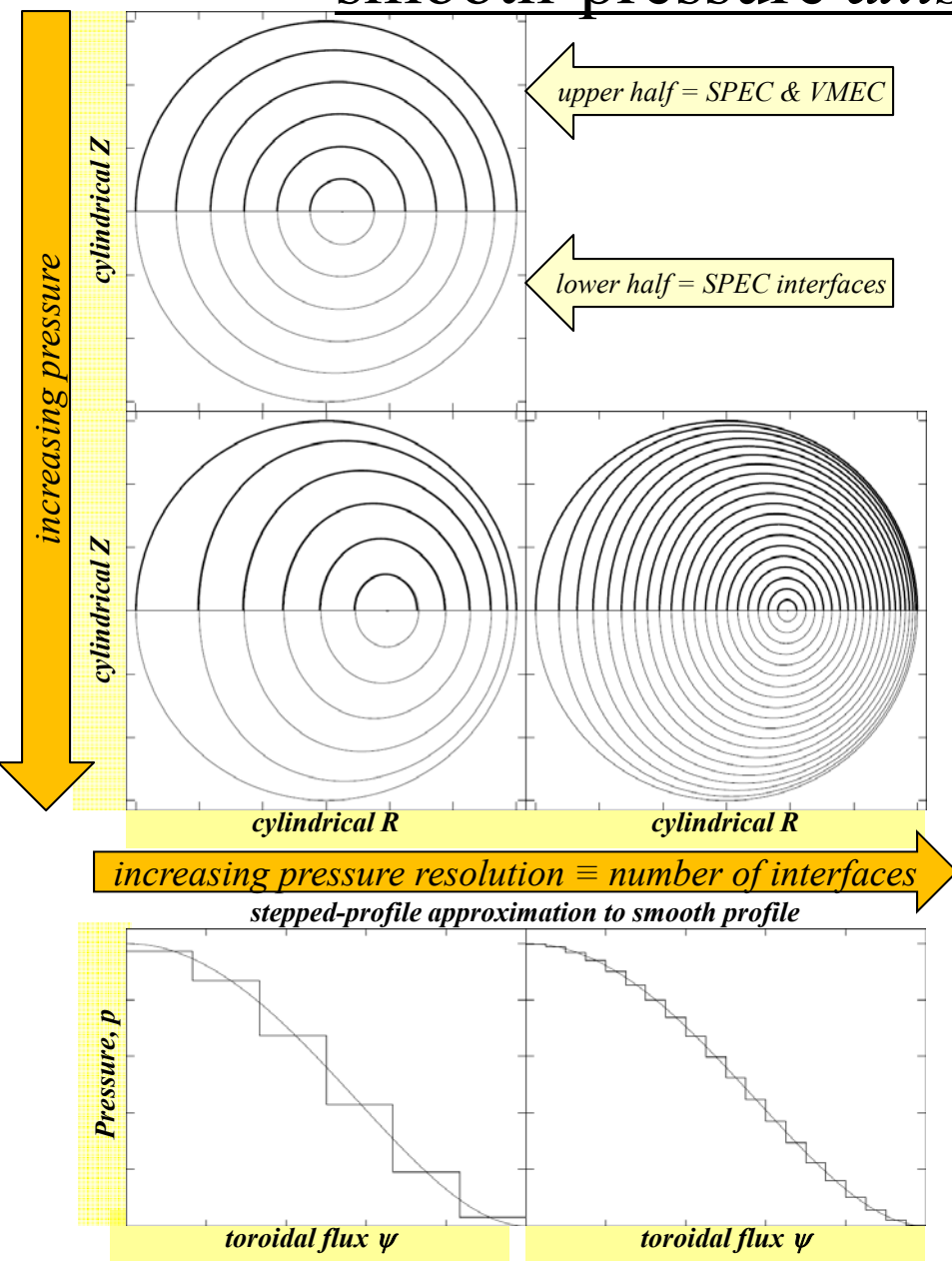
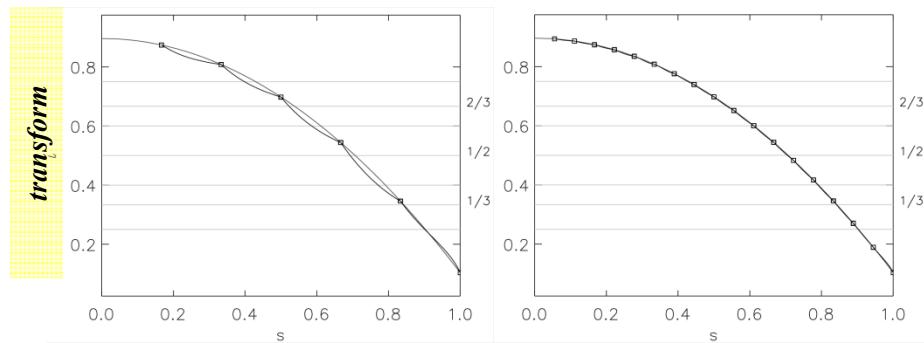
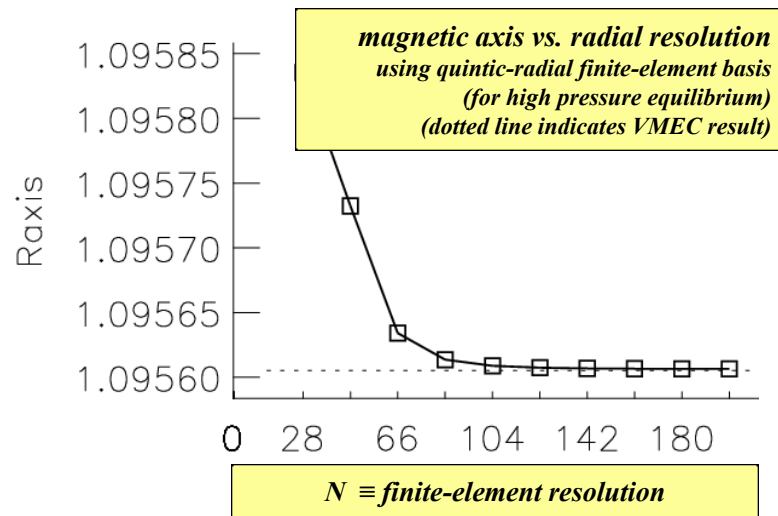
$$r = 0.2 + \delta [\cos(2\vartheta - \zeta) + \cos(3\vartheta - \zeta)]$$



# Stepped-pressure equilibria accurately approximate smooth-pressure *axisymmetric* equilibria

## in axisymmetric geometry . . .

- magnetic fields have family of nested flux surfaces
- equilibria with smooth profiles exist,
- may perform benchmarks (e.g. with VMEC)
  - (arbitrarily approximate smooth-profile with stepped-profile)
- approximation improves as number of interfaces increases
- location of magnetic axis converges w.r.t radial resolution



# Force balance condition at interfaces gives rise to auxilliary pressure-jump Hamiltonian system.

→ Beltrami condition,  $\nabla \times \mathbf{B} = \mu \mathbf{B}$ , and interface constraint,  $\mathbf{B} \cdot \mathbf{n} = 0$ , gives  $\nabla \times \mathbf{B} \cdot \nabla s = 0$ , suggests surface potential,  $B_\vartheta = \partial_\vartheta f$ ,  $B_\zeta = \partial_\zeta f$ , so that  $\partial_\vartheta B_\zeta - \partial_\zeta B_\vartheta = 0$ ,

$$B^2 = (g_{\vartheta\vartheta} f_\zeta f_\zeta - 2g_{\vartheta\zeta} f_\vartheta f_\zeta + g_{\zeta\zeta} f_\vartheta f_\vartheta) / (g_{\vartheta\vartheta} g_{\zeta\zeta} - g_{\vartheta\zeta} g_{\zeta\vartheta}), \quad \text{metric elements } g_{\alpha\beta} \equiv \partial_\alpha \mathbf{x} \cdot \partial_\beta \mathbf{x}$$

→ Force balance condition,  $[[p + B^2 / 2]] = 0$ , introduce  $H \equiv 2(p_1 - p_2) = B_2^2 - B_2^1 = \text{const.}$

→ Let tangential field on "inner-side" of interface be given,  $B_{1\vartheta} = \partial_\vartheta f$ ,  $B_{1\zeta} = \partial_\zeta f$ ,

tangential field on "outer-side",  $B_{2\vartheta} = p_\vartheta$ ,  $B_{2\zeta} = p_\zeta$ , determined by characteristics

$$\dot{\vartheta} = \frac{\partial H(\vartheta, \zeta, p_\vartheta, p_\zeta)}{\partial p_\vartheta} \Big|_{\zeta, p_\vartheta, p_\zeta}, \quad \dot{p}_\vartheta = - \frac{\partial H}{\partial \vartheta}, \quad \dot{\zeta} = \frac{\partial H}{\partial p_\zeta}, \quad \dot{p}_\zeta = - \frac{\partial H}{\partial \zeta}$$

→ 2 d.o.f. Hamiltonian system, and invariant surfaces only exist if "frequency" is irrational

⇒ ideal interfaces that support pressure must have irrational transform

***Hamilton-Jacobi theory for continuation of magnetic field across a toroidal surface supporting a plasma pressure discontinuity***

*M. McGann, S.R.Hudson, R.L. Dewar and G. von Nessi, Physics Letters A, 374(33):3308, 2010*