

# Are ghost surfaces and quadratic-flux-minimizing surfaces the same?

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## Motivation

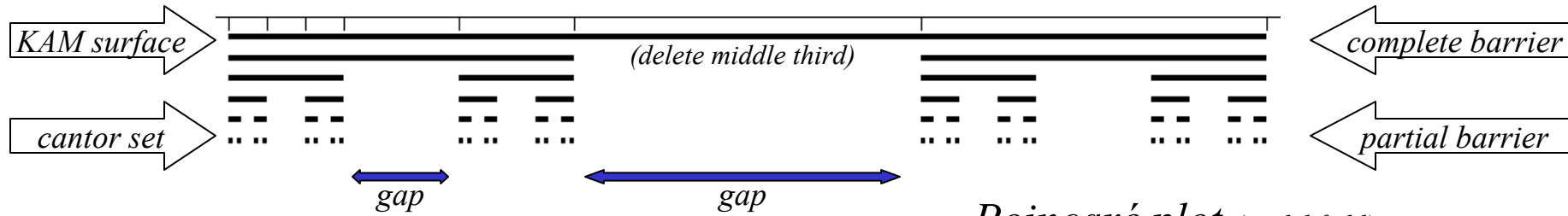
- heat transport is anisotropic:  $\nabla \cdot (\kappa_{\parallel} \mathbf{b} \mathbf{b} \cdot \nabla T + \kappa_{\perp} \nabla_{\perp} T) = 0$  with  $\kappa_{\perp} / \kappa_{\parallel} = 10^{-10}$
- if nested flux surfaces exist, then  $T = T(\psi)$ , where  $\psi$  labels invariant surfaces
- if field is chaotic, goal is to adapt coordinates so that  $T = T(s)$ ,
- we need a fast, robust, simple construction of chaotic coordinates;
- this talk will show that, despite their different definitions,  
**ghost-surfaces** and **quadratic-flux minimizing surfaces** are almost identical
- the "easy method" of constructing the "best surfaces" may be possible!

## Part 1 Motivation

- 1) field line transport in chaos is restricted by cantori
- 2) construct *chaotic-coordinates* bit fitting coordinate surfaces to cantori
- 3) chaotic-coordinates allow simple solution for anisotropic transport

# Field-line transport is restricted by irrational field-lines

→ *the irrational KAM surfaces disintegrate into invariant irrational sets  $\equiv$  cantori, which continue to restrict field-line transport even after the onset of chaos.*



*Poincaré plot (model field)*

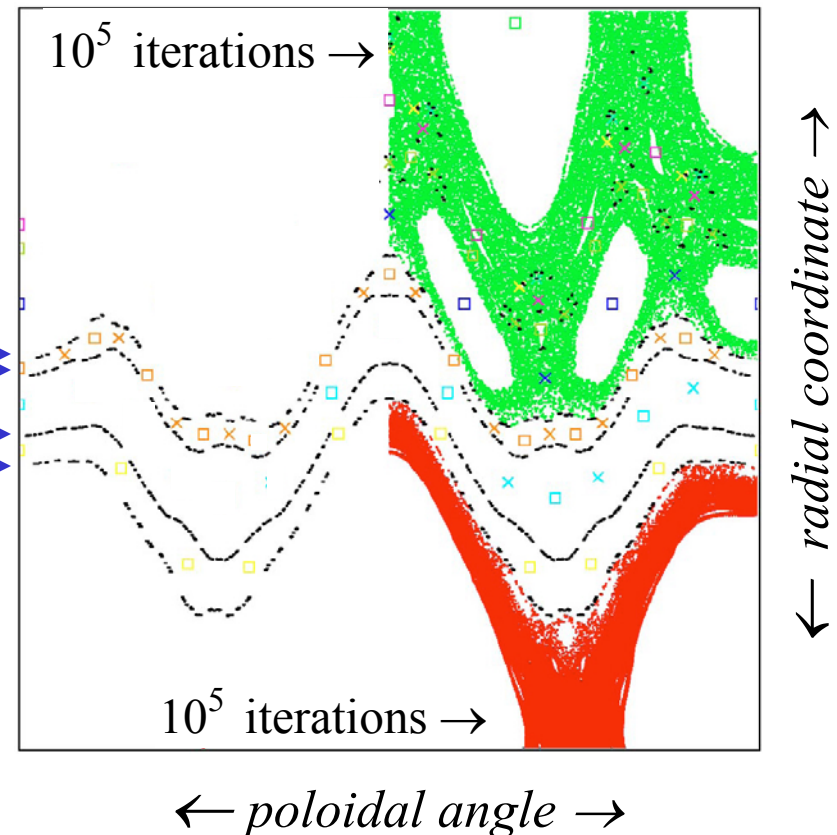
→ *KAM surfaces **stop** radial field-line transport*

→ *broken KAM surfaces  $\equiv$  cantori **do not stop, but do slow down** radial field-line transport*

→ *cantori are approximated by high-order, unstable, periodic-orbits;*

→ *chaotic-coordinates are fit to the cantori, we need to (i) locate the cantori  
(ii) fill-in-the-gaps to make surfaces*

“noble” cantori  
(black dots)



# Anisotropic transport is solved by chaotic-coordinates.

- *ghost-surfaces for high-order periodic orbits “fill-in-the-gaps” in the irrational cantori;*
- *ghost-surfaces and isotherms are almost indistinguishable; suggests  $T=T(s)$ ;*

- heat transport in plasmas is strongly anisotropic

$$\kappa_{\parallel} \nabla_{\parallel}^2 T + \kappa_{\perp} \nabla_{\perp}^2 T = S, \quad (S \text{ is source})$$

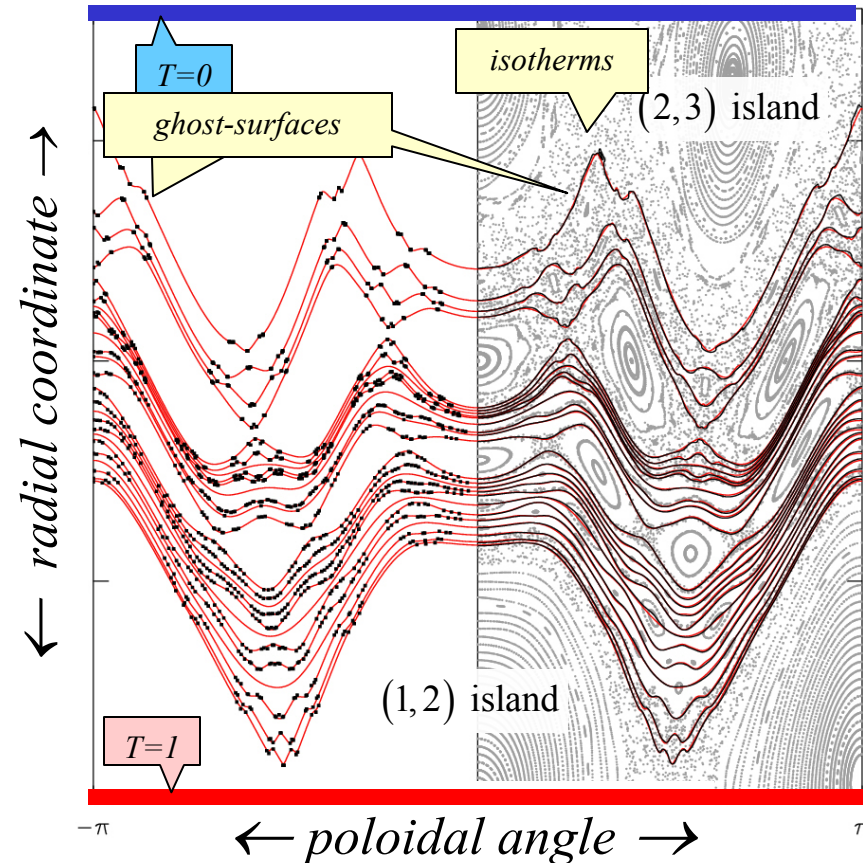
$$\kappa_{\perp} / \kappa_{\parallel} \sim 10^{-10}, \text{ solved numerically on grid } 2^{12} \times 2^{12}$$

- parallel diffusion dominates perpendicular diffusion

- structure of temperature is dominated by the structure of the magnetic field;

- structure of coordinates  $\equiv$  structure of field

- temperature adapts to almost-invariant surfaces;  
we obtain  $T = T(s)$ , where  $s$  labels ghost surfaces;



# Chaotic-coordinates simplifies temperature profile

→ ghost-surfaces can be used as radial coordinate surfaces → chaotic-coordinates  $(s, \theta, \phi)$

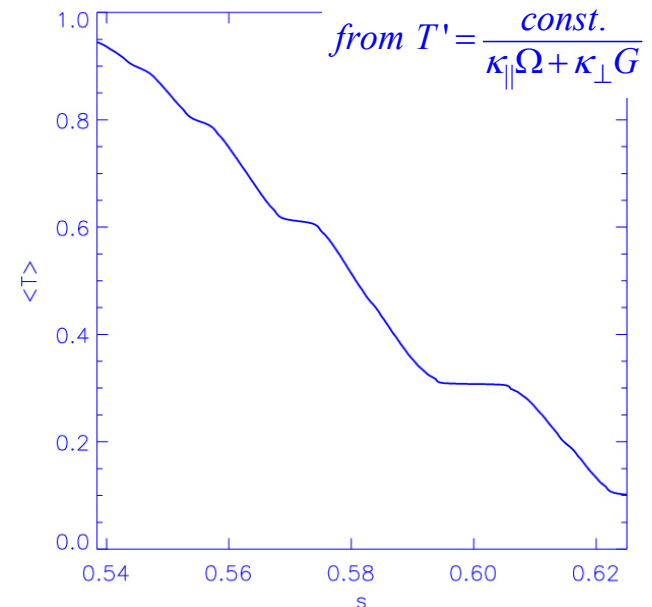
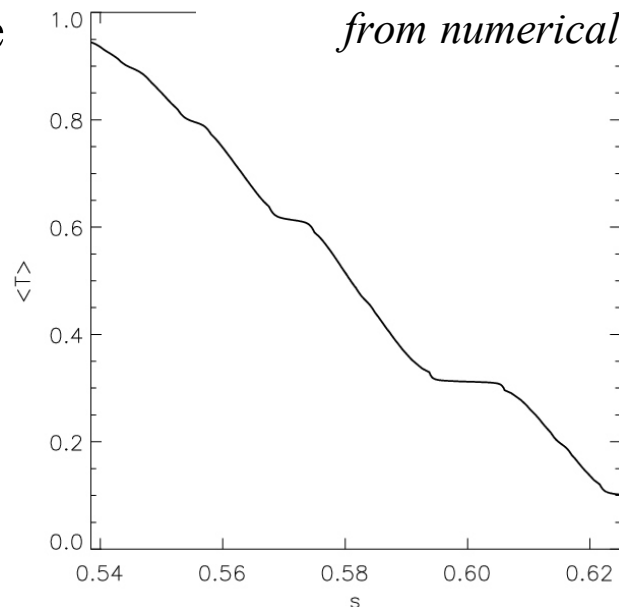
- From  $0 = \frac{\partial}{\partial s} \int_V \nabla \cdot \mathbf{q} dV = \frac{\partial}{\partial s} \int_{\partial V} \mathbf{q} \cdot \mathbf{n} d\sigma$  assume  $T = T(s)$  to derive  $T' = \frac{\text{const.}}{\kappa_{\parallel} \Omega + \kappa_{\perp} G}$

for quadratic-flux  $\Omega = \int d\sigma g^{ss} (B_n / B)^2$ , and metric  $G = \int d\sigma g^{ss}$ , where  $g^{ss} = \nabla s \cdot \nabla s$ ,  $B_n = \mathbf{B} \cdot \nabla s / |\nabla s|$

- in the "ideal limit"  $\kappa_{\perp} \rightarrow 0$ ,  $T' \rightarrow \infty$  on irrational KAM surfaces where  $\Omega = 0$ ;
- non-zero  $\kappa_{\perp}$  ensures  $T(s)$  is smooth,  $T'$  peaks on minimal- $\Omega$  surfaces (noble cantori).

## Temperature Profile

$(\kappa_{\perp} / \kappa_{\parallel} = 10^{-10})$



## Part 2 Almost invariant surfaces

- 1) two classes of almost-invariant surfaces have been suggested :
  - a) quadratic-flux minimizing (QFMin) surfaces, and
  - b) ghost-surfaces
- 2) an efficient, robust algorithm for constructing QFMin surfaces exists, but ghost-surfaces have attractive mathematical properties  
*(e.g. guaranteed non-intersection at strong-chaos, . . .)*
- 3) if the different classes of surfaces are in fact the same, improved numerical methods become available

# Quadratic-flux minimizing (QFMin) surfaces are a natural extension of flux surfaces, defined for chaotic fields

- toroidal coordinates  $(\psi, \theta, \zeta)$ , given magnetic field  $\mathbf{B} = \nabla \times (\psi \nabla \theta - \chi \nabla \zeta)$ , where  $\chi = \chi(\psi, \theta, \zeta)$ ,
- a toroidal surface may be described  $\psi = P(\theta, \zeta)$ , normal  $\mathbf{N} \equiv (\mathbf{e}_\theta + P_\theta \mathbf{e}_s) \times (\mathbf{e}_\zeta + P_\zeta \mathbf{e}_s)$ ,  $\nu \equiv \mathbf{B} \cdot \mathbf{N}$
- tangential dynamics described according to angle dynamics from field,  $\dot{\theta} = B^\theta / B^\zeta$ , and radial dynamics constrained to lie on surface  $\dot{\psi} = P_\theta \dot{\theta} + P_\zeta \dot{\zeta}$   
i.e. pseudo-field  $\mathbf{B}_\nu = \mathbf{B} - \nu \nabla \theta \times \nabla \zeta$

- quadratic flux  $\varphi_2 = \frac{1}{2} \iint (\mathbf{B} \cdot \mathbf{N})^2 d\theta d\zeta$ ,

Note  $\rightarrow$  coordinate dependence;  
extra Jacobian factor appears

- allowing the surface to vary to extremize  $\varphi_2$ , obtain Euler-Lagrange equation  $\mathbf{B}_\nu \cdot \nabla \nu = 0$   
*pseudo-field-lines* determined by following *pseudo-field*

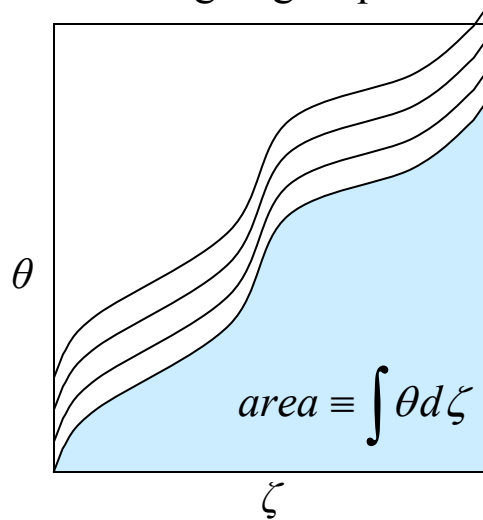
- 1) normal-field,  $\nu$ , constant along pseudo-field lines;
- 2) rational surface = family of periodic pseudo-field lines;
- 3) o.d.e. integration suitable for low-order periodic surfaces;

# Alternative construction of QFMin surfaces employs constrained action-integral techniques

- Magnetic field-lines are curves,  $C : \theta = \theta(\zeta), \psi = \psi(\zeta)$ , that extremize the action  $S = \int_C \mathbf{A} \cdot d\mathbf{r}$ 
  - Euler-Lagrange equation  $\mathbf{B} \times \delta \mathbf{r} = 0$
  - variational integration faster, robust to chaos; suited for finding high-order periodic orbits in chaos;
  - for numerical implementation: discretize infinite-dimensional curves,  $S = S(\theta_0, \theta_1, \theta_2, \dots, \theta_N)$ 
    - enforce periodicity constraint  $\theta_N = \theta_0 + 2\pi p, \zeta_N = \zeta_0 + 2\pi q$
    - find zero of action-gradient vector,  $\partial S / \partial \theta_i = 0$ , using Hessian  $\partial^2 S / \partial^2 \theta_{ij}$ ,
- A constrained variational principle for pseudo-field-lines  $S = \int_C \mathbf{A} \cdot d\mathbf{r} - \nu \left( \int \theta \nabla \zeta \cdot d\mathbf{r} - a \right)$ 

recall, to find minimum of  $f(x)$ , subject to constraint  $g(x) = g_0$ , minimize  $F(x, \lambda) = f(x) - \lambda [g(x) - g_0]$

- Euler-Lagrange equation gives pseudo-field  $\mathbf{B}_\nu \equiv \mathbf{B} - \nu \nabla \theta \times \nabla \zeta$



↑ increasing area constraint gives family of periodic pseudo-curves

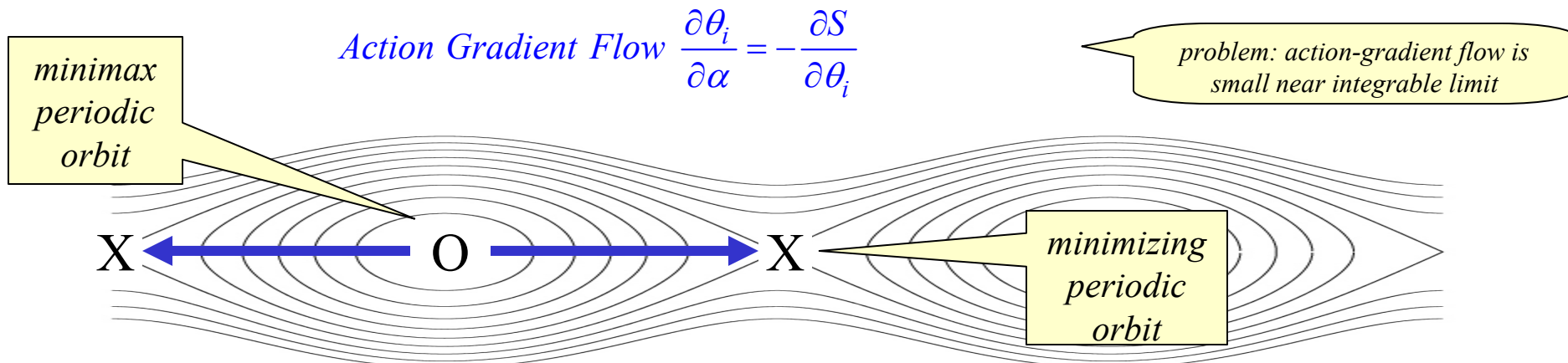
- 1) Lagrangian variational methods suitable for high-order periodic orbits ( $q \sim 10,000$ ) in strongly chaotic fields;
- 2) High-order periodic orbits approximate KAM surfaces and broken KAM surfaces (cantori);



# Ghost-surfaces constructed via action-gradient flow between the stable & unstable periodic orbits.

C. Golé, J. Differ. Equations **97**, 140 1992., R. S. MacKay and M. R. Muldoon, Phys. Lett. A **178**, 245, 1993.

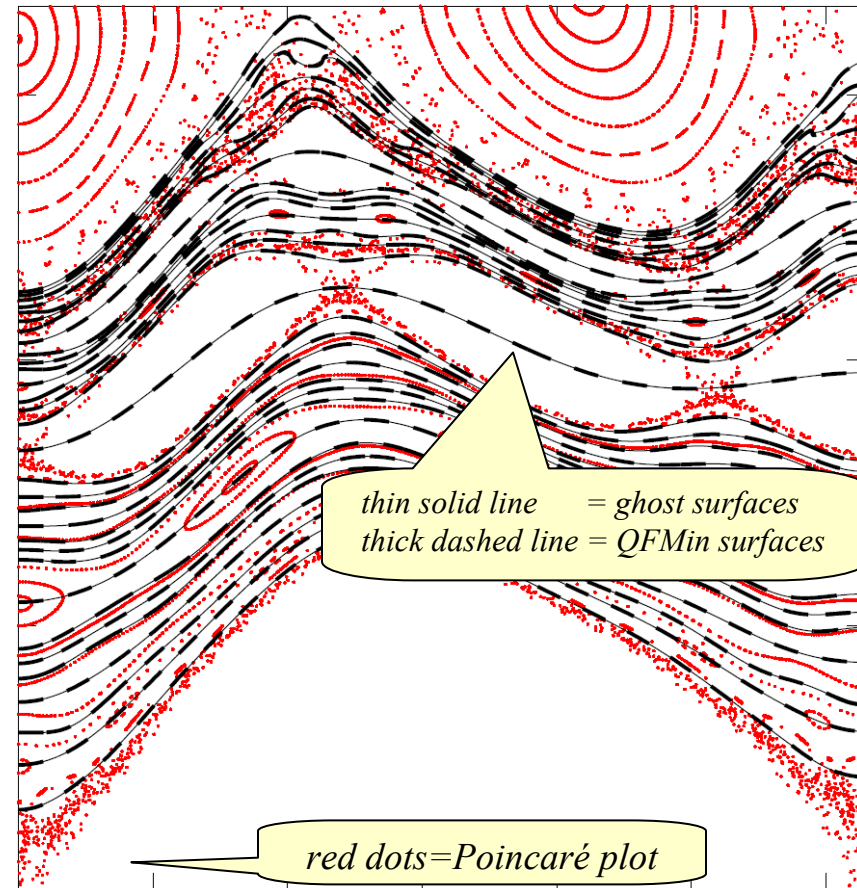
- At the minimax (stable) periodic orbit, the eigenvector of the Hessian,  $\partial^2 S / \partial^2 \theta_{ij}$ , with negative eigenvalue indicates the direction in which the action integral decreases.
- Pushing trial curve from minimax (stable)  $p/q$  orbit down action-gradient flow to minimizing (unstable)  $p/q$  orbit defines *ghost - surfaces*,
- Ghost-surfaces may be thought of as rational coordinate surfaces that pass through island chains.



# Ghost-surfaces are almost identical to QFMin surfaces!

*!! Ghost surfaces are defined by action-gradient flow;  
!! QFMin surfaces defined by minimizing quadratic-flux;  
→ no obvious reason why these different definitions should give the same surfaces*

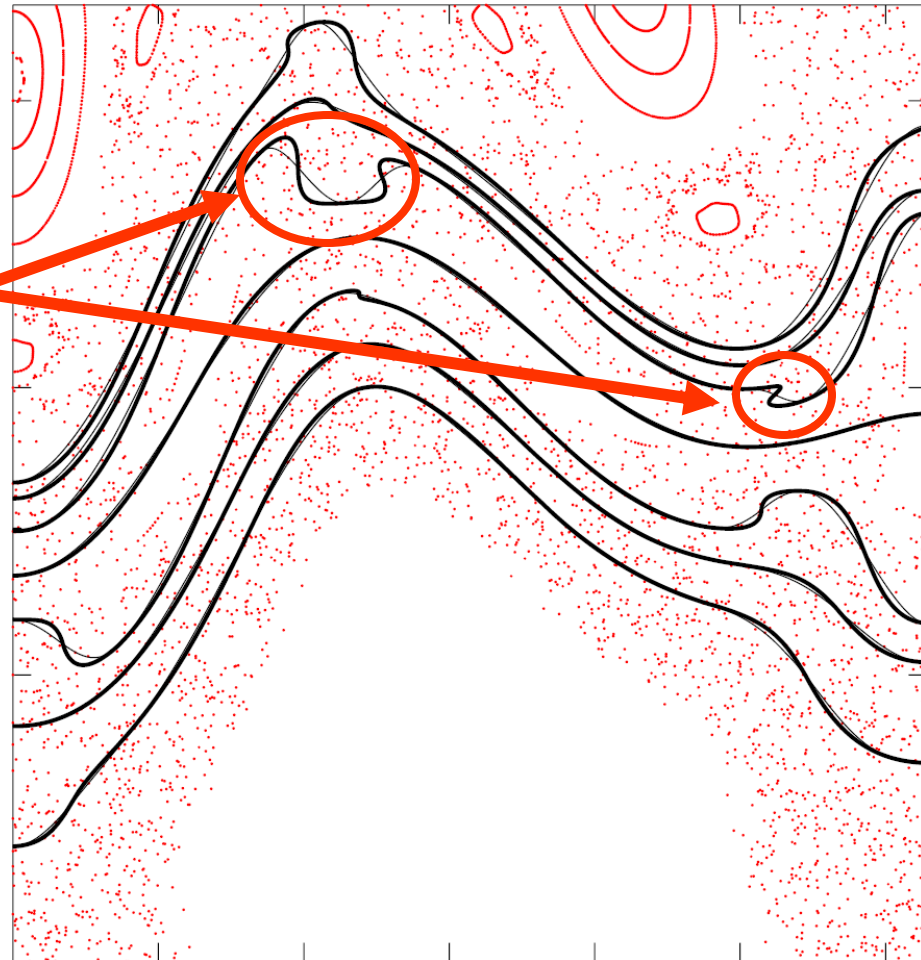
- Numerical evidence suggests ghost-surfaces and QFMin surfaces are *almost* the same;
- confirmed to 1st-order using perturbation theory;  
→ to higher order, need to exploit coordinate dependence of QFMin surfaces and ghost surfaces . . .
- opens possibility of using fast, robust construction of *unified* almost-invariant surfaces & chaotic coordinates



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- For stronger chaos, ghost-surfaces and QFMin surfaces are no longer the same;
- hopefully, can re-define QFMin surfaces so as to agree with ghost-surfaces yet keep efficient, robust numerical algorithm



# Summary

- in chaotic fields, anisotropic heat transport is restricted by irrational field-lines  $\equiv$  cantori;
- ghost-surfaces are closely related to quadratic-flux minimizing surfaces;
- a simple numerical construction has been introduced;
- the temperature takes the form  $T=T(s)$ , where  $s$  labels the chaotic coordinate surfaces;
- an expression for the temperature gradient in chaotic fields is derived;