

Nonlinear Gyroviscous Force in a Collisionless Plasma

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Motivation:

- calculate FLR corrections to pressure tensor in terms of particle distribution function
- no closure relation is assumed, no truncation

using gyrokinetic formalism:

advantage: reduced phase-space (5D),
expanded in ρ/L already

Gyrokinetic ordering:

$$\epsilon = \frac{\rho_i}{L} \sim \frac{\omega}{\omega_{ci}} \sim \frac{k_{\parallel}}{k_{\perp}}, \quad \epsilon_{\delta} = \frac{\delta F}{F} \sim \frac{e\varphi}{T},$$

$$\epsilon \sim \epsilon_{\delta} \ll 1$$

$$\epsilon_{\perp} = (k_{\perp}\rho)^2 \sim 1$$

Assumptions:

- electrostatic, straight \mathbf{B}
- second order in ϵ and ϵ_{δ}
- $\epsilon_{\perp} = (k_{\perp}\rho)^2 \ll 1$

Previous work:

- **Braginskii gyroviscosity**

collisional ordering [Braginskii'65]

neglects gradients of heat fluxes in $(\nabla \cdot \boldsymbol{\pi}_g)$

- **Fluid calculations**

[Hazeltine and Meiss'85, Chang and Callen'92, Smolyakov'98],
include higher order terms (in ϵ), heat fluxes

- **Gyrofluid calculations**

[Brizard'92, Dorland and Hammet'92]

gyrokinetic Vlasov equation is used to derive a set of reduced
gyrofluid (and particle fluid) FLR equations

- **For $\nabla T \neq 0$ all give different answers!**

OUTLINE:

- Gyrokinetic formalism
- Gyrofluid equations
- Particle-fluid equations
- Example: first four fluid equations
- Parallel momentum equation, $(\nabla \cdot \pi_g)_\parallel$
- “Perpendicular momentum equation”, $(\nabla \cdot \pi_g)_\perp$
- Two forms of gyroviscous force
- FLR particle closure
- Summary

Gyrokinetic formalism

Gyrokinetic Vlasov equation (up to $O(\epsilon^2)$):
[Dubin'83]

$$\frac{\partial F}{\partial t} + \left(\hat{\mathbf{b}}U + \frac{1}{B} \hat{\mathbf{b}} \times \nabla \langle \Phi \rangle \right) \cdot \nabla F - \hat{\mathbf{b}} \cdot \nabla \langle \Phi \rangle \frac{\partial F}{\partial U} = 0$$

$\bar{\mathbf{Z}} \equiv (\mathbf{X}, U, \mu, \theta)$ - gyrocenter coordinates,
 $F = F(\mathbf{X}, U, \mu)$ - gyrocenter distribution function.

$$\Phi = \varphi - \frac{1}{2B} \frac{\partial \tilde{\varphi}^2}{\partial \mu} + \frac{1}{2B^2} \hat{\mathbf{b}} \cdot \nabla \tilde{\Psi} \times \nabla \tilde{\varphi},$$

$$\tilde{\varphi} = \varphi - \langle \varphi \rangle, \quad \tilde{\Psi} = \int^\theta \tilde{\varphi} d\theta'$$

Relation between gyrocenter and guiding-center variables (I)

- First order transformation (in ϵ_δ):

$$\bar{Z}^j(\mathbf{Z}) = Z^j + G_1^j,$$

where components of generating vector are ($n = 1$ or 2):

$$\begin{aligned} \mathbf{G}_n &= -\hat{\mathbf{b}} \partial S_n / \partial U - \frac{1}{B} \hat{\mathbf{b}} \times \nabla S_n \\ G_n^U &= \nabla_{\parallel} S_n \\ G_n^\mu &= \partial S_n / \partial \theta \\ G_n^\theta &= -\partial S_n / \partial \mu \end{aligned}$$

and S_1 is a gauge function for the first order transformation [Cary and Littlejohn'83]

$$\frac{\partial S_1}{\partial t} + U \nabla_{\parallel} S_1 + B \frac{\partial S_1}{\partial \theta} = \tilde{\varphi}$$

$$S_1^{(1)} = \frac{1}{B} \tilde{\Psi} + O(\epsilon^2)$$

$$S_1^{(2)} = -\frac{1}{B^2} \int^\theta \left(\frac{\partial \tilde{\Psi}}{\partial t} + U \nabla_{\parallel} \tilde{\Psi} \right) d\theta' + O(\epsilon^3)$$

Relation between gyro-center and guiding-center variables (II)

- Second order transformation (in ϵ_δ):

$$\bar{Z}^j(\mathbf{Z}) = Z^j + G_1^j + \frac{1}{2}G_1^i \frac{\partial G_1^j}{\partial Z^i} + G_2^j + O(\epsilon^3),$$

S_2 is a gauge function for the second order transformation:

$$\begin{aligned} B \frac{\partial S_2}{\partial \theta} = & -\mathbf{G}_1 \cdot \nabla \bar{\varphi} - G_1^\mu \frac{\partial \bar{\varphi}}{\partial \mu} - \frac{1}{2} \left[(\mathbf{G}_1 \cdot \nabla \tilde{\varphi}) + G_1^\mu \frac{\partial \tilde{\varphi}}{\partial \mu} + G_1^\theta \frac{\partial \varphi}{\partial \theta} \right] \\ & + \frac{1}{2} \left[\langle \mathbf{G}_1 \cdot \nabla \tilde{\varphi} \rangle + \langle G_1^\mu \frac{\partial \tilde{\varphi}}{\partial \mu} \rangle + \langle G_1^\theta \frac{\partial \varphi}{\partial \theta} \rangle \right] \end{aligned}$$

- Guiding-center distribution function F_{gc} can be expressed in terms of the gyrocenter distribution function, F

$$F_{gc}(\mathbf{z}) = F(\mathbf{Z}(\mathbf{z}))$$

General gyro-fluid moment equation

Gyro-fluid (GF) moment is defined as:

$$M_{kl}(\mathbf{X}, t) \equiv \|\mu^k U^l\|^{GF} = \int \mu^k U^l F d\mu dU$$

From gyrokinetic Vlasov equation up to $O(\epsilon^2)$, $O(\epsilon_\perp)$ order,

for l - even:

$$\frac{d' M_{kl}}{dt} + \nabla_{\parallel} M_{kl+1} + \frac{1}{2B^2} \left\{ \nabla_{\perp}^2 \varphi, M_{k+1l} \right\} = 0$$

for l - odd:

$$\begin{aligned} \frac{d' M_{kl}}{dt} + \nabla_{\parallel} (M_{kl+1} + l M_{kl-1} \varphi) + \frac{1}{2B^2} \left\{ \nabla_{\perp}^2 \varphi, M_{k+1l} \right\} \\ + \frac{1}{2B} l M_{k+1l-1} \nabla_{\parallel} \nabla_{\perp}^2 \varphi = 0 \end{aligned}$$

where

$$\frac{d'}{dt} \equiv \frac{\partial}{\partial t} + (\mathbf{V}_E \cdot \nabla), \quad \{f, g\} \equiv \hat{\mathbf{b}} \cdot \nabla f \times \nabla g$$

Relation between gyrofluid and particle-fluid moments

General particle-fluid (PF) moment:

$$m_{kl}(\mathbf{x}, t) \equiv \|\mu^k U^l\|^{PF} = \int (v_\perp^2/2B)^k (v_\parallel)^l f d^3\mathbf{v}$$

m_{kl} in terms of guiding-center distribution function, F_{gc} :

$$m_{kl}(\mathbf{x}, t) = \int \mu^k U^l F_{gc}(\mathbf{Z}) \delta(\mathbf{X} + \boldsymbol{\rho} - \mathbf{x}) d^6\mathbf{Z}$$

Gyrokinetic transformation (needed up to $O(\epsilon)$):

$$F_{gc}(\mathbf{Z}) = T_{gy}(F) = F + \mathbf{G}_1 \cdot \nabla F + G_1^\mu \partial F / \partial \mu + G_1^U \partial F / \partial U$$

Substituting F_{gc} and G_1^j , one can express PF moment in terms of GF moments ($O(\epsilon_\perp)$):

$$m_{kl}(\mathbf{x}, t) = \left(M_{kl} + \frac{1}{2B} \nabla_\perp^2 M_{k+1l} + \frac{(k+1)}{B^2} M_{kl} \nabla_\perp^2 \varphi \right) (\mathbf{x}, t)$$

with $O(\epsilon_\perp)$ accuracy, the inverse:

$$M_{kl}(\mathbf{X}, t) = \left(m_{kl} - \frac{1}{2B} \nabla_\perp^2 m_{k+1l} - \frac{(k+1)}{B^2} m_{kl} \nabla_\perp^2 \varphi \right) (\mathbf{X}, t)$$

General particle-fluid moment equation

Expressing GF moments in terms of the PF moments in the gyro-fluid set of equations, one can obtain with $O(\epsilon^2)$ and $O(\epsilon_\perp)$ accuracy

for l - even:

$$\frac{d'm_{kl}}{dt} = -\nabla_{\parallel} m_{kl+1} + m_{kl}(k+1) \frac{d'}{dt} \nabla_{\perp}^2 \varphi / B^2 - \nabla_{\perp} \cdot \{ \nabla_{\perp} \varphi, m_{k+1l} \} / B^2$$

for l - odd:

$$\begin{aligned} \frac{d'm_{kl}}{dt} = & -\nabla_{\parallel} m_{kl+1} - l m_{kl-1} \nabla_{\parallel} \varphi - \nabla_{\perp} \cdot \{ \nabla_{\perp} \varphi, m_{k+1l} \} / B^2 \\ & + \left[(k+1) m_{kl+1} / B^2 - l m_{k+1l-1} / B \right] \nabla_{\parallel} \nabla_{\perp}^2 \varphi \end{aligned}$$

where $d'/dt = \partial/\partial t + (\mathbf{v}_E \cdot \nabla)$.

Note that particle-fluid equations exhibit a generalized form of “gyroviscous cancellation” (a cancellation of $(\mathbf{v}_* \cdot \nabla)$ term from the total time derivative on LHS).

Example: nonlinear reduced fluid equations $O(\epsilon^2)$

$$n = \|1\|^{PF} = m_{00}, \quad nv_{\parallel} = \|U\|^{PF} = m_{01},$$

$$p_{\perp} = \|B\mu\|^{PF} = Bm_{10}, \quad p_{\parallel} = \|U^2\|^{PF} = m_{02}, \quad \dots$$

$$\frac{d'n}{dt} = -\nabla_{\parallel} (nv_{\parallel}) + \frac{n}{B^2} \frac{d'}{dt} \nabla_{\perp}^2 \varphi - \nabla_{\perp} \cdot \{\nabla_{\perp} \varphi, p_{\perp}\} / B^3$$

$$\frac{d'p_{\perp}}{dt} = -\nabla_{\parallel} \|U\mu B\| + \frac{2p_{\perp}}{B^2} \frac{d'}{dt} \nabla_{\perp}^2 \varphi - \nabla_{\perp} \cdot \{\nabla_{\perp} \varphi, \|\mu^2\|\} / B$$

$$\frac{d'p_{\parallel}}{dt} = -\nabla_{\parallel} \|U^3\| + \frac{p_{\parallel}}{B^2} \frac{d'}{dt} \nabla_{\perp}^2 \varphi - \nabla_{\perp} \cdot \{\nabla_{\perp} \varphi, \|U^2\mu\|\} / B^2$$

$$n \frac{d'v_{\parallel}}{dt} = -\nabla_{\parallel} (p_{\parallel} + n\varphi) - \nabla_{\perp} \cdot \{\nabla_{\perp} \varphi, \|U\mu\|\} / B^2 + \frac{p_{\parallel} - p_{\perp}}{B^2} \nabla_{\parallel} \nabla_{\perp}^2 \varphi$$

where

$$\|U\mu\|B = p_{\perp}v_{\parallel} + q_{\parallel}^{(\perp)}, \quad \|U^3\| = 3p_{\parallel}v_{\parallel} + 2q_{\parallel}^{(\parallel)},$$

$$\|\mu^2\|B^2 = \frac{2p_{\perp}^2}{n} + 2R_{\perp}, \quad \|U^2\mu\|B = \frac{p_{\perp}p_{\parallel}}{n} + R_{\times}$$

Parallel momentum equation, $(\nabla \cdot \boldsymbol{\pi}_g)_\parallel$

From FLR parallel momentum equation, one can find parallel component of gyroviscous force (in terms of PF moments):

$$n \frac{d'v_\parallel}{dt} = -\nabla_\parallel (p_\parallel + n\varphi) - \nabla_\perp \cdot \{\nabla_\perp \varphi, \|U\mu\|\} / B^2 + \frac{p_\parallel - p_\perp}{B^2} \nabla_\parallel \nabla_\perp^2 \varphi$$

$$n \frac{dv_\parallel}{dt} = -\nabla_\parallel p_\parallel - (\nabla \cdot \boldsymbol{\pi}_g)_\parallel - n \nabla_\parallel \varphi,$$

where $\boldsymbol{\pi}_g$ is defined by: $\mathbf{P} = \mathbf{P}^{CGL} + \boldsymbol{\pi}_g + \rho \mathbf{v}\mathbf{v}$

$$(\nabla \cdot \boldsymbol{\pi}_g)_\parallel = -(n\mathbf{v}_* \cdot \nabla)v_\parallel + \frac{1}{B^2} \nabla_\perp \cdot \{\nabla_\perp \varphi, \|U\mu\|\} - \frac{p_\parallel - p_\perp}{B^2} \nabla_\parallel \nabla_\perp^2 \varphi$$

- Parallel momentum equation agrees with direct fluid calculations of [Smolyakov'98] for $p_\perp^{(0)} = p_\parallel^{(0)}$.

- extra terms $\sim \delta T$ and parallel vorticity terms [Brizard'92, Chang and Callen'92] are cancelled out, when parallel heat fluxes contribution is consistently retained.

“Perpendicular momentum equation”, $(\nabla \cdot \boldsymbol{\pi}_g)_\perp$

Nonlinear reduced fluid equations can be derived by taking moments of the gyrokinetic Vlasov equation, and expressing them in terms of the particle-fluid moments. Accuracy of $O(\epsilon)$ is required.

Perpendicular component of momentum equation can not be derived in the same way (moment of $\int \mathbf{V}_\perp F dU d\mu d\theta$ vanishes). It can be recovered, when $n\mathbf{v}_\perp(\mathbf{x}, t)$ is expressed via moments of the gyro-center distribution function F through the second order in ϵ and $\epsilon\delta$.

From

$$n \frac{d\mathbf{v}_\perp}{dt} = -\nabla_\perp p_\perp - (\nabla \cdot \boldsymbol{\pi}_g)_\perp + n(\mathbf{E}_\perp + \mathbf{v} \times \mathbf{B}),$$

follows:

$$\begin{aligned} \mathbf{v}_\perp^{(0)} &= \mathbf{v}_* + \mathbf{v}_E \\ \mathbf{v}_\perp &= \mathbf{v}_* + \mathbf{v}_E + \underbrace{\frac{1}{nB} \hat{\mathbf{b}} \times (\nabla \cdot \boldsymbol{\pi}_g) + \frac{1}{B} \hat{\mathbf{b}} \times \frac{d\mathbf{v}_\perp^{(0)}}{dt}}_{O(\epsilon^2)} \end{aligned}$$

where $\mathbf{v}_* = \hat{\mathbf{b}} \times \nabla p_\perp / (nB)$, and $d/dt = \partial/\partial t + \mathbf{v}_\perp^{(0)} \cdot \nabla$.

If particle-fluid velocity $n\mathbf{v}_\perp = \int \mathbf{V}_\perp f d^3V$ is calculated up to the second order, the perpendicular component of gyroviscous force can be found.

Calculation of $n\mathbf{v}_\perp$ (I)

$$n\mathbf{v}_\perp(\mathbf{x}, t) \equiv \int \mathbf{w}_\perp f(\mathbf{w}, \mathbf{x}, t) d^3\mathbf{w} = \int \mathbf{V}_\perp F_{gc} \delta(\mathbf{X} + \boldsymbol{\rho} - \mathbf{x}) d^6\mathbf{Z}$$

where the guiding-center distribution function, F_{gc} , can be expressed via gyro-center distribution function, F , up to the second order:

$$F_{gc} = F + G_1^j \frac{\partial F}{\partial Z^j} + \frac{1}{2} G_1^j \frac{\partial}{\partial Z^j} \left(G_1^i \frac{\partial F}{\partial Z^i} \right) + G_2^j \frac{\partial F}{\partial Z^j}$$

Keeping all nonlinear terms $\sim O(\epsilon^2, \epsilon\epsilon_\delta, \epsilon_\delta^2)$ and $O(\epsilon_\perp)$, we obtain:

$$\begin{aligned} n\mathbf{v}_\perp = & \frac{1}{B} \hat{\mathbf{b}} \times \nabla P_\perp + N\mathbf{V}_E + \frac{1}{4B} \hat{\mathbf{b}} \times \nabla(\nabla_\perp^2 \|\mu^2\|) \\ & - \frac{3}{2B} \hat{\mathbf{b}} \times \nabla \chi_\perp - \frac{N}{B^2} \left(\frac{\partial}{\partial t} + \mathbf{V}_* \cdot \nabla \right) \nabla_\perp \varphi \\ & + \frac{1}{B^3} \hat{\mathbf{b}} \times \nabla(\nabla_\perp P_\perp \cdot \nabla_\perp \varphi) + \frac{1}{2B^2} \mathbf{V}_E(\nabla_\perp^2 P_\perp) \\ & + \frac{N}{2B^3} \hat{\mathbf{b}} \times \nabla(\nabla_\perp \varphi)^2 \end{aligned}$$

where RHS is written in terms of the gyro-fluid moments, and $N\mathbf{V}_* = \hat{\mathbf{b}} \times \nabla P_\perp / B$, and $\chi_\perp = -(P_\perp / B) \hat{\mathbf{b}} \cdot \nabla \times \mathbf{V}_E$.

Calculation of $n\mathbf{v}_\perp$ (II)

In terms of particle-fluid moments $n\mathbf{v}_\perp$ becomes:

$$\begin{aligned} n\mathbf{v}_\perp = & n(\mathbf{v}_* + \mathbf{v}_E) - \frac{1}{4B}\hat{\mathbf{b}} \times \nabla(\nabla_\perp^2 \|\mu^2\|) + \frac{1}{2B}\hat{\mathbf{b}} \times \nabla\chi_\perp \\ & - \frac{n}{B^2} \frac{d}{dt} \nabla_\perp \varphi + \frac{1}{2nB^3}\hat{\mathbf{b}} \times \nabla(\nabla_\perp p_\perp)^2 \end{aligned}$$

Therefore,

$$(\nabla \cdot \boldsymbol{\pi}_g)_\perp = -n \frac{d\mathbf{v}_*}{dt} + \nabla_\perp \tilde{\chi}$$

From FLR fluid equation for p_\perp , it can be shown that

$$\frac{d\mathbf{v}_*}{dt} = (\mathbf{v}_* \cdot \nabla)\mathbf{v}_\perp - \frac{1}{n}\hat{\mathbf{b}} \times \nabla(\nabla_\parallel \|U\mu\|)$$

which allows to write the perpendicular gyroviscous force in [Chang and Callen'92] form, as:

$$(\nabla \cdot \boldsymbol{\pi}_g)_\perp = -n(\mathbf{v}_* \cdot \nabla)\mathbf{v}_\perp + \hat{\mathbf{b}} \times \nabla(\nabla_\parallel \|U\mu\|) + \nabla_\perp \tilde{\chi}$$

where

$$\tilde{\chi} \equiv \frac{1}{2}\chi_\perp - \frac{1}{4}\nabla_\perp^2 \|\mu^2\| + \frac{1}{2nB^2}(\nabla_\perp p_\perp)^2$$

RESULTS (PF)

For $\boldsymbol{\pi}_g$ defined by: $\boldsymbol{\pi}_g = \mathbf{P} - \mathbf{P}^{CGL} - \rho \mathbf{v} \mathbf{v}$, in terms of PF moments, we have calculated up to second order in ϵ and ϵ_δ , and up to $O((k_\perp \rho_i)^2)$:

$$\begin{aligned} (\nabla \cdot \boldsymbol{\pi}_g)_\parallel &= -(n \mathbf{v}_* \cdot \nabla) v_\parallel + \frac{1}{B^2} \nabla_\perp \cdot \{ \nabla_\perp \varphi, \|U\mu\| \} \\ &\quad - \frac{p_\parallel - p_\perp}{B^2} \nabla_\parallel \nabla_\perp^2 \varphi \end{aligned} \quad (1)$$

$$(\nabla \cdot \boldsymbol{\pi}_g)_\perp = -(n \mathbf{v}_* \cdot \nabla) \mathbf{v}_\perp + \hat{\mathbf{b}} \times \nabla (\nabla_\parallel \|U\mu\|) + \nabla_\perp \tilde{\chi} \quad (2)$$

or

$$= -n \frac{d\mathbf{v}_*}{dt} + \nabla_\perp \tilde{\chi} \quad (3)$$

For Maxwellian f : $\tilde{\chi} = -\frac{p_\perp}{2B} \hat{\mathbf{b}} \cdot \nabla \times \mathbf{v}_\perp - \frac{1}{2B^2} \nabla_\perp \cdot (p_\perp \nabla_\perp T_\perp)$.

Note that two forms (2) and (3) of perpendicular gyroviscous force are obtained, which are related by the equation for p_\perp .

For $\nabla_\perp T_\perp = 0$, these two expressions are equivalent to that of [Chang and Callen'92] and [Hazeltine and Meiss, 1985], respectively. For $\delta T_\perp = \delta T_\parallel$, our results agree with calculations by Smolyakov [1998].

Gyroviscous stress tensor in terms of F (FLR particle closure)

GF gyroviscous stress tensor defined as:

$$\mathbf{\Pi}_g = \mathbf{P} - P_{\perp}(\mathbf{I} - \hat{\mathbf{b}}\hat{\mathbf{b}}) - P_{\parallel}\hat{\mathbf{b}}\hat{\mathbf{b}}$$

it is related to $\boldsymbol{\pi}_g$

$$\begin{aligned} \mathbf{\Pi}_g = \boldsymbol{\pi}_g + \rho\mathbf{V}\mathbf{V} &+ (p_{\perp} - P_{\perp} - \rho V_{\perp}^2/2)(\mathbf{I} - \hat{\mathbf{b}}\hat{\mathbf{b}}) \\ &+ (p_{\parallel} - P_{\parallel} - \rho V_{\parallel}^2)\hat{\mathbf{b}}\hat{\mathbf{b}} \end{aligned}$$

where $p_{\perp(\parallel)}$ and $P_{\perp(\parallel)}$ are PF and GF pressure, respectively.

Expressing particle-fluid moments in terms of gyrofluid moments:

$$\begin{aligned} (\nabla \cdot \mathbf{\Pi}_g) &= (n\mathbf{V}_E \cdot \nabla)\mathbf{V} + \hat{\mathbf{b}} \times \nabla (\nabla_{\parallel}\|\mu U\|) \\ &+ \nabla_{\perp} (\nabla_{\perp}^2\|\mu^2\|/4 - 3\chi_{\perp}/2 + n\mathbf{V}_* \cdot \mathbf{V}_E) \\ &+ \nabla_{\parallel} (\nabla_{\perp}^2\|U^2\mu\|/2B - \chi_{\perp}) \\ &+ \hat{\mathbf{b}} (\nabla_{\perp} \cdot \{\nabla_{\perp}\varphi, \|U\mu\|\} + \{\varphi, \nabla_{\perp}^2\|U\mu\|\})/2 / B^2 \end{aligned}$$

where

$$\chi_{\perp} = -\frac{P_{\perp}}{B}\hat{\mathbf{b}} \cdot \nabla \times \mathbf{V}_E, \quad \|*\| = \int (*)F dU d\mu,$$

Summary

FLR corrections to the ion stress tensor have been derived through $O(\epsilon_{\perp})$ order, and can be used both in kinetic and fluid calculations.

- FLR corrections are calculated using gyrokinetic approach.
- Kinetic expression for $(\nabla \cdot \mathbf{\Pi}_{\mathbf{g}})$ allows to include higher order FLR corrections into numerical models with so-called particle closure - it is more accurate than usual drift-kinetic and cheaper than the gyrokinetic schemes.
- A set of nonlinear FLR reduced fluid equations has been obtained in a general form.
- Previous calculations of $(\nabla \cdot \mathbf{\pi}_{\mathbf{g}})$ for $T \neq const$ have been corrected. Our results are in general agreement with the direct fluid calculations by Smolyakov [1998].
- Disagreement between the previous direct fluid and gyrofluid calculations of $(\nabla \cdot \mathbf{\pi}_{\mathbf{g}})_{\parallel}$ has been resolved.