

# General parallel closures for plasma fluid equations<sup>1</sup>

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Closures Workshop

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# Overview

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- Objective: Numerically simulate plasmas confined by slowly evolving magnetic fields.
- Requires closed system of equations with general treatment of parallel dynamics.
- “General” implies as much physics as possible.

$$\frac{\partial}{\partial t} \sim \nu \sim k_{\parallel} v_{th}$$

## *Collisional or collisionless transport?*

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- Nature of parallel transport in vicinity of magnetic island varies.  
Collisional near X-points:  $k_{\parallel} v_{th} / \nu \rightarrow 0$ .  
Moderately collisional inside island:  $k_{\parallel} v_{th} / \nu \geq 1$

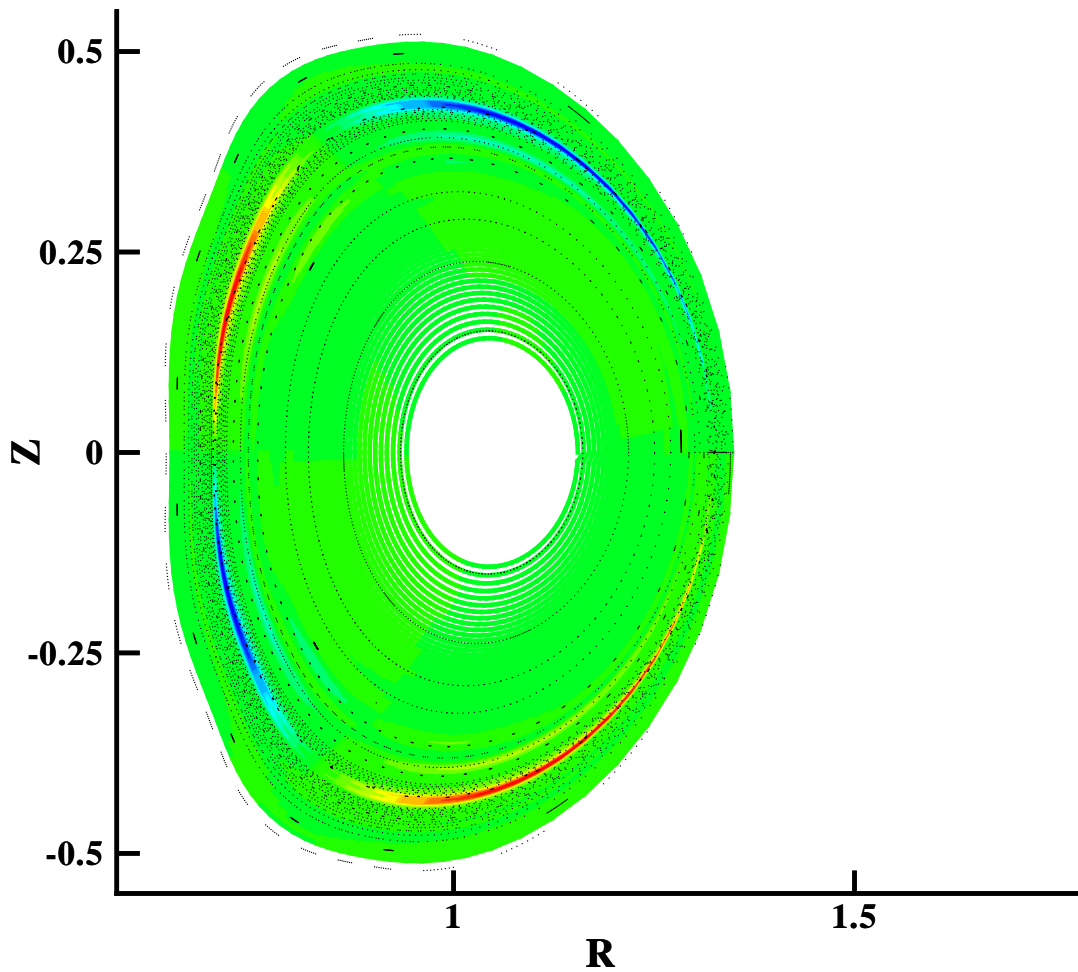


Figure 1: Perturbed heat flow contours due to a 2/1 magnetic island.

- Closures should allow for arbitrary collisionality.

# *General $\Rightarrow$ Intractable?*

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- Model requirements for quantitative parallel closures.
  1. Relevant electron and ion kinetic equations
    - Drift kinetic equations (DKE)
  2. Good collision operator
    - Lorentz scattering with nonlocal restoring terms
  3. Free-streaming and time-dependent physics
    - Integration involving characteristics.
- Closures must also be numerically tractable.
  1. Time spent calculating closures  $\sim$  time for nonlinear step.
  2. Closures must be robust, numerically stable.

## Take Chapman-Enskog-like (CEL) approach.

- Write  $f$  as the sum of Maxwellian,  $f_M$ , and kinetic distortion,  $F$ :

$$f = f_M + F = n(\vec{x}, t) \left[ \frac{m}{2\pi T(\vec{x}, t)} \right]^{\frac{3}{2}} \exp \left[ -\frac{m(\vec{v} - \vec{V})^2}{2T(\vec{x}, t)} \right] + F,$$

- Why 5-moment CEL approach?
  1. Keeps fluid and kinetic physics separate.
  2.  $\vec{V}$  and  $T$  (or  $p$ ) readily available in most plasma fluid codes.
  3. Density does not appear directly as drive.
  4. Fewer fluid equations.

WARNING: 5-moment approach is less messy but requires better solution of kinetic equation.

- Write but do not solve full CEL kinetic equation:

$$\frac{\partial F}{\partial t} + \vec{v} \cdot \vec{\nabla} F + \frac{e}{m} \left( \vec{E} + \frac{\vec{v} \times \vec{B}}{c} \right) \cdot \vec{\nabla}_{\vec{v}} F - C(f) =$$

$$m \left( \vec{v}' \vec{v}' - \frac{v'^2}{3} \mathbf{I} \right) : \vec{\nabla} \vec{V} \frac{f_M}{T} + \vec{v}' \cdot \left( \vec{\nabla} \cdot \Pi - \vec{R} \right) \frac{f_M}{p} -$$

$$\frac{2}{3} L_1^{(\frac{1}{2})} \left( \Pi : \vec{\nabla} \vec{V} + \vec{\nabla} \cdot \vec{q} - Q \right) \frac{f_M}{p} + L_1^{(\frac{3}{2})} \vec{v}' \cdot \vec{\nabla} T \frac{f_M}{T}.$$

## Gyroaverage kinetic equations and define parallel closure moments.

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- Gyroaverage full kinetic equations, order out drift effects and ignore remaining annoying terms (nonlinearities, parallel drift terms, etc...).

$$\left(\frac{\partial}{\partial t} + \vec{v}_{\parallel} \cdot \vec{\nabla}\right) \bar{F} - \langle C(\bar{F} + f_M) \rangle =$$

$$\frac{f_{M0}}{p_0} \left( - P_0(\xi) \quad (2/3) L_1^{(\frac{1}{2})} \nabla q_{\parallel} \right.$$

$$+ P_1(\xi) \quad v \left( \hat{b} \cdot \vec{\nabla} \cdot \Pi_{\parallel} - R_{\parallel} + L_1^{(\frac{3}{2})} n_0 \nabla_{\parallel} T \right)$$

$$\left. - P_2(\xi) \quad n_0 m v^2 \left( \hat{b} \hat{b} - \frac{\mathbf{I}}{3} \right) : \vec{\nabla}_{\parallel} \vec{V}_{\parallel} \right),$$

where  $\xi = v_{\parallel}/v$ ,  $P_0, P_1$  and  $P_2$  are Legendre polynomials, and  $\hat{b} \cdot \vec{\nabla} \cdot \Pi_{\parallel} = \hat{b} \cdot \vec{\nabla} \cdot \pi_{\parallel} \left( \hat{b} \hat{b} - (\mathbf{I}/3) \right) = (2/3) \nabla_{\parallel} \pi_{\parallel}$ .

- Define collision operator.

$$\langle C(\bar{F}_s + f_{Ms}) \rangle = \underbrace{\sum_{s'} \nu_{ss'}(v) \frac{\partial}{\partial \xi} (1 - \xi^2) \frac{\partial \bar{F}_s}{\partial \xi}}_{\text{Lorentz operator}} + \underbrace{\sum_{s'} \sum_k \nu_{s'k}^{\text{res}}(v) \psi_k(\bar{F}_{s'}) f_{Ms}}_{\text{restoring terms}}.$$

- Define parallel closures.

$$\pi_{\parallel} \equiv m \int d^3 v v^2 P_2(\xi) \bar{F},$$

and

$$q_{\parallel} \equiv -T \int d^3 v v P_1(\xi) L_1^{(\frac{3}{2})} \bar{F},$$

## *Homogeneous solution written in terms of characteristics.*

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- Write homogeneous solution in terms of Legendre polynomials and characteristics,  $\tau_{\pm} = (L/v) \mp t$ .

$$\bar{F}_h = \sum_{n=0}^N P_n(\xi) \sum_{i=0}^N \left[ a_{ni}^+ e^{k_i^+ \nu_s \tau'_+} + a_{ni}^- e^{k_i^- \nu_s \tau'_-} \right],$$

where  $a_{ni}^{\pm}$  and  $k_i^{\pm}$  are constants and  $\nu_s(v) = \sum_{s'} \nu_{ss'}(v)$ .

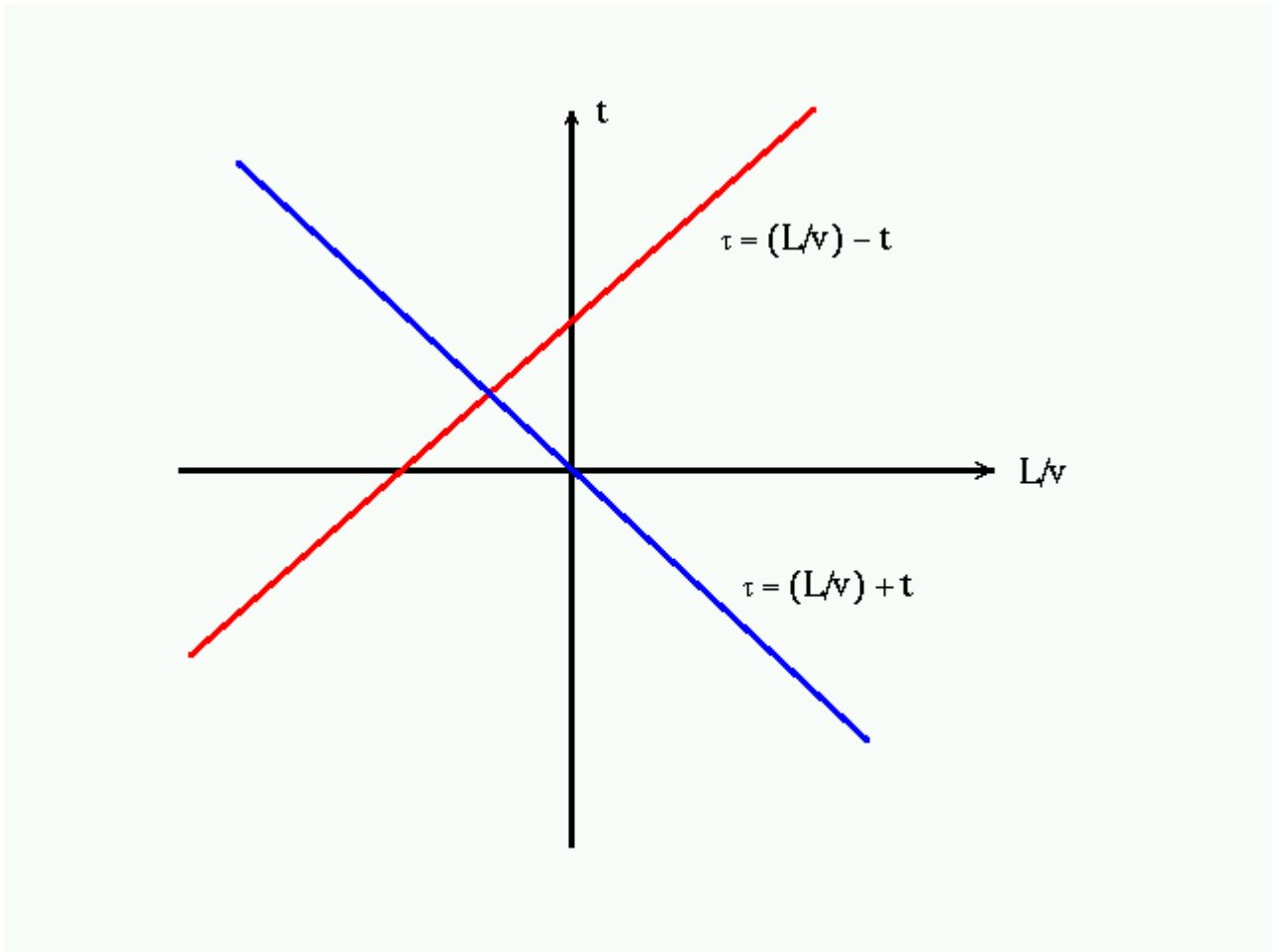


Figure 2: Sample characteristics along which homogeneous solution is constant.

# Integration along characteristics captures nonlocal effects.

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- Inhomogeneous solution shows nonlocal dependence on fluid and closure moments.

$$\bar{F} = \sum_{n=0}^N P_n(\xi) \sum_{i=0}^N \sum_{\pm} \bar{a}_{ni}^{\pm} \int^{\tau'} d\tau g(v, t, L) \frac{f_{M0}}{p_0} e^{k_i^{\pm} v_s (\tau'_{\pm} - \tau_{\pm})},$$

where  $g = L_1^{(\frac{1}{2})} \nabla_{\parallel} q_{\parallel} + v \left( \hat{b} \cdot \vec{\nabla} \cdot \Pi_{\parallel} - R_{\parallel} \right) + n_0 v L_1^{(\frac{3}{2})} \nabla_{\parallel} T - n_0 m v^2 \left( \hat{b} \hat{b} - \frac{1}{3} \right) : \vec{\nabla}_{\parallel} \vec{V}_{\parallel}$ .

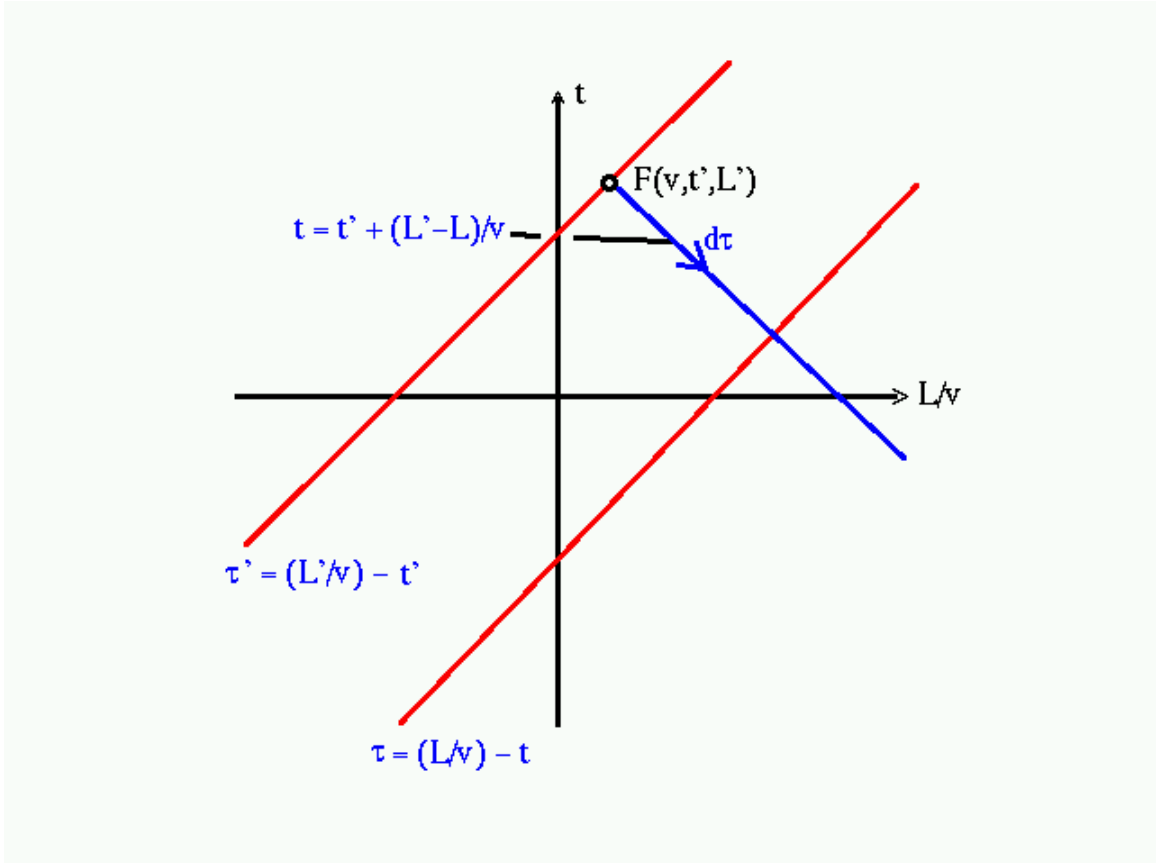


Figure 3: Integrals along  $t = t' + (L' - L)/v$  connect characteristics when evaluating  $\bar{F}$  at  $(v, t', L')$ .



## *Closures take form of singular Fredholm integral equations.*

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- Taking moments yields coupled system of integral equations.

$$\begin{pmatrix} q_{\parallel} + Q(q_{\parallel}) & Q(\pi_{\parallel}) \\ P(q_{\parallel}) & \pi_{\parallel} + P(\pi_{\parallel}) \end{pmatrix} = \begin{pmatrix} Q(T) & Q(V_{\parallel}) \\ P(T) & P(V_{\parallel}) \end{pmatrix}$$

where, for example,

$$Q(T) = -\frac{4\pi}{3} \int_0^{\infty} dv v^4 (L_1^{(\frac{3}{2})})^2 f_{M0} \\ \sum_{i=0}^N \left[ \bar{a}_{1i}^+ \int_{\tau'}^{\infty} d\tau (\nabla_{\parallel} T) e^{-k_i^+ \nu_s (\tau - \tau')} + \bar{a}_{1i}^- \int_{-\infty}^{\tau'} d\tau (\nabla_{\parallel} T) e^{k_i^- \nu_s (\tau - \tau')} \right],$$

and

$$Q(q_{\parallel}) = -\frac{4\pi}{3} \int_0^{\infty} dv v^3 L_1^{(\frac{1}{2})} L_1^{(\frac{3}{2})} \frac{f_{M0}}{n_0} \\ \sum_{i=0}^N \left[ \bar{a}_{1i}^+ \int_{\tau'}^{\infty} d\tau (\nabla_{\parallel} q_{\parallel}) e^{-k_i^+ \nu_s (\tau - \tau')} + \bar{a}_{1i}^- \int_{-\infty}^{\tau'} d\tau (\nabla_{\parallel} q_{\parallel}) e^{k_i^- \nu_s (\tau - \tau')} \right],$$

$$P(\pi_{\parallel}) = \frac{8\pi}{15} m \int_0^{\infty} dv v^6 \frac{f_{M0}}{p_0} \\ \sum_{i=0}^N \left[ \bar{a}_{2i}^+ \int_{\tau'}^{\infty} d\tau (\nabla_{\parallel} \pi_{\parallel}) e^{-k_i^+ \nu_s (\tau - \tau')} + \bar{a}_{2i}^- \int_{-\infty}^{\tau'} d\tau (\nabla_{\parallel} \pi_{\parallel}) e^{k_i^- \nu_s (\tau - \tau')} \right],$$

- Solution exists in limit of zero mode frequency and sinusoidal perturbations in  $T$  and  $V_{\parallel}$ .

# Heat flux closure approximate for arbitrary collisionality.

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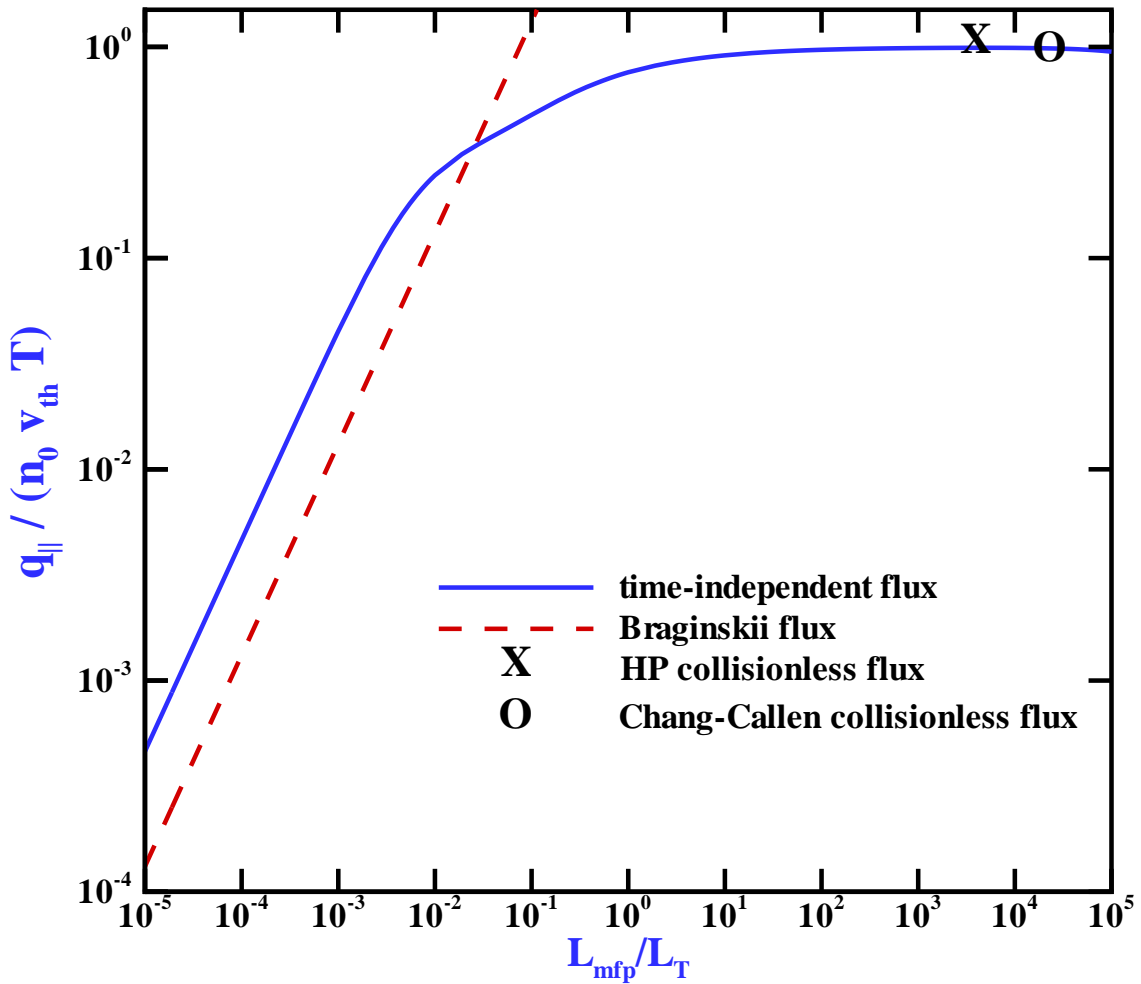


Figure 4: Temperature-driven heat flux for homogeneous magnetic field and sinusoidal temperature perturbations.

# Parallel viscous stress approximate for arbitrary collisionality.

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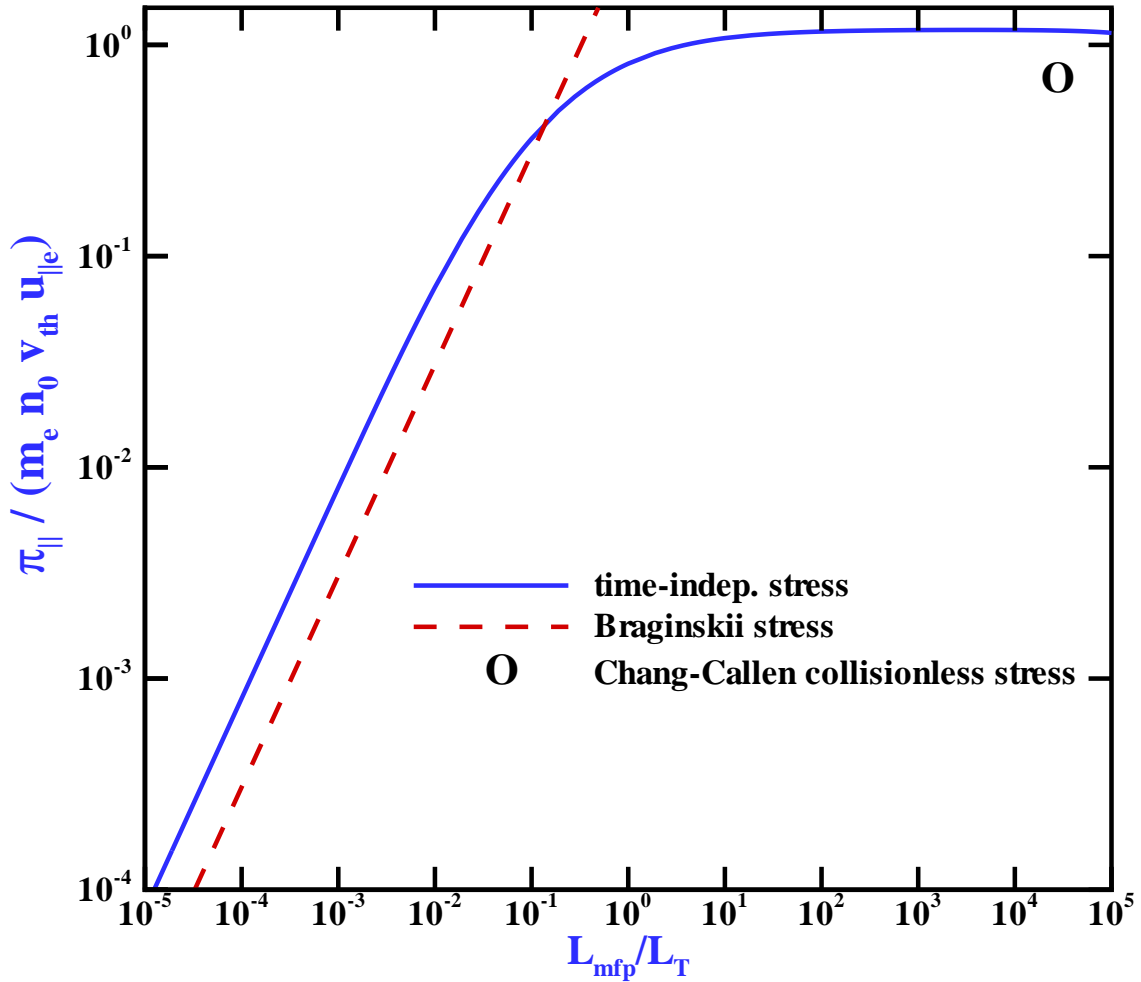


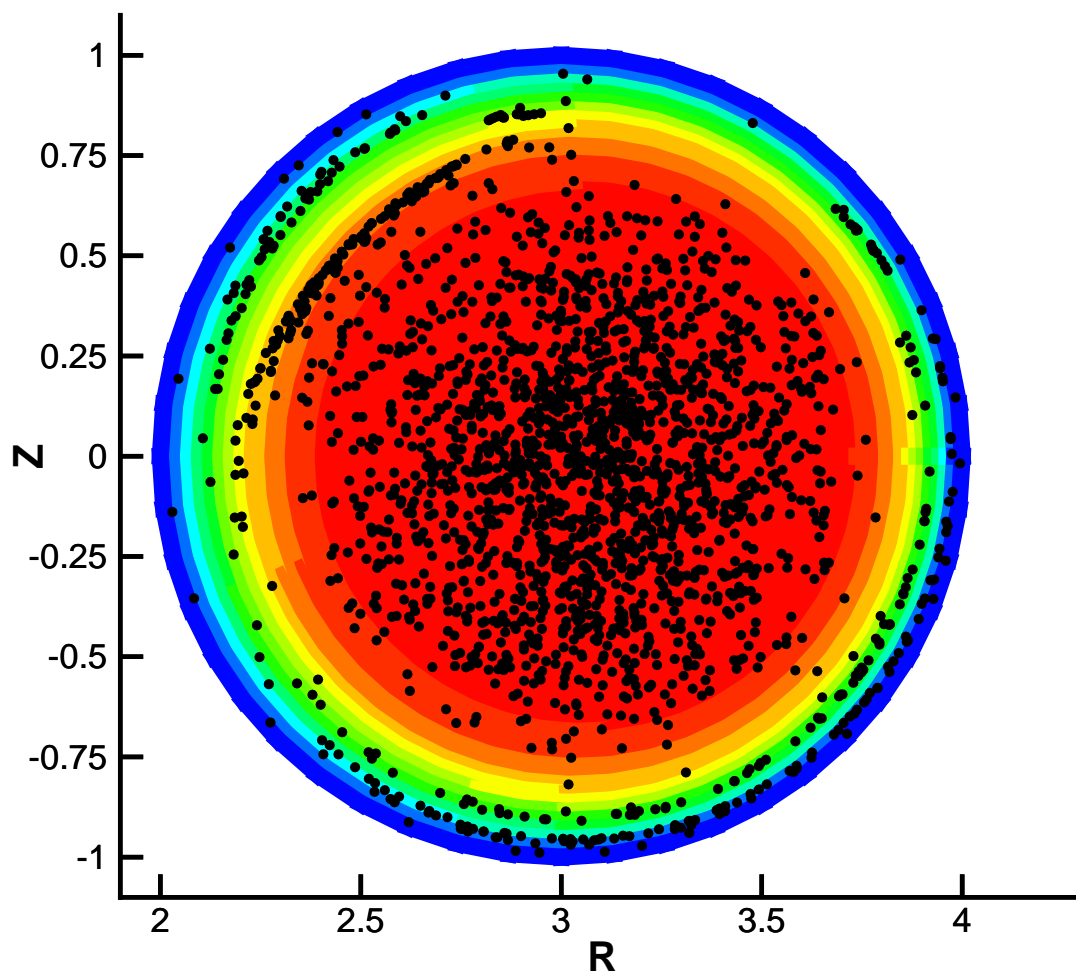
Figure 5: Flow-driven parallel viscous stress for homogeneous magnetic field and sinusoidal parallel flow perturbations.

## *Understanding electron heat confinement in RFP's requires nonlocal heat flux closure.*

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- Field line diffusivity causes  $\chi_\psi$  to greatly exceed predictions of classical transport.



# *Nonlocal heat flux closure predicts heat flow against local gradients.*

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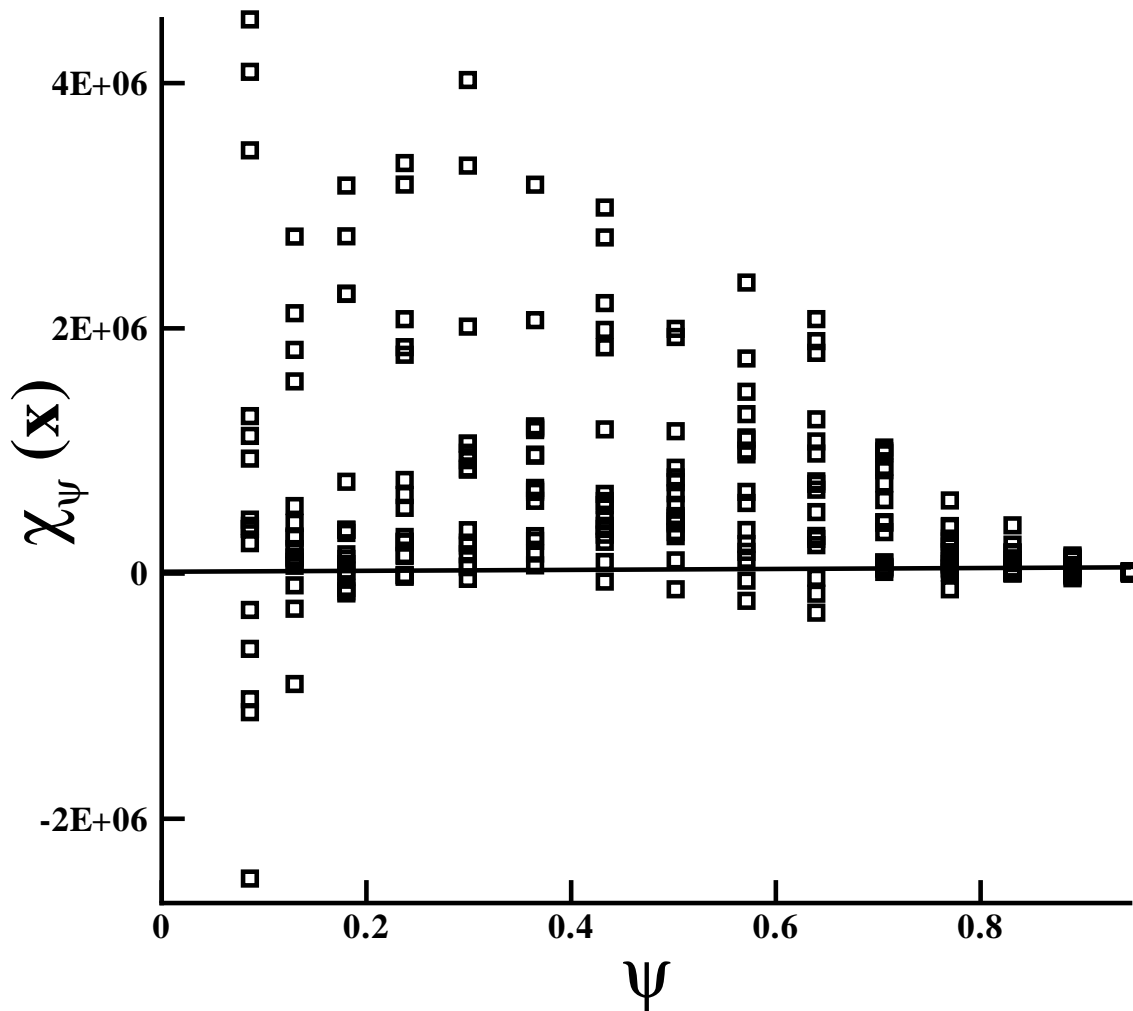


Figure 6: In a small subset of cases heat flows against local gradients for the superimposed axisymmetric temperature profile.

## *Sales Pitch and Shortcomings*

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- General parallel closures promise novel physics from simulations of plasmas confined by slowly evolving magnetic fields.
  
- Outstanding issues exist.
  1. Incorporation of drift effects for electromagnetic, neoclassical closures.
  
  2. Inclusion of trapped particle effects in tori of arbitrary aspect ratio and shaping.
  
  3. Implementation of fully time-dependent solution desirable but difficult.
  
  4. Numerical performance still unknown.