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Enhancement of Residual Zonal Flows in Helical Systems with Radial Electric Fields

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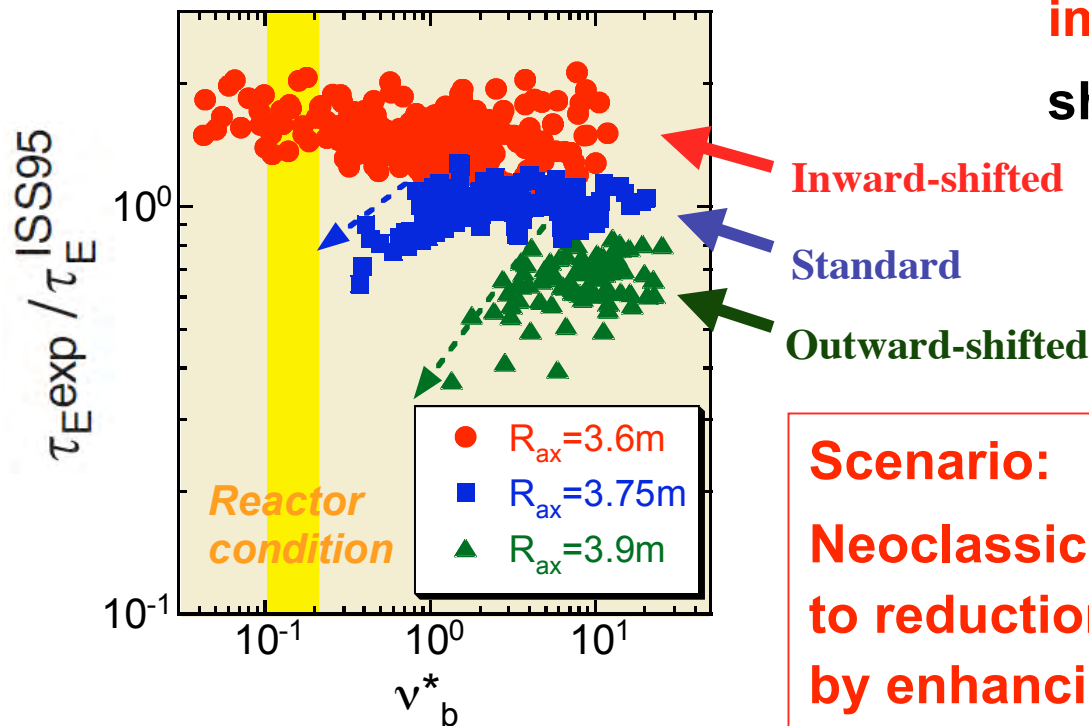
OUTLINE

- **Introduction**
- **Zonal Flows and ITG Turbulence in Helical Systems with $E_r = 0$**
- **Effects of Equilibrium Electric Field E_r on Zonal Flows in Helical Systems**
- **Summary**

Introduction : Results from LHD experiments

For low collisionality, better confinement is observed in the **inward-shifted** magnetic configurations, where **lower neoclassical ripple transport** but **more unfavorable magnetic curvature** driving pressure-gradient instabilities are anticipated.

Anomalous transport is also improved in the inward shifted configuration.



Scenario:

Neoclassical optimization contributes to reduction of anomalous transport by enhancing the zonal-flow level.

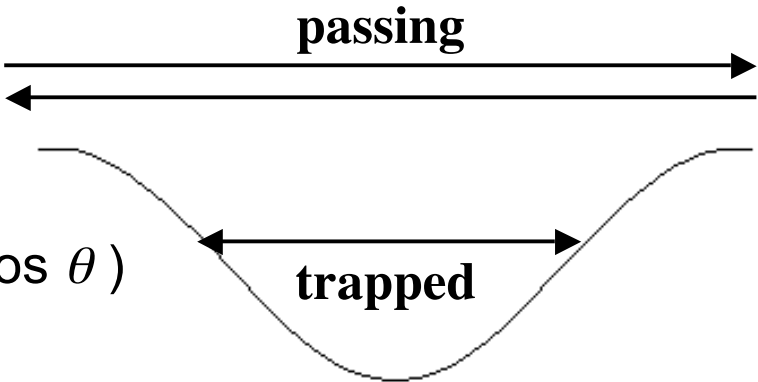
H. Yamada *et al.* (PPCF2001)

Classification of particle orbits

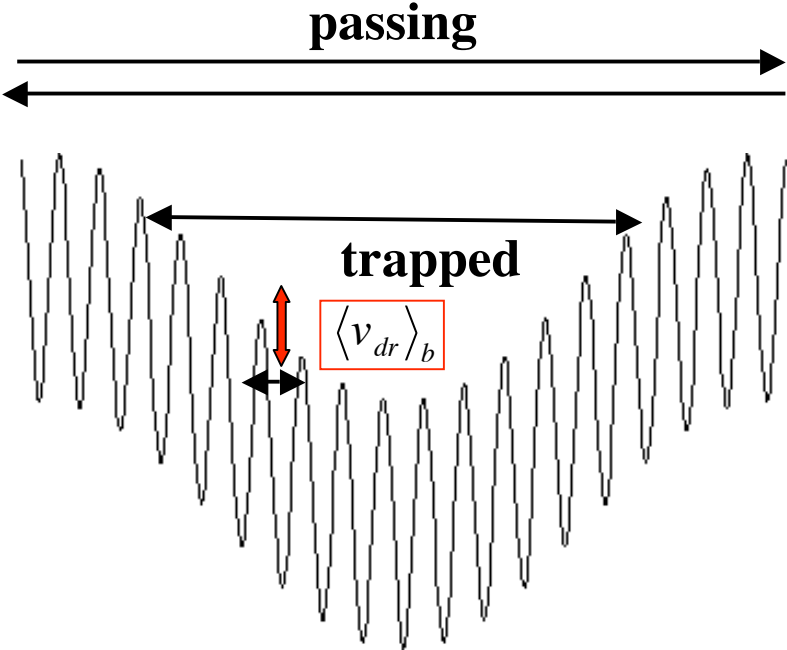
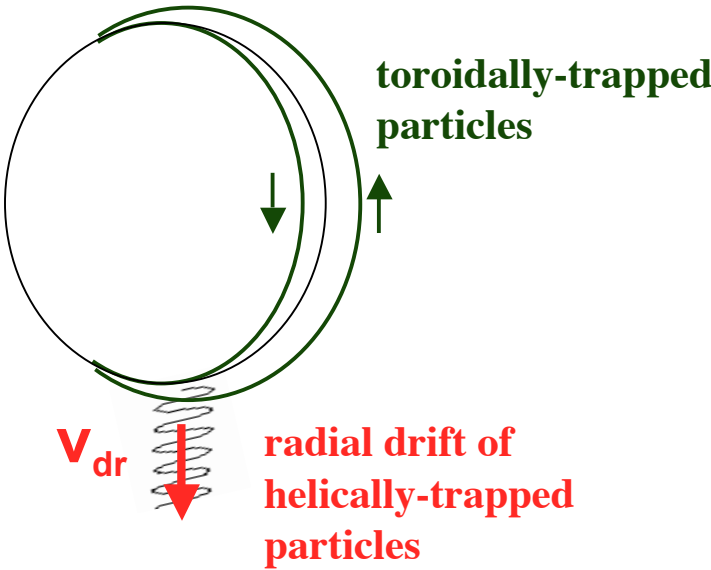
Tokamak

$$B = B_0 (1 - \varepsilon_t \cos \theta)$$

B



Helical System



$$B = B_0 [1 - \varepsilon_t \cos \theta - \varepsilon_h \cos (L\theta - M\xi)]$$

Collisionless Time Evolution of Zonal Flows in Helical Systems

[Sugama & Watanabe, PRL (2005), Phys.Plasmas (2006)]

Response of the zonal-flow potential to a given initial potential

$$k \rho_i < 1$$

$$\langle \phi_k(t) \rangle = K(t) \langle \phi_k(0) \rangle$$

Response function = GAM component + Residual component

$$K(t) = K_{GAM}(t)[1 - K_L(0)] + K_L(t)$$

$$K(t=0) = 1 \quad K(t) \rightarrow K_L(t), \quad K_{GAM}(t) \rightarrow 0 \text{ as } t \rightarrow +\infty$$

$$B = B_0 [1 - \varepsilon_t \cos \theta - \varepsilon_h \cos(L\theta - M\xi)]$$

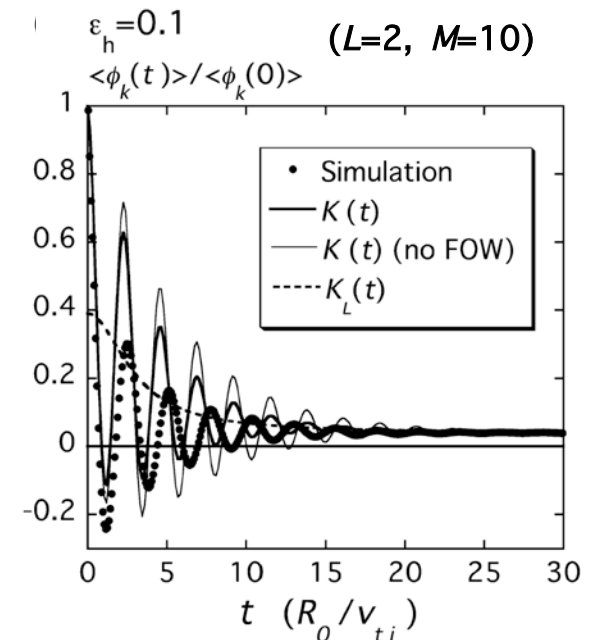
GAM response function $K_{GAM}(t) = \cos(\omega_G) \exp(-|\gamma|t)$

Long-time response function

$$K_L(t) = \frac{1 - (2/\pi)^{1/2} \langle (2\varepsilon_H)^{1/2} \{1 - g_{il}(t, \theta)\} \rangle}{1 + G + E(t) / (n_0 \langle k_{\perp}^2 \rho_{ti}^2 \rangle)}$$

$E(t)$ represents effects of shielding of potential due to helical-ripple-trapped particles.

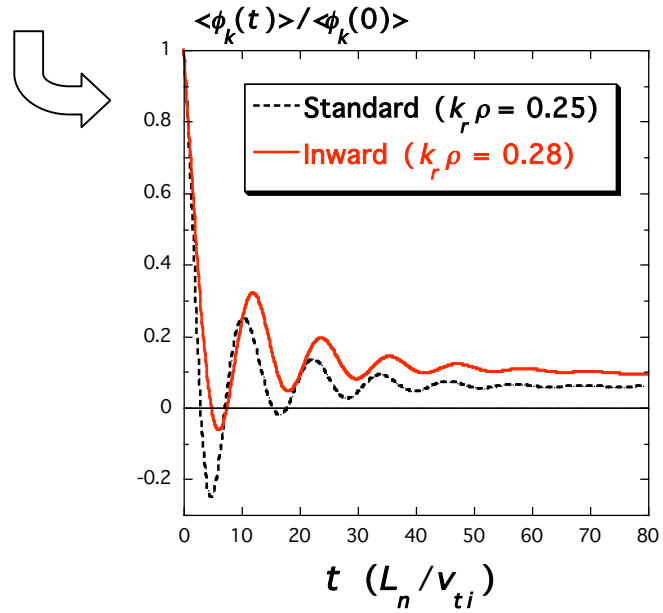
$$E(t) = \frac{2}{\pi} n_0 \left[\langle (2\varepsilon_H)^{1/2} \{1 - g_{il}(t, \theta)\} \rangle - \frac{3}{2} \langle k_{\perp}^2 \rho_{ti}^2 \rangle \langle (2\varepsilon_H)^{1/2} \{1 - g_{il}(t, \theta)\} \rangle + \frac{T_i}{T_e} \langle (2\varepsilon_H)^{1/2} \{1 - g_{el}(t, \theta)\} \rangle \right]$$



Results from GKV simulation (flux tube, $E_r = 0$)

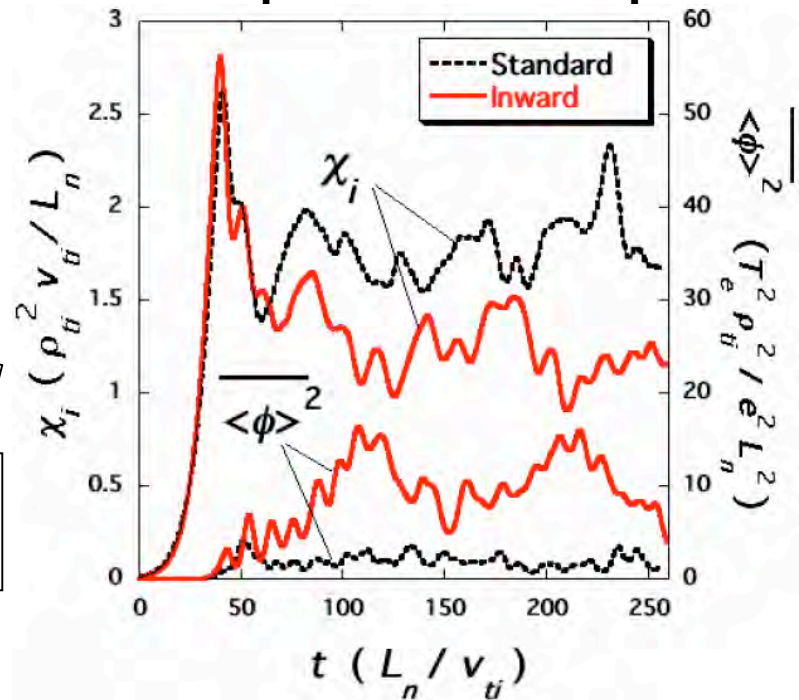
Smaller χ_i and larger zonal flows are found in the saturated turbulent state for the inward-shifted configuration than for the standard one !

Linear time evolution of zonal-flow potential



ITG turbulence

Turbulent thermal diffusivity and squared zonal-flow potential



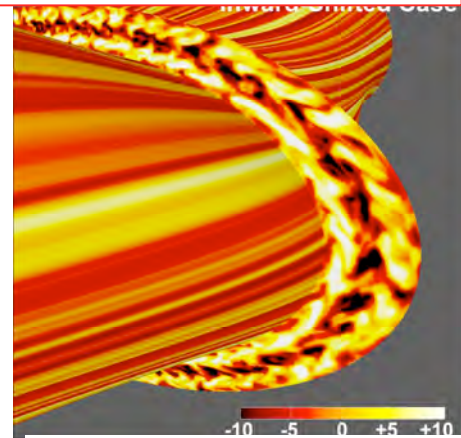
Larger residual zonal flow is found for the inward-shifted case.

Watanabe, Sugama & Ferrando, PRL(2008)
Sugama, Watanabe & Ferrando, PFR(2009)

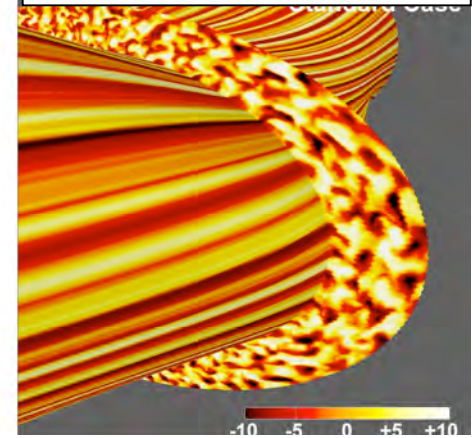


The GKV turbulence simulations were carried out by the Earth Simulator (JAMSTEC).

inward-shifted configuration



standard configuration



Potential contours obtained from six copies of flux tube

Effects of Equilibrium Electric Field E_r on Zonal Flows in Helical Systems

In helical systems

E_r is given from ambipolar condition of radial particle fluxes.

E_r reduces neoclassical ripple transport.

How does E_r influence zonal flows and anomalous transport?

Effects of E_r on gyrokinetic equation and zonal flows

Gyrokinetic equation for $\mathbf{k}_\perp = k_r \nabla r$

$$\left[\frac{\partial}{\partial t} + v_\parallel \hat{\mathbf{b}} \cdot \nabla + i \mathbf{k}_r \cdot \mathbf{v}_d - \mu (\hat{\mathbf{b}} \cdot \nabla \Omega) \frac{\partial}{\partial v_\parallel} + \omega_E \frac{\partial}{\partial \alpha} \right] \delta f = -i \mathbf{k}_r \cdot \mathbf{v}_d \frac{e \langle \phi(\mathbf{x} + \rho) \rangle}{T_i} F_M$$

gyrophase average of
zonal-flow potential



angular velocity
due to ExB drift

$$\omega_E = -\frac{c E_r}{r_0 B_0}$$

field line label

$$\alpha = \theta - \zeta / q$$

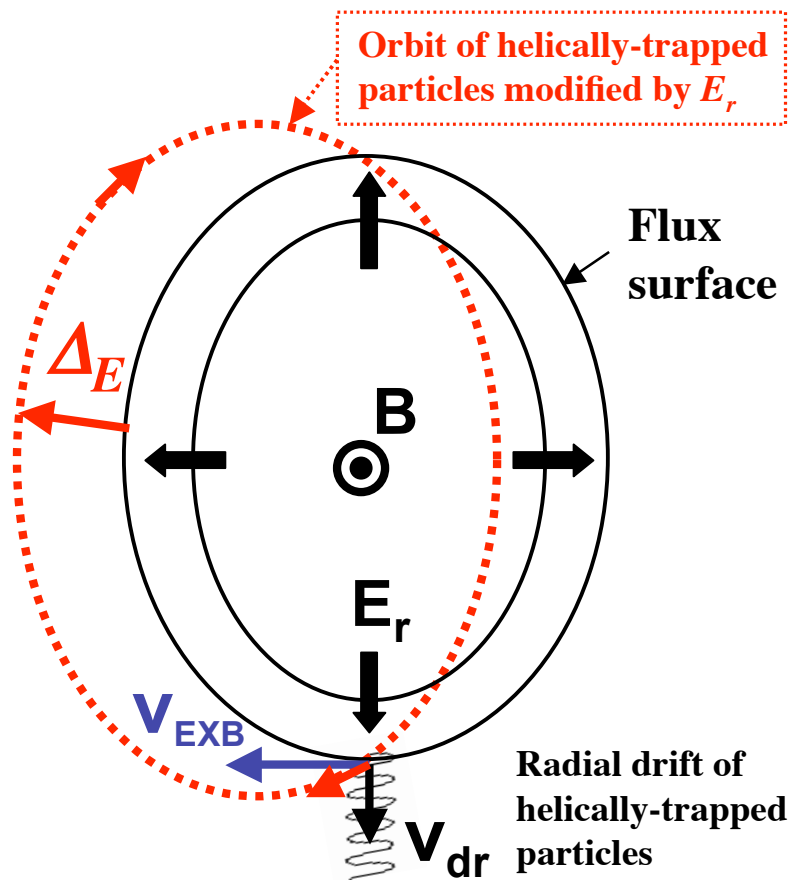
In helical systems, α -dependence appears in $\mathbf{k}_r \cdot \mathbf{v}_d$ and $\mu (\hat{\mathbf{b}} \cdot \nabla \Omega)$.

Therefore, even if the zonal-flow potential ϕ is independent of α ,
 δf comes to depend on α .

Thus, ω_E influences δf and accordingly ϕ through quasineutrality condition.

Effects of Equilibrium E_r on Zonal-Flow Response

Equilibrium E_r field generates a ExB component to the velocity.

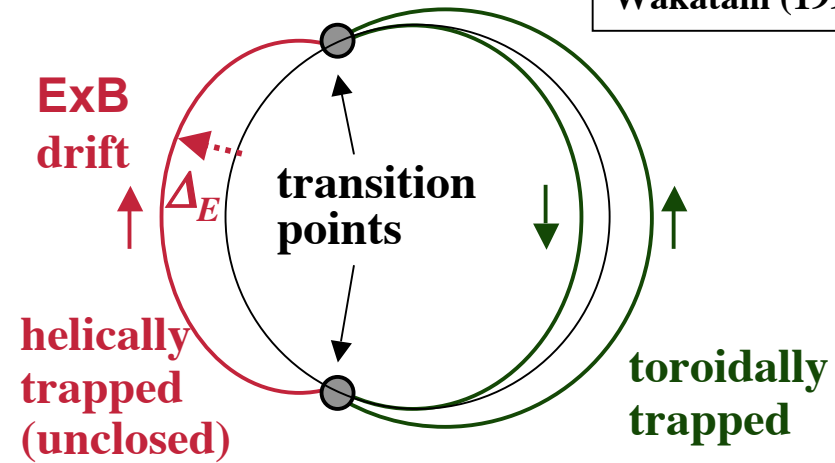
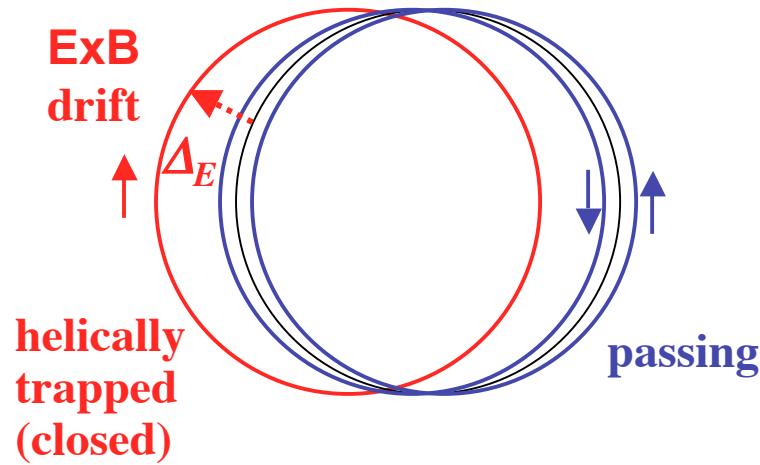


Poloidal ExB rotation of helically-trapped particles with reduced radial displacements ΔE will decrease the shielding of zonal-flow potential and increase its response.

Mynick & Boozer, PoP(2007)
Action-Angle Formulation

Classification of particle orbits in the presence of E_r

Cary *et al.*, PF (1988)
Wakatani (1998)



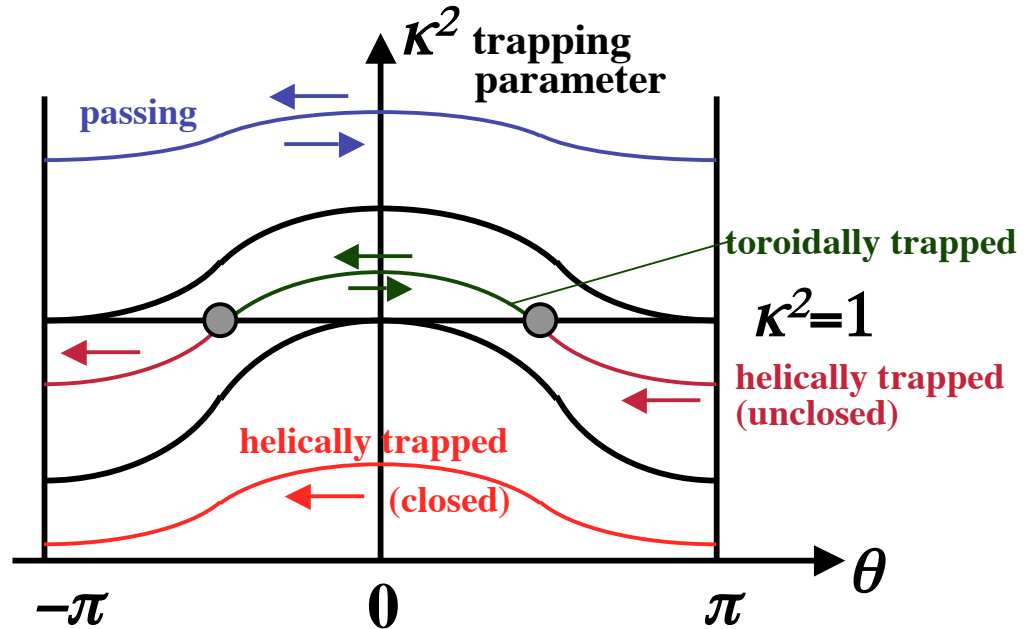
$$\Delta_E \sim r_0 \frac{v_{dr}}{v_{E \times B}}$$

radial displacement of helically-trapped particle

trapping parameter

$$\kappa^2 = \frac{1 - \lambda B_0 [1 - \varepsilon_T(\theta) - \varepsilon_H(\theta)]}{2 \lambda B_0 \varepsilon_H(\theta)}$$

$$\lambda = \frac{1}{2} m v^2 / \mu$$



Solution of gyrokinetic equation to describe long-time evolution of zonal flows [Sugama & Watanabe, PoP(2009)]

Perturbed particle distribution function

$\rho_r \dots$ gyro motion

$\Delta_r \dots$ drift motion

$$\delta f_k(t) = -\frac{e}{T} \phi_k(t) F_M \left[1 - e^{-ik_r \rho_r} e^{-ik_r \Delta_r} \left\langle e^{ik_r \Delta_r} J_0(k_r \rho_r) \right\rangle_{\text{orbit}} \right] \longrightarrow \text{Polarization (classical \& neoclassical)}$$

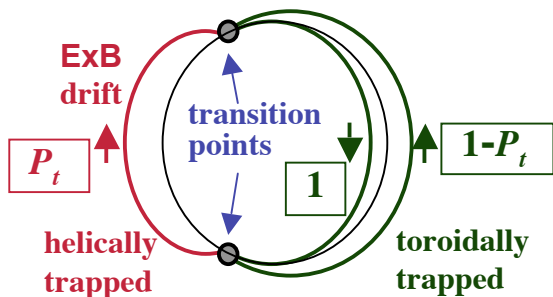
$$+ e^{-ik_r \rho_r} e^{-ik_r \Delta_r} \left\langle e^{ik_r \Delta_r} \left[\delta f_k^{(g)}(0) + F_M \int_0^t S_k(t) dt \right] \right\rangle_{\text{orbit}} \longrightarrow \text{Initial condition \& Turbulence source}$$

Average along the orbit

$$\langle \dots \rangle_{\text{orbit}} = \oint \dots \frac{dl}{(dl/dt)} \Big/ \oint \frac{dl}{(dl/dt)}$$

$$P_t \approx \frac{4\sqrt{2}}{\pi} \left(\frac{c E_r}{v B_0} \right) \left(\frac{R_0 q}{r_0} \right) \left[(\varepsilon_H)^{-1/2} \frac{\partial \varepsilon_H / \partial \theta}{\partial (\varepsilon_H - \varepsilon_T) / \partial \theta} \right]_{P_-}$$

For particles which show transitions



$$\oint \frac{dl}{(dl/dt)} = (1 - P_t) \int_{\substack{\kappa^2 > 1 \\ v_{\parallel} > 0}} \frac{dl}{|v_{\parallel}|} + \int_{\substack{\kappa^2 > 1 \\ v_{\parallel} < 0}} \frac{dl}{|v_{\parallel}|} + P_t \int_{\kappa^2 < 1} \frac{d\theta}{\omega_E}$$

$1 - P_t$
 P_t transition probability

toroidally trapped
helically trapped

Long-time zonal-flow response to the initial condition and turbulence source

For radial wavenumbers $k_r \rho_{ti} < 1$ (ITG turbulence) and $k_r \Delta_E < 1$, the zonal-flow potential is derived from the quasineutrality condition as [Sugama & Watanabe, PoP(2009)]

$$\frac{e}{T_i} \langle \phi_k(t) \rangle = \frac{\left\langle n_0^{-1} \int d^3v \left[1 + i k_r \left(\Delta_{ir} - \langle \Delta_{ir} \rangle_{\text{orbit}} \right) \right] \left[\delta f_{ik}^{(g)}(0) + F_M \int_0^t S_{ik}(t) dt \right] \right\rangle}{(k_r \rho_{ti})^2 \left[1 + G_p + G_t + M_p^{-2} (G_{ht} + G_h) (1 + T_e / T_i) \right]}$$

Geometrical factors G 's represents shielding effects of neoclassical polarization due to particles motions in different orbits.

$G \propto (\text{population})$	G_p : passing	G_{ht} : helically-trapped (unclosed orbit)
$\times (\Delta_r / \rho)^2$	G_t : toroidally-trapped	G_h : toroidally-trapped (closed orbit)

Zonal-flow generation can be enhanced when

G_{ht} and G_h decreases with **neoclassical optimization** (which reduces radial drift velocity v_{dr})

and when **poloidal Mach number** $M_p \equiv \left| (cE_r / B_0) / (r v_{ti} / Rq) \right|$ increases

with **increasing E_r** and using **heavier ions**.

Response to the initial condition

Assume the initial distribution to have Maxwellian dependence $\delta f_{ik}^{(g)}(0) = [\delta n_k^{(g)}(0)/n_0] F_M$

Then, we obtain

$$\delta n_k^{(g)}(0)/n_0 = (k_r \rho_{ti})^2 e \phi(0)/T_i$$

$$\langle \phi_k(t) \rangle = \frac{\langle \phi_k(0) \rangle}{1 + G_p + G_t + M_p^{-2} (G_{ht} + G_h)(1 + T_e/T_i)}$$

(no turbulence source)

For the single-helicity configuration

$$B = B_0 [1 - \varepsilon_t \cos \theta - \varepsilon_h \cos(L\theta - M\zeta)] \quad (\varepsilon_h : \text{independent of } \theta)$$

No transitions occur. $G_{ht} = 0$, $G_h = (15\pi/4) q^2 (2\varepsilon_h)^{1/2}$

$$\langle \phi_k(t) \rangle = \frac{\langle \phi_k(0) \rangle}{1 + G_p + G_t + (15\pi/4) M_p^{-2} q^2 (2\varepsilon_h)^{1/2} (1 + T_e/T_i)}$$

This corresponds to the case considered by previous works.

Mynick & Boozer, PoP(2007)

Sugama, Watanabe & Ferrando, PFR(2008)

Extention of GKV code to poloidally global model

GKV code is extended from the flux tube to the poloidally global model for studying effects of E_r on zonal flows in helical systems [Watanabe, IAEA FEC 2008].

$$\alpha \equiv \theta - \zeta / q : \text{field-line label} \quad \zeta : \text{toroidal angle}$$

- Linear simulations for time evolution of zonal flows are done using 129 Fourier modes in the α direction, 1,536 grid points in the ζ direction, and (512, 48) grid points in the (v_{\parallel}, μ) space for a fixed radial wavenumber k_r .

- Standard configuration model (single helicity) :

$$B = B_0[1 - \varepsilon_t \cos\theta - \varepsilon_h \cos(L\theta - M\zeta)], \quad \varepsilon_t = 0.1, \quad \varepsilon_h = 0.1, \quad q = 1.5, \quad L = 2, \quad M = 10$$

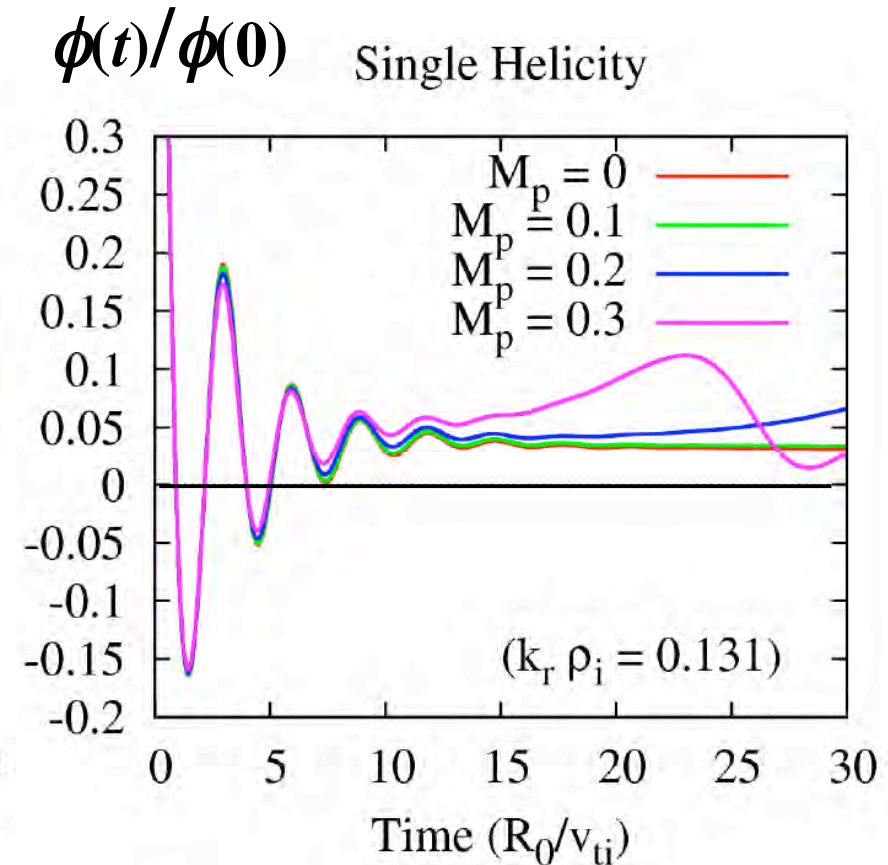
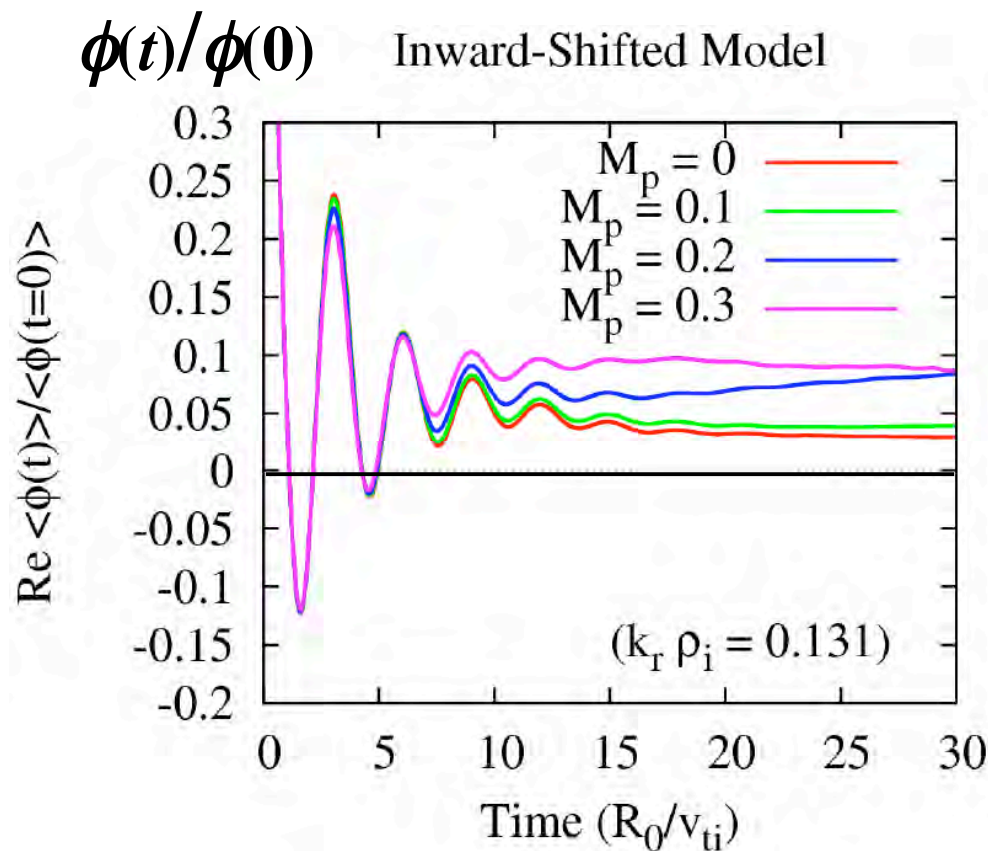
- Inward-shifted configuration model :

$$\text{Sideband helicity components } \left(\varepsilon_{L+1} = -0.02, \quad \varepsilon_{L-1} = -0.08 \right)$$

are included.

Collisionless time evolution of zonal flows in helical configurations with E_r

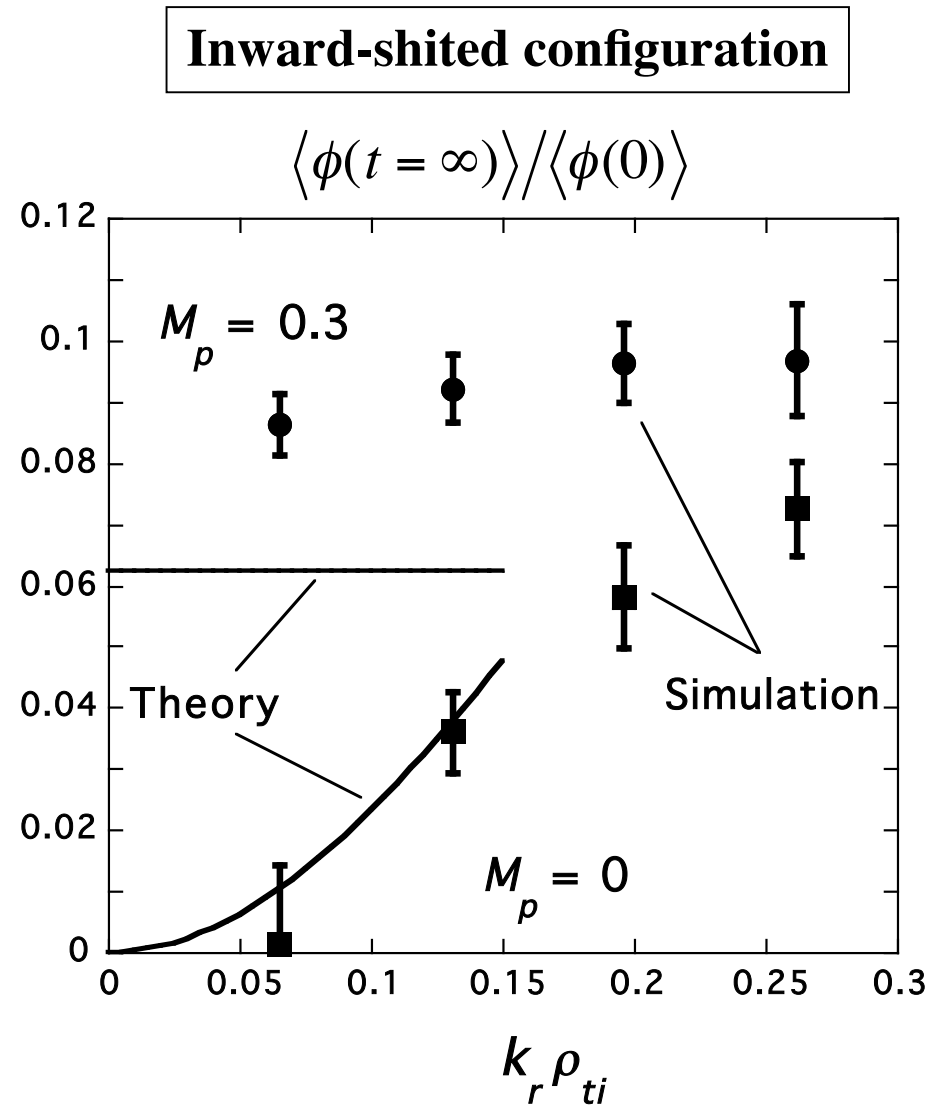
It is clearly shown for the inward-shifted model configuration that the residual zonal-flow potential amplitude (observed after Landau damping of GAM) is enhanced by increasing E_r .



The residual zonal-flow potential as a function of $k_r \rho_{ti}$ for $M_p = 0$ and $M_p = 0.3$

Different $k_r \rho_{ti}$ dependences for $M_p = 0$ and $M_p = 0.3$ are theoretically predicted and confirmed by simulation.

Theoretical results are derived by assuming $k_r \rho_{ti} \ll 1$.



Summary

- It is verified from GKV simulation (for $E_r = 0$) that, in the inward-shifted (or neoclassically optimized) LHD configuration, zonal-flow generation is enhanced and the ITG turbulent thermal diffusivity is reduced.
[Similar gyrokinetic simulation results for W7X will be reported by Dr.Xanthopoulos (I25) on Thursday in this Workshop.]
- Zonal-flow response theory is presented, in which effects of the equilibrium radial electric field E_r and transitions between toroidally- and helically-trapped particles are taken into account.
- The predicted enhancement of the zonal-flow response due to E_r is confirmed by the linear poloidally-global gyrokinetic simulation.
- The E_r effects appear through the poloidal Mach number M_p .
For the same magnitude of E_r , higher zonal-flow response is obtained by using ions with heavier mass (favorable deviation from gyro-Bohm scaling).
- Nonlinear poloidally-global GKV simulation to study E_r effects remains as a future task.