

Steady State Global Simulations of Microturbulence

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(November 2004)

In collaboration with

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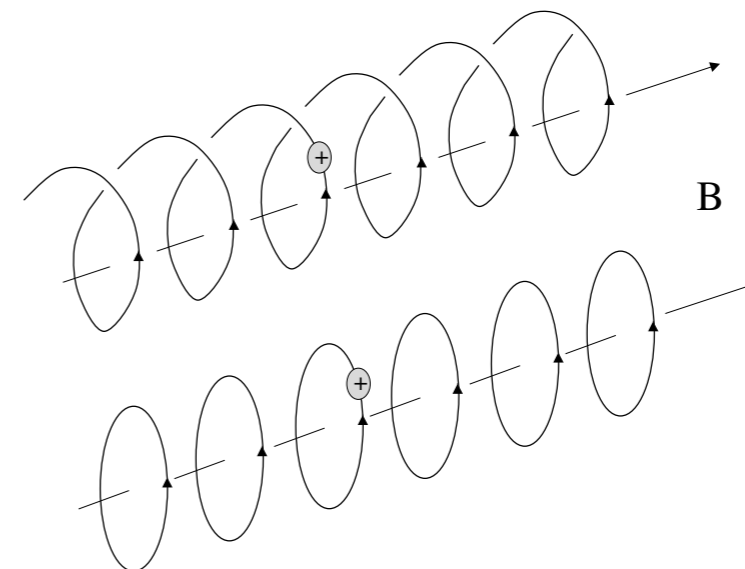
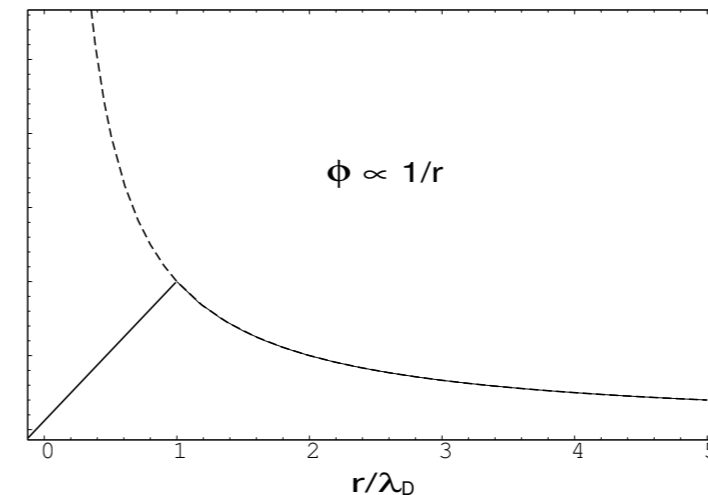
DoE SciDAC Center for Gyrokinetic Particle Simulation
of Turbulent Transport in Burning Plasmas

Outline

- Basics of Gyrokinetic Particle Simulation
 - Finite size particles
 - Decoupling of gyromotion and polarization effects
 - Governing equations
- Gyrokinetic Particle Simulation of Microturbulence
 - Simulation using the Gyrokinetic Toroidal Code (GTC)
 - Scalability on MPP machines
 - Influence of Parallel Velocity-Space Nonlinearity on Steady State Microturbulence
- Integrated Plasma Simulation
 - Core-Edge Simulations
 - Transport Time Scale Simulations

Basics of Gyrokinetic Particle Simulation

- Finite-size particles
[Dawson et al. '68; Birdsall et al. '68]
 - Coulomb interactions are collisionless
 - Collisional effects are subgrid phenomena
- Gyrokinetic particles
[Lee PF '83]
 - Gyromotion becomes motion of rotating charged rings
 - Polarization Effects in the field equations
- Efficient numerical methods to account for finite Larmor radius effects
[Lee JCP '87; Lee and Qin PP '03]



Gyrokinetic Vlasov-Maxwell Equations in Toroidal Geometry

- GK Vlasov equation - in gyrocenter coordinates

[Lee PF '83; Hahm et al. PF '88; Hahm PF '88; Brizard PF '88; Brizard J. Plas. Phys. '89; Qin et al. PoP '99; Qin et al. PoP '00; Qin et al., PoP '00; Lee and Qin PoP '03]

$$\frac{\partial F_{\alpha gc}}{\partial t} + \frac{d\mathbf{R}}{dt} \cdot \frac{\partial F_{\alpha gc}}{\partial \mathbf{R}} + \frac{dv_{\parallel}}{dt} \frac{\partial F_{\alpha gc}}{\partial v_{\parallel}} = 0,$$

$$\frac{d\mathbf{R}}{dt} = v_{\parallel} \mathbf{b}^* + \frac{v_{\perp}^2}{2\Omega_{\alpha 0}} \hat{\mathbf{b}}_0 \times \nabla \ln B_0 - \frac{c}{B_0} \nabla \bar{\phi} \times \hat{\mathbf{b}}_0$$

$$\frac{dv_{\parallel}}{dt} = -\frac{v_{\perp}^2}{2} \mathbf{b}^* \cdot \nabla \ln B_0 - \frac{q_{\alpha}}{m_{\alpha}} \left(\mathbf{b}^* \cdot \nabla \bar{\phi} + \frac{1}{c} \frac{\partial \bar{A}_{\parallel}}{\partial t} \right) \quad \text{-- Velocity Nonlinearity}$$

$$\mu_B \equiv \frac{v_{\perp}^2}{2B_0} \left(1 - \frac{mc}{e} \frac{v_{\parallel}}{B_0} \hat{\mathbf{b}}_0 \cdot \nabla \times \hat{\mathbf{b}}_0 \right) \approx \text{cons.}$$

$$\mathbf{b}^* \equiv \mathbf{b} + \frac{v_{\parallel}}{\Omega_{\alpha 0}} \hat{\mathbf{b}}_0 \times (\hat{\mathbf{b}}_0 \cdot \nabla) \hat{\mathbf{b}}_0, \quad \mathbf{b} = \hat{\mathbf{b}}_0 + \frac{\nabla \times \bar{\mathbf{A}}}{B_0}$$

$$\begin{pmatrix} \bar{\phi} \\ \bar{\mathbf{A}} \end{pmatrix} (\mathbf{R}) = \left\langle \int \begin{pmatrix} \phi \\ \mathbf{A} \end{pmatrix} (\mathbf{x}) \delta(\mathbf{x} - \mathbf{R} - \boldsymbol{\rho}) d\mathbf{x} \right\rangle_{\varphi}, \quad \text{-- Coordinate Transformation}$$

$$F_{\alpha gc} = \sum_{j=1}^{N_{\alpha}} \delta(\mathbf{R} - \mathbf{R}_{\alpha j}) \delta(\mu - \mu_{\alpha j}) \delta(v_{\parallel} - v_{\parallel \alpha j})$$

GK Equations in Toroidal Geometry (cont.)

- GK Poisson's equation - in laboratory coordinates [Lee JCP '87]

$$\nabla^2 \phi + \frac{\tau}{\lambda_D^2} [\phi(\mathbf{x}) - \tilde{\phi}(\mathbf{x})] = -4\pi \rho_{gc}(\mathbf{x}) \quad (k_\perp \rho_i)^2 \ll 1 \quad \Longrightarrow \quad \boxed{\frac{\rho_s^2}{\lambda_D^2} \nabla_\perp^2 \phi(\mathbf{x}) = -4\pi \rho_{gc}(\mathbf{x})}$$

$$\tilde{\phi}(\mathbf{x}) \equiv \langle \int \bar{\phi}(\mathbf{R}) F_i(\mathbf{R}, \mu, v_\parallel) \delta(\mathbf{R} - \mathbf{x} + \rho) d\mathbf{R} d\mu dv_\parallel \rangle_\varphi$$

$$\rho_{gc}(\mathbf{x}) = \sum_\alpha q_\alpha \langle \int F_{\alpha gc}(\mathbf{R}) \delta(\mathbf{R} - \mathbf{x} + \rho) d\mathbf{R} dv_\parallel d\mu \rangle_\varphi$$

- GK Ampere's law -- in laboratory coordinates [Qin et al. PP '99]

$$\boxed{\nabla^2 \mathbf{A} - \frac{1}{v_A^2} \frac{\partial^2 \mathbf{A}_\perp}{\partial t^2}} = -\frac{4\pi}{c} \mathbf{J}_{gc} \quad \omega^2 / k^2 v_A^2 \ll 1$$

$$\mathbf{J}_{gc}(\mathbf{x}) = \mathbf{J}_{\parallel gc}(\mathbf{x}) + \mathbf{J}_{\perp gc}^M(\mathbf{x}) + \mathbf{J}_{\perp gc}^d(\mathbf{x})$$

$$= \sum_\alpha q_\alpha \langle \int (\mathbf{v}_\parallel + \mathbf{v}_\perp + \mathbf{v}_d) F_{\alpha gc}(\mathbf{R}) \delta(\mathbf{R} - \mathbf{x} + \rho) d\mathbf{R} dv_\parallel d\mu \rangle_\varphi$$

$$\mathbf{v}_d \equiv \frac{v_\parallel^2}{\Omega_{\alpha 0}} \hat{\mathbf{b}}_0 \times (\hat{\mathbf{b}}_0 \cdot \nabla) \hat{\mathbf{b}}_0 + \frac{v_\perp^2}{2\Omega_{\alpha 0}} \hat{\mathbf{b}}_0 \times \nabla \ln B_0$$

GK Equations in Toroidal Geometry (cont.)

- Calculations of FLR effects for $k_{\perp}\rho_i \sim 1$ is only possible in the gyrocenter coordinates, **but not in the laboratory coordinates**

$$\begin{pmatrix} \bar{\phi} \\ \bar{\mathbf{A}} \end{pmatrix}(\mathbf{R}_{\alpha j}) = \left\langle \begin{pmatrix} \phi \\ \mathbf{A} \end{pmatrix}(\mathbf{x}_{\alpha j}) \right\rangle_{\varphi}$$

$$\rho_{gc}(\mathbf{x}) = \sum_{\alpha} q_{\alpha} \sum_{j=1}^N \langle \delta(\mathbf{x} - \mathbf{x}_{\alpha j}) \rangle_{\varphi}$$

$$\mathbf{J}_{\parallel gc}(\mathbf{x}) = \sum_{\alpha} q_{\alpha} \sum_{j=1}^N v_{\parallel \alpha j} \langle \delta(\mathbf{x} - \mathbf{x}_{\alpha j}) \rangle_{\varphi}$$

$$\mathbf{J}_{\perp gc}^M(\mathbf{x}) = \sum_{\alpha} q_{\alpha} \sum_{j=1}^N \langle \mathbf{v}_{\perp \alpha j} \delta(\mathbf{x} - \mathbf{x}_{\alpha j}) \rangle_{\varphi}$$

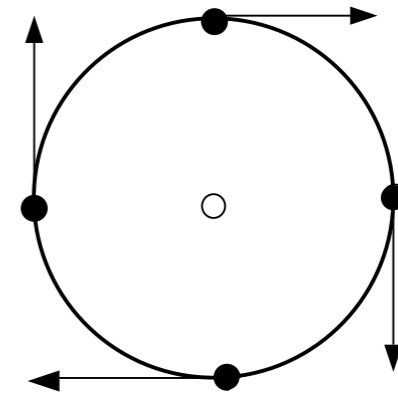
$$\mathbf{J}_{\perp gc}^d(\mathbf{x}) = \sum_{\alpha} q_{\alpha} \sum_{j=1}^N \langle \mathbf{v}_{d \alpha j} \delta(\mathbf{x} - \mathbf{x}_{\alpha j}) \rangle_{\varphi}$$

- Perpendicular current for $k_{\perp}\rho_i \ll 1$ [Qin et al. PP '00]

$$\mathbf{J}_{\perp gc} = \mathbf{J}_{\perp gc}^M + \mathbf{J}_{\perp gc}^d = \frac{c}{B_0} \sum_{\alpha} \left[\hat{\mathbf{b}}_0 \times \nabla p_{\alpha\perp} + (p_{\alpha\parallel} - p_{\alpha\perp})(\nabla \times \hat{\mathbf{b}}_0)_{\perp} \right]$$

- Pressure Balance: $p = p_{\alpha\parallel} = p_{\alpha\perp}$

$$\mathbf{J}_{\perp gc} = \frac{c}{B_0} \sum_{\alpha} \hat{\mathbf{b}}_0 \times \nabla p_{\alpha}$$



Coordinate Transformation

Reduced MHD Equations vs. Gyrokinetic-MHD Equations⁷

- GK Three-field Equations for $k_{\perp} \rho_i \ll 1$ w/o geometric simplification [Lee and Qin PP '03]

$$\frac{d}{dt} \nabla_{\perp}^2 \phi + \frac{v_A^2}{c} (\hat{\mathbf{b}} \cdot \nabla) \nabla_{\perp}^2 A_{\parallel} - 4\pi \frac{v_A^2}{c^2} \nabla_{\perp} \cdot \mathbf{J}_{\perp gc}^d = 0$$

$$\frac{1}{c} \frac{\partial A_{\parallel}}{\partial t} + \mathbf{b} \cdot \nabla \phi = 0.$$

$$\frac{dp_{\alpha}}{dt} = 0$$

$$\frac{d}{dt} \equiv \frac{\partial}{\partial t} - \frac{c}{B} \nabla \bar{\phi} \times \hat{\mathbf{b}}_0 \cdot \nabla$$

$$\mathbf{J}_{\perp gc}^d = \frac{c}{B_0} \sum_{\alpha} \left[p_{\alpha} (\nabla \times \hat{\mathbf{b}}_0)_{\perp} + p_{\alpha} \hat{\mathbf{b}}_0 \times (\nabla \ln B_0) \right]$$

- Reduced High- β Three-Field MHD Equations [Strauss PF '78]

$$\frac{d \nabla_{\perp}^2 \phi}{dt} + \frac{v_A^2}{c} (\hat{\mathbf{b}} \cdot \nabla) \nabla_{\perp}^2 A_{\parallel} - \frac{2}{R_0} \frac{\partial p}{\partial y} = 0$$

$$\frac{1}{c} \frac{\partial A_{\parallel}}{\partial t} + \mathbf{b} \cdot \nabla \phi = 0.$$

$$\frac{dp}{dt} = 0$$

Magnetic Field Calculations

- For given zeroth-order field and density, parallel current and temperature profiles

$$\mathbf{J}_{\parallel gc}(\mathbf{x}) = \sum_{\alpha} q_{\alpha} \sum_{j=1}^N v_{\parallel \alpha j} \langle \delta(\mathbf{x} - \mathbf{x}_{\alpha j}) \rangle_{\varphi}$$

$$\mathbf{J}_{\perp gc}^M(\mathbf{x}) = \sum_{\alpha} q_{\alpha} \sum_{j=1}^N \langle \mathbf{v}_{\perp \alpha j} \delta(\mathbf{x} - \mathbf{x}_{\alpha j}) \rangle_{\varphi}$$

$$\mathbf{J}_{\perp gc}^d(\mathbf{x}) = \sum_{\alpha} q_{\alpha} \sum_{j=1}^N \mathbf{v}_{d \alpha j} \langle \delta(\mathbf{x} - \mathbf{x}_{\alpha j}) \rangle_{\varphi}$$

- Ampere's law

$$\nabla^2 \mathbf{A} = -\frac{4\pi}{c} \mathbf{J}_{gc}$$

$$\mathbf{B} = \nabla \times \mathbf{A}$$

- FLR effects are taken into account in gyrocenter-space

Fluctuation-Dissipation Theorem and Particle Simulation

- Plasma Waves and Finite-Size Particles [Langdon and Birdsall, PF **13**, 2115 (1970)]

$$V \frac{|\mathbf{E}(\mathbf{k})|^2}{8\pi} = \frac{T/2}{1 + k^2 \lambda_D^2 / S^2} \rightarrow T/2, \quad V \text{ -- volume, } S \text{ -- particle shape}$$

- Gyrokinetic Particle Simulation [Krommes et al., PF '86; Lee, JCP '87]

$$V \frac{|\mathbf{E}(\mathbf{k})|^2}{8\pi} = \frac{\lambda_D^2}{\rho_s^2} (T/2) \quad \text{for} \quad k\rho_i \ll 1$$

- Shear-Alfven Waves in Gyrokinetic Plasmas [Lee et al., PP '01]

$$V \frac{|\mathbf{E}(\mathbf{k})|^2}{8\pi} = \frac{\lambda_D^2}{\rho_s^2} \frac{T/2}{1 + \omega_{pe}^2 / c^2 k^2}, \quad \text{cold electrons}$$

$$V \frac{|\mathbf{E}(\mathbf{k})|^2}{8\pi} = k^2 \lambda_D^2 \frac{T/2}{1 + k^2 \rho_s^2}, \quad \text{warm electrons}$$

- Compressional-Alfven Waves in Gyrokinetic Plasmas

$$\frac{A_{\perp}}{A_{\parallel}} \sim \frac{\omega^2}{k^2 v_A^2} \ll 1$$

Perturbative Particle Simulation

- δf simulation schemes:

-- [Dimitis and Lee, JCP '93; Parker and Lee, PF '93]

$$\text{Let } F = F_0 + \delta f \longrightarrow \frac{d\delta f}{dt} = -\frac{dF_0}{dt}$$

$$\text{Let } w \equiv \frac{\delta f}{F} \longrightarrow \delta f = \sum_{j=1}^N w_j \delta(\mathbf{R} - \mathbf{R}_j) \delta(\mu - \mu_j) \delta(v_{\parallel} - v_{\parallel j})$$

$$\text{Noise reduction: } |E|^2 \propto w^2 \quad [\text{Hu and Krommes, PoP '94}]$$

-- [Aydemir, PoP '94]

$$F = F_0 + \delta f \longrightarrow w \equiv \frac{\delta n}{n}$$

- Split-weight schemes: [Manuilskiy and Lee, PoP '00; Lee et al., PoP '01, Lewnadowski, PoP '03]

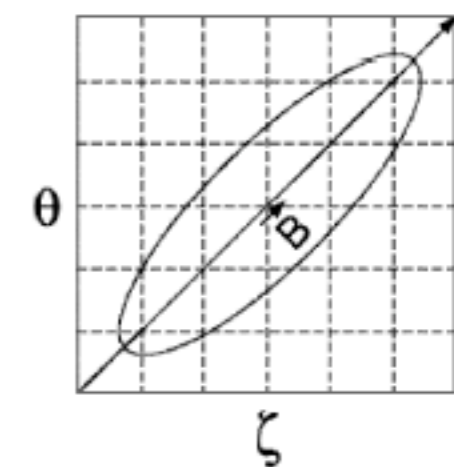
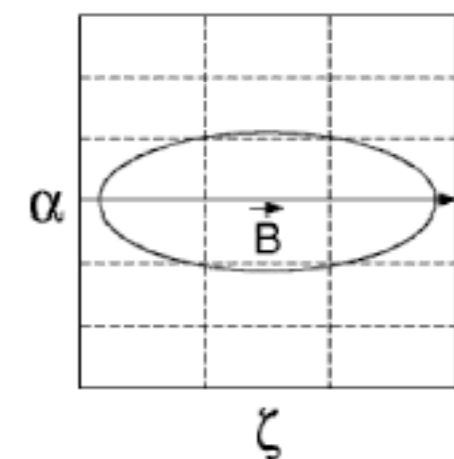
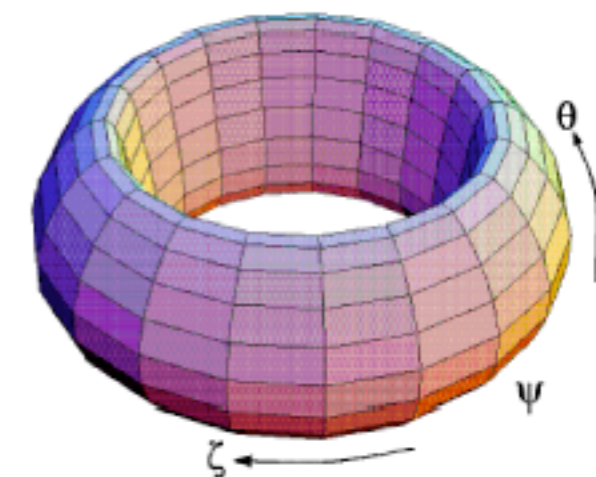
$$F = F_0 + \psi F_0 + \delta h \quad \psi = \phi + \frac{1}{c} \int \frac{\partial A_{\parallel}}{\partial t} dx_{\parallel 0}$$

- Hybrid Scheme [Lin and Chen, PoP '01]
- Time step is determined by zeroth order transit time of the electrons along the field line.

Global Gyrokinetic Toroidal Particle Simulation Code: GTC

[Z. Lin, T. S. Hahm, W. W. Lee, W. M. Tang and R. B. White, *Science* (1998)]

- Magnetic coordinates (ψ, θ, ζ) [Boozer, 1981]
- Guiding center Hamiltonian [Boozer, 1982; White and Chance, 1984]
- Non-spectral Poisson solver [Lin and Lee, 1995]
- Global field-line coordinates: (ψ, α, ζ) , $\alpha = \theta - \zeta/q$
 - Microinstability wavelength: $\lambda_{\perp} \propto \rho_i$, $\lambda_{\parallel} \propto qR$
 - With field-line coordinates: Grid # $N \propto a^2$, a : minor radius, $\Delta\zeta \propto R$
 - Without field-line coordinates: grid # $N \propto a^3$, $\Delta\zeta \propto \rho$
 - Larger time step: no high k_{\parallel} modes
- Collisions: e-i, i-i and e-e
- Neoclassical Transport Code: GTC-neo [W. X. Wang, 2004]



Global Turbulence Code (GTC)



Single Processor Performance (S. Ethier)

Processor	Max speed (Mflops)	GTC test (Mflops)	Efficiency (real/max)	Relative speed (user time)
Power3 (Seaborg)	1,500	173.6	12 %	1
Power4 (Cheetah)	5,200	304.5	6 %	1.9
SX6 (Rime)	8,000	715.7	9 %	5.2

Earth

Simulator

25%

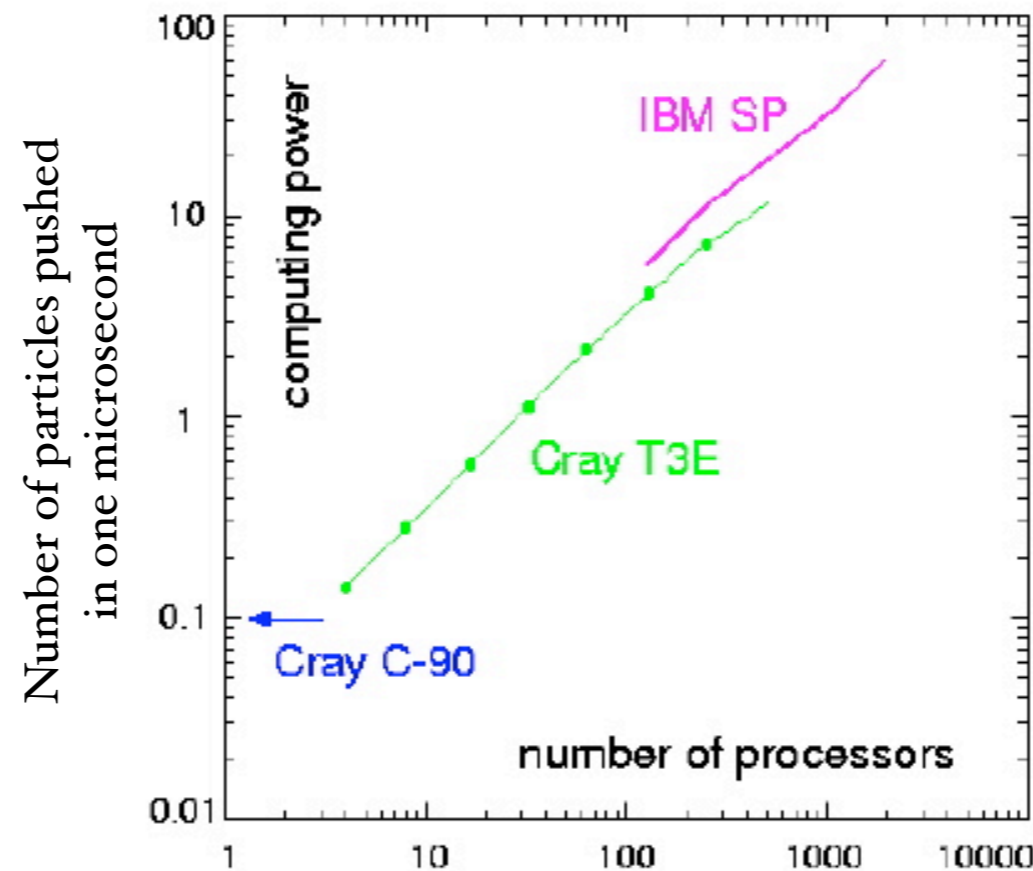
10

(Ethier)

3.7 TeraFlop with 2048 processors using 5 billion particles

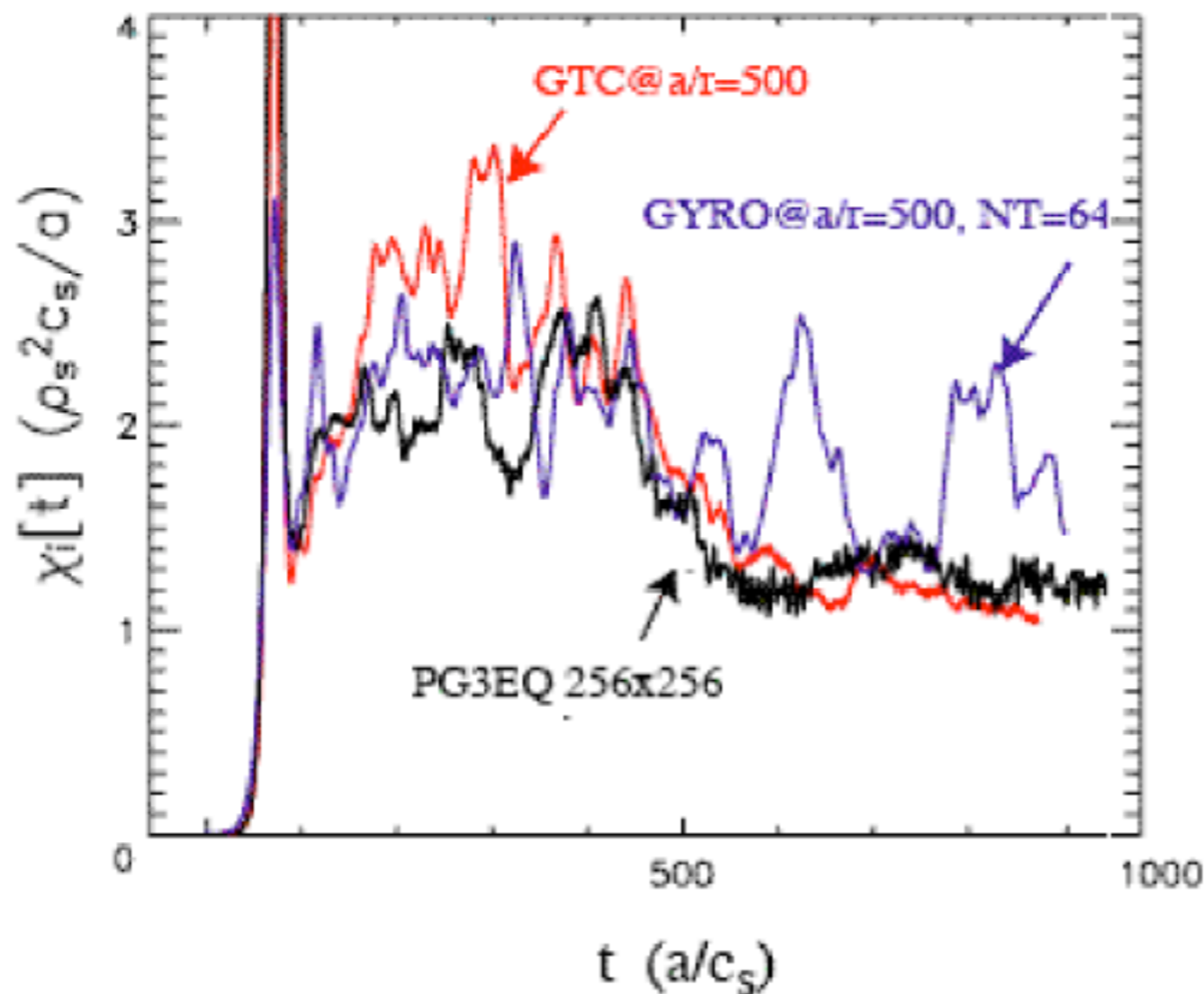


GTC Scalable to a Large Number of processors (Lin and Ethier)



Recent PMP Code Comparisons and Controversies

(W. M. Nevins, 04)



Code Comparisons:

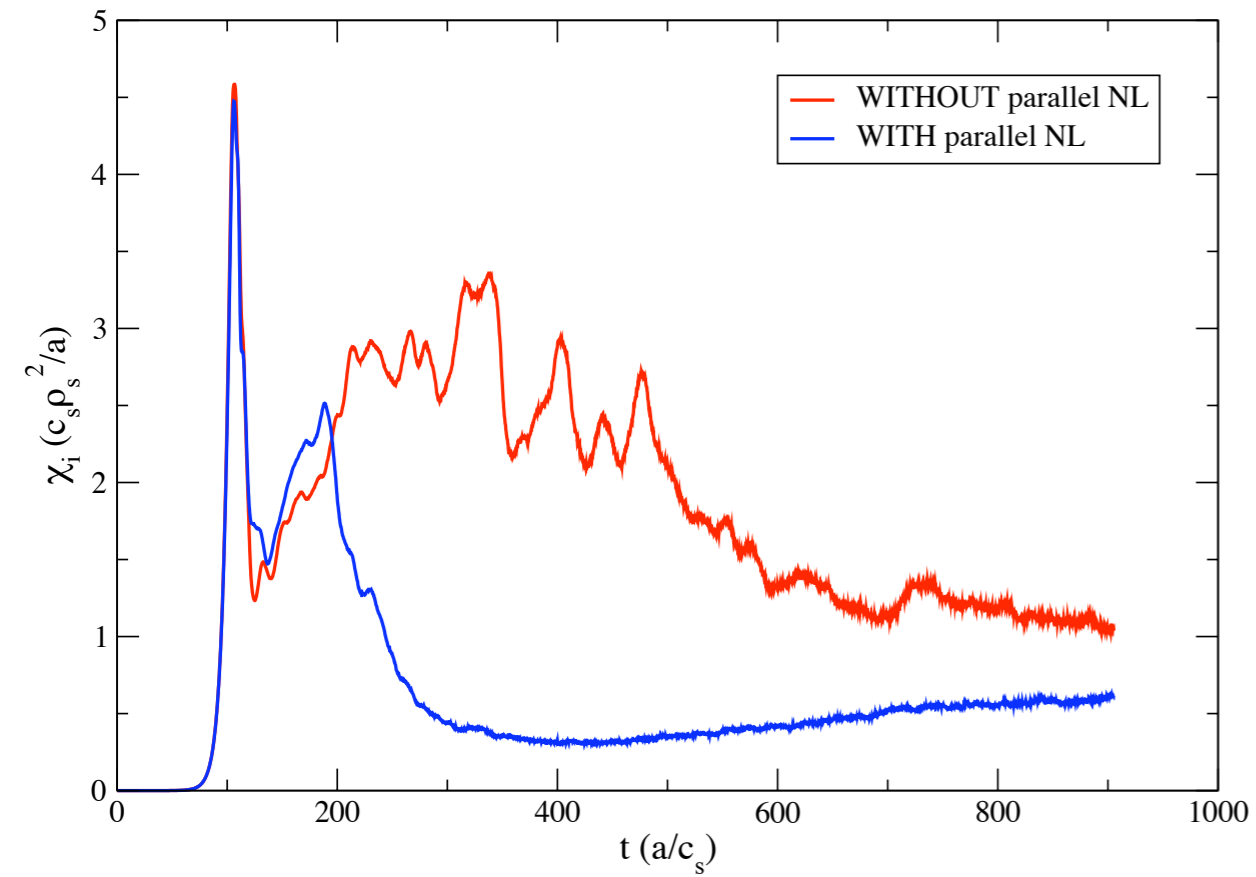
GTC - Particle Code

GYRO - Continuum Code

PG3EQ - Particle Code

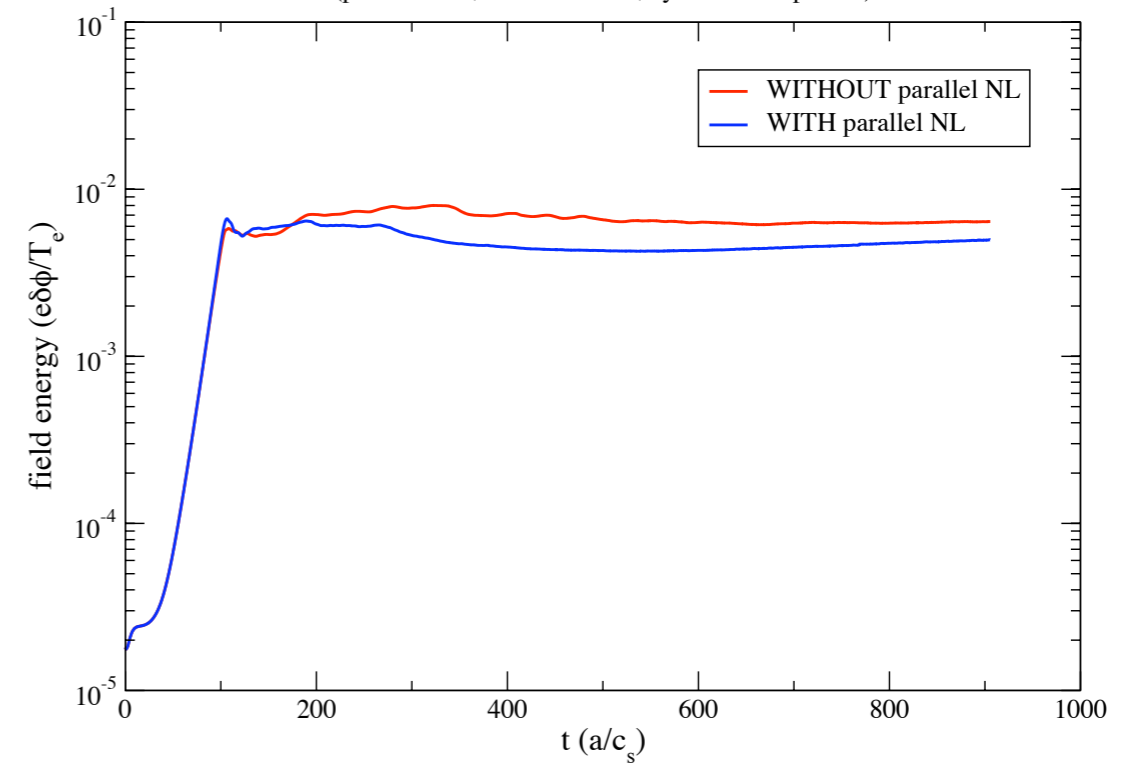
Peak χ_i (bin 3) - $a/\rho_i=500$

(part/cell=10, mzetamax=64, cyclone case profile)



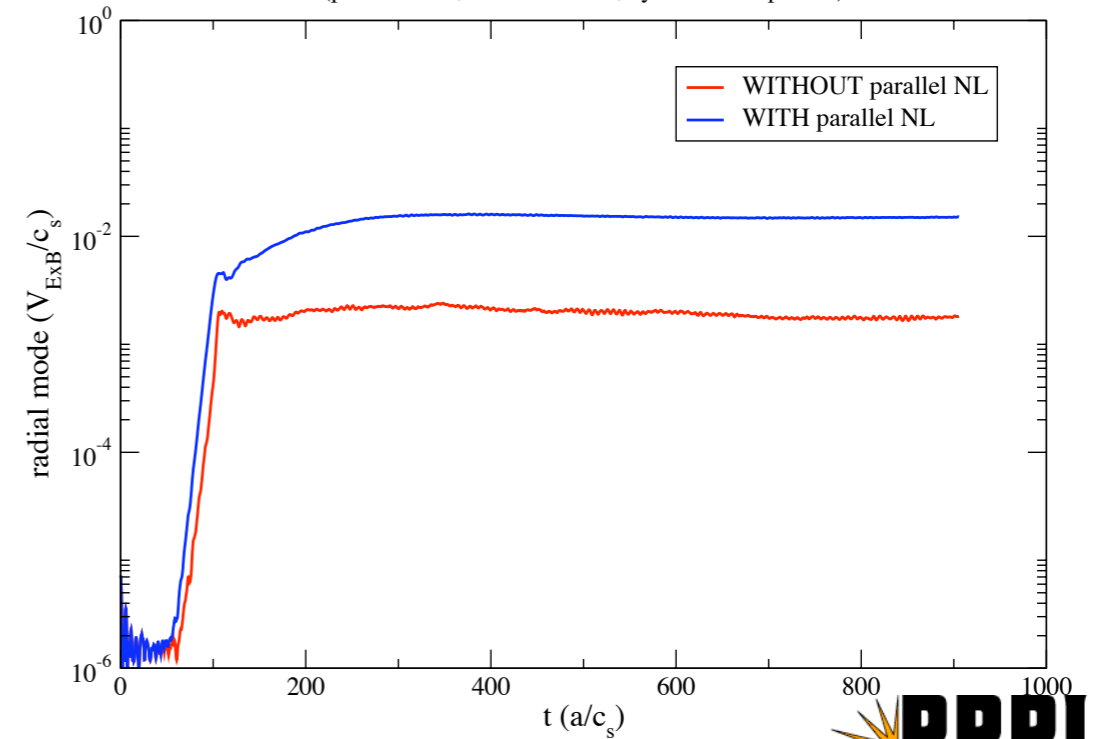
Field Energy - $a/\rho_i=500$

(part/cell=10, mzetamax=64, cyclone case profile)



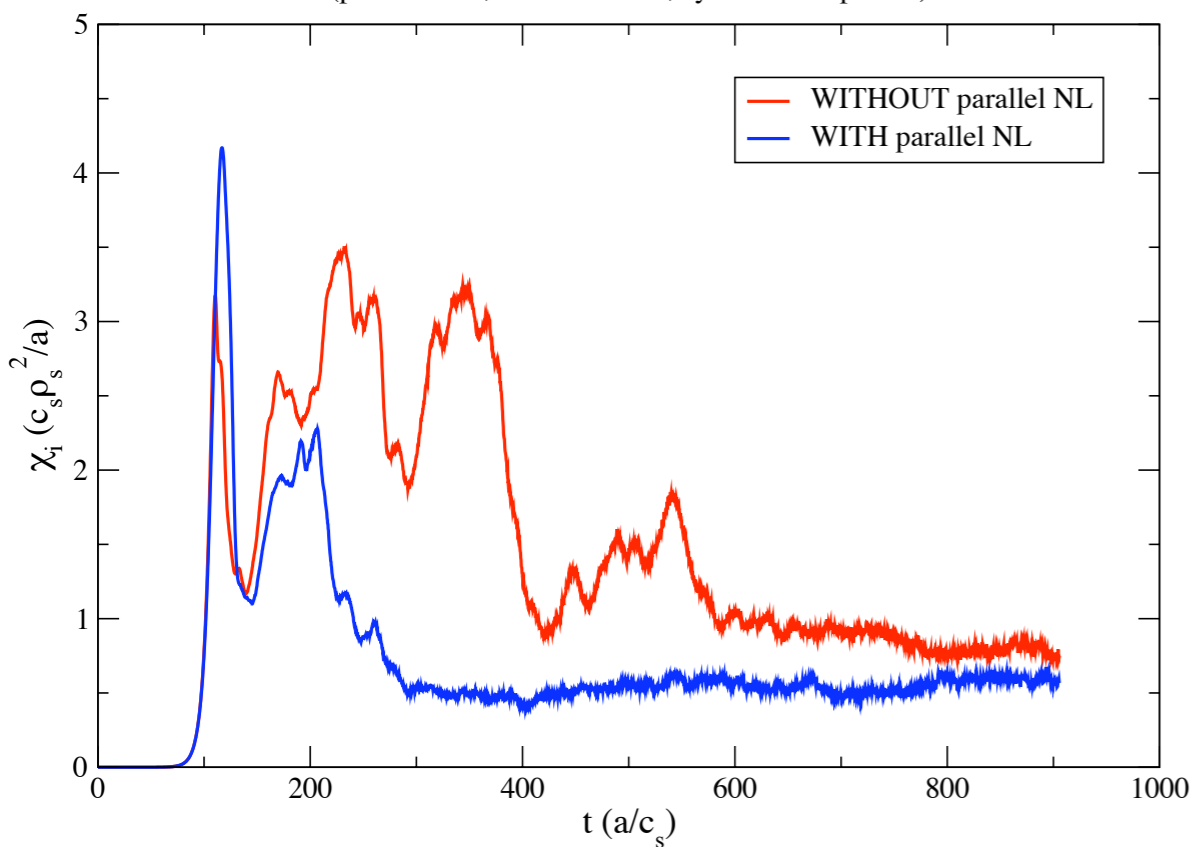
Radial Mode (Zonal Flow) - $a/\rho_i=500$

(part/cell=10, mzetamax=64, cyclone case profile)



Peak χ_i (bin 3) - $a/\rho_i=250$

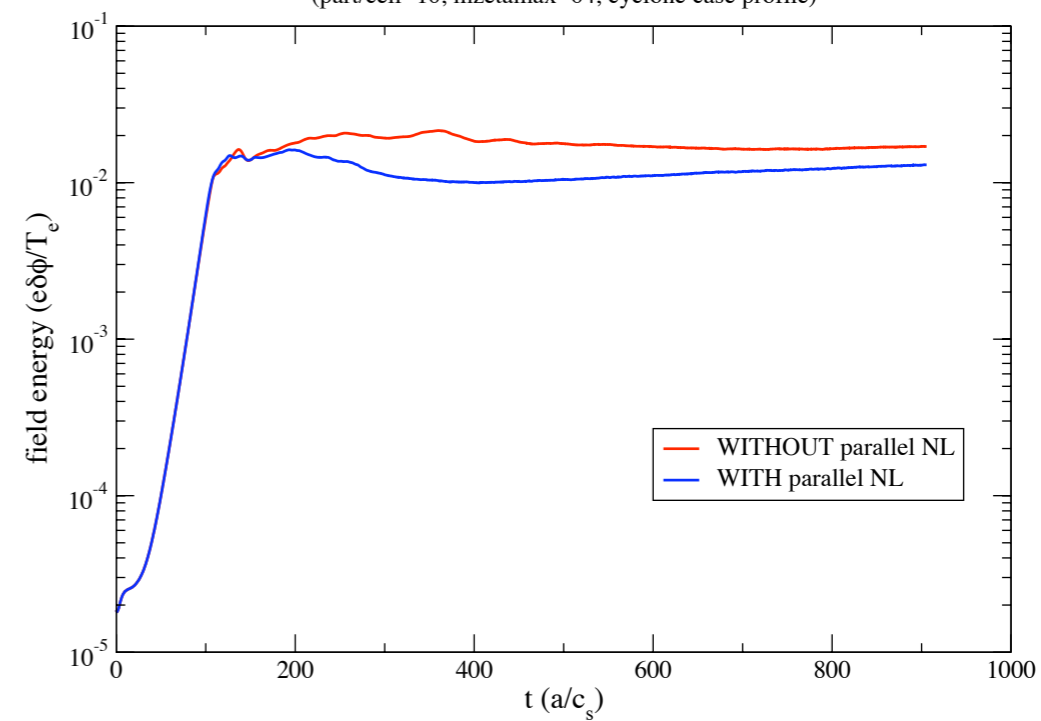
(part/cell=10, mzetamax=64, cyclone case profile)



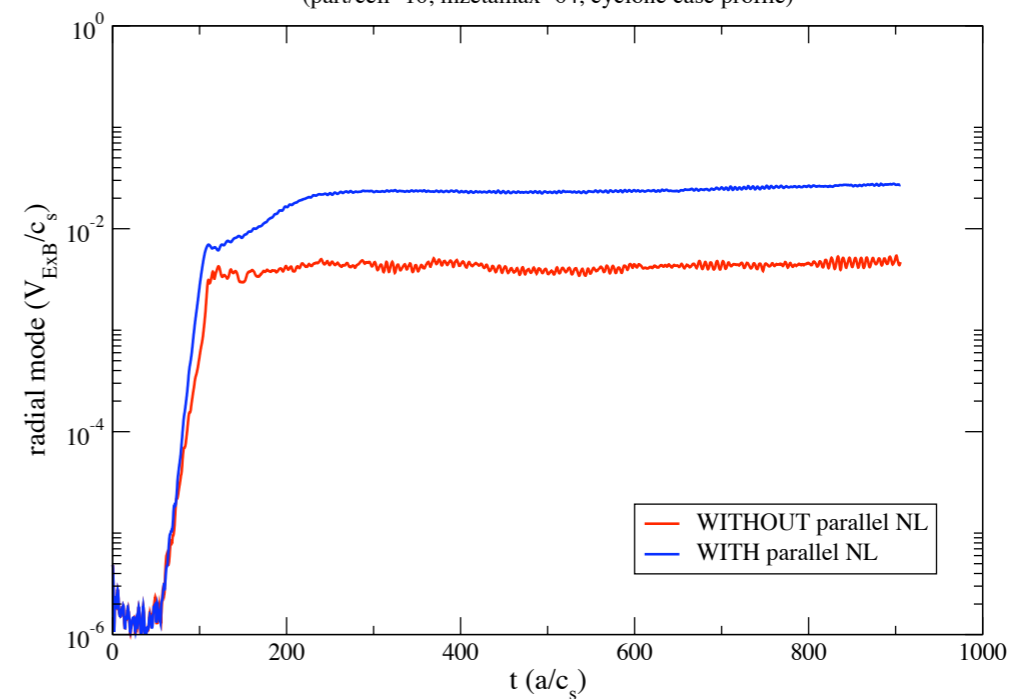
[Lee, Ethier et al., invited talk, APS/DPP2004]

Field Energy - $a/\rho_i=250$

(part/cell=10, mzetamax=64, cyclone case profile)

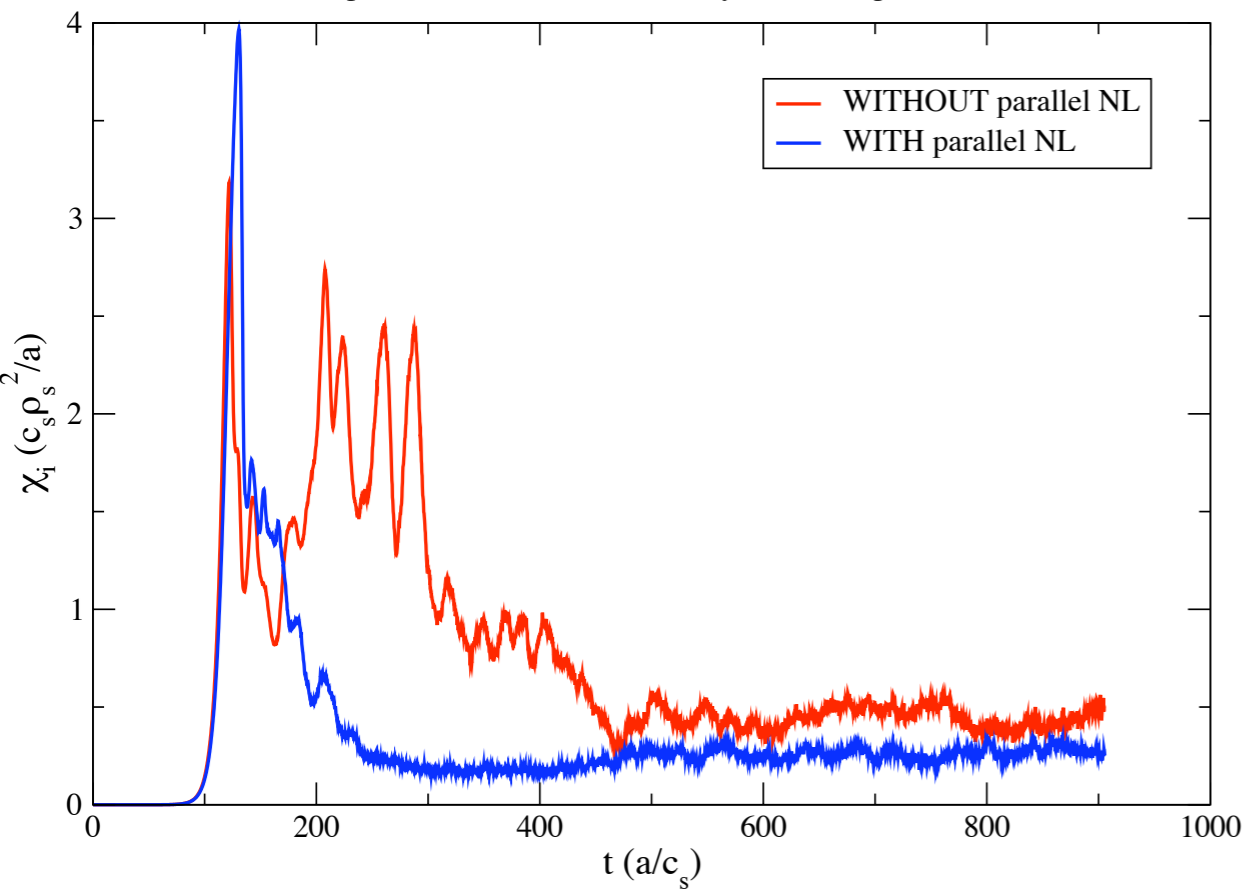
Radial Mode (Zonal Flow) - $a/\rho_i=250$

(part/cell=10, mzetamax=64, cyclone case profile)



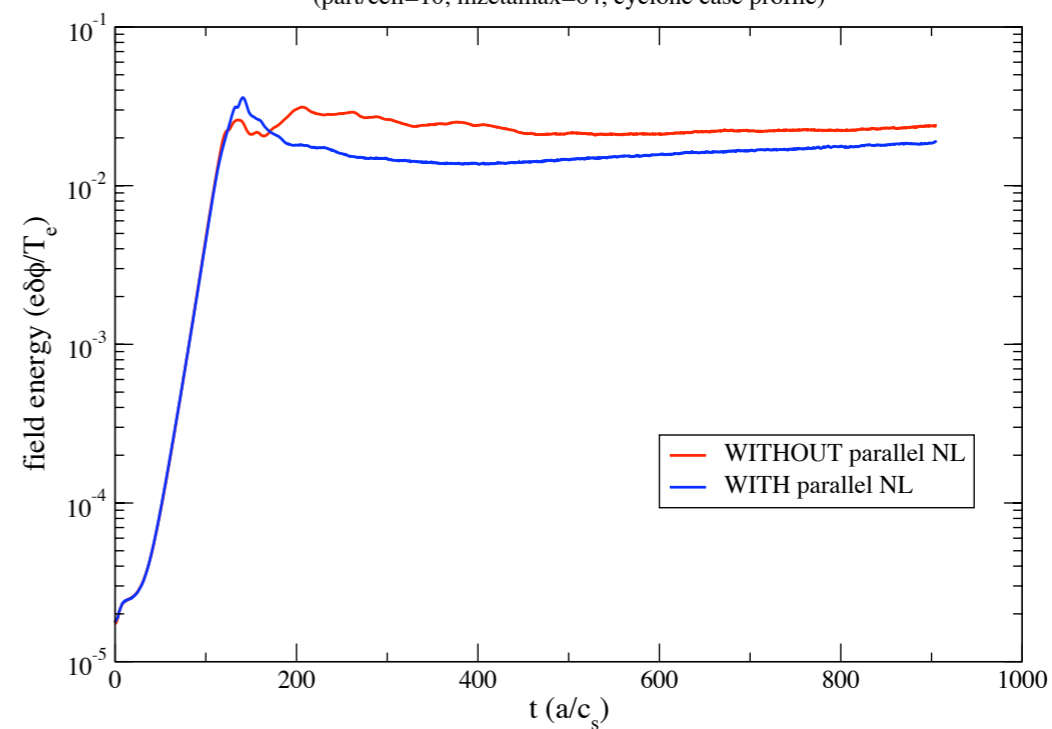
Peak χ_i (bin 3) - $a/\rho_i=125$

(part/cell=10, mzetamax=64, cyclone case profile)



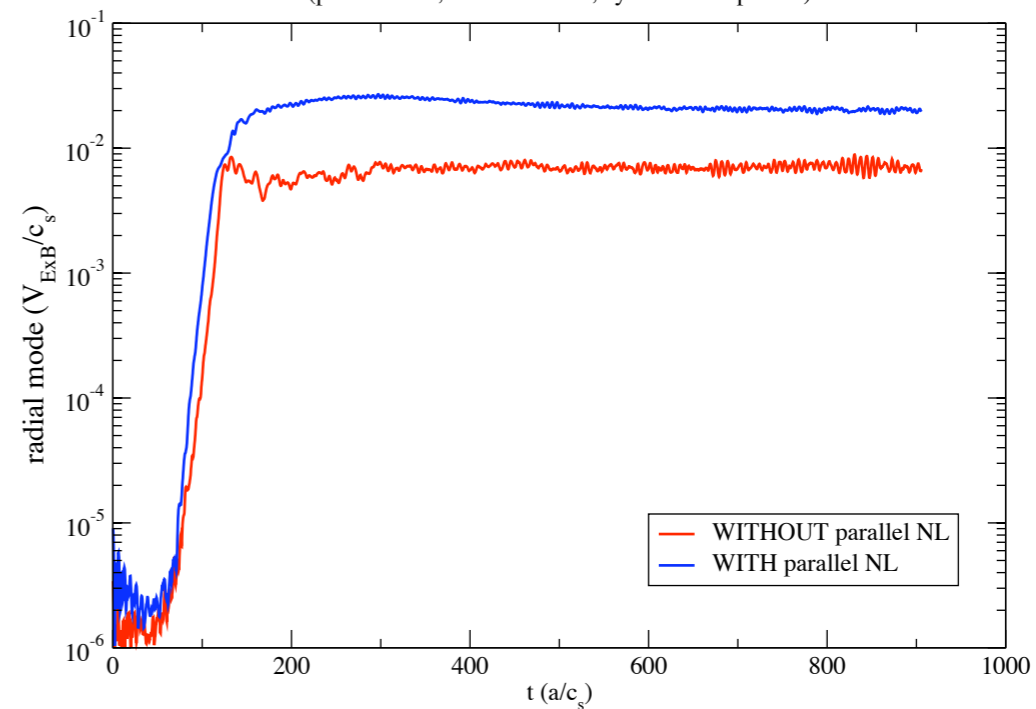
Field Energy - $a/\rho_i=125$

(part/cell=10, mzetamax=64, cyclone case profile)



Radial Mode (Zonal Flow) - $a/\rho_i=125$

(part/cell=10, mzetamax=64, cyclone case profile)



Entropy Production - ITG modes

- δf -formulation: $F_i = F_{0i} + \delta f_i$, ν is the collision frequency, and Q_{ix} is the radial ion energy flux

$$\frac{\partial}{\partial t} \left\langle \int \frac{\delta f_i^2}{F_{0i}} dv_{\parallel} + \tau \phi^2 + \tau |\nabla_{\perp} \phi|^2 \right\rangle + \left\langle \tau \frac{\partial \phi}{\partial x_{\parallel}} \int v_{\parallel} \frac{\delta f_i^2}{F_{0i}} dv_{\parallel} + 2\tau \nu \int \frac{dv_{\parallel}}{F_{0i}} \left(\frac{\partial \delta f_i}{\partial (v_{\parallel}/v_{ti})} + \frac{v_{\parallel}}{v_{ti}} \delta f_i \right)^2 \right\rangle = \kappa_{Ti} \langle Q_{ix} \rangle$$

$$\tau \equiv T_e/T_i, \quad \kappa_{Ti} \equiv -d \ln T_{0i} / dx, \quad \langle \dots \rangle \equiv \frac{1}{V} \int d\mathbf{x},$$

- Let $w \equiv \delta f_i / F_{0i}$, $E_{\parallel} \equiv -\partial \phi / \partial x_{\parallel}$, $\partial \delta f_i / \partial (v_{\parallel}/v_{ti}) \approx -\beta \delta f_i$, $\beta \ll 1$, N is the particle number,

$$\frac{\partial}{\partial t} \sum_{j=1}^N \frac{w_j^2}{1 - w_j} + \tau \frac{\partial}{\partial t} \langle \phi^2 + |\nabla_{\perp} \phi|^2 \rangle + \sum_{j=1}^N \left[-\tau E_{\parallel j} v_{\parallel j} + 2\nu \tau (1 - \beta)^2 \left(\frac{v_{\parallel j}}{v_{ti}} \right)^2 \right] \frac{w_j^2}{1 - w_j} = \kappa_{Ti} \langle Q_{ix} \rangle$$

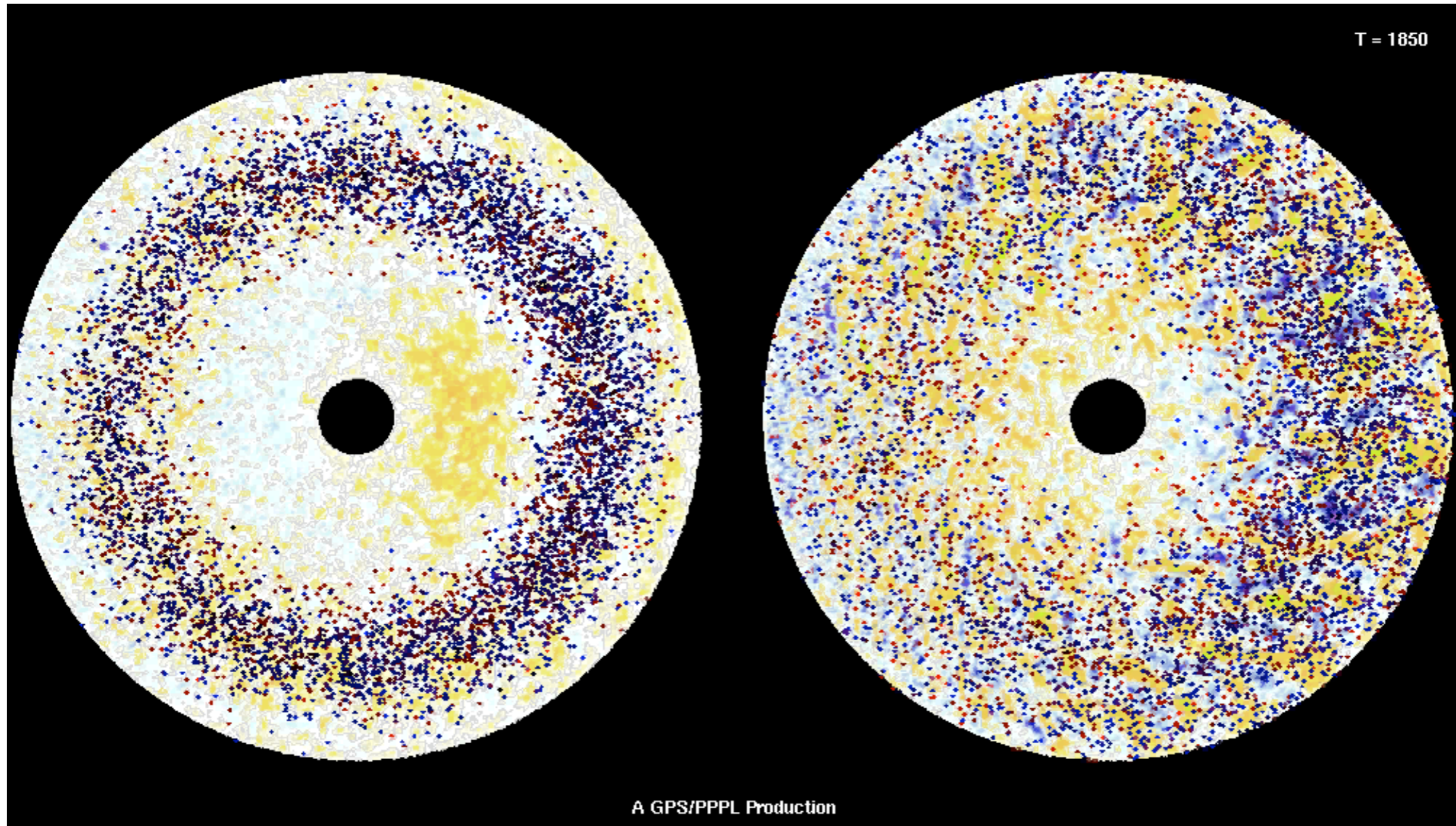
- Energy balance:

$$\sum_{j=1}^N E_{\parallel j} v_{\parallel j} w_j = \frac{1}{2} \frac{\partial}{\partial t} \sum_{j=1}^N v_{\parallel j}^2 w_j \approx \frac{1}{2} \frac{\partial}{\partial t} \sum_{j=1}^N \alpha v_{ti}^2 w_j, \quad \alpha \approx 1 \quad \text{or} \quad \alpha \ll 1$$

- In the steady state ($\partial/\partial t \langle \phi^2 + |\nabla \phi|^2 \rangle = 0$), with $w \ll 1$:

$$\frac{\partial}{\partial t} \sum_{j=1}^N \left(1 - \frac{\alpha}{4} \right) w_j^2 + 2\nu \tau (1 - \beta^2) \alpha \sum_{j=1}^N w_j^2 = \kappa_{Ti} \langle Q_{ix} \rangle$$

Velocity-Space nonlinearity reduces ion energy flux, but collisions enhance it.

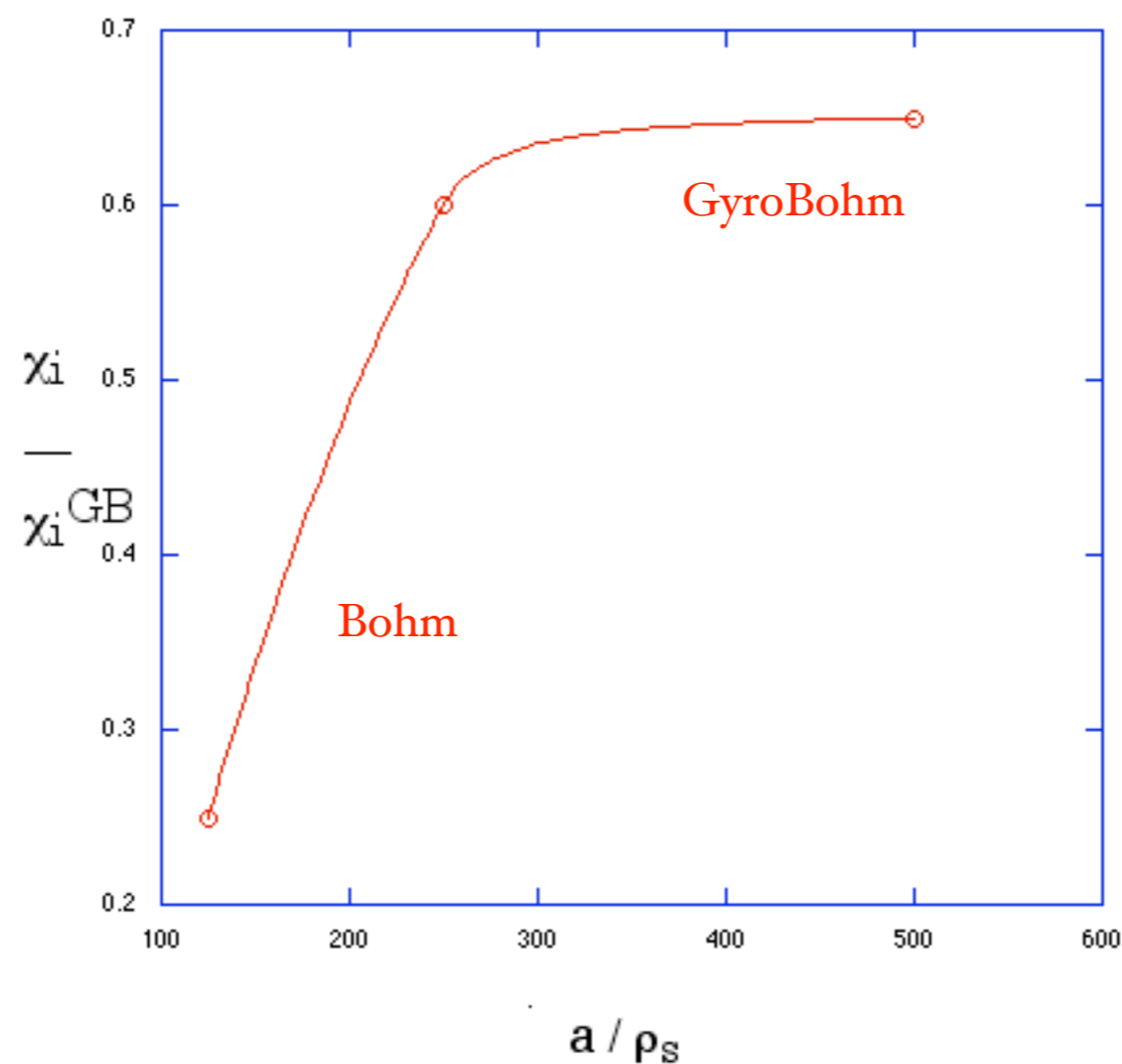


With
Parallel Velocity-Space Nonlinearity
GyroBohm?

Without
Bohm?

Data Management and Visualization
[Klasky, Ethier in collaboration with Beck, Ma]

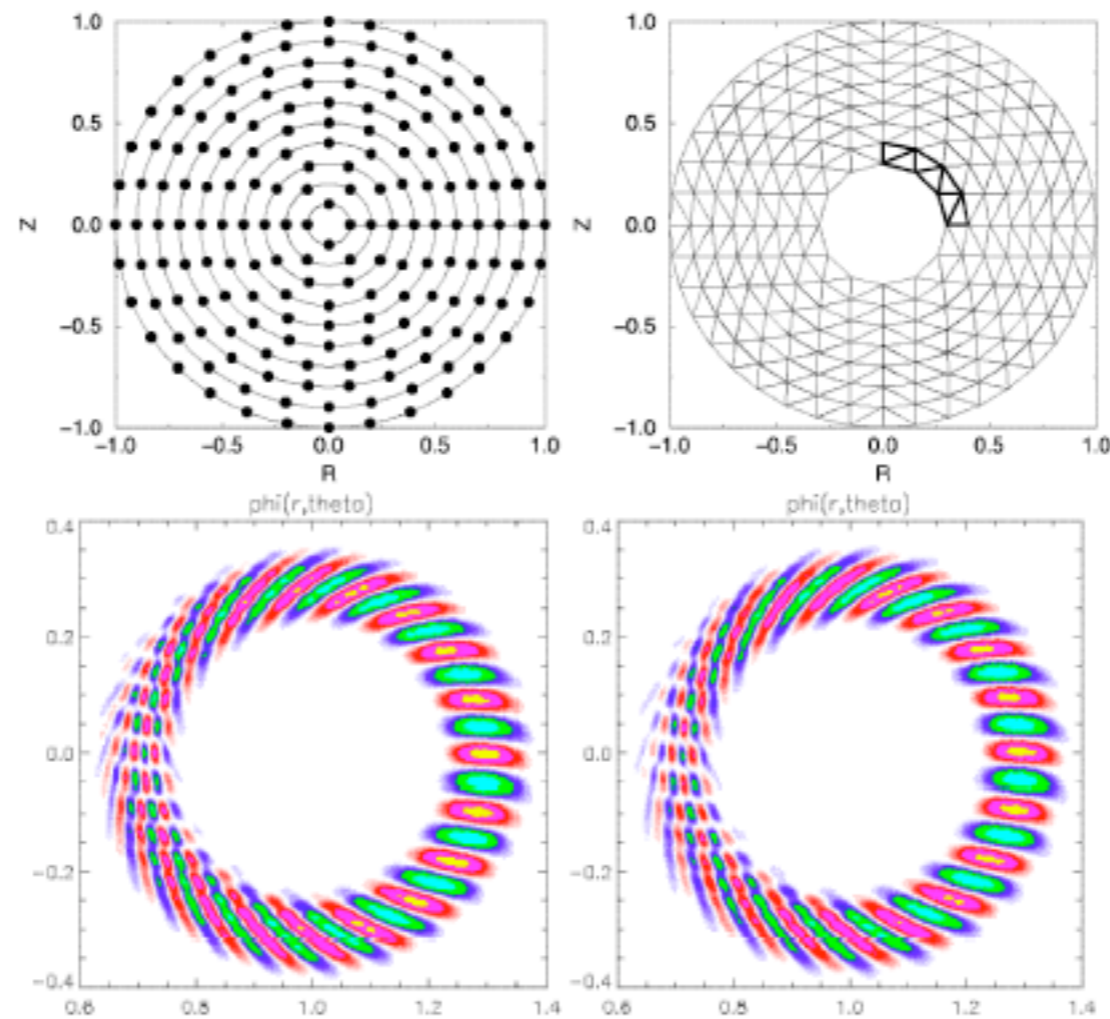
Transition from Bohm to GyroBohm similar to Lin, Ethier, Hahm and Tang, PRL '02?



Code Development - Finite Element Poisson Solver via PETSc

Old GTC solver vs. New GTC solver

[Nishimura et al., submitted to JCP]

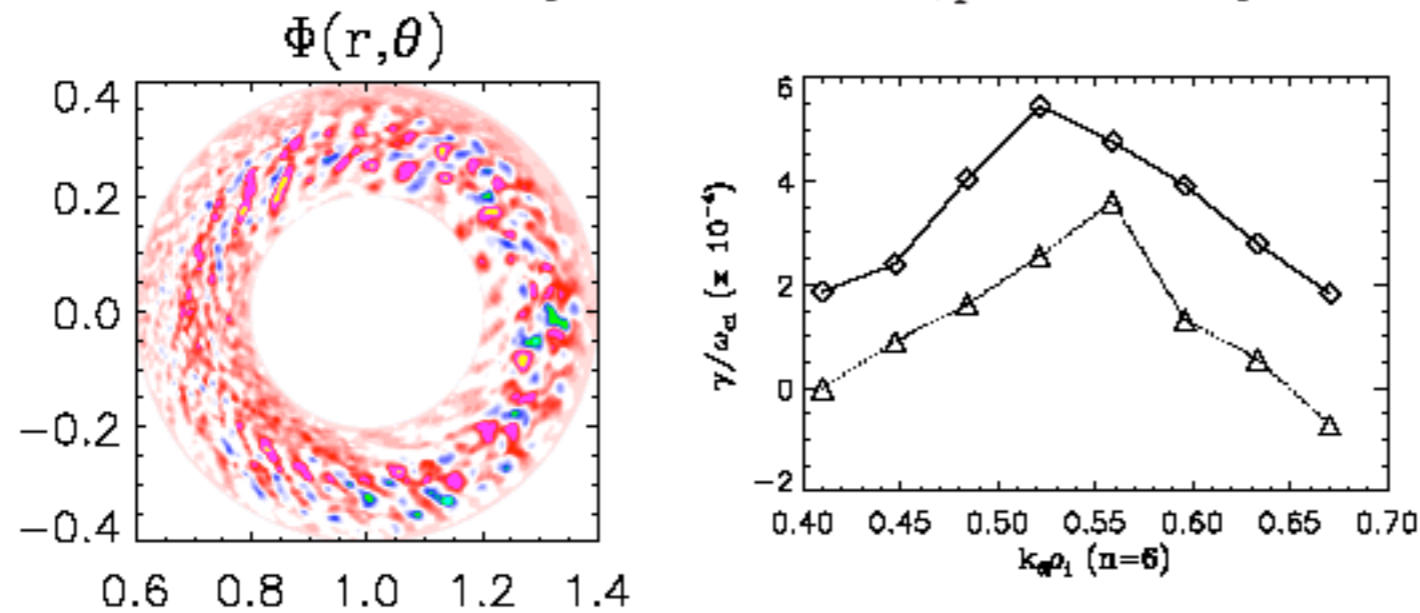


Collaboration with SciDAC-TOPS: D. E. Keyes and M. Adams

Split-weight Scheme for Toroidal Plasmas

PPPL

[J.L.V. Lewandowski, poster EP1.054]

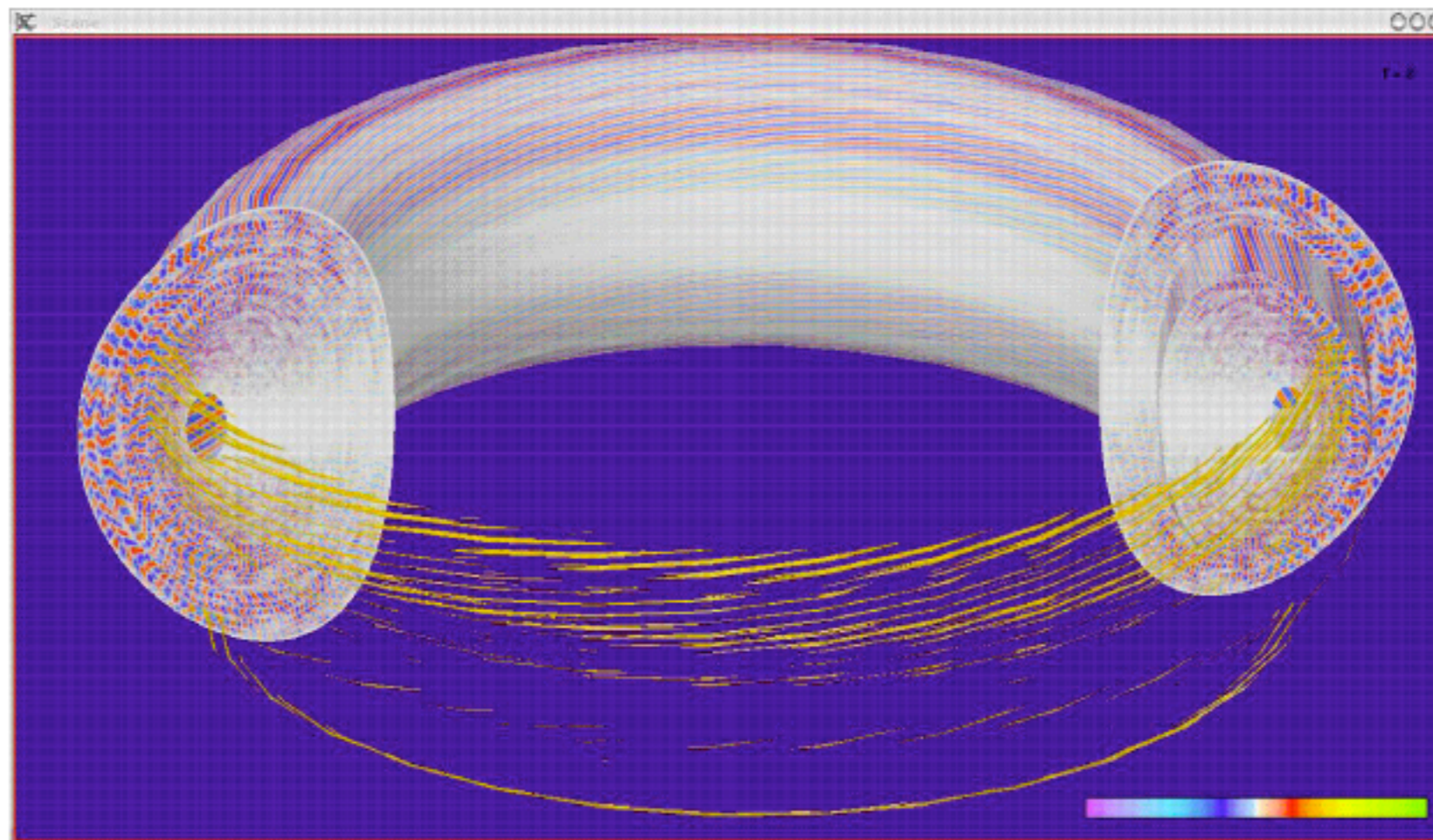


Parameters: $R_0/L_n = 2.22$, $R_0/L_{T_i} = R_0/L_{T_e} = 6.92$, $r/a = 0.5$.

- Split-weight scheme resolves non-adiabatic electron response only (allows for turbulent & collisional friction between trapped and untrapped electrons)
- Extension from sheared-slab 1D to toroidal geometry completed.
- Global finite element Poisson solver used to invert $\mathbf{L} \partial_t \Phi = \mathbf{S}$ (32 to 64 different stiffness matrices \mathbf{L})
- Numerical method is stable for large time step $\Delta t = 5 - 10 \omega_{ci}^{-1}$

Code Development - Shaped Plasmas

[Wang, Klasky and Ethier]



Core-Edge Simulations via GTC

- Basic requirements for the validity of gyrokinetic Vlasov-Maxwell equations are:

$$\rho/L_B \sim o(\epsilon),$$

$$\partial F/\partial \phi = 0,$$

$$d\mu_B/dt = 0.$$

- GTC already has Lorentz collision operators for e-i, and momentum and energy conserving collision operators for like species.

- The core uses the δf scheme of

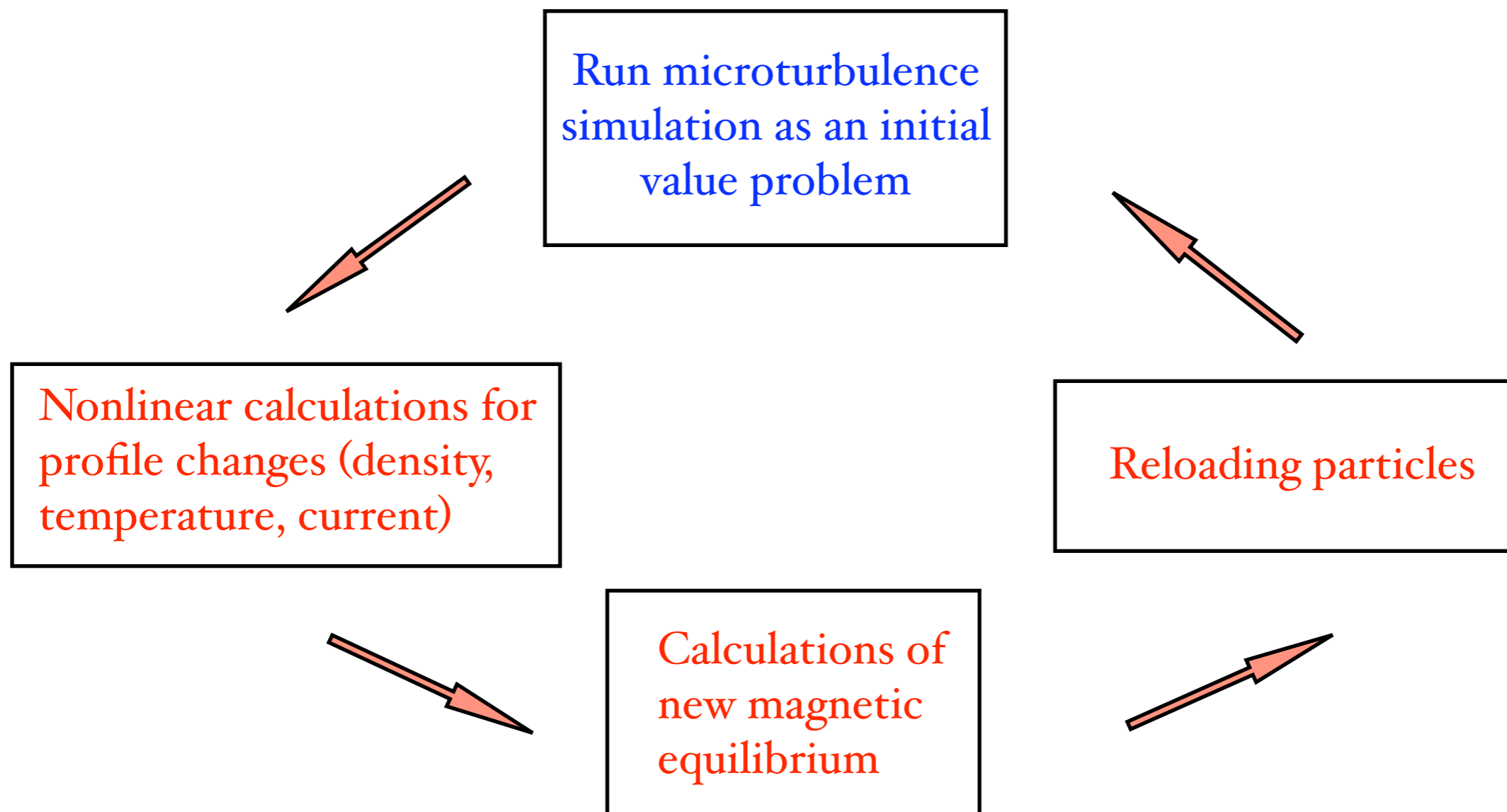
$$\frac{D\delta f}{DT} = -\frac{DF_0}{Dt}.$$

- The edge uses the δf scheme of

$$\delta f = F - F_0.$$

- Core-edge simulation inside the separatrix using GTC with electrons and multi-species ions is feasible.

Turbulence Simulation in Transport Time Scale



Conclusions

- Gyrokinetic Particle Simulation is a vital tool for fusion research
- Gyrokinetic formalism is most suitable for tokamak and stellarator physics when FLR effects, inertial effects and linear and nonlinear wave-particle interactions are important
- Gyrokinetic PIC toroidal simulation is an international effort: GTC, GEM, PG3EQ, GT3D (JAERI), ORB5(CRPP)
- Team coding: version control, developer's manual, OO (with V. Decyk)
- Strong candidate for Integrated Fusion Simulation Project

Related GTC papers:

- J. Lewandowski et al.-- Kinetic electrons using split-weight scheme [EP1.054]
- Y. Nishimura et al. -- Alfvén physics using hybrid scheme [CP1.048]
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