



What is the  
Bogoliubov  
Hierarchy?

What is  $b_0$ ?

How can you determine  
whether a given collision  
operator conserves entropy

The Bogoliubov Hierarchy is a hierarchy of time scales describing the relaxation of an arbitrary perturbation. A perturbed system will relax towards thermal equilibrium in 3 principal stages:

- ① Pair correlations (Debye shielding over distances  $\lambda_D$ ) on a scale  $\tau_c \sim \frac{1}{\omega_p}$
- ② The velocity distribution relaxes to a local Maxwellian on the collisional time scale  $\tau_c \sim \frac{1}{\nu}$  smoothing out on scales of order  $\lambda_{mfp}$
- ③ Hydrodynamic (diffusion) processes occur on ~~an~~ macroscopic space and time scale ( $L \gg \lambda_{mfp}$ ,  $t \gg \tau_c$ ) trying to relax the system to a global, space and time independent Maxwellian.

$b_0 = \frac{e^2}{T}$  is the distance ~~at which the particles~~

of closest approach if you send 2 particles directly at each other. All kinetic energy has become potential energy:

$$\frac{1}{2} m v^2 = \frac{e^2}{b_0} \quad (v \sim v_{\perp} = \sqrt{\frac{T}{m}})$$

$$b_0 = \frac{e^2}{T} \quad (\text{ignore factor of 2})$$

entropy,  $S = \int f \ln f \, d\bar{v}$

$$\text{so } \left( \frac{\partial S}{\partial t} \right)_{\text{coll}} = \int \left( \frac{\partial f}{\partial t} \right)_{\text{coll}} (\ln f + 1) \, d\bar{v}$$

$$= - \int C[f] (\ln f + 1) \, d\bar{v}$$

Give a heuristic argument for the relationship between  $v_{ie}$  and  $v_{ei}$

How can you estimate the friction force,  $\vec{R}$  and what do you get?

How can you show that a collision operator,  $C_s[F]$  conserves momentum?

from momentum conservation,

$$m_e n_e \left( \frac{\partial \bar{u}_e}{\partial t} \right)_{\text{coll}} = -m_e n_e \left( \frac{\partial \bar{u}_i}{\partial t} \right)_{\text{coll}}$$

and, by definition:  $\left( \frac{\partial \bar{u}_e}{\partial t} \right)_{\text{coll}} = -\nu_{ei} (\bar{u}_e - \bar{u}_i)$

$$\left( \frac{\partial \bar{u}_i}{\partial t} \right)_{\text{coll}} = -\nu_{ie} (\bar{u}_i - \bar{u}_e)$$

so:  $\nu_{ie} = \left( \frac{m_e n_e}{m_i n_i} \right) \nu_{ei}$

$$\bar{R} = - \int d\bar{v}_e (m \bar{n})_e \bar{v}_e C_{ei}[f]$$

- with the Lorentz operator for  $C_{ei}$ , you can estimate  $\bar{R}$  by taking  $f_e$  to be a shifted Maxwellian (a 0-centered Maxwellian would have zero friction force). Also assume that  $\frac{v_{Te}}{v_{Ti}} \ll 1$ .

you get:  $\bar{R} \approx -m_e n_e \nu_{ei} (\bar{u}_e - \bar{u}_i)$

to show that a collision operator,  $C_s[f]$  conserves momentum, you must show that:

$$\left( \frac{\partial \bar{p}}{\partial t} \right)_{\text{coll}} = - \sum_s \int d\bar{v} (m \bar{n})_s \bar{v} C_s[f] = 0$$

How do you show that  
a collision operator  $C_s[f]$   
conserves kinetic energy?

How do you show that a  
collision operator,  $C_s[f]$  conserves  
number of particles?

Derive a heuristic  
scaling for  $\nu_{ei}$ , the electron-ion  
collision frequency.

to show that a collision operator  $C_s[f]$  conserves kinetic ~~energy~~ energy, you must show:

$$\left(\frac{\partial K}{\partial t}\right)_{\text{coll}} = - \sum_s \int d\vec{v} \frac{1}{2} (\bar{n} \cdot m)_s v^2 C_s[f] = 0$$

~~energy~~

To show that a collision operator,  $C_s[f]$  conserves number of particles, you must show that:

$$\left(\frac{\partial n_s}{\partial t}\right)_{\text{coll}} = - \bar{n}_s \int d\vec{v} C_s[f] = 0$$

use  $\nu \sim n\sigma v$ ,

take  $n \sim n_i$ , consider ions ~~immobile~~ nearly immobile,  $v \sim v_{Te}$ ,  $\sigma \sim b_0^2$  where  $b_0$  is the distance at which potential energy of the interaction equals electron thermal energy.

$b_0 \sim \frac{Ze^2}{T_e}$ . Also, don't forget the factor of  $8 \ln \Lambda$  due to dominance of small  $\theta$  scattering:

$$\nu_{ei} \sim n_i b_0^2 v_{Te} \ln \Lambda \sim \frac{n_i Z^2 e^4}{T_e^{3/2} m_e^{1/2}} \ln \Lambda$$

Why is it kinetic energy,  
not total energy, that the Landau  
(Lorentz, Balescu-Lenard, etc) collision operator  
conserves?

How would this inner product  
be defined in the Chapman-Enskog  
procedure:

$$\langle \psi | \hat{L} | \chi \rangle = ?$$

What is this?

$$C[F] = -\nu(v) \frac{\partial}{\partial \lambda} (1 - \lambda^2) \frac{\partial f}{\partial \lambda}$$

- What is  $\lambda$ ?
- What is  $\nu(v)$ ?
- Where did it come from?
- What does it describe?



because we assumed straight line trajectories, ~~the~~ with constant  $v$ .

To first order, there was no change in potential energy

for an entire plasma fluid, in 3-D:

$$\langle \psi | \hat{L} | \chi \rangle \equiv \sum_s \int d^3v \psi(\vec{v}) \hat{L} \chi(\vec{v}) f_0(\vec{v})$$

$\hat{L}$  acts on  $(\chi f_0)$

for a test particle, in 1-D:

$$\langle \psi | \hat{L} | \chi \rangle \equiv \int dv \psi \hat{L} \chi f_0$$

Lorentz collision operator: It came from the Landau collision operator, with the assumption that  $m_e \ll m_i$ .

$\lambda = \frac{v_{\parallel}}{v} = \cos \Theta$  is the pitch angle parameter

$\nu(v) = \nu_{ei} \left( \frac{v_{te}}{v} \right)^3$  where  $\nu_{ei}$  is as derived with a heuristic scaling argument.

note:  $\frac{1}{v^3}$  dependence can lead to runaway electrons.

The Lorentz operator conserves electron kinetic energy - it describes the scattering of electrons off of immobile ions - occurs at the constant electron energy "on the energy shell"

How could you find all  
conservation laws of a given collision  
operator?

What does the Landau  
operator conserve?

What is the definition of  
conductivity  
(Tensor and Scalar)

to find all quantities which are conserved by a given collision operator, write:

$$\int d^3v W(\vec{v}) C[f] = 0$$

and try to solve for  $W$  for arbitrary  $f$ .

→ Try to get in form:  $\int d^3v G(W) \cdot f = 0$ . Then  $G(W) = 0$

\* integrate by parts to remove all derivatives from  $f$ .

The Landau operator conserves density momentum and kinetic energy and nothing else.

conductivity tensor is defined by:

$$\vec{J}_{\vec{k}, \omega} = \vec{\sigma}_{\vec{k}, \omega} \cdot \vec{E}_{\vec{k}, \omega}$$

with no asymmetry, this reduces to:

$$\vec{J}_{\vec{k}, \omega} = \sigma(\vec{k}, \omega) \vec{E}_{\vec{k}, \omega} \quad \text{where } \sigma = \hat{k} \cdot \vec{\sigma} \cdot \hat{k}$$

and the  $\vec{E}$  field is given by:

$$\vec{E}(\vec{x}, t) = \vec{E}_{\vec{k}, \omega} \exp(i\vec{k} \cdot \vec{x} - i\omega t)$$

How are  $\langle v^2 \rangle$  and  $T$   
related in thermal equilibrium?

What does  
 $f_{\alpha}(\vec{r}, \vec{v}, t) d\vec{r} d\vec{v}$   
represent?

In the collisionless limit, does the  
conductivity have a dissipative  
part?

$$\frac{1}{2} m \langle v^2 \rangle = \frac{3}{2} T \quad \text{in thermal equilibrium}$$

$f_\alpha(\vec{r}, \vec{v}, t) d\vec{r} d\vec{v}$  represents the number of particles of species  $\alpha$  in a 6-D volume element  $d\vec{r} d\vec{v}$

Yes; it is due to Landau damping.

(can use Vlasov dielectric in the collisionless limit)

What are runaway particles?

Why is it possible to have different electron and ion temperatures in one plasma

How is the dielectric function defined, in terms of total and test fields/potentials?

Runaway particles are particles that accelerate indefinitely and never reach a steady state. This can arise because the Coulomb scattering cross section decreases rapidly at high energies

$$\sigma \sim \left(\frac{v_{te}}{v}\right)^3$$

It is possible to have different electron and ion temperatures in one plasma because the large mass difference ensures that each particle species will thermalize with itself much sooner than the two will thermalize together.

$$\vec{E}_{\vec{k},\omega}^{(tot)} = \frac{\vec{E}_{\vec{k},\omega}^{(test)}}{D(\vec{k},\omega)}$$

or, by Poisson's eqn:

$$\delta \phi_{\vec{k},\omega}^{(tot)} = \frac{\delta \phi_{\vec{k},\omega}^{(test)}}{D(\vec{k},\omega)}$$

What does the test particle  
Superposition principle state, and  
what does it allow you to compute?

What is the charge density  
of a test particle  
- in configuration space?  
- in fourier space?

What is the total charge  
density of a single  
quasiparticle?



The test particle superposition principle states that, to lowest order in the plasma parameter  $\epsilon$ , one may consider the plasma to be composed of a collection of quasiparticles: statistically independent shielded test particles.  
→ correlation effects accounted for by shielding.

It can be used to compute two-point quantities (e.g. fluctuation spectrum of the electric field) correctly through  $O(\epsilon_p)$

$$\begin{aligned}\rho^{\text{test}}(\vec{x}, t) &= Q \delta(\vec{x} - \vec{x}_0 - \vec{v}t) \\ \rho^{\text{test}}(\vec{k}, \omega) &= \int d\vec{x} \int dt e^{-i(\vec{k} \cdot \vec{x} - \omega t)} Q \delta(\vec{x} - \vec{x}_0 - \vec{v}t) \\ &= 2\pi Q e^{-i\vec{k} \cdot \vec{x}_0} \delta(\omega - \vec{k} \cdot \vec{v})\end{aligned}$$

$$\rho^{\text{QP}}(\vec{k}, \omega) = \frac{\rho^{\text{test}}(\vec{k}, \omega)}{D(\vec{k}, \omega)}$$

total is sum of induced + test.

What is the electric field  
of a quasiparticle?

How do you use the assumption  
of statistical independence of  
quasiparticles to simplify:

$$\langle E^{\text{tot}}(\vec{r}, t) E^{\text{tot}}(-\vec{r}, t') \rangle =$$
$$\sum_i \sum_j \langle E_i^{\text{qp}}(\vec{r}, t) E_j^{\text{qp}}(-\vec{r}, t') \rangle$$

If  $A_i$  and  $A_j$  are statistically  
independent, how can you express:

$$\sum_i \sum_j A_i A_j = ?$$

$$i\vec{k} \vec{E}^{QP}(\vec{k}, \omega) = 4\pi \rho^{QP}(\vec{k}, \omega)$$

or, defining  $\vec{E}_{\vec{k}} \equiv \frac{4\pi i \vec{k}}{k^2}$

$$\vec{E}^{QP}(\vec{k}, \omega) = \vec{E}_{\vec{k}} \rho^{QP}(\vec{k}, \omega)$$

$$\begin{aligned} \langle \vec{E}(\vec{k}, t) \vec{E}(-\vec{k}, t') \rangle &= \sum_i \sum_j \langle E_i^{QP}(\vec{k}, t) E_j^{QP}(-\vec{k}, t') \rangle \\ &= \sum_i \langle E_i^{QP}(\vec{k}, t) \rangle \sum_{j \neq i} \langle E_j^{QP}(-\vec{k}, t') \rangle + \sum_i \langle E_i^{QP}(\vec{k}, t) E_i^{QP}(-\vec{k}, t') \rangle \end{aligned}$$

mean field ↑ fluctuations  
(what you are interested in)

then use statistical independence + normalization to say

$$\sum_i \langle \rangle \rightarrow \sum_s N_s \int f_s(\vec{v}) d\vec{v}$$

$$\sum_i \sum_{j \neq i} A_i A_j = \sum_i A_i \sum_{j \neq i} A_j + \sum_i A_i^2$$

Explain the meaning of  
the right hand side:

$$\frac{\partial f_\alpha}{\partial t} + \frac{\partial}{\partial x_\beta} (v_\beta f_\alpha) + \frac{\partial}{\partial v_\beta} \left( \frac{F_{\alpha\beta} f_\alpha}{m_\alpha} \right) = C_\alpha [f_\alpha]$$

How are the classical Langevin  
equations,  $\frac{d\tilde{x}}{dt} = \tilde{v}$

$$\frac{d\tilde{v}}{dt} + \nu \tilde{v} = \delta a(t)$$

derived, and what physical effects do the  
terms represent?

In the Langevin calculation,  
what is  $D_v$ ?

How can this be related to the  
"typical step size" for  $\Delta v$  and  $\Delta t$ ?

The right-hand side of the kinetic equation is the "collisional" term - it accounts for the rapidly fluctuating microfields + forces in the plasma, which arise when particles come close to each other.

$f_a(\vec{r}, \vec{v}, t)$  is a smoothed density averaged over a volume containing a large # of particles.

The force  $F_a$  is a smoothed macroscopic force and represents an average over time + distance

They come from Newton's laws, assuming acceleration due to (1) Polarization drag: the  $-\nu \vec{v}$  term

(2) velocity space diffusion - random  $\delta v$  kicks from passing through Debye spheres. You can assume that  $\omega_p^{-1} \ll \Delta t \ll \nu^{-1}$  (coarse graining)

and that Debye spheres are independent  $\rightarrow$  then acceleration may be considered to be Gaussian white noise,  $\delta a(t)$  with  $\langle \delta a(t) \rangle = 0$   
 $\langle \delta a(t) \delta a(t') \rangle = 2D_v \delta(t-t') \mathbf{I}$

$$D_v = \nu V_+^2 \quad \text{expect } D_v \sim \frac{(\Delta v)^2}{\Delta t} \quad \text{But be careful}$$

$\nu^{-1}$  and  $V_{th}$  are NOT  $\Delta v$  and  $\Delta t$ ! Recall the process of scattering off of Debye spheres:  $\Delta t \sim \omega_p^{-1} \sim \epsilon / \nu$   
 - setting  $\frac{(\Delta v)^2}{\Delta t}$  gives  $(\Delta v)^2 \sim \epsilon_p V_+^2$

$\rightarrow$  Langevin calculation takes  $\epsilon_p \rightarrow 0$  in just such a way that the velocity space diffusion coefficient remains finite while the elementary steps go to zero!

What is the Einstein relation,  
found from the Langevin calculation  
in a ( $\vec{B}$ -field free) plasma?

Describe the Green's  
function technique for  
solving 1st order ODE's

Describe the  
(unmagnetized) Langevin  
dynamics on short and long time  
scales

Einstein relation is for  $D_v$  - find by using energy equipartition  $\rightarrow$  Assume that a long time, a fast particle has reached equilibrium with the background bath, such that

$$\frac{1}{2} m \langle v^2 \rangle = \frac{1}{2} T$$

langevin calc. gave  $\langle \delta v^2 \rangle = \frac{D_v}{\nu}$  in long time limit set equal to get

$$D_v = \nu v_{th}^2$$

Suppose you have a 1st order DE with a driving term

$$\hat{O} \Psi(t) = S(t).$$

You want to find a Green's function such that:

$$\hat{O} \cdot G(t; t') = \delta(t - t')$$

Then the solution for  $\Psi$  is given by:

$$\Psi(t) = \int_{-\infty}^{\infty} dt' G(t; t') S(t') + \text{initial conditions}$$

short times $\nu t \ll 1$		$\nu t \gg 1$ long times	
$\langle v \rangle = (1 - \nu t) v_0$	collisional slowing down	$\langle v \rangle = 0$	randomization of velocity
$\langle x \rangle = x_0 + v_0 t$	free streaming	$\langle x \rangle = x_0 + \lambda_{mp}$	
$\langle \delta v^2 \rangle = 2 D_v t$	velocity space diffusion	$\langle \delta v^2 \rangle = v_{th}^2$	thermalized
$\langle \delta x^2 \rangle = \frac{2}{3} D_v t^3$	"orbit diffusion"	$\langle \delta x^2 \rangle = 2 D_x t$	
$D_v = \nu v_{th}^2$		$D_x = \frac{v_{th}^2}{\nu}$	

What equation relates heat flux to a temperature gradient?

How do you estimate the coefficient?

What equation relates particle flux to a density gradient?

How do you estimate the coefficient?

Explain the  $\bar{u}$  dependent electron heat flux  $\bar{q}_e$  in the unmagnetized, and magnetized cases.



$$q = -K \frac{\partial T}{\partial x}$$

thermal conductivity

$$K \sim \frac{n(\Delta X)^2}{\Delta t}$$

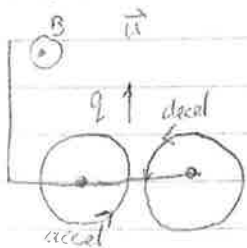
$$\Gamma = -D \frac{\partial n}{\partial x}$$

diffusion coefficient:

$$D \sim \frac{(\Delta X)^2}{2(\Delta t)}$$

unmagnetized: faster electrons predominantly carry current (due to  $v \sim \frac{1}{2}$ )  $\rightarrow$  more fast electrons moving along  $\vec{u}$  slower ones against. Though electron fluxes cancel, energy fluxes do not. Net energy flux in  $\vec{u}$  direction ( $q$  along  $\vec{u}$ )

Magnetized: electrons alternately accelerated/decelerated by friction force as they move along/against  $\vec{u}$ . Net effect: faster electrons moving in  $\vec{q} \rightarrow$  heat flux



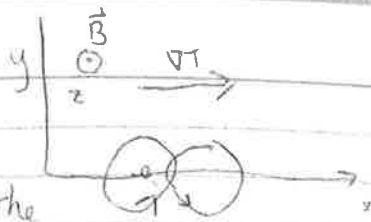
note  $\vec{q} \perp$  to  $\vec{B}$  and  $\vec{u}$

How does the  $\vec{R}_{\nabla T}$  force differ in the magnetized case from the unmagnetized case?

Derive a heuristic scaling for the temperature gradient dependent  $\vec{R}_{\nabla T}$  force. (unmagnetized case)

What is the physical mechanism for the  $\nabla T$  dependent  $\vec{R}$  force?  
(unmagnetized case)

in the strongly magnetized case:



- The particles coming from regions of different temp ( $x$ ) are moving in the  $y$  direction when the force imbalance occurs  $\Rightarrow$  Force is now in  $\phi$

- typical distance of travel is now  $\rho_L$ , not  $\lambda_{mfp} \rightarrow$   
 magnitude of force:  $\delta R \sim \delta(mn v_{th} v_{ei})$   
 $\sim L \frac{\partial (mn v_{th} v_{ei})}{\partial x}$

previously, this was  $\sim L \frac{\partial (mn v_{th} v_{ei})}{\partial x}$  magnitude differs by  $\frac{\rho_L}{\lambda_{mfp}}$

$$\vec{R} \sim mn v_{th} v_{ei} \rightarrow \delta \vec{R} \sim \delta(mn v_{th} v_{ei})$$

$$v_{ei} \sim \frac{n_e e^4}{T^2} \sqrt{\frac{I}{m}}$$

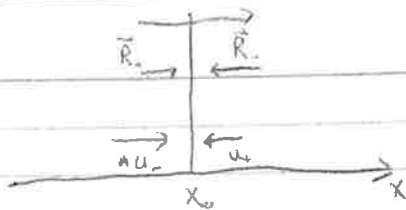
$$\sim \lambda_{mfp} \frac{\partial (nm v_{th} v_{ei})}{\partial x}$$

↑  
temp dependent

$$\frac{\partial}{\partial x} \left( \frac{1}{T} \right) \sim \frac{1}{T^2} \frac{\partial T}{\partial x} \quad \frac{\partial (nm v_{th} v_{ei})}{\partial x} \sim \frac{\partial}{\partial x} \left( \frac{n^2 e^4}{T} \right)$$

... put all together:

$$\delta \vec{R} \sim -\eta \frac{\partial T}{\partial x}$$



if particles arriving at  $x_0$  with same  $\vec{u}$  (so no  $\vec{R}_x$  force) - consider those arriving from right + those from left.

from right have higher energy, fewer collisions - smaller friction force.

$\Rightarrow$  Net friction force opposite  $\nabla T$ .

How is the friction force related to  $\Delta \bar{u}_{\parallel}$  and  $\Delta \bar{u}_{\perp}$  in a strong magnetic field and why?

What equation relates momentum flux to a velocity gradient?

How do you estimate the coefficient?

Given the electric field of a single quasiparticle,  $\vec{E}_i^{qp}(\vec{k}, \omega)$  in Fourier space, what is

- the total electric field due to all quasiparticles?
- the two-time correlation function?

$$\vec{R}_{\text{st}} = -m_e n_e v_{ei} (0.51 \Delta u_{\parallel} + \Delta u_{\perp})$$

friction force lower  
in  $\parallel$  direction, due to  
high energy electron tail  
(coeff  $\sim 1$  for shifted maxwellian)

$\vec{B}$  field  
prevents tail  
from developing

$$\pi_{xy} = -\eta \frac{dV_y}{dx}$$

viscosity,  $\eta \sim \frac{mn(\Delta x)^2}{\Delta t}$

the total electric field due to all quasiparticles is:

$$\vec{E}(\vec{k}, t) = \sum_i \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} e^{-i\omega t} \vec{E}_i^{\text{qp}}(\vec{k}, \omega)$$

and the two time correlation function is:

$$\langle \vec{E}(\vec{k}, t) \vec{E}(\vec{k}, t') \rangle$$

$$= \sum_{ij} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \int_{-\infty}^{\infty} \frac{d\omega'}{2\pi} \langle \vec{E}_i^{\text{qp}}(\vec{k}, \omega) \vec{E}_j^{\text{qp}}(-\vec{k}, \omega') \rangle * e^{-i\omega t - i\omega' t'}$$

How do you calculate a dielectric function by inserting a test charge?

What is the answer for the Vlasov dielectric?

What is the Vlasov dielectric?

What does it reduce to in the high frequency limit?

How can the (scalar) conductivity be expressed in terms of the dielectric function?

Why shouldn't you evaluate  $\sigma$  using the Vlasov dielectric for the hydrodynamic regime?

insert a test charge,  $\delta\rho^{\text{test}} \rightarrow$  related to a test

potential by poisson:  $\nabla^2 \delta\varphi^{\text{test}} = -4\pi \delta\rho^{\text{test}}$ .

Also know,  $\delta\varphi^{\text{tot}} = \delta\varphi^{\text{ind}} + \delta\varphi^{\text{test}}$  (1)

use an eqn. for the distribution function (like Vlasov or gyrokinetic eqn.)  $\rightarrow$  E in it is  $E^{\text{tot}} = -ik\delta\varphi^{\text{tot}}$

Linearize the eqn. to solve for  $f_i \rightarrow$  then get

$\delta\rho^{\text{ind}} = q \int f_i dv$ . use this and (1) to eliminate

$\delta\varphi^{\text{ind}} \rightarrow$  get relation between  $\delta\varphi^{\text{tot}}$  and  $\delta\varphi^{\text{test}}$ :

$$\delta\varphi_{\vec{k}, \omega}^{\text{tot}} = \frac{\delta\varphi_{\vec{k}, \omega}^{\text{test}}}{D(\vec{k}, \omega)}$$

for Vlasov case:

$$D(\vec{k}, \omega) = 1 + \sum_s \frac{\omega_{ps}^2}{k^2} \left( d\vec{v} \frac{\vec{k} \cdot \frac{\partial f_s}{\partial \vec{v}}}{\omega - \vec{k} \cdot \vec{v}} \right)$$

Vlasov Dielectric:

$$D(\vec{k}, \omega) = 1 + \sum_s \frac{\omega_{ps}^2}{k^2} \left( d\vec{v} \frac{\vec{k} \cdot \frac{\partial f_s}{\partial \vec{v}}}{\omega - \vec{k} \cdot \vec{v}} \right)$$

$$= 1 + \sum_s \frac{\omega_{ps}^2}{k^2} \left[ \rho \right] d\vec{v} \frac{\vec{k} \cdot \frac{\partial f_s}{\partial \vec{v}}}{\omega - \vec{k} \cdot \vec{v}} - i\pi \left( d\vec{v} \delta(\omega - \vec{k} \cdot \vec{v}) \frac{\vec{k} \cdot \frac{\partial f_s}{\partial \vec{v}}}{\omega - \vec{k} \cdot \vec{v}} \right)$$

for  $\omega \gg kv_{re}$

$$\approx 1 - \frac{\omega_{pe}^2}{\omega^2} - i\pi \sum_s \frac{\omega_{ps}^2}{k^2} F'\left(\frac{\omega}{k}\right)$$

$$\sigma(\vec{k}, \omega) = \frac{\omega}{4\pi i} [D(\vec{k}, \omega) - 1]$$

Shouldn't use the Vlasov dielectric in the hydrodynamic (low frequency) regime because it was derived assuming high frequency regime - specifically, it's not ok to replace the resonant denominator

$(\omega - \vec{k} \cdot \vec{v} + i\epsilon)^{-1} \rightarrow (\omega - \vec{k} \cdot \vec{v} + i\nu)^{-1}$  for constant  $\nu$ , because such a  $\nu$  does not respect the conservation properties of the true collision operator.

How do you use the  
Lorentz collision operator  
to find plasma conductivity?

Estimate the Dreicer limit  
for thermal runaway

What is Boltzmann's  
H-theorem?



write the kinetic equation:

$$\frac{df}{dt} + \vec{v} \cdot \nabla f + \vec{a} \cdot \nabla_v f = -C[f]$$

- drop 1st 2 terms, taking "long  $\lambda$ , low  $\omega$  limit"
- use chapman-enskog-like ordering,  $f = f_0 + \epsilon f_1$  to get:  $C[f_0] = 0$ ,  $\frac{q}{m} \vec{E} \cdot \frac{\partial f_0}{\partial \vec{v}} = -C[f_1]$

use the lorentz collision operator and solve for  $f_1$ .

then use  $\vec{u} = \int d\vec{v} \vec{v} f_1(\vec{v})$ , and  $\vec{j} = ne\vec{u}$  to get relationship between  $\vec{j}$  and  $\vec{E}$ . This gives  $\sigma$ !

Deicer limit is field at which thermal particles may run away  $\rightarrow$  estimate by saying a particle will runaway if it doubles its thermal speed between collision times  $\rightarrow$

$$V_{th} \tau_{ci} = \frac{q}{m} E_c \Rightarrow E_c \sim \frac{m}{e} V_{th} \tau_{ci}$$

Boltzmann's H theorem states that if a distribution function changes only by virtue of collisions, that no matter what the initial conditions, the distribution function must approach a Maxwellian in the course of time.

(approach of distribution function to Maxwellian by means of collisions is called 'relaxation').

What is the general form for the Fokker-Planck equation for the PDF of a variable  $\beta$ ?

Make a table showing:

- velocity
- range of force
- duration of scattering event
- angular scatter in one event
- coupling parameter
- cross section for  $90^\circ$  scatter

•  $\tau_{\text{int}}/t_c$

for Boltzmann gas and the weakly coupled plasma.

How does the cross section for Rutherford scattering scale with  $b_0$  and  $\theta$ ? What happens at small angles?

$$\frac{\partial f}{\partial t}(\beta, t) + \frac{\partial}{\partial \beta} [V(\beta, t) f(\beta, t)] - \frac{\partial^2}{\partial \beta^2} [D(\beta, t) F(\beta, t)] = 0$$

where  $V(\beta, t) \equiv \lim_{\Delta t \rightarrow 0} \frac{\langle \Delta \beta \rangle}{\Delta t}$

$D(\beta, t) \equiv \lim_{\Delta t \rightarrow 0} \frac{\langle \Delta \beta^2 \rangle}{2 \Delta t}$

	$V$	Range of force	Duration of scattering event	Angle scatter per event	Coupling parameter	$\sigma^{90}$	$t_{inter} / \tau_c$
Buttnermann Dilute gas	$V_{th}$	$b_0$	$\frac{b_0}{v_t}$	$\Delta \theta \sim 1$	$\epsilon_n = n b_0^3$	$b_0^2$	$\epsilon_n$
Weakly coupled plasma	$V_{th}$	$\lambda_D$	$\omega_p^{-1}$	$\Delta \theta \ll 1$	$\epsilon_p = \frac{1}{n \lambda_D^3}$	$b_0^2 \ln \Lambda$	$\epsilon_p \ln \Lambda$

$$\sigma_R(\theta) = \frac{b_0^2}{4 \sin^4(\frac{\theta}{2})}$$

at small angles  $\sigma_R \sim \frac{b_0^2}{\theta^4} \rightarrow$  divergent for small angles

What is the definition  
of a Markov process

In the unmagnetized  
Langevin calculation, name two ways  
in which you can 'coarse grain'  
the time axis, and what you see in  
each.

What is the Klimontovich  
Eqn?

How does it differ from  
the Vlasov Eqn?

A sequence  $X_n$  of discrete random variables is Markov if the probability of observing  $X_n$  conditional on knowing the values of all the  $n-1$  other variables depends in fact on just the value of  $X_{n-1}$ .

→ independent events → if present is known, future is independent of past.

coarse-graining  
for diffusion in  
velocity space:

$$\tau_{ac} \ll \Delta t \ll \nu^{-1}$$

coarse graining  
for diffusion in  
x-space

$$\nu^{-1} \ll \Delta t \ll t_{macro}$$

The Klimontovich eqn. looks ~~like~~ just like the Vlasov eqn, but is in  $\tilde{N}$  instead of  $f \rightarrow$

$$\tilde{N}(z, t) = \frac{1}{n} \sum_{i=1}^N \delta(z - \tilde{z}_i(t))$$

$$\langle \tilde{N} \rangle = \langle \delta(z - \tilde{z}(t)) \rangle = \text{one particle PDF}$$

✓ Klimontovich includes all effects - collision, turbulence, etc, but is nonlinear in  $\tilde{N}$  because  $E$  depends on  $\tilde{N}$ .

Vlasov eqn is from mean field theory - contains no fluctuation effects ( $\epsilon_p \rightarrow 0$ )

What is the Liouville eqn?  
 What is Liouville's Theorem?

What are the  
 Bogoliubov time and  
 spatial scales?  
 What happens on these scales?

What is this:

$$\hat{C}_{SS} \chi = -2\pi \left( \frac{ne^2}{\hbar m} \right)_s (ne^2)_s \ln \Lambda \frac{\partial}{\partial \vec{v}} \cdot f_m$$

$$\left\{ \begin{aligned} & \textcircled{1} [a(v)(\mathbb{1} - \hat{v}\hat{v}) + b(v)\hat{v}\hat{v}] \cdot \left( \frac{1}{m} \frac{\partial \chi}{\partial \vec{v}} \right) \\ & \textcircled{3} - \int d\vec{v}' f_m(\vec{v}') U(\vec{v} - \vec{v}') \cdot \left( \frac{1}{m} \frac{\partial \chi}{\partial \vec{v}} \right) \end{aligned} \right\}$$

where did it come from, and what physical effects correspond to terms  $\textcircled{1}$ ,  $\textcircled{2}$  and  $\textcircled{3}$ ?

Liouville Egn:  $\frac{\partial P_N}{\partial t} + \nabla_{6N} \cdot (\vec{V}_N P_N) = 0$

a continuity eqn  $\rightarrow$   
 system points never disappear.

Liouville's theorem states that phase space volume is conserved if  $\nabla_N \cdot \vec{V}_N = 0$ .

(incompressible flow)

$\tau_{ee} (\sim \omega_p^{-1}) \ll \tau_c (\sim \nu^{-1}) \ll \tau_h$

$\lambda_D \ll \lambda_D \ll L$

set up  
 Debye  
 shielding

collision  
 time-velocity  
 space diffusion

hydrodynamic  
 time:  
 Position  
 Space  
 diffusion

It is the collision operator for like species collision, linearized around a Maxwellian distribution:  $f = F_M + \chi$

- ①, which has  $(\mathbb{1} - \hat{v}\hat{v})$  describes Pitch angle scattering
- ② which has  $\hat{v}\hat{v}$  describes energy diffusion
- ③ which has  $\int d\vec{v}' (\ ) \cdot \frac{\partial \chi}{\partial \vec{v}}$  ensures momentum conservation

What is the Multivariate  
Fokker-Planck equation?

What is the Chapman  
Kolmogorov Equation?  
What does it assume?

What is the Master  
Equation?



$$\frac{\partial f}{\partial t} + \frac{d}{d\vec{x}} \cdot (\vec{v}f) - \frac{\partial^2}{\partial \vec{x} \partial \vec{x}} : (Df) = 0$$

where the drift and diffusion coefficients are:

$$\vec{v} = \lim_{\Delta t \rightarrow 0} \frac{\langle \Delta \vec{x} \rangle}{\Delta t}, \quad D = \lim_{\Delta t \rightarrow 0} \frac{\langle \Delta \vec{x} \Delta \vec{x} \rangle}{2 \Delta t}$$

$\vec{x}$  is vector of independent variables.

The Chapman Kolmogorov Eqn gives transition probability for a Markov sequence:

$$f(n|s) = \int d\vec{r} f(n|\vec{r}) f(\vec{r}|s)$$

→ integrate over all possible intermediate states. It is NOT exact, because it takes the probability of going from  $r \rightarrow n$  as being independent of  $s \rightarrow$  assumes a Markov Process in general:

$$f(n|s) = \int d\vec{r} f(n|\vec{r}, s) f(\vec{r}|s)$$

The Master Equation is the Chapman-Kolmogorov equation in the continuous time limit

What is this:

$$\frac{\partial f}{\partial t} + \vec{v} \cdot \frac{\partial f}{\partial \vec{x}} = -C[f]$$

$$C[f] = \frac{\partial}{\partial v} \cdot \left[ \left( \frac{q}{m} \vec{E}^{(p)}(\vec{v}) \right) f - D(\vec{v}) \cdot \frac{\partial f}{\partial \vec{v}} \right]$$

and where did it come from?

What is the heat gained  
by electrons due to  
collisions with ions?

What is  
Hydrodynamics?

That is the general Fokker-Planck equation for an unmagnetized, weakly coupled plasma.  
 It came from taking the 'jump moments' for:

$$\frac{d\vec{x}}{dt} = \vec{v} \quad \frac{d\vec{v}}{dt} = \delta \vec{a}(\vec{x}, t) + \left(\frac{q}{m}\right) \vec{E}^{(p)}(\vec{v})$$

It is still general and  $E^{(p)}$  and  $D$  depend on fluctuation spectrum (which depends on  $f$ )

$\uparrow$  E. field 'kick' in Debye sphere - velocity space diffusion.  
 $\uparrow$  Polarization drag  
 [f] is the Plasma Fokker-Planck collision operator

$$Q_e = -Q_i \Rightarrow \frac{1}{2} \vec{R} \cdot \vec{u}$$

energy exchange in scattering (vanishes in Lorentz approximation)

transfer of directed momentum into heat - is finite in Lorentz approximation + contains ohmic heating.

Hydrodynamics is the study of the long wavelength, low frequency behavior of the plasma.

What are the linearized normal modes of the one component plasma?

What is the OCP?

What is the Plemelj Formula?

How do you show self-adjointness of a collision operator?

- two shear modes

- one thermal diffusion mode

- two plasma oscillations.

Note: the one component plasma is an electron fluid with a cold, neutralizing ion background

$$\frac{1}{\omega - kv \pm i\epsilon} = P\left(\frac{1}{\omega - kv}\right) \mp \delta(\omega - kv) i\pi$$

- want to work with the linearized operator  $\hat{C}$ , where  $f = f_0(1 + \chi)$ ,

$$C[f] = \hat{C}[\chi] \rightarrow \text{find } \omega / C[f] = C[f_0 \chi]$$

To show self-adjointness, must show that

$$\langle \psi | \hat{C}[\chi] \rangle = \langle \chi | \hat{C}[\psi] \rangle$$

→ use integration by parts

In conductivity, what is the Spitzer problem?

What are the solvability constraints in the Chapman-Enskog procedure?

What is the Chapman-Enskog ordering?  
When is it valid?

Spitzer problem is conductivity  
in special case of zero frequency  
and wave number.

- write the first order eqn. in the form:

$$L(\chi) = -\hat{C}(\chi), \text{ then the}$$

solvability constraints amount to

$$\langle e_i | L(\chi) \rangle = 0$$

where  $e_i$  are the null eigenfunctions of the adjoint operator  $\rightarrow$  for a self adjoint operator, the null eigenfunctions are all of the functions conserved by the collision operator.  $\rightarrow$  there are as many solvability constraints as there are functions conserved by the collision operator.

The Chapman-Enskog ordering is:

$$\frac{1}{D} \frac{\partial}{\partial t} \sim \mathcal{O}(\epsilon) \ll 1 \quad \text{note: } \epsilon \neq \epsilon_p$$

$$\lambda_{mf0} \vec{\nabla} \sim \mathcal{O}(\epsilon) \ll 1$$

$$\lambda_{mf0} \equiv \frac{V_{th}}{\nu}$$

$\mathcal{E}$  is valid in the hydrodynamic regime.

How do you go about  
finding the Chapman-Enskog  
Equations?

Given a kinetic equation,  
how do you find the  
corresponding Langevin  
equations?

What is the expression for  
the friction force,  $\vec{R}$ ?



- use the Chapman-Enskog (hydrodynamic) ordering:

$$\frac{1}{V} \frac{\partial}{\partial t} \sim \mathcal{O}(\epsilon) \quad \frac{V}{V} \frac{\partial}{\partial x} \sim \mathcal{O}(\epsilon)$$

to put  $\epsilon$ 's in front of those terms in your eqn. Then write  $f = f_0 + \epsilon f_1 \rightarrow$  solve the eqns. order by order.

(likely to get  $C[f_0] = 0$ )

- put the eqn. into Fokker-Planck form:

$$\frac{\partial}{\partial \mu} (Vg) - \frac{\partial^2}{\partial \mu^2} (Dg) = 0$$

then general Langevin form is:

$\frac{\partial \mu}{\partial t} + V + \delta a$ , where  $\delta a$  satisfies:

$$\langle \delta a(t) \delta a(t') \rangle = 2D \delta(t-t')$$

$$\vec{R} \equiv \frac{\partial}{\partial t} (m_e n_e \vec{u}_e)_{coll}$$

$$= - \int d\vec{v}_e (m \vec{n})_e \vec{v}_e C_{ei}[f]$$