

How do you express the  
• number  
• momentum  
• kinetic energy  
of a species  $s$ , in terms of its  
distribution function,  $f_s$ ?

What is the pressure  
tensor,  $P_{\alpha\beta}$ ?

How is temperature  
generally defined?

$$\text{number: } n_s = \int d\vec{v} \bar{n}_s f_s(\vec{v})$$

$$\text{momentum: } p_{(\text{total})} = \sum_s \int d\vec{v} (m\bar{n}\vec{v})_s f_s(\vec{v})$$

kinetic  
energy  
(total)

$$KE = \sum_s \int d\vec{v} \left( \frac{1}{2} m \bar{n} v^2 \right)_s f_s(\vec{v})$$

$$P_{\alpha\beta} = \rho \delta_{\alpha\beta} + \Pi_{\alpha\beta}$$

$$T_\alpha(\vec{r}, t) = \frac{m_\alpha}{3} \langle (\vec{u} - \vec{v})^2 \rangle$$

What is the pressure,  $p$ ?

What is the stress  
tensor,  $\Pi_{\alpha\beta}$ ?

What is the 'chopping procedure'  
for determining how quantities  
scale with  $\epsilon_p$ ?

$$P = \frac{nm\langle v^2 \rangle}{3} = nT$$

The stress tensor,  $\Pi_{\alpha\beta}$ , represents the part of the pressure tensor,  $P_{\alpha\beta}$  that arises as a result of the deviation of the distribution from spherical symmetry.

$$\Pi_{\alpha\beta} = nm\langle v_\alpha v_\beta - \frac{\langle v^2 \rangle}{3} \delta_{\alpha\beta} \rangle$$

imagine moving to the continuum limit,  $\epsilon_p \rightarrow 0$   
by 'chopping' particles in half:  $(n\lambda_0^3 \rightarrow \infty)$

$$m \rightarrow \frac{m}{2} \quad e \rightarrow \frac{e}{2} \quad \bar{n} \rightarrow 2\bar{n} \quad \epsilon_p \rightarrow \frac{\epsilon_p}{2}$$

in such a way as to ~~keep~~ preserve  $v_{th} \rightarrow T \rightarrow \frac{T}{2}$ .

this keeps  $v_{th}$ ,  $w_p$ ,  $\lambda_D$  all constant  
from above, see that  $m, e, T \sim O(\epsilon_p)$   $n \sim O(\epsilon_p^{-1})$

use these to determine  
scalings of any dimensional  
quantity

$$\text{ex: } b \sim O\left(\frac{e^2}{T}\right) \cdot O\left(\frac{\epsilon_p^2}{\epsilon_p}\right) \approx O(\epsilon_p)$$

How are  $\lambda_0$  and  $b_0$  related?

How are collisional effects in a plasma different from those in a Boltzmann gas?

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What is the physical interpretation of  $\epsilon_p$ ?

(Related to weak/ strong coupling)

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How do  $\frac{V}{\omega_p}$  and  $\frac{\lambda_D}{\lambda_0}$  scale with  $\epsilon_p$ ?

$$\frac{\lambda_D}{b_0} = \frac{1}{\epsilon_p} \approx 1$$

Boltzmann gas concentrates on collisions on scale of  $b_0$ . In plasma, you have additional cumulative effect of interactions w/ particles further away

$$\frac{\text{plasma effects}}{\text{Boltzmann effects}} \sim \ln \frac{L}{b_0}$$

consider the ratio of potential to kinetic energy for two particles which are separated by a Debye length:

$$\frac{U}{K} \sim \frac{e^2 / \lambda_0}{\frac{1}{2} m v^2 = \frac{1}{2} T} \sim \frac{e^2 / T}{\lambda_0} \cdot \frac{n e^2 / T}{n \lambda_0} = \frac{1}{n \lambda_0^3} = \epsilon_p$$

$\epsilon_p$  is the ratio of potential to kinetic energy for 2 particles separated by a Debye length. If it is small, 'weakly coupled'  
 $\rightarrow$  tells us how much one particle can 'feel' another.

$$\frac{\omega}{\omega_p} \sim \epsilon_p \ll 1$$

plasma frequency much greater than collision frequency.

$$\frac{\lambda_D}{\lambda_0} \sim \frac{1}{\epsilon_p} \gg 1$$

mean free path much greater than debye length.

What is the ONE  
important dimensionless  
parameter for a plasma  
(near thermal equilibrium)?  
(no  $\vec{B}$  field)

Is the actual friction force,  
 $\vec{R}$ , larger or smaller than that  
computed for a shifted Maxwellian?

Why?

What are transport coefficients?

Why are they important?

## Plasma Parameter

$$\epsilon_p = \frac{1}{n \lambda_0^3} [n \lambda_0^3 \cong \# \text{ of particles in Debye Sphere}]$$

The actual friction force is smaller - because the coulomb cross section goes down w/ higher energy - the dist func. gets a high energy tail higher avg  $\vec{v}$  than for a shifted Maxwellian - fewer collisions, lower friction force.

Note: inclusion of effects of collisions w/ other electrons tends to reduce this effect and pull back the tail.

The transport eqns. are in terms of  $n, \vec{u}, T$  and also  $T_{\text{app}}, \vec{q}, \vec{R}$  and  $Q$ . In order to solve for  $n, \vec{u}$  and  $T$ , the other quantities  $\vec{q}, \vec{R}$ , and  $Q$  must be expressed in terms of  $n, \vec{u} + T$ . If we assume that the distribution function is a small perturbation from a (local) Maxwellian, then  $f_i$ , the deviation, will be proportional to the forces that cause it - gradients,  $E$  fields, etc. & thus  $T_{\text{app}}$ ,  $\vec{q}$ ,  $\vec{R}$  and  $Q$  are also proportional to these forces - the constants of proportionality are called Transport coefficients.

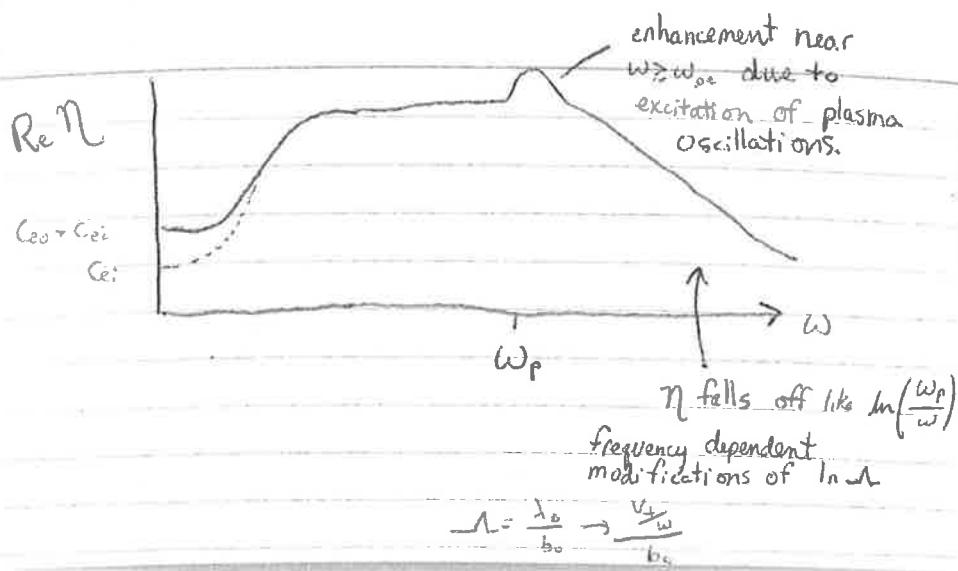
Sketch plasma resistivity  
vs.  $\omega$ , indicating reasons for  
the various features.

What is the density  
diffusion equation, and  
how is it related to the  
continuity equation?  
(1-D)

What is this?

$$C[f] = -\frac{\partial}{\partial v} \left( \nu v + D_v \frac{\partial}{\partial v} \right) f$$

- What physical situation does it describe?
- What does it conserve?



eqn. for density diffusion  $\frac{\partial n}{\partial t} = \frac{\partial}{\partial x} D \frac{\partial n}{\partial x}$

relate to continuity eqn:

$$\frac{\partial n}{\partial t} + \frac{\partial}{\partial x} (n \vec{u}) = 0 \leftrightarrow \frac{\partial n}{\partial t} = D \frac{\partial^2 n}{\partial x^2}$$

eliminate  $\frac{\partial n}{\partial t}$  to get:

$$n \vec{u} = \Gamma = -D \frac{\partial n}{\partial x}$$

It is the collision operator for a  
Test Particle undergoing polarization drag and  
velocity space diffusion  
(from HW #5)

- It conserves only number, not momentum or kinetic energy, as those are lost to the bath.

What is  $\int d^3v = ?$

What is the thermal  
correction to the  
Langmuir oscillation?

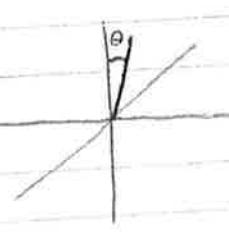
What is this:

$$C_n[f] = -\frac{(mn)_z}{(mn)_i} \nu_{21} \frac{\partial}{\partial v_i} \cdot \left[ \vec{V}_i f_i + \left( \frac{T_z}{m_i} \right) \frac{\partial f_i}{\partial \vec{V}_i} \right]$$
$$-\frac{1}{(mn)_i} \vec{R} \cdot \frac{\partial f_i}{\partial \vec{V}_i}$$

and where did it come from?

$$\int d^3v = \int v^2 \sin\theta dv d\theta d\phi$$

$$= \int_{x=-1}^1 dx \int_0^\infty v^2 dv \int_0^{2\pi} d\phi$$



$$\lambda = \cos\theta$$

$$\omega^2 = \omega_p^2 + 3k^2 V_m^2$$

It's an approximation to the Landau collision operator for scattering of particles 1 off particles 2, for  $m_1 \gg m_2 \rightarrow$  ions scattering off of electrons.

Note it is still dependent on the unknown friction force,  $\vec{R}$ .

What is this:

$$C_n[f] \simeq \nu_n \frac{\partial}{\partial \vec{v}_i} \cdot \left[ (\vec{v}_i - \vec{u}_n) f_i + \left( \frac{T_n}{m_i} \right) \frac{\partial f_i}{\partial \vec{v}_i} \right]$$

What is it good for and where did it come from?

Show that the Balescu-Lenard operator conserves number, momentum, and kinetic energy - Quick!

What is  $\vec{R}$ ?

It is an approximation to the Landau operator

for  $m_i \gg m_e \rightarrow$  ions scattering off of electrons, for example. It uses the approximation for the friction force found from taking an electron distribution of a shifted Maxwellian, with cold background ions.

Note: It is precisely the Fokker-Planck operator for a Brownian ion in an electron fluid moving with velocity  $\mathbf{v}_e$ .

- number is straightforward - because  $C_s$  is a divergence in velocity space.

- momentum + kinetic energy cons. can be seen by the fact that  $C_s$  is symmetric for  $\vec{k} \leftrightarrow -\vec{k}$ , but antisymmetric for  $(s, v) \leftrightarrow (\bar{s}, v) \rightarrow$  sum over species vanishes.

$$\vec{R} = \int m \vec{v} C [f] d\vec{v}$$

Represents the mean change in the momentum of the particles of a given species due to collisions  
(with all other particles represented by  $C$ )

What is this, & where did it come from?

$$(1 - \rho_s^2 \nabla_{\perp}^2) \frac{\partial}{\partial t} \delta \varphi + V_* \frac{\partial}{\partial y} \delta \varphi = \\ + \vec{u}_E \cdot \vec{\nabla} (-\rho_s^2 \nabla_{\perp}^2 \delta \varphi) = 0$$

What is Q?

What are the transport equations, and where did they come from?

It is the Hasegawa-mima equation. It is an approximate equation for the electrostatic potential in the limit  $T_i \rightarrow 0$ .

- assumed electrons Boltzmann response along  $\vec{B}$ .
- used ion cross field drifts  $\vec{u}_i \rightarrow \vec{E} \times \vec{B}$  drift.

$$V_d = \frac{c T_e}{e B L_i} = \text{diamagnetic velocity}$$

$$\rho_s = \frac{c_s}{v_{ei}}$$

It does not include FLR effects.

$$Q = \int \frac{m v^2}{2} C[f] d\vec{v}$$

-  $Q$  is the heat generated in a gas of particles of a given species as a consequence on collisions w/ particles of other species [as described by  $C$ ]

Transport equations: Come from velocity moments of the kinetic equation. They describe behavior of the macroscopic parameters  $n$ ,  $\vec{u}$ , and  $T$ .

$$\frac{\partial n}{\partial t} + \vec{\nabla} \cdot (n \vec{u}) = 0 \quad \text{continuity}$$

$$m n \frac{d\vec{u}}{dt} = - \vec{\nabla} p - \vec{\nabla} \cdot \vec{\Pi} + q n (\vec{E} + \frac{1}{c} \vec{u} \times \vec{B}) + \vec{R}$$

momentum

$$\frac{3}{2} n \frac{dT}{dt} + p \vec{\nabla} \cdot \vec{u} = - \vec{\nabla} \cdot \vec{q} - \vec{\Pi} \cdot \vec{\nabla} \vec{u} + Q$$

What is  $\vec{q}$ ?

How is the heat gained by ions due to collisions with electrons defined?

What is it equal to?

What is this:

$$C_{s,\bar{s}}[f] = 2\pi \left(\frac{q^2}{m}\right)_s (\bar{n} q^2)_{\bar{s}} \ln \Lambda -$$
$$\frac{\partial}{\partial \vec{v}} \cdot \int d\vec{v} U_s \left( \frac{1}{m} \frac{\partial}{\partial \vec{v}} - \frac{1}{m} \frac{\partial}{\partial \vec{v}} \right) f_s(\vec{v}) f_{\bar{s}}(\vec{v})$$

and where did it come from?

$$\vec{q} = n m \left\langle \frac{v^2}{2} \vec{v} \right\rangle$$

is the flux density of heat carried by particles of a given species - represents the transport of energy associated with the random motion in the coordinate system in which the particle gas as a whole is at rest

$$Q = -\frac{1}{2} \int d\vec{v}_i (m \bar{n})_i |\vec{v}_i - \vec{u}_i|^2 C_{ii}[f]$$

$$= 3 \left( \frac{m}{M} \right) n_e v_{ei} (T_e - T_i)$$

That is the Landau operator - it came from considering the Fokker-Planck operator, with two-body interactions, integrated over distances from  $b_0$  to  $\infty$ . - thus it takes into account only small angle scattering, and only includes the effect of Debye shielding in the long impact parameter cutoff (no calculation of E field spectrum).

It is often used, and can be written in terms of the Rosenbluth potentials for simplification of evaluation.

What important properties does the Balescu-Lenard operator have?

Briefly describe the Landau operator, and name 4 important properties which it has.

What is this:

$$C_{ss} [f] = \frac{\partial}{\partial v} \cdot \left[ \pi \left( \frac{q^2}{m} \right)_s \sum_s (\bar{n}_s q^2)_s \cdot \int d\bar{v} \int \frac{dk}{(2\pi)^3} \frac{\epsilon_k \epsilon_k^*}{|\beta(k, k \cdot v)|^2} \delta(k \cdot (v - \bar{v})) \cdot \left( \frac{1}{m} \frac{\partial f}{\partial \bar{v}} - \frac{1}{m} \bar{f} \frac{\partial f}{\partial v} \right) \text{ and what 6 steps lead to it?} \right]$$

## The Balescu-Lenard operator:

- conserves number, momentum, and kinetic energy
- annihilates a local Maxwellian
- has a local H theorem - entropy increases monotonically due to collisions, except for a local Maxwellian, where it remains constant.

Landau operator - comes from Fokker-Planck operator for plasmas; under assumption of two-body-type interactions summed from  $b_0$  to  $\lambda_0$  - accounts for small angle scattering, and only heuristically includes shielding.

OFTEN USED

- I+:
- ① conserves number, momentum, and kinetic energy.
  - ② annihilates (uniquely) a local Maxwellian.
  - ③ has an H theorem - entropy increases monotonically due to collisions, except for a local Maxwellian where it is constant.
  - ④ P and KE conservation arise from simultaneous preservation of velocity space diffusion + drag which enter symmetrically.

That is the Balescu-Lenard collision operator. To get it:

- ① Make the Markov assumption and derive the Master equation from Chapman-Kolmogorov eqn.
- ② See that predominant deflections are small, derive the Fokker-Planck eqn by Taylor expanding the Master Egn.
- ③ Find general forms for 1st + 2nd Fokker-Planck coefficients (for 1st coeff., must work to one higher order than straight line trajectories)  
Note cancellation between part of 1st coefficient + velocity divergence of diffusion tensor.
- ④ Determine the velocity space diffusion tensor in terms of spectral information.
- ⑤ determine the spectrum itself by using the Test Particle Superposition Principle
- ⑥ Compute the polarization drag using test particle techniques  
 $\Rightarrow$  put it all together to get the Balescu-Lenard operator!

How do you find a dielectric function by inserting a test charge into a plasma?

What is to the calculation of the plasma collision operator as the two-body problem is to the Boltzmann operator?

The dielectric function relates the total potential to the test potential:

$$\delta\varphi^{\text{tot}} = \frac{\delta\varphi^{\text{test}}}{D}, \text{ so to find } D, \text{ starting from } \rho^{\text{test}}...$$

- ① Relate  $\rho^{\text{test}}$  to  $\varphi^{\text{test}}$  via poisson eqn.  $K^2 \delta\varphi^{\text{test}} = 4\pi \rho^{\text{test}}$
- ② Use eqn. of motion for plasma, Vlasov eqn, gyrokinetic eqn. as appropriate, putting in the TOTAL potential for  $E = -ikE^{\text{tot}} = \delta\varphi^{\text{tot}}$  and linearize around Maxwellian  $f = f_M + \delta f$ .
- ③ Fourier transform the eqn. & solve for  $\delta f$ , in terms of  $\delta\varphi^{\text{tot}}$ .
- ④ Calculate induced charge density from  $\delta f$ :  
$$\delta\rho^{\text{ind}} = \sum_s (\bar{n}_s) \int d\vec{v} \delta f$$
- ⑤ Use poisson eqn. now for induced potential + induced charge density - use fact that  $\delta\varphi^{\text{tot}} = \delta\varphi^{\text{test}} + \delta\varphi^{\text{ind}}$
- ⑥ Now have everything w/  $\delta\varphi^{\text{tot}}$  and  $\delta\varphi^{\text{test}}$   $\rightarrow$  put in form  
$$\delta\varphi^{\text{tot}} = \frac{\delta\varphi^{\text{test}}}{D}$$
 to find  $D$ .

### The theory of the Vlasov eqn.

including single particle motion  
and the linearized self-consistent  
response to that motion.

