

Hot Electron Production in Plasmas Illuminated by Intense Lasers[†]

A. A. Balakin¹, G. M. Fraiman¹, and N. J. Fisch²

¹ Institute of Applied Physics, Russian Academy of Sciences, Nizhni Novgorod, 603950 Russia

² Princeton Plasma Physics Laboratory, 08543 Princeton, NJ, USA

Received November 19, 2004

Electron–ion collisions in strong electromagnetic fields, whether nonrelativistic or ultrarelativistic, can lead to the acceleration of electrons to high energies. The production efficiency and the Joule heating rate are calculated. Experimental verification of theoretical predictions, including the power law scaling, is presented.
© 2005 Pleiades Publishing, Inc.

PACS numbers: 42.65.–k

Recent experiments with petawatt laser plasmas revealed interesting and unpredictable phenomena [1, 2]. A large number of fast electrons with energies up to several tens of MeV were detected. The estimated energy of these electrons was up to 10% of the pump laser energy. On the other hand, the plasma temperature was of the order of hundreds of eV and was only weakly dependent on laser intensity, but it was significantly dependent on the pump pulse duration. The number of these hot electrons was dependent on the laser intensity, and the angular distribution function of these electrons was very wide. It seems difficult to imagine that all these results are consequences of any plasma wave turbulence. Moreover, a resonant wake process such as might be used for deliberate acceleration of electrons would exhibit strong directionality n of the accelerated electrons. Thus, the review paper [1] considers the electron distribution phenomena to be rather puzzling. In this work, we point out that many of the important features of strong laser–plasma interactions and, particularly, hot-electron production can be interpreted as a consequence of electron–ion collisions.

However, traditional models of electron–ion collisions in strong laser fields that are based on the small-angle scattering approximation [3], i.e., under the assumption that quivering electrons pass near ions along straight lines, cannot explain the existing experimental results. An alternative description of Coulomb collisions, taking into account the substantial acceleration of particles during the scattering process, was proposed [4]. The application of the proposed model to the description of hot-electron production provided by electron–ion collisions and a comparison with experimental data from [1, 2] constitute the major emphasis of the present work.

The paper is organized as follows. First, we discuss the applicability conditions and the main parameter for the model being used. We show that, for relativistic levels of laser intensities, these effects are very important. We give estimates for the “energy spectrum” of hot electrons (so named after [1]) directly formed by electron–ion collisions, obtaining a power tail distribution. We estimate the total number of hot electrons produced from a unit volume per unit time. We calculate as well the heating rate of the background plasma. Finally, we compare the experimental data [1, 2] with our theoretical predictions and show good agreement between the two.

Let us note first the range of laser emission parameters where the present model is suitable. In further expressions, electron temperature T is in eV, intensity P is in 10^{18} W/cm², frequency ω is in 10^{15} Hz, density n is in 10^{18} cm⁻³, and all other values are given in CGS units.

The plasma is assumed to be cold in comparison with the oscillatory energy, so that

$$v \ll v_{\text{osc}} = \frac{eE}{m\omega} \Leftrightarrow T \ll 6.7 \times 10^5 \frac{P}{\omega^2}. \quad (1)$$

This condition is satisfied easily and remains true practically for all plasmas interacting with short intense laser pulses, especially in the first stage of the experiment (preceding the Joule heating).

Second, the laser field intensity must be large enough for the characteristic spatial scale of scattering b_{osc} to be small compared to the radius of oscillations r_{osc} :

$$b_{\text{osc}} = \frac{e^2 Z}{v_{\text{osc}} p_{\text{osc}}} \ll r_{\text{osc}} = \frac{v_{\text{osc}}}{\omega} \Leftrightarrow \omega \ll 110 P^{3/8}, \quad (2)$$

[†]This article was submitted by the authors in English.

where $p_{\text{osc}} = eE/\omega$ is the oscillatory electron momentum. This parameter range was first introduced in [4]. It has never been considered in conventional theories of electron–ion collisions, but it exhibits useful physical limits. It can be written as a limit on the ion Coulomb field potential energy at the distance of the oscillation radius r_{osc} , which must be small compared to the oscillatory energy $m v_{\text{osc}}^2$. In other words, the dimensionless parameter

$$\Omega = \left(\frac{b_{\text{osc}}}{r_{\text{osc}}}\right)^{\frac{1}{4}} \approx \left\{ \begin{array}{ll} \frac{1}{110} \frac{\omega}{p^{3/8}}, & p_{\text{osc}} \ll mc \\ \frac{1}{170} \frac{\sqrt{\omega}}{p^{1/8}}, & p_{\text{osc}} \gg mc \end{array} \right\} \ll 1 \quad (3)$$

needs to be small. This parameter appears naturally when the test-particle-motion equation is put into dimensionless form. In particular, in a nonrelativistic approximation for a field with linear polarization along the \mathbf{z}_0 axis, this equation can be rewritten as

$$m\ddot{\mathbf{R}} = -\frac{\mathbf{R}}{R^3} + \cos\Omega t \cdot \mathbf{z}_0. \quad (4)$$

Here, the time is normalized to Ω/ω , and distance, to the characteristic scale

$$r_E = \sqrt{r_{\text{osc}} b_{\text{osc}}} = \sqrt{eZ/E}. \quad (5)$$

Note that the radius r_E is equal to the distance from the ion at which the amplitude of the laser field becomes of the order of the amplitude of the ion Coulomb field [4]. In terms of r_E , the smallness of the parameter Ω is equivalent to the fact that the radius of the sphere surrounding the ion, inside of which the Coulomb field dominates, is less than the radius of electron oscillations. Moreover, this scale appears naturally when the acceleration due to the ion during the scattering process is considered (see below).

Thus, only the one parameter Ω determines the structure of the seven-dimensional phase space of Eq. (4). In the absence of the external field ($\Omega \rightarrow \infty$), particle motion is regular and well-known from the solution of the Rutherford problem [6]. A finite value of Ω results in the formation of a stochastic layer in the vicinity of separatrix curves, but as long as $\Omega \gg 1$, its volume remains exponentially small.

As the field amplitude increases (which corresponds to the decrease of Ω), the stochastic layer broadens and, at $\Omega \leq 1$, occupies the whole region $|p| \leq p_{\text{osc}}$ in momentum space. Even in this case, description of the electron dynamics is possible under the approximation of regular trajectories, but only under the condition that it is highly energetic particles ($p \gg p_{\text{osc}}$) that contribute most to the collision integral. However, we are primarily interested in the opposite limit of small thermal velocities (1), specifically, when particle dynamics is

stochastic, since this is exactly the regime usually realized in experiments.

In order to describe particle scattering in the presence of the strong laser field, let us make use of the fact that the collision process proceeds in two stages [4]. In the beginning, particles are just attracted to the ion with the essential changing of the impact parameters; i.e., the variation of the test particle density and momentum direction occurs at practically constant kinetic energy of the drift motion. Also, the electron bunching happens at first stage, so that the wave phase at the momentum of “hard” collision is the same for different electrons and ions. Secondly, the “hard” collision occurs (which is actually the last collision), accompanied by a substantial change of electron momentum and by electron departure from the Coulomb center, and, at this stage, scattering at large angles with a corresponding large energy exchange is possible.

It is enough to find the particle density $n(\mathbf{p}, t)$ before hard collision (i.e., the density in the small vicinity of the ion) for deriving the probability density of a collision with impact parameter $\mathbf{\rho}$ over time $W(\mathbf{p}, t) = v n(\mathbf{p}, t) d^2\rho$. To obtain the particle density $n(\mathbf{r}, t)$ prior to the last hard collision, one can use both the results of numerical simulation and the results of analytical analysis [4]. In both cases, the dependence $n(\mathbf{r}, t)$ is a singular periodical function of t :

$$n(\mathbf{r}, t) = n_e \frac{a}{\rho} \sum_{n=-\infty}^{\infty} \delta\left(\omega t - \left(n + \frac{1}{2}\right)\pi\right). \quad (6)$$

Here, $\rho = \sqrt{x^2 + y^2}$ is the transverse electron coordinate (impact parameter) before the hard collision, $a(\mathbf{v}) \geq b_v = e^2 Z/mv^2$ is a coefficient describing the efficiency of the attraction of particles to the ion and depending on the direction of the initial velocity \mathbf{v} relative to \mathbf{v}_{osc} . It is important to emphasize that this dependence on drift-velocity direction is weak [4]. Thus, for the major fraction of test particles, we have quasi-isotropic scattering, so that we can use expression (6) for further estimates. It is also important to note that the obtained singularity of the probability function occurs independently from the wave polarization and intensity. In particular, it can be shown that the same estimate is appropriate for ultrarelativistic intensities as well.

Distribution (6) describes electrons that have experienced strong attraction to the ion. Previously, such particles were called “representative” electrons [4]. Note that, for the majority of such particles, one can consider the scattering of the total velocity, $\mathbf{V} = \mathbf{v} + \mathbf{v}_{\text{osc}}(t)$, as a small-angle scattering.

The hard collision can be described by the relations from the Rutherford-problem solution [6]. With smallness of drift velocity (1) taken into account, momentum variation here is determined by the oscillatory momen-

tum value at the collision moment and by the impact parameter ρ :

$$\Delta p_{\Delta p \ll p_{\text{osc}}} \approx 2p_{\text{osc}} \frac{b_{\text{osc}}}{\rho}, \quad b_{\text{osc}} = \frac{e^2 Z}{p_{\text{osc}} v_{\text{osc}}}. \quad (7)$$

It is supposed in (6) that collisions occur only when the oscillatory velocity reaches its maximum (it is the effect of bunching that provides the latter [4, 5]) and the collision is momentary. The latter condition implies the upper limit on the impact parameter:

$$\rho/v_{\text{osc}} \ll \pi/\omega_o \Leftrightarrow \rho \ll r_{\text{osc}}. \quad (8)$$

Otherwise, for such large impact parameters, velocity variation during the scattering process is substantial and Rutherford formulas (7) are not applicable. However, this limitation is not important, since the energy variation ΔW of such far-flung particles in strong fields ($b_{\text{osc}} \ll r_{\text{osc}}$) is small compared to the oscillatory energy:

$$\frac{2m\Delta W}{p_{\text{osc}}^2} \Big|_{\rho > r_{\text{osc}}} \leq \left(\frac{b_{\text{osc}}}{r_{\text{osc}}}\right)^2 \ll 1. \quad (9)$$

Equation (7) allows us to find the relation between the density function on impact parameters (6) and the distribution of the hot-particle production rate on momentum per unit volume and unit time:

$$g(p) = v n_i n(\rho) \frac{\rho d\rho}{p dp} = n_i n(\rho) v \frac{4b_{\text{osc}}^2 p_{\text{osc}}^2}{p^4}. \quad (10)$$

Using density distribution (6), one finally gets

$$g(p) = 4n_i n_e p_{\text{osc}}^2 \frac{v a b_{\text{osc}}}{p_{\text{osc}} p^3}. \quad (11)$$

Note that the dependence of the hot-electron distribution on momentum has the universal law $\sim 1/p^3$ for any (relativistic and nonrelativistic) energies of particles.

From the relation between the kinetic energy and the particle momentum

$$w = \sqrt{p^2 c^2 + m^2 c^4} - mc^2 \approx \begin{cases} p^2/2m, & p \ll mc \\ cp, & p \gg mc \end{cases}$$

(m is the rest mass of electron), it is easy to find the particle energy distribution for the nonrelativistic case $w \ll mc^2$

$$g(w) = 8\pi n_i n_e m p_{\text{osc}}^2 \frac{v a b_{\text{osc}}}{p_{\text{osc}} (2mw)^{\frac{3}{2}}} \quad (12)$$

and the relativistic one $w \gg mc^2$

$$g(w) = 8\pi n_i n_e p_{\text{osc}}^2 \frac{v c a b_{\text{osc}}}{p_{\text{osc}} w^2}. \quad (13)$$

We will insert here the dimensional estimate for the particle density $dn(w)/dt = \int_w^\infty g(w)dw$ with energies exceeding some limit in the relativistic case for the period of the field, supposing $w, cp_{\text{osc}} \gg mc^2$:

$$\frac{dn(w)}{dt} [\text{cm}^{-3} \text{ s}^{-1}] \approx \frac{10^{25} n_e^2 Z}{\sqrt{T} w}. \quad (14)$$

In this relation, particle energy w is measured in MeV and other quantities are measured in units specified in (1). Note that this density does not depend on laser intensity. However, the total number of hot electrons depends on pump intensity due to the larger interaction volume with laser intensities.

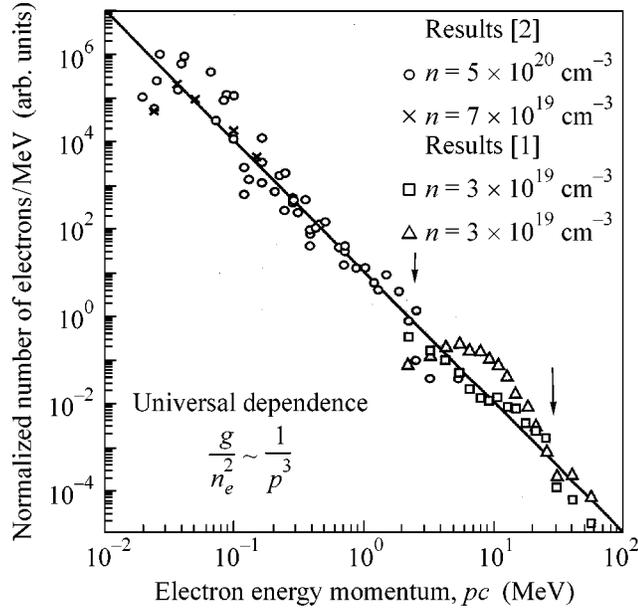
In particular, considering the number of particles with energy higher than 1 MeV for plasma¹ with density 10^{19} cm^{-3} and volume $300 \times 20 \times 20 \mu\text{m}$ at a pulse duration of 10 ps, one finds that the hot-electron number must be of the order of $10^9 Z$ particles, where $Z \geq 10$ is the charge of ions in plasma, which coincides well with the number of particles, 10^{10} to 10^{11} , measured experimentally. Another comparison with experimental data that one can perform is to observe that the number of hot electrons produced by collisions must be proportional to the square of the plasma density. We compared this result with the data taken from [2] and found good agreement between the theory and experiment.

In experiments [1, 2], it is the distribution of particles scattered off in the same direction that is measured, i.e., the distribution function over momentum $g(p)$ as found in (11). Superposing theoretical dependence (11) on the experimental data points, one can see good coincidence between the two (figure). Note that, in the figure, we combined four different series of experimental measurements [1, 2].

The figure represents further evidence of the collisional effects on hot electrons. The collisional heating gives a natural upper limit to the momentum (and, correspondingly, the energy) that particles may achieve. This limit is the doubled oscillatory momentum $2p_{\text{osc}}$, which, under the conditions of the experiment [2] (figure), corresponds to an energy of about 2 MeV. We see, indeed, the abrupt decrease of the hot-particle number for energies higher than 2 MeV. Similar results were obtained in [1].² It is important to emphasize that what is shown in the figure is the dependence on the ‘‘energy momentum’’ (electron kinetic momentum mul-

¹ These data correspond to experiment [1].

² We should note that much more energetic particles (with energies up to p_{osc}^2/m or p_{osc}^3/m^2c) can be produced as a result of electron-ion collisions in the ultrarelativistic case, while distribution law (11) is applicable only for electrons with energy less than the oscillatory energy $p_{\text{osc}}c \gg mc^2$. The momentum and angular distribution law of such ultraenergetic particles is different from (11). It is probable that exactly these electrons have been seen at distribution tails in experiments [1, 7].



The comparison between the experimental results (figure from [1, 2]) and the theoretical (solid line, (11)) dependence of hot-electron distribution on “energy momenta” pc . Arrows show the “cutoff” effect.

multiplied by the speed of light, pc), not on the actual energy. These would be identical only in the case of ultrarelativistic particles in [1]. The possibility of this interpretation of the experimental results might be connected with the fact that the magnetic scintillator used for the measurements actually measures the distribution function of the particle momentum rather than the particle energy.³

Further comparisons with the experimental data can be performed by analyzing the heating rate $Q = \int g(w)w dw$, which is easy to calculate using particle energy distribution (12). By substituting $a = b_v$, one gets the expression for the heating rate:

In the nonrelativistic case $w, cp_{\text{osc}} \ll mc^2$,

$$Q \approx 4\pi n_i n_e m v_{\text{osc}}^2 v a b_{\text{osc}}; \quad (15)$$

in the ultrarelativistic case $cp_{\text{osc}} \gg mc^2$,

$$Q \approx 4\pi n_i n_e m c^2 c b_c^2 \frac{c}{v}. \quad (16)$$

Here, $r_a = v/\omega$ is the adiabaticity radius, i.e., the distance over which incident particles with an impact parameter exceeding r_a have adiabatically small energy variation; $b_c = e^2 Z/mc^2$ is the Rutherford radius, which, if estimated with for $Z = 1$ and for electron velocities

³ Taking this into account and also considering the dependence on plasma density and the “cutoff” effect, we might conjecture that the power law shown in [2] was aberrant due to a calibration mistake.

equal to the speed of light c , matches the classical electron radius.

Expression (16) must be supplemented by an additional term describing the contribution of ultrarelativistic electrons to distribution law (13). This term will obviously coincide with (16), at least approximately, but, for a more precise calculation, a more detailed description of the collisions is necessary. That description would need to take into account the radiation losses and quantum effects taking place in the case when the momentum variation becomes significant.

Note that the heating rate in ultrarelativistic case (16) does not depend on the pumping field amplitude. The estimate of the heating rate per unit volume,

$$Q[\text{eV cm}^{-3} \text{ s}^{-1}] = 10^{13} \frac{nZ}{\sqrt{T}}, \quad (17)$$

allows one to estimate the plasma temperature (kinetic energy) after the pulse has passed. In particular, for a pulse with an ultrarelativistic intensity and a duration of 1 ps (which corresponds to the conditions of the experiment in [1]), the electron temperature is of the order of hundreds of eV. That is exactly the order of the temperature (200–600 eV) observed in the experiment in [1].

The results represented above were obtained using the pair-collisions approximation, wherein the probability of the simultaneous collisions of three and more particles is assumed to be negligible. The condition of this approximation is the smallness of the interaction volume $nV_{\text{int}} \ll 1$. Usually (without a field), the interaction volume is estimated as $V_{\text{int}} = b_v^3$, giving

$$nb_v^3 \ll 1 \Leftrightarrow nr_D^3 \gg 1, \quad (18)$$

where $r_D = \sqrt{4\pi e^2 n/mv_T^2}$ is the Debye radius. In strong fields, the interaction volume is $V_{\text{int}} \approx \sigma_{\text{eff}} r_{\text{osc}}$ ($\sigma_{\text{eff}} = \pi b_v b_{\text{osc}}$ is the effective collisional cross section [4]), which leads to the mild requirement

$$\frac{r_E}{r_D} = 3.67 \times 10^{-3} \frac{\sqrt{ZI}}{\sqrt{n^4 P}} \ll 1. \quad (19)$$

But this condition, obviously, can be derived using different approaches. Indeed, the new scale r_E that appears as the particle attraction is taken into account is the distance to the ion (multiplied by the factor $\sqrt{2\pi} r_E$; see [4]), at which a particle moving near the ion with an oscillation velocity hits the ion after a single oscillation. The effect of attraction will not be “washed off” by neighboring particles if this scale is less than the Debye shielding radius r_D . Hence, one again comes to condition (19). One more simple condition can be considered, namely, the absence of the influence of external ions on the dynamics of hard collision. The volume of

hard collision is $V_{\text{hard}} = 2\pi r_E^2 r_{\text{osc}}$. So, the condition is $nV_{\text{hard}} \ll 1$ or

$$2\pi r_E^2 r_{\text{osc}} n = \frac{Z}{2} \omega_{pl}^2 / \omega^2 \ll 1, \quad (20)$$

the ordinary condition of transparent plasmas. Both conditions (19) and (20) are simple to fulfill.

To summarize, in considering the two types of particles being scattered (Eq. (6)), we derived an expression for the effective collision frequency and the hot-particle energy distribution, which agree well with experimental data. Moreover, taking into account the “representative” electrons (the singular part of (6)) is necessary for an adequate explanation of the experimental results.

This work was supported by the Russian Foundation for Basic Research (project no. 02-02-17275), the Council of the President of the Russian Federation for the Support of Young Russian Scientists and Leading Scientific Schools (project no. MK-1193.2003.02), US

DOE (contract no. DE-AC02-76 CHO3073), and the US DARPA.

REFERENCES

1. M. H. Key, M. D. Cable, T. E. Cowan, *et al.*, *Phys. Plasmas* **5**, 1966 (1998); S. P. Hatchett, C. G. Brown, T. E. Cowan, *et al.*, *Phys. Plasmas* **7**, 2076 (2000).
2. K. Koyama, N. Saito, and M. Tanimoto, in *ICPP 2000* (Quebec, Canada, 2000), ICPP 4051, p. MP1.067.
3. V. P. Silin, *Zh. Éksp. Teor. Fiz.* **47**, 2254 (1964) [*Sov. Phys. JETP* **20**, 1510 (1965)]; J. M. Dawson and C. Oberman, *Phys. Fluids* **6**, 394 (1963).
4. G. M. Fraiman, V. A. Mironov, and A. A. Balakin, *Phys. Rev. Lett.* **82**, 319 (1999); *Zh. Éksp. Teor. Fiz.* **115**, 463 (1999) [*JETP* **88**, 254 (1999)].
5. G. M. Fraiman, V. A. Mironov, and A. A. Balakin, *Zh. Éksp. Teor. Fiz.* **120**, 797 (2001) [*JETP* **93**, 695 (2001)].
6. L. D. Landau and E. M. Lifshitz, *Course of Theoretical Physics*, Vol. 1: *Mechanics*, 4th ed. (Nauka, Moscow, 1988; Pergamon, Oxford, 1989).
7. S.-Y. Chen, M. Krishnan, A. Maksimchuk, *et al.*, *Phys. Plasmas* **6**, 4739 (1999).