

RF current condensation in the presence of turbulent enhanced transport

Cite as: Phys. Plasmas **27**, 042306 (2020); doi: 10.1063/5.0001881

Submitted: 21 January 2020 · Accepted: 2 April 2020 ·

Published Online: 16 April 2020



View Online



Export Citation



CrossMark

E. Rodríguez,^{1,2,a)} A. H. Reiman,^{1,2,b)} and N. J. Fisch^{1,2,c)}

AFFILIATIONS

¹Department of Astrophysical Sciences, Princeton University, Princeton, New Jersey 08543, USA

²Princeton Plasma Physics Laboratory, Princeton, New Jersey 08540, USA

^{a)} Author to whom correspondence should be addressed: eduardor@princeton.edu

^{b)} E-mail: areiman@pppl.gov

^{c)} E-mail: fisch@princeton.edu

ABSTRACT

Sharp temperature gradients in a magnetically confined plasma can lead to turbulent motion of the plasma. This turbulence in turn enhances the transport of heat across magnetic field lines. The enhanced transport impacts the temperature differential that can be sustained in magnetic islands between the island center and its periphery. It is shown here that, by limiting this temperature differential, this enhanced transport can have a profound influence on the extent to which the RF current condensation effect stabilizes the island growth. Interestingly, because the heat transport is no longer simply linear in the temperature gradient, the RF current condensation effect also exhibits entirely new hysteresis phenomena.

Published under license by AIP Publishing. <https://doi.org/10.1063/5.0001881>

I. INTRODUCTION

A key issue confronted by tokamak reactors is the control of magnetic islands. These islands, driven unstable by neoclassical tearing modes (NTMs), deteriorate confinement and trigger catastrophic disruptions.^{1–3} Tearing modes were theoretically predicted to be stabilized by RF currents driven near the island center.^{4–6} This stabilization through noninductive RF current drive techniques has been experimentally demonstrated,^{7–13} with continued attention paid to optimizing stabilization.^{14–33}

A variety of RF waves can be used to drive noninductive current in tokamaks,³⁴ but, for stabilizing the NTM, the most studied methods are electron cyclotron current drive (ECCD)³⁵ and lower hybrid current drive (LHCD).³⁶ These methods use waves that incur power deposition on the tail of the electron distribution function, drawing out a current of superthermal electrons, and thus leading to high current drive efficiency. The power deposition is also extremely sensitive to the electron temperature,^{37,38} which can result at high enough power in the *RF current condensation effect*.³⁹ The condensation of current occurs because of a nonlinear feedback mechanism: the deposition of energy in a magnetic island raises its temperature, so that, for waves sensitive to the temperature, there is an increased power deposition. The temperature increase is largest at the island center, so that the power deposition and current tend to be maximized also in the

island center, possibly leading to a more stabilizing use of the RF current.

However, if the electron temperature is raised throughout the island, then significant extra wave damping may be incurred near the island periphery. The damping near the island periphery leads to increased temperature and damping there, leading to a feedback effect that can effectively *shadow* the island center, preventing the wave energy from penetrating deep inside the island.⁴⁰ This shadowing effect can be overcome by taking into account the nonlinearity of the power deposition in aiming the ray trajectories, but it can place a premium on accurate aiming of the ray trajectories.

When the temperature enhancement in the island becomes very large, then so will temperature gradients particularly near the periphery. With these large temperature gradients, the perpendicular heat flux may increase and no longer be simply proportional to the gradient. In fact, large temperature gradients are a source of free energy that can trigger various instabilities, which then increase heat transport, leading to what are called *stiff* temperature profiles.^{41–43} Thus, it can be expected that the increase in heat transport can limit the temperature gradient near an island periphery, thereby also reducing the temperature. This change might in certain cases set a limitation on current condensation but also reduce the shadowing when relevant (increasing the stabilizing effect as RF current drive can condense nearer the center).

It is the objective of this work to examine these effects resulting from stiffness. The paper is organized as follows: In Sec. II, we briefly introduce a first form of the model and show that stiffness leads to multiple steady state solutions and a new hysteresis effect, alternative to the hysteresis effect due to the finite available power that was previously explored.⁴⁰ In Sec. III, we consider a more general model for the temperature stiffness, which exhibits a double bifurcation solution, with increased possibilities for hysteresis. The exploration of more general models suggests also the possibility of using experimentally observed temperature perturbations to infer the proper stiffness model. In Sec. IV, we show how the stiffness affects the wave damping—and how it might hamper the condensation effect or minimize shadowing. In Sec. V, we summarize our conclusions. Certain additional details are reserved for the [Appendixes](#).

II. HYSTERESIS WITH STRONG TURBULENCE

The basic model constructed to explain the effects of current condensation in magnetic islands consists of an energy balance. RF wave power deposition plays the role of the driver, while diffusive losses through the island edge regulate the temperature of the island as it is heated. The model is only concerned with the steady state of such a balance, faster, however, than the resistive growth time of the island. This allows taking the island width, W_i , and thus the boundaries of the problem to be fixed.

We assume the island width to be small relative to the minor radius of the plasma. The island is then extremely elongated in the poloidal direction relative to its radial width. This suggests the use of a 1D slab model of the island interior. The island center (O-point) is represented by the surface at $x=0$, and surfaces at $\pm x$ are taken to correspond to the same flux surface within the island. The heat conduction along the field lines is assumed to be sufficiently fast that the temperature is constant on the flux surfaces within the island, so that the temperature is symmetric about $x=0$. This implies that the temperature is constant on the separatrix, which is represented by the surfaces at $x = \pm W_i/2$, where W_i is the island width.

This then preserves the basic effects of the change in topology introduced by the island. A solution of the nonlinear thermal diffusion equation in this simplified model was compared with that in magnetic island geometry in Ref. 39 and was found to reproduce the qualitative features of the solution in the more realistic island geometry, as well as to give a rough approximation to the threshold for the nonlinear effects in the more realistic solution.

For modeling power deposition, it is paramount to note that the damping in velocity space occurs on the tail of the Maxwellian electron distribution function. The energy transfer from the wave to the plasma is proportional to the size of the resonant superthermal population $\exp[-(v_{\parallel}/v_T)^2] \approx \exp(-w^2) \exp(u)$, where $w = v_{\parallel}/v_{T0} \sim 10$ represents a normalized resonant wave velocity, v_{T0} is the background electron thermal velocity,^{34,37} and $u = w^2 \tilde{T}/T_0$ with \tilde{T} representing the temperature perturbation and T_0 the background temperature. For this section in particular, and for simplicity, we will take the power in the wave, unlike the deposition, not to change within the island, as introduced in Ref. 39. For more details on the implicit assumptions and a detailed discussion, we refer the reader to Refs. 39 and 40.

The energy balance equation may thus be written in the form

$$\frac{d}{d\tilde{x}} \left(\tilde{\kappa} \frac{du}{d\tilde{x}} \right) = -P_0 e^u, \quad (1)$$

where $\tilde{x} = 2x/W_i$ is the normalized space coordinate and $\tilde{\kappa}$ is the thermal conductivity normalized to the non-turbulent background. P_0 is a measure of wave power; in fact, the deposition when the nonlinear temperature feedback is not considered. Since u measures the temperature differential of the island compared to the separatrix (whose temperature is taken to be fixed), Eq. (1) is to be solved with homogeneous boundary conditions $\tilde{x} = \pm 1$.⁴⁰

It is assumed that electrons and ions are both characterized by the same temperature, which will describe the case when the temperature equilibration time between electrons and ions is fast compared to the diffusion time.⁴⁴

The model, as described, does not include a description of the turbulent enhancement of heat transport, but it might be accommodated through the heat conductivity $\tilde{\kappa}$. Let $\tilde{\kappa}$ be generalized from $\tilde{\kappa} = 1$ into a function of temperature gradient. In its simplest functional form, and taking $'$ to denote spatial derivatives

$$\tilde{\kappa} = \begin{cases} 1 & \text{if } u' \leq u'_{\text{th}}, \\ \infty & \text{if } u' > u'_{\text{th}}. \end{cases} \quad (2)$$

Below some temperature gradient threshold, u'_{th} , heat transport is non-turbulent. This threshold represents a sufficient condition for an instability to occur. The value of the threshold depends on the nature of the instability and thus the species it belongs to. We make the simplifying assumption $T_e = T_i$, and take a “single fluid” stiffness model, leaving the species dependence for later work.

When an instability takes place beyond the threshold, the model allows turbulent transport to conduct any amount of heat. This divergent $\tilde{\kappa}$ is of particular mathematical convenience, as it keeps all temperature gradients below u'_{th} . This is what the solution for a finite $F > u'_{\text{th}}$ to $\tilde{\kappa} u' = F(\tilde{x})$ [from Eq. (1)] yields, where $F(\tilde{x}) = \int_0^{\tilde{x}} P_0 \exp[u(x')] dx'$. As a result, temperature profiles will have an increased triangular shape.

Taking these results into account, Eq. (1) may be cast in the form of an eigenvalue equation

$$\lambda = \cosh^2 \left(\sqrt{\lambda P_0/2} \right) \quad (\lambda \leq \lambda^*), \quad (3a)$$

$$= \cosh^2 \left(x_E \sqrt{\lambda P_0/2} \right) (\lambda - \lambda_* + 1) \quad (\lambda > \lambda^*), \quad (3b)$$

where $x_E = \ln(\lambda - \lambda^* + 1)/u'_{\text{th}} - 1$ and $\lambda^* = 1 + (u'_{\text{th}})^2/2P_0$ is the eigenvalue corresponding to the non-stiff solution with $\max|u'| = u'_{\text{th}}$; i.e., the coldest solution for which stiffness is relevant. By construction, $\lambda \leq \lambda^* - 1 + e^{u'_{\text{th}}}$; more details may be seen in [Appendix A](#).

This eigenvalue problem may be analyzed using a graphical interpretation (see [Fig. 1](#)). Doing so naturally leads to separating solutions as a function of the gradient threshold into two groups.

For $u'_{\text{th}} > 2$, the solutions for the island temperature show hysteresis behavior as power is changed. This can be deduced from [Fig. 1](#), where a graphical representation of the eigenvalue problem for three different powers is shown. Each intersection point represents a temperature profile solution. Thus, from the three points in the central panel, only one at a time could truly describe the island. It will depend on whether the steady state is reached starting from the left (lower power) or right (larger power) panels. This is the way hysteresis

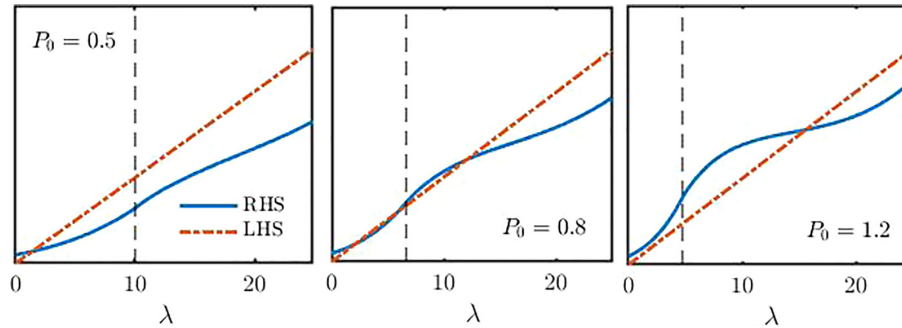


FIG. 1. RHS and LHS of the eigenvalue equation (3) for $u'_{th} = 3$ and three different powers P_0 , showing hysteresis. The discontinuity in the derivative occurs at $\lambda = \lambda^*$ (shown as a dashed black line). In this context, the threshold for hysteresis at $u'_{th} = 2$ corresponds to the condition for which the LHS is tangent to the RHS at $\lambda = \lambda^*$. In that case, it is not possible to have three solutions.

manifests itself, and is perhaps more clearly seen represented as the central temperature curve labeled “strong stiffness” in Fig. 2. The hysteresis curve is formally very similar to that observed in Ref. 40, though the temperature saturating mechanism that makes the problem globally stable (i.e., an odd number of solutions for all P_0) is different. Let us describe this in some more detail.

The region with three solutions may be defined as $P_* < P_0 < P_b$, where the boundary values P_* and P_b label bifurcations. The one occurring at $P_b = 0.88$ is consistent with that shown in Ref. 39. This bifurcation results from the exponential increase with temperature of the power deposition overcoming the increase in diffusion losses. The apparent irrelevance of stiffness for P_b is a consequence of all temperature gradients for solutions colder than the bifurcation being below the turbulent threshold. Indeed, the bifurcation point itself corresponds to an edge gradient (the largest across the island) of $u' = 2$, consistent

with the statement of $u'_{th} = 2$ being the threshold for the appearance of this P_b bifurcation.

The upper branch, which exists for $P_0 > P_*$, physically represents a balance between the enhancement of edge transport losses and the gains from the additional exponential deposition. Any hotter temperature would lead to an imbalance favoring the loss of energy, placing an obvious upper bound to $u(0) < u'_{th}$ (see strong stiffness in Fig. 2). This hotter branch could be accessed starting from a cold island, and increasing P_0 gradually to exceed P_b . If the power was diminished below P_b , the island would remain hot down to P_* due to the enhanced deposition scenario from which we start.

When $u'_{th} < 2$, the saddle-node bifurcation at P_b disappears. It may be shown that, in this case, a single stable solution exists for all values of P_0 , with no trace of hysteresis. This loss of hysteresis solutions underlines the relevance of the gradient threshold on the structure of the final solution and ultimately on the possibility of the condensation effect.

III. CONDENSATION WITH RELAXED STIFFNESS: ROAD TO DOUBLE BIFURCATION

The transport model assumed so far has been of mathematical convenience, but of limited physical relevance. It only represents an extreme case, namely, that of limitless heat transport due to turbulence. A more relevant model would instead accommodate a finite level of transport in the turbulent regime. There exist a number of models that do so;^{41–43} but, here we will consider the so-called *critical gradient transport model*.⁴¹

The critical gradient model writes the increase in heat conductivity as follows:

$$\tilde{\kappa} = 1 + \tilde{\chi}_s(u' - u'_{th})H(u' - u'_{th}), \tag{4}$$

where H represents the Heaviside function. Though the critical gradient u'_{th} has the same meaning as previously, it is usually defined in terms of a dimensionless parameter κ_c , such that $u'_{th} = \Upsilon\kappa_c$ where $\Upsilon = W_I w^2 / 2R$ and R is the major radius (see Appendix B for more details).

The other new parameter introduced in Eq. (4), which constitutes an additional degree of freedom, is $\tilde{\chi}_s$. This parameter describes the stiffness of the system; i.e., it regulates by how much turbulence

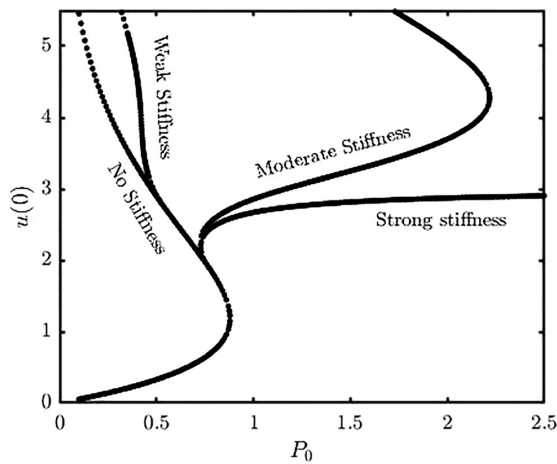


FIG. 2. Central island temperature as a function of power for different models, including no stiffness, strong stiffness at $u'_{th} = 3$, moderate stiffness ($u'_{th} = 3, \tilde{\chi}_s = 5$), and weak stiffness ($u'_{th} = 3, \tilde{\chi}_s = 1$). These show the main solution features.

enhances transport. Again, it is customary to define it in terms of χ_s/χ_0 as $\tilde{\chi}_s = \chi_s/\chi_0 \Upsilon$ (see Appendix B). Our previous strong stiffness model corresponds to the limit $\chi_s/\chi_0 \rightarrow \infty$, while non turbulent transport (such as Ref. 39) corresponds to $\chi_s/\chi_0 \rightarrow 0$.

With this generalized form of $\tilde{\kappa}$, Eq. (1) may be solved for numerically. A fundamental distinction between the solution to this model and the previous strong turbulence solution is that the solution space is no longer globally stable. In other words, beyond some critical value of P_0 [or for islands hotter than the hottest steady state $u(0)$ solutions], the temperature of the island would grow indefinitely, without converging to any steady state (see “moderate stiffness” curve in Fig. 2). The lack of such a steady state is, again, the result of the exponential e^u power deposition dominating, this time over the linear increase in the transport losses via $\tilde{\kappa}$ as the temperature in the island rises. This might be seen formally from the solution to $\tilde{\kappa}u' = F$, which yields, as $F \rightarrow \infty$, $u' \rightarrow \infty$. The boundless growing temperature is nonphysical,³⁹ and it would eventually meet some additional physics or the break-down of assumptions such as the smallness of \tilde{T}/T_0 .

Even though the solution space is globally unstable in this model, it is evidently different from the non-stiff case. In fact, the finite stiffness softens the effects of the non-linear feedback (one may compare curves labeled moderate stiffness and “no stiffness” in Fig. 2), increasing the region of stability.

In addition to this fundamental global change, the new degree of freedom $\tilde{\chi}_s$ is capable of shaping the structure of the solution. In particular, the system may be forced to exhibit either one or two bifurcations (see Fig. 2).

In the case of a stringent critical temperature gradient threshold, in the same fashion as for the prior very stiff case, the bifurcation at $P_b = 0.88$ would be affected. Because stiffness is however weaker, thresholds lower than $u'_{th} < 2$ are needed for the bifurcation to vanish. Even when the solution might not bifurcate at P_b , it will exhibit a new bifurcation at larger power (see Fig. 2). This is explained by the same mechanism that prevented the solution space from being globally stable, and thus, its location depends on the strength $\tilde{\chi}_s$.

The possibility of a double bifurcation such as the one shown in Fig. 2 is then contingent not only on a large enough gradient threshold so that the P_b bifurcation exists, but also on the magnitude of $\tilde{\chi}_s$. Weak stiffness (see Fig. 2) would not introduce such drastic changes but could instead lead merely to a displacement of the solutions into larger P_0 by an amount regulated by $\tilde{\chi}_s$.

In summary, this freedom to shape the solutions suggests that some active or passive manipulation of transport properties could be exploited to change the response of condensation. In addition, one could perhaps exploit this intricate form of the solution to experimentally measure stiff properties of the plasma. To do so, one might launch RF waves at different powers and observe the resulting temperature variations in the island, from which, *a priori*, a particular stiffness model could be fitted.

IV. INTERPLAY BETWEEN ENHANCED TRANSPORT AND WAVE DAMPING IN CONDENSATION

So far, stiffness has been shown to act as an energy loss mechanism, which limits more or less effectively the action of nonlinear effects. The limitless accessibility to energy from the wave led however to globally unstable solution spaces for finite stiffness. Thus, we

introduce here a more physical finite energy wave damping model, to study its interaction with stiffness and condensation.

The wave damping model used is inherited from previous work,⁴⁰ to which the reader is referred for further details. Fundamentally, the model describes the RF wave energy density, V , as it travels left to right (increasing \tilde{x}) through the island and becomes damped. This damped energy is what drives the temperature diffusion equation (symmetrized to account for the fast flux surface heat conduction). The corresponding ray and diffusion equations are, respectively

$$V'(\tilde{x}) = -\alpha_0 e^u V(\tilde{x}), \tag{5a}$$

$$\frac{d}{d\tilde{x}} \left(\tilde{\kappa} \frac{du}{d\tilde{x}} \right) = \frac{V'(\tilde{x}) + V'(-\tilde{x})}{2}. \tag{5b}$$

Define the initial value of the wave energy $V(\tilde{x} = -1) \equiv V_0$ with typical values $V_0 \sim 1 - 10$, and α_0 to represent the linear damping strength of the wave normalized to the island width, typically $\alpha_0 \sim 0.1 - 3$.⁴⁰ Note that the definition $\tilde{x} = 2x/W_i$ is kept for these equations unlike in Ref. 40. We again adopt the critical gradient form of $\tilde{\kappa}$ to introduce the effects of stiffness into the problem.

In the line of Secs. I–III, the non-linear effects in the solutions to Eqs. (5a) and (5b) are found to be moderated by stiffness. Generally, the island becomes colder. The qualitative changes in the $u(0) - V_0$ solution space and the bifurcations are similar, especially for $\alpha_0 \ll 1$, to the changes observed in Secs. I–III [see Figs. 2 and 3(a)]. The exact response of the system is controlled by both the strength of stiffness and the gradient threshold for a given damping strength. Let us dedicate a more detailed look to this.

The threshold u'_{th} roughly delimits the subspace of solutions in V_0 space affected by stiffness. That subset roughly includes all those cases for which the RF power exceeds the value $V_0 \geq 2\Upsilon\kappa_c$ (as the cut-off gradient $u'_{th} = \Upsilon\kappa_c$, while the non-linear non-stiff edge slope $u' \sim V_0/2$). Within this set, the larger V_0 , the more significant the effects of stiffness. The characteristic scale is set by the magnitude of $\tilde{\chi}_s$.

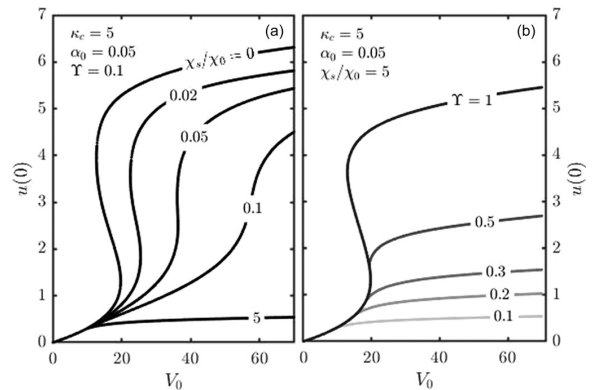


FIG. 3. (a) Island center temperature for different stiffness χ_s values, showing its influence on the resulting solution structure ($\kappa_c = 5$, $\alpha_0 = 0.05$, $\Upsilon = 0.1$). Hysteresis disappears at values of $\chi_s/\chi_0 \geq 0.05$. (b) Island center temperature for different Υ values, showing the reduced effects of stiffness for larger islands ($\kappa_c = 5$, $\alpha_0 = 0.05$, $\chi_s/\chi_0 = 5$).

Therefore, the smaller the value of u'_{th} and the larger $\tilde{\chi}_s$ the more noticeable the effects are. Both these parameters scale with $\Upsilon \propto W_i/R$ as seen in Appendix B. This means that smaller islands at larger radii are likely to be more affected [see Fig. 3(b)]. This suggests that the effects of finite stiffness will be most relevant for situations with smaller islands. If very small, enough for stiffness to dominate the non-linear condensation effects, the latter will not be observable, as may be seen in Fig. 3.

Another important aspect of the solution that should be studied is the self-consistent power deposition profile, in particular for the location of its peak. In fact, power profiles are more directly related to stabilization of the island than $u(0)$, as we take current drive to be approximately proportional to the deposited power.

We introduce an additional measure of RF stabilization in addition to the location of the deposition peak. Actually, the position of the deposition peak is only a partial account, as its magnitude and the amount of energy that leaks the island also play a role. Hence, we introduce parameter σ as the figure of merit describing the stabilization power of a given power deposition profile (see Appendix C). σ will be normalized to the reference non-stiff case for each power and damping (V_0, α_0) , so that $\sigma > 1$ means improved stability by stiffness [e.g., Fig. 4(b)].

To fully understand the effects of stiffness, let us first describe the main features of the deposition in the absence of stiffness, as was shown in Ref. 40. Let us classify the main changes that deposition suffers into three different stages for a prototypical hysteresis (low α_0) solution (a similar division may be suited in cases where no hysteresis exists). The reader might look at the curve labeled ∞ in Fig. 4(a).

The first stage (Stage I) occurs for the lower V_0 values, and corresponds to the situation before any plasma heating becomes significant. In such a stage, deposition is exponential and thus tends to be larger close to the edge of the island. As damping is weak, significant energy

leaks out of the island [$1 - V(1)/V(-1) \sim 0$]. This stage may be related to the lower branch of the hysteresis.

As power increases and the island heats up, preferentially in its center, the wave starts to self-focus. This moves deposition closer to the center and diminishes the power leakage out of the island (Stage II). This corresponds roughly to the transition region between bifurcations [or the large change in Fig. 4(a)].

Finally, if the wave power is even larger, then the damping becomes so large that the wave starts to become damped closer to the edge, i.e., shadowing (Stage III). This would correspond to the hotter branch in the hysteresis beyond the bifurcations [and the decreasing part of the ∞ curve in Fig. 4(a)]. In practice, the shadowing can at least be partially compensated by adjusting the aiming of the ray trajectories to force the peak of the nonlinear damping closer to the island center.

Because stiffness behaves as a moderator of the non-linear response, it will also moderate the wave self-focusing effect. The effects of stiffness will then be different depending on which of the three stages a given value of κ_c affects most, providing a natural grouping. For simplicity of the argument, we will assume that the system is stiff enough so that the effects of enhanced transport are significant for all cases beyond the power value associated with a given temperature gradient threshold. A lower stiffness $\tilde{\chi}_s$ would make the distinction in the grouping of the effects less clear.

Consider then a first group of stiffness effects to correspond to those cases for which the cutoff κ_c is low enough so as to affect all solutions beyond Stage I. Keeping in mind the relation between κ_c and V_0 , the bound of this group would be that of Stage I, roughly where the non-stiff deposition starts to get closer to the center of the island [see Fig. 4(a)]. In this situation, stiffness will freeze the non-linear response of the system before any beneficial condensation effect has taken place. Thus, the centering of the deposition or reduction of power leakage is

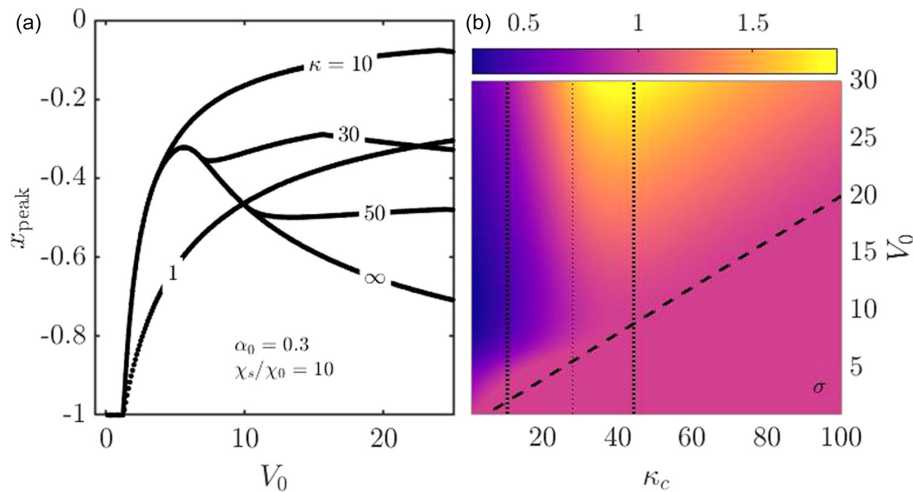


FIG. 4. (a) Normalized position of the peak of the deposition with input RF power, for a number of stiffness thresholds. These correspond to parameters $\alpha_0 = 0.3$, $\chi_s/\chi_0 = 10$ and $\Upsilon = 0.1$. (b) Changes in stabilization σ as a function of κ_c and V_0 . Normalization is done with respect to the non-stiff solution for each respective V_0 value. The broken line represents the approximate boundary between affected and unaffected solutions by stiffness. The three dotted lines (from left to right) represent roughly the separation of the three groups presented in the main text.

relegated to larger V_0 [e.g., compare $|x_{pk}|$ for $\kappa_c = 1$ in Fig. 4(a) to the $\kappa \rightarrow \infty$ case]. However, and as shown in Fig. 4(b), stability is worsened for the more relevant lower V_0 regime; thus, the effects in this group are overall deleterious.

The next group is formed by the set of κ_c values that affect Stage II (but not I). Physically, this amounts to stiffness becoming relevant before wave power leakage is reduced completely, but after some significant amount of focusing has occurred. Hence, it is clear that depending on how early stiffness freezes the non-linear behavior, stability will improve or worsen [see Fig. 4(b)]. The detrimental contribution is minimal for the larger power situations (as in the previous group), but within this group stability is maximized for larger κ_c .

Finally, the larger κ_c cases solely affect those corresponding to Stage III. Naturally, stabilization is always improved, because at this point stiffness exclusively alleviates the shadowing effect, all other beneficial focusing effects having already taken place. However, if κ_c is too large, then only for extremely large powers (relative to κ_c) will any improvement be tangible.

The balance between the advantageous and detrimental contributions of the non-linear effects that we have gone through may be seen as an optimization problem. This optimization has been presented in a simplified form, keeping parameters such as α_0 or $\tilde{\gamma}_s$ fixed. The more general multivariate optimization problem is left for future work and should be taken into account in designing island stabilization schemes.

Before concluding, brief contact is made with experimentally relevant values. Typical parameters for stiffness are $\kappa_c \sim 5(2)$ and $\gamma_s/\gamma_0 \sim 0.01 - 6$, with $\Upsilon \sim 0.1$, as may be seen in Appendix B. These suggest that, generally, turbulent transport will be a relevant process in real devices. We recall here that smaller islands are more susceptible than larger ones, and this will ultimately control when the various effects are relevant. It is left for future work a more complete, fundamental exploration of κ_c and γ_s/γ_0 , especially distinguishing the different species in the plasma. In particular, the instability threshold is expected to be less constraining for electrons than for ions. Thus, for slow temperature equilibration times compared to diffusion,⁴⁴ one could expect to mainly heat electrons, and thus alleviate stiffness constraints. A full description including these species effects, full 3D toroidal geometry, and realistic ray tracing is left for future studies.

V. CONCLUSION

The influence of turbulent enhancement of heat transport on the non-linear condensation effect is explored in this paper. The enhancement occurs when the temperature gradient exceeds a certain threshold, and it is shown to act as an additional island edge localized energy loss mechanism. This way, a very strong stiffness may constrain temperature, giving rise to phenomena similar formally to that in Ref. 40, even without the need to limit the wave energy.

As stiffness is moderated, and using the critical gradient model,⁴¹ important changes occur to the non-linear condensation effects. Specifically, bifurcations appear to be modified or destroyed, and generally, the response of the system becomes less sensitive to RF power as a result of the stiff moderation. Naturally, islands of a smaller size and at larger radius are more likely to exhibit turbulent transport effects.

The location of the RF deposition peak and, more importantly, the stabilization power are both affected by stiffness. The inhibition of the wave damping can prevent the edge shadowing effect when it

occurs, not necessarily compromising the beneficial effects of condensation.

Stiffness should therefore be taken into consideration alongside RF condensation in search of optimal island stabilization schemes. While the model offered here uncovers the key effects, any detailed prediction would require a more complete description, including the species dependence of stiffness, 3D geometry, and full ray tracing.

ACKNOWLEDGMENTS

Thanks to Suying Jin for fruitful discussions and help.

This work was supported by U.S. DOE DE-AC02-09CH11466 and DE-SC0016072.

APPENDIX A: EIGENVALUE EQUATION

Consider equation

$$u'' = -P_0 e^u \tag{A1}$$

for constant heat conductivity. Upon integration, the equation becomes

$$\frac{u'^2}{2} = P_0(\lambda - e^u), \tag{A2}$$

where λ is some integration constant which will be later interpreted as $\lambda = \exp[u(0)]$. Solving this latter equation exactly, and requiring even symmetry

$$u = \ln \lambda - 2 \ln \left[\cosh \left(\sqrt{\frac{\lambda P_0}{2}} \tilde{x} \right) \right]. \tag{A3}$$

The eigenvalue equation simply arises from the boundary condition $u = 0$ at $\tilde{x} = 1$

$$\lambda = \cosh^2 \left(\sqrt{\frac{\lambda P_0}{2}} \right). \tag{A4}$$

This will hold true everywhere within the island so long as the temperature gradient at the edge of the island does not exceed the threshold gradient. When this is not the case, the eigenvalue equation needs to be modified to accommodate $\tilde{\kappa} \rightarrow \infty$ when the threshold u'_{th} is overcome.

The first thing to solve for is the point x_E at which the gradient as a solution to Eq. (A1) is equal to u'_{th} . From Eq. (A2),

$$1 + x_E = \frac{1}{u'_{th}} \ln \left(\lambda - \frac{(u'_{th})^2}{2P_0} \right), \tag{A5}$$

where $\lambda \geq \lambda_* = 1 + (u'_{th})^2/2P_0$ but $\ln[\lambda - (u'_{th})^2/2P_0]/u'_{th} \leq 1$. With this at hand, and noting that the solution (A3) still holds for the central part ($x_E, -x_E$) of the island and outside $u' = u'_{th}$, the eigenvalue equation may be obtained by setting $u(x_E) = u'_{th}(1 + x_E)$

$$\lambda = \cosh^2 \left(x_E \sqrt{\frac{\lambda P_0}{2}} \right) \left(\lambda - \frac{(u'_{th})^2}{2P_0} \right). \tag{A6}$$

Putting all together

$$\lambda = \cosh^2\left(\sqrt{\lambda P_0/2}\right) \quad (\lambda \leq \lambda^*), \quad (\text{A7})$$

$$= \cosh^2\left(x_E \sqrt{\lambda P_0/2}\right) (\lambda - \lambda_* + 1) \quad (\lambda > \lambda^*). \quad (\text{A8})$$

APPENDIX B: EXPERIMENTAL PARAMETER VALUES

The critical gradient semi-empirical model for the heat transport is customarily written in the form⁴¹

$$\kappa = \kappa_0 \left[1 + \frac{\chi_s}{\chi_0} \left(\frac{-R\partial_r T}{T} - \kappa_c \right) H\left(\frac{-R\partial_r T}{T} - \kappa_c \right) \right], \quad (\text{B1})$$

where κ_0 is the non-stiff heat conductivity, χ_s/χ_0 is the strength of the stiffness, R is the major radius, T is the temperature, κ_c is the temperature gradient threshold for stiffness, and H is the Heaviside function.

To adequately incorporate this temperature gradient dependent conductivity into the governing magnetic island equation, a common set of non-dimensional variables needs to be used. Using the definitions of $\tilde{x} = 2x/W_i$ and $u = w^2\tilde{T}/T_0$, one may define a new $\tilde{\kappa}$

$$\tilde{\kappa} = 1 + \tilde{\chi}_s(u' - u'_{\text{th}})H(u' - u'_{\text{th}}), \quad (\text{B2})$$

where $\tilde{\chi}_s = \chi_s/\chi_0 \Upsilon$ with $\Upsilon = W_i w^2/2R$ and $u'_{\text{th}} = \Upsilon \kappa_c$. Typical tokamak values for ECCD schemes will have $\Upsilon \approx 5 \times 10 \text{ cm}/5 \text{ m} \approx 10^{-1}$ and will be assumed throughout the text unless otherwise stated.

In Table I, empirical values for the threshold gradient and stiffness strength from a number of tokamak experiments are collected. It is to be noted that electron stiffness is better documented than ion stiffness, as the temperature of ions is difficult to measure with the needed accuracy. Nevertheless, the quoted values are taken as representative and used in our species-independent picture, which would have to be refined in future work.

For guidance on the experimentally relevant values of V_0 and α_0 in the wave-damping model, we refer the reader to Ref. 40, where an extensive discussion may be found. Here, we limit ourselves to quoting typical values that may be expected to be $\alpha_0 \sim 0.1 - 3$ while $V_0 \sim 1 - 10$.

TABLE I. Experimental values of critical gradient electron stiffness model parameters for various shots at Joint European Torus (JET), ASDEX-Upgrade (AUG), Frascati Tokamak Upgrade (FTU), and TORE-SUPRA (TS). The number in brackets represents variation between different shots. See Ref. 41 for more details on the data.

	χ_s/χ_0	κ_c
JET	6(4)	5.0(1)
AUG	6(6)	6(2)
FTU	0.7	8
TS	28	3

APPENDIX C: STABILIZATION POWER σ

In this brief Appendix, a description of the parameter σ suited to describe the stabilization capability of a given power deposition is given. The formalism used here is heavily based on that used in works such as Ref. 19.

Consider a given 1D power deposition solution to understand its stabilization capability. We would have to compute its contribution to Δ' in the Modified Rutherford equation. As stability calculations are inherently 2D in the poloidal plane, one needs to interpret the given 1D profile as embedded in the higher dimension. A natural interpretation is to take the deposition as a narrow, O-point centered RF beam, which crosses the elongated island perpendicular to its longer axis. Formally, in the limit of infinitely narrow, let the power be $P(x, \xi) = P(x)\delta(\xi)$, where x is the island width coordinate and ξ corresponds to the helical coordinate (along the longer axis).

Given this set-up, the contribution to Δ' from inside the island is, ignoring the variation of the current drive efficiency within the island, as well as overall factors

$$\Delta' \propto - \int_{-1}^1 d\psi \bar{P}(\psi) \int_{-\tilde{\xi}}^{\tilde{\xi}} d\xi \frac{\cos \xi}{\sqrt{\psi + \cos \xi}}, \quad (\text{C1})$$

where ψ is the flux coordinate, with a particular geometry described by $\psi = 2x^2 - \cos \xi$ for $m=1$ and $\tilde{\xi} = a \cos(-\psi)$. Here, x is normalized to the island half width. The flux surface average power function \bar{P} is defined as

$$\bar{P}(\psi) = \frac{\langle P \rangle}{\langle 1 \rangle}, \quad (\text{C2})$$

$$\langle P \rangle \propto \int_{-\tilde{\xi}}^{\tilde{\xi}} d\xi \frac{P(x) + P(-x)}{2\sqrt{\psi + \cos \xi}} \delta(\xi) \propto \frac{P(x) + P(-x)}{2\sqrt{1 + \psi}}, \quad (\text{C3})$$

$$\langle 1 \rangle \propto \int_{-\tilde{\xi}}^{\tilde{\xi}} \frac{d\xi}{\sqrt{\psi + \cos \xi}}. \quad (\text{C4})$$

Therefore, we may re-express the integral in Eq. (C1) to define a stability parameter $\bar{\sigma}$

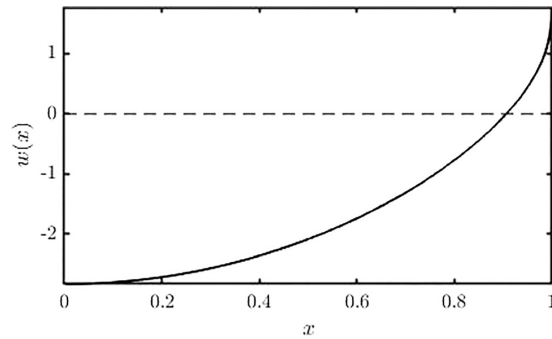


FIG. 5. Weight function $w(x)$ for the definition of the stabilization parameter $\bar{\sigma}$. This shows the destabilizing nature of areas close to the island edge (roughly a 10% of the half width).

$$\begin{aligned}\bar{\sigma} &\equiv - \int_{-1}^1 d\psi \frac{P(x) + P(-x) \langle \cos \xi \rangle}{2\sqrt{1+\psi} \langle 1 \rangle}, \\ &= \int_0^1 dx \frac{P(x) + P(-x)}{2} w(x),\end{aligned}\quad (\text{C5})$$

where $w(x = \sqrt{(\psi+1)/2}) = -4 \langle \cos \xi \rangle / \langle 1 \rangle \sqrt{2}$ may be interpreted as a sort of weight function (see Fig. 5). The weight function makes it clear that driving current in the island center is stabilizing, unlike doing it close to the edge.

Parameter $\bar{\sigma}$ represents stabilization strength, with a larger negative value representing a more stable power deposition. In general, this metric will be used in relative terms, with a specified normalization, for which the symbol σ is reserved.

REFERENCES

- ¹R. J. Buttery, S. Gunter, G. Giruzzi, T. C. Hender, D. Howell, G. Huysmans, R. J. L. Haye, M. Maraschek, H. Reimerdes, O. Sauter, C. D. Warrick, H. R. Wilson, and H. Zohm, *Plasma Phys. Controlled Fusion* **42**, B61 (2000).
- ²P. C. de Vries, M. Johnson, B. Alper, P. Buratti, T. Hender, H. Koslowski, V. Riccardo, and JET-EFDA Contributors, *Nucl. Fusion* **51**, 053018 (2011).
- ³P. C. de Vries, M. Baruzzo, G. M. D. Hogewei, S. Jachmich, E. Joffrin, P. J. Lomas, G. F. Matthews, A. Murari, I. Nunes, T. Putterich, C. Reux, J. Vega, and JET-EFDA Contributors, *Phys. Plasmas* **21**, 056101 (2014).
- ⁴A. H. Reiman, *Phys. Fluids* **26**, 1338 (1983).
- ⁵Y. Yoshioka, S. Kinoshita, and T. Kobayashi, *Nucl. Fusion* **24**, 565 (1984).
- ⁶C. C. Hegna and J. D. Callen, *Phys. Plasmas* **4**, 2940 (1997).
- ⁷S. Bernabei, A. Cardinali, G. Giruzzi, and M. Zabiego, *Nucl. Fusion* **38**, 87 (1998).
- ⁸C. D. Warrick, R. Buttery, G. Cunningham, S. Fielding, T. Hender, B. Lloyd, A. Morris, M. O'Brien, T. Pinfold, K. Stammers, M. Valovic, M. Walsh, H. Wilson, and C. D. R. Teams, *Phys. Rev. Lett.* **85**, 574 (2000).
- ⁹G. Gantenbein, H. Zohm, G. Giruzzi, S. Gunter, F. Leuterer, M. Maraschek, J. Meskat, Q. Yu, and ASDEX Upgrade Team and ECRH-Grp AUG, *Phys. Rev. Lett.* **85**, 1242 (2000).
- ¹⁰H. Zohm, G. Gantenbein, A. Gude, S. Gunter, F. Leuterer, M. Maraschek, J. Meskat, W. Suttrop, Q. Yu, and ASDEX Upgrade Team and ECRH Grp, *Nucl. Fusion* **41**, 197 (2001).
- ¹¹A. Isayama, Y. Kamada, S. Ide, K. Hamamatsu, T. Oikawa, T. Suzuki, Y. Neyatani, T. Ozeki, Y. Ikeda, K. Kajiwara, and JT-60 Team, *Plasma Phys. Controlled Fusion* **42**, L37 (2000).
- ¹²R. J. La Haye, S. Gunter, D. A. Humphreys, J. Lohr, T. C. Luce, M. E. Maraschek, C. C. Petty, R. Prater, J. T. Scoville, and E. J. Strait, *Phys. Plasmas* **9**, 2051 (2002).
- ¹³C. C. Petty, R. J. La Haye, T. C. Luce, D. A. Humphreys, A. W. Hyatt, J. Lohr, R. Prater, E. J. Strait, and M. R. Wade, *Nucl. Fusion* **44**, 243 (2004).
- ¹⁴O. Sauter, *Phys. Plasmas* **11**, 4808 (2004).
- ¹⁵R. Kamendje, S. V. Kasilov, W. Kernbichler, I. Pavlenko, E. Poli, and M. F. Heyn, *Phys. Plasmas* **12**, 012502 (2005).
- ¹⁶R. J. La Haye, *Phys. Plasmas* **13**, 055501 (2006).
- ¹⁷R. J. La Haye, J. R. Ferron, D. A. Humphreys, T. C. Luce, C. C. Petty, R. Prater, E. J. Strait, and A. S. Welander, *Nucl. Fusion* **48**, 054004 (2008).
- ¹⁸M. A. Henderson, R. Heidinger, D. Strauss, R. Bertizzolo, A. Bruschi, R. Chavan, E. Ciattaglia, S. Cirant, A. Collazos, I. Danilov, F. Dolizy, J. Duron, D. Farina, U. Fischer, G. Gantenbein, G. Hailfinger, W. Kasperek, K. Kleefeldt, J. D. Landis, A. Meier, A. Moro, P. Platania, B. Plaum, E. Poli, G. Ramponi, G. Saibene, F. Sanchez, O. Sauter, A. Serikov, H. Shidara, C. Sozzi, P. Spaeh, V. S. Udintsev, H. Zohm, and C. Zucca, *Nucl. Fusion* **48**, 054013 (2008).
- ¹⁹D. De Lazzari and E. Westerhof, *Nucl. Fusion* **49**, 075002 (2009).
- ²⁰F. A. G. Volpe, M. E. Austin, R. J. La Haye, J. Lohr, R. Prater, E. J. Strait, and A. S. Welander, *Phys. Plasmas* **16**, 102502 (2009).
- ²¹O. Sauter, M. A. Henderson, G. Ramponi, H. Zohm, and C. Zucca, *Plasma Phys. Controlled Fusion* **52**, 025002 (2010).
- ²²N. Bertelli, D. De Lazzari, and E. Westerhof, *Nucl. Fusion* **51**, 103007 (2011).
- ²³B. A. Hennen, E. Westerhof, P. W. J. M. Nuij, M. R. de Baar, and M. Steinbuch, *Nucl. Fusion* **52**, 074009 (2012).
- ²⁴A. I. Smolyakov, A. Poye, O. Agullo, S. Benkadda, and X. Garbet, *Phys. Plasmas* **20**, 062506 (2013).
- ²⁵B. Ayten, E. Westerhof, and ASDEX Upgrade Team, *Nucl. Fusion* **54**, 073001 (2014).
- ²⁶D. Borgogno, L. Comisso, D. Grasso, and E. Lazzaro, *Phys. Plasmas* **21**, 060704 (2014).
- ²⁷F. A. Volpe, A. Hyatt, R. J. La Haye, M. J. Lanctot, J. Lohr, R. Prater, E. J. Strait, and A. Welander, *Phys. Rev. Lett.* **115**, 175002 (2015).
- ²⁸O. Fevrier, P. Maget, H. Luetjens, J. F. Luciani, J. Decker, G. Giruzzi, M. Reich, P. Beyer, E. Lazzaro, S. Nowak, and A. U. Team, *Plasma Phys. Controlled Fusion* **58**, 045015 (2016).
- ²⁹S. Wang and Z. W. Ma, *Phys. Plasmas* **22**, 122504 (2015).
- ³⁰J. C. Li, C. J. Xiao, Z. H. Lin, and K. J. Wang, *Phys. Plasmas* **24**, 082508 (2017).
- ³¹D. Grasso, E. Lazzaro, D. Borgogno, and L. Comisso, *J. Plasma Phys.* **82**, 595820603 (2016).
- ³²D. Grasso, D. Borgogno, L. Comisso, and E. Lazzaro, *J. Plasma Phys.* **84**, 745840302 (2018).
- ³³E. Poli, C. Angioni, F. Casson, D. Farina, L. Figini, T. Goodman, O. Maj, O. Sauter, H. Weber, H. Zohm, G. Saibene, and M. Henderson, *Nucl. Fusion* **55**, 013023 (2015).
- ³⁴N. J. Fisch, *Rev. Mod. Phys.* **59**, 175 (1987).
- ³⁵N. J. Fisch and A. H. Boozer, *Phys. Rev. Lett.* **45**, 720 (1980).
- ³⁶N. J. Fisch, *Phys. Rev. Lett.* **41**, 873 (1978).
- ³⁷C. F. F. Karney and N. J. Fisch, *Nucl. Fusion* **21**, 1549 (1981).
- ³⁸C. F. F. Karney and N. J. Fisch, *Phys. Fluids* **22**, 1817 (1979).
- ³⁹A. H. Reiman and N. J. Fisch, *Phys. Rev. Lett.* **121**, 225001 (2018).
- ⁴⁰E. Rodriguez, A. H. Reiman, and N. J. Fisch, *Phys. Plasmas* **26**, 092511 (2019).
- ⁴¹X. Garbet, P. Mantica, F. Rytter, G. Cordey, F. Imbeaux, C. Sozzi, A. Manini, E. Asp, V. Parail, R. Wolf, and JET EFDA Contributors, *Plasma Phys. Controlled Fusion* **46**, 1351 (2004).
- ⁴²P. Maget, F. Widmer, O. Fevrier, X. Garbet, and H. Lütjens, *Phys. Plasmas* **25**, 022514 (2018).
- ⁴³M. Kotschenreuther, W. Dorland, M. A. Beer, and G. W. Hammett, *Phys. Plasmas* **2**, 2381 (1995).
- ⁴⁴S. Jin, N. J. Fisch, and A. H. Reiman, "Pulsed rf schemes for tearing mode stabilization," [arXiv:2003.10896](https://arxiv.org/abs/2003.10896) (2020).