

# Properties of Gyrokinetic Turbulence in Tokamaks, & Discontinuous Galerkin Methods for (Gyro)Kinetics

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(slides @ <http://w3.pppl.gov/~hammett/talks>)

Ask Questions During the Talk!

# Properties of Gyrokinetic Turbulence in Tokamaks, & Discontinuous Galerkin Methods for (Gyro)Kinetics

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(Princeton University, PPPL)

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- Fusion energy motivation: reducing microturbulence could improve fusion
- Intro to properties of gyrokinetic equations and tokamak turbulence
- Comprehensive GK sims of core very successful, challenges in edge
- Algorithm work:
  - Maxwellian-weighted basis functions in DG while preserving conservation properties (see E. Shi's poster)
  - **Gkeyll**, a new code for edge GK using a special energy-conserving version of DG for Hamiltonian systems.
  - (Other versions of Gkeyll for Vlasov-Poisson, Vlasov-Maxwell, and multi-fluid-Maxwell using various algorithms. See A. Hakim's poster)
  - Ampere cancellation problem in gyrokinetics, a subtle fix in DG/FEM
  - Multiscale coupling of 5D turbulence and 1D transport: extreme scaling computing for comprehensive tokamak simulations

# Maxwellian- Weighted DG Basis Functions

## Standard DG Polynomial Basis Functions:

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$$\frac{\partial f(v, t)}{\partial t} = G[f]$$

In each cell  $\Omega_j$ , expand in basis fcns:  $f(v, t) \approx f_h(v, t) = \sum_k f_k(t) b_k(v)$

Choose  $\dot{f}_k = df_k/dt$  to minimize error:  $\epsilon^2 = \int_{\Omega_j} dv \left( \sum_k \dot{f}_k b_k - G \right)^2$

Error projected into space of  $b_k(v)$  is zero:  $\int_{\Omega_j} dv b_k(v) (\dot{f}_h - G) = 0$

If  $G = -\partial\Gamma/\partial v$ , then  $b_0(v) = 1$  give density conservation:

$$\int_{\Omega_j} dv \dot{f}_h = -\Gamma(v_{j+1/2}) + \Gamma(v_{j-1/2})$$

# Standard Maxwellian-Weighted DG Basis Functions:

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For many plasma problems of interest, we know Maxwellian-weighted basis functions would be more efficient. (Polynomial basis functions can't integrate to  $v = \infty$ , where asymptotic behavior is Maxwellian (sometimes at higher "temperature"), moderate collisions, turbulence driven by gradients of Maxwellians.)

$$f(v, t) \approx f_h(v, t) = \sum_k f_k(t) \underbrace{\exp(-\beta v^2/2) b_k(v)}_{\hat{b}_k(v)}$$

$$\text{Minimizing error leads to: } 0 = \int_{\Omega_j} dv \hat{b}_k(v) (\dot{f}_h - G)$$

But now,  $\hat{b}_0 = \exp(-\beta v^2/2)$  does *not* lead to standard particle conservation if  $G = -\partial\Gamma/\partial v$

$$\int_{\Omega_j} dv \hat{b}_0 \dot{f}_h = -\hat{b}_0(v) \Gamma(v) \Big|_{v_{j-1/2}}^{v_{j+1/2}} + \int_{\Omega_j} dv \frac{\partial \hat{b}_0}{\partial v} \Gamma(v)$$

Standard energy conservation doesn't hold either.

# Conservative Maxwellian-Weighted DG Basis Functions:

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The trick for preserving conservation properties of DG with Maxwellian-weighted basis functions,  $\hat{b}_k(v) = W(v)b_k(v)$ , starts by going back to beginning, to the norm defining the error, and introducing a weighting factor:

$$\epsilon^2 = \int_{\Omega_j} dv W^{-1}(v) \left( \sum_k \dot{f}_k \hat{b}_k(v) - G \right)^2$$

Choosing  $\dot{f}_k$  to minimize error gives:

$$\int_{\Omega_j} dv W^{-1}(v) \hat{b}_m(v) \left( \sum_k \dot{f}_k \hat{b}_k - G \right) = 0$$

$$\int_{\Omega_j} dv b_m(v) \left( \sum_k \dot{f}_k \hat{b}_k - G \right) = 0$$

Now  $b_0(v) = 1$  gives standard particle conservation. Higher moments give momentum and energy conservation for collision operator (Hamiltonian terms more complicated..., see A. Hakim's poster.)

Weighted DG can be thought of as Petrov-Galerkin, test fncs  $\neq$  basis fncs

# 1D Test problem: Classical Parallel Heat Conduction

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$$\frac{\partial f(z, v_{\parallel}, t)}{\partial t} + v_{\parallel} \frac{\partial f}{\partial z} = C[f]$$

Background temperature gradient (w/ force balance), Chapman-Enskog-Braginskii problem locally becomes equivalent to 1D problem:

$$\frac{\partial f(v_{\parallel}, t)}{\partial t} = C[f] + \kappa_T v_{\parallel} \left( \frac{1}{2} \frac{v_{\parallel}^2}{v_t^2} - c_1 \right) f$$

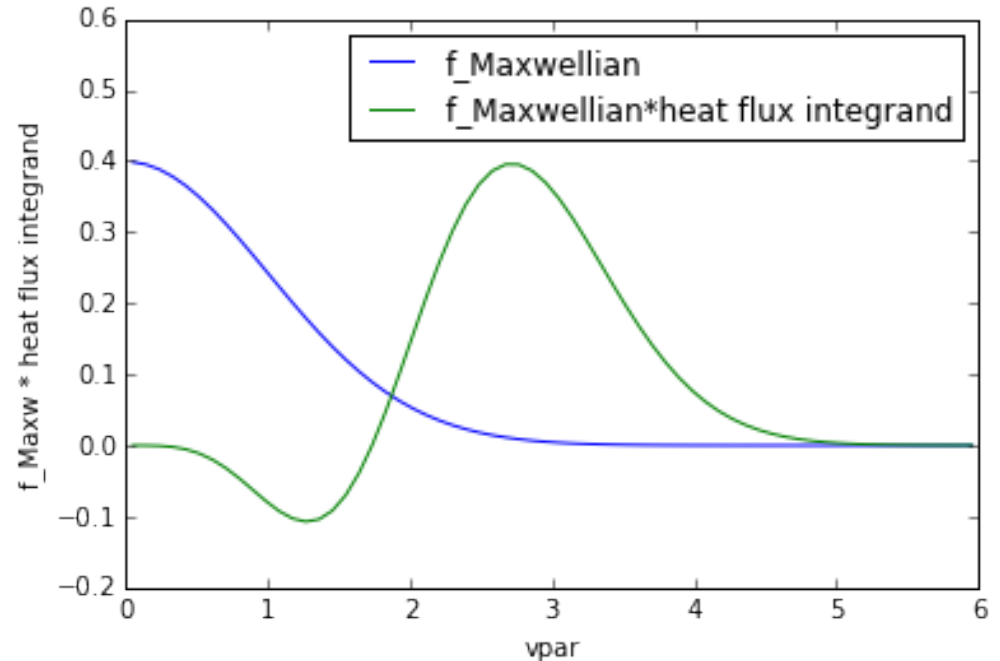
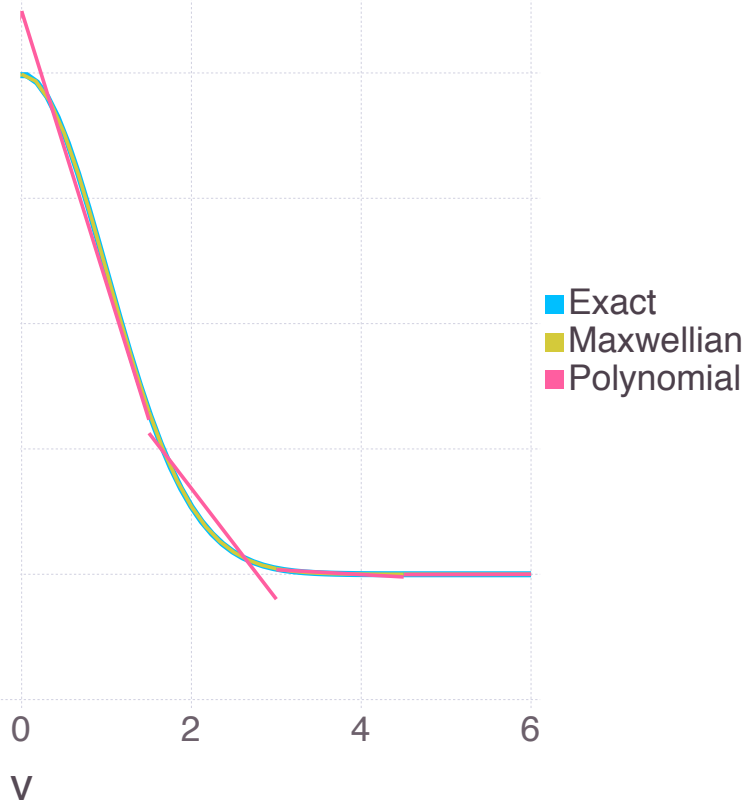
( $\kappa_t \ll 1$ .  $c_1$  determined by constraint of no momentum injection.)

Lenard-Bernstein Collision model (much better than Krook model for plasmas):

$$C[f] = \frac{\partial}{\partial v_{\parallel}} \left( \nu v_{\parallel} f + \nu v_t^2 \frac{\partial f}{\partial v_{\parallel}} \right)$$

Solve to steady state, calculate heat flux =  $\int dv_{\parallel} (1/2) m v_{\parallel}^3 f$ .

# Maxwellian-weighted basis functions much more efficient



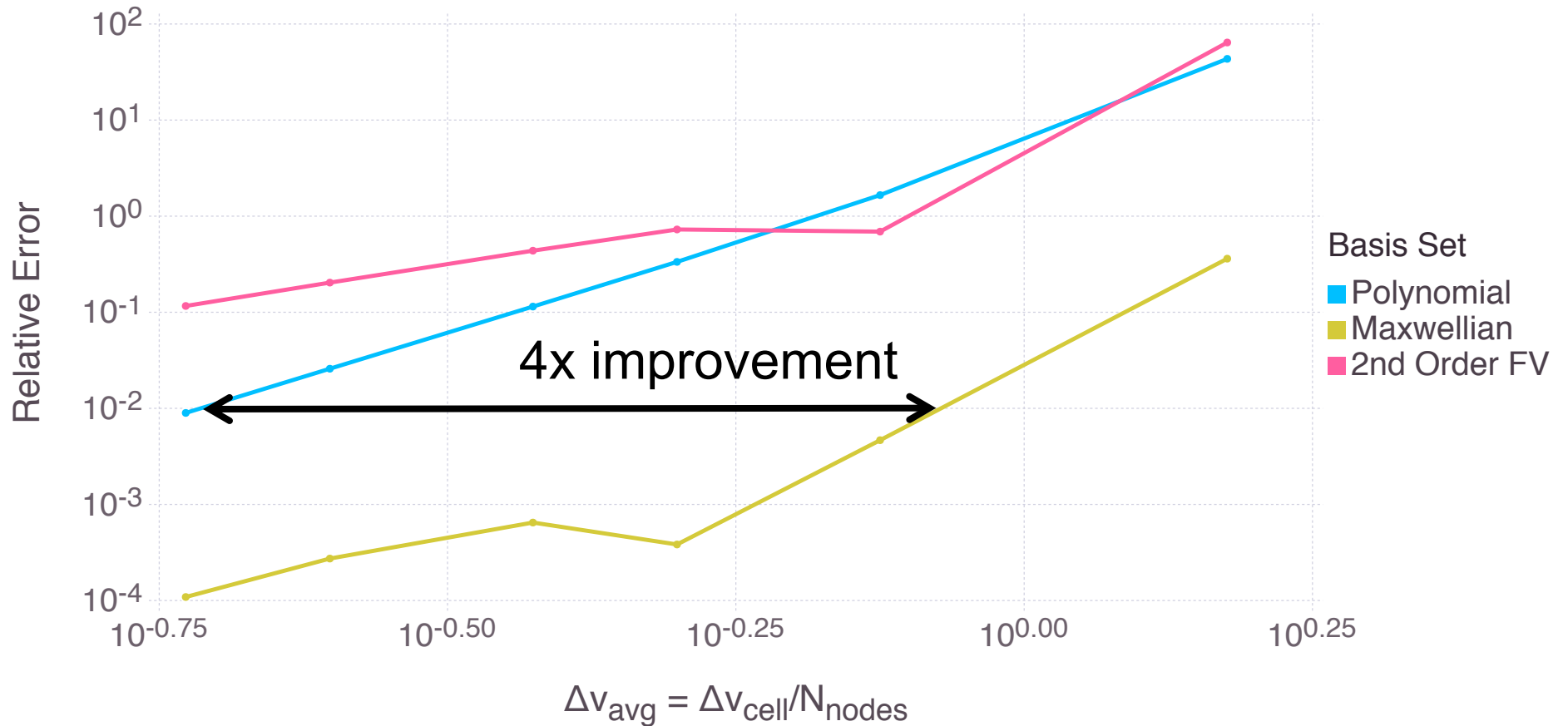
Here, heat flux integrand  $\sim v^6 f_{\text{Maxwellian}}$ , weighted towards tail.

In 3D with  $v \sim 1/v^3$ , get integrand  $\sim |v|^{11} f_{\text{Maxwellian}}$ .

Unweighted polynomial basis functions converge slowly when far out in tail.



# Maxwellian-weighted basis functions much more efficient



Combined with 2x improvement in  $v_{\perp} \rightarrow$  total 8x faster.

(See Eric Shi's poster.)

# Fusion Intro

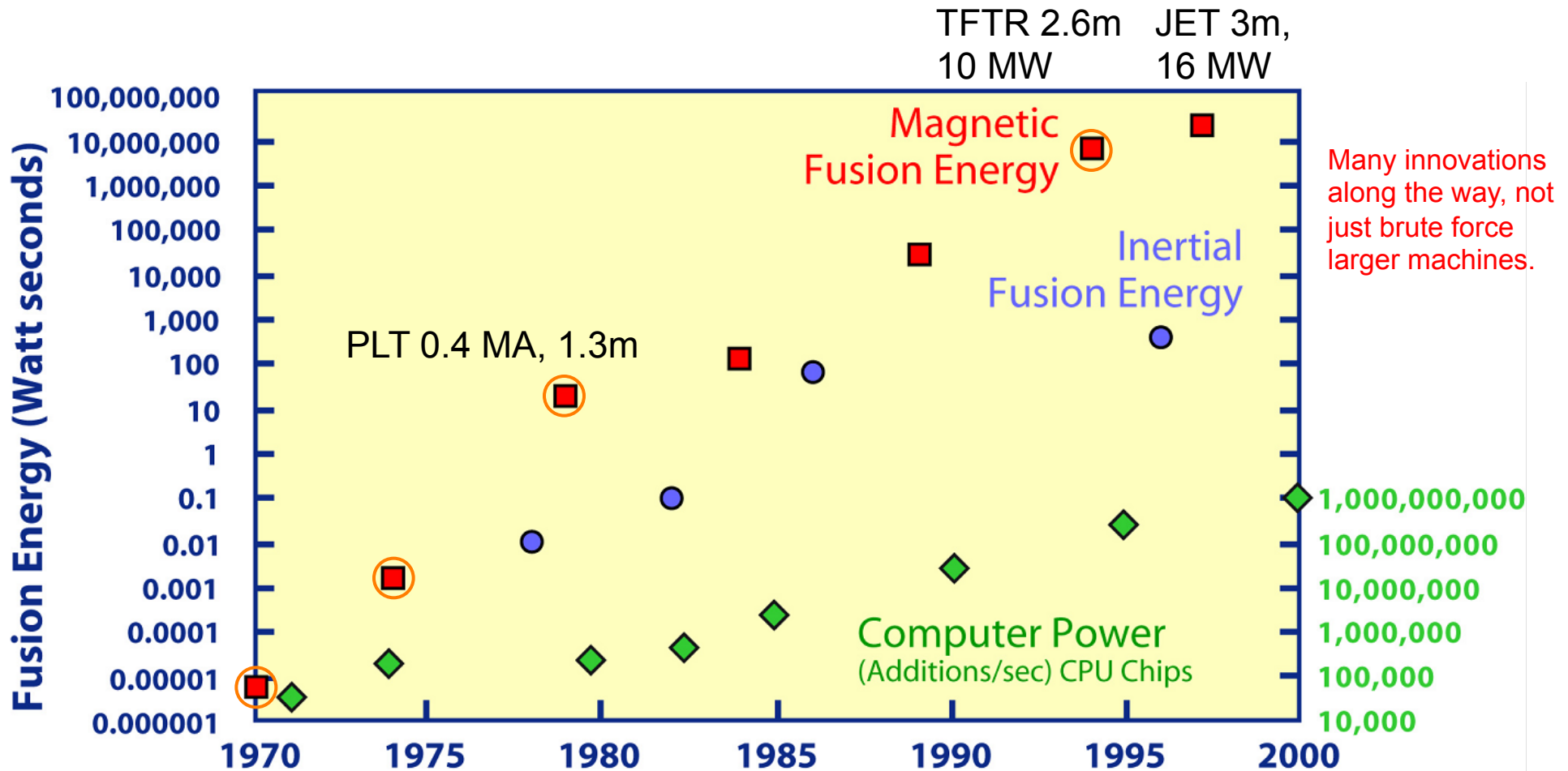
# My Perspective on Fusion Energy

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- Need to pursue many alternative energy sources. All have tradeoffs & uncertainties. Challenging to supply all energy needed in the long term. Energy demand expected to triple throughout the century as poor countries continue to develop.
- Fusion energy is hard, but it's an important problem, we've been making progress, and there are interesting ideas to pursue that could improve it:
  - “advanced tokamak” regimes, spherical torus
  - Liquid metal walls: handle power loads better, “black hole” absorbing wall reduces cold neutral recycling & improves performance. LTX, NSTX, ...
  - Recent advances in high-field superconductors:  $5.3 \rightarrow 9.2$  T,  $P_{fus} \sim p^2 \sim B^4 \sim \times 9$
  - Stellarators: After 40+ years of research, a hidden symmetry discovered that improves performance
  - other ideas..., robotic manufacturing, ...

# Progress in Fusion Energy Outpaced Computer Speed

ITER 6.2m  
500 MW 



ITER goal: 200 GJ/pulse (500 MW = 30 x JET's power 16 MW, for 400x longer), 10<sup>7</sup> MJ/day of fusion heat).

# Improving Confinement Can Significantly ↓ Size & Construction Cost of Fusion Reactor

Well known that improving confinement &  $\beta$  can lower Cost of Electricity / kWh, at fixed power output.

Even stronger effect if consider smaller power:  
better confinement allows significantly smaller size/cost at same fusion gain  $Q$  ( $nT\tau_E$ ).

Need detailed turbulence simulations to make case for reliable projection to improved confinement.

Caveats: qualitative cost trend, limits on improvements set by blankets, etc., need detailed engineering studies.

Standard H-mode empirical scaling:

$$\tau_E \sim H I_p^{0.93} P^{-0.69} B^{0.15} R^{1.97} \dots$$

( $P = 3VnT/\tau_E$  & assume fixed  $nT\tau_E, q_{95}, \beta_N, n/n_{Greenwald}$ ), get:

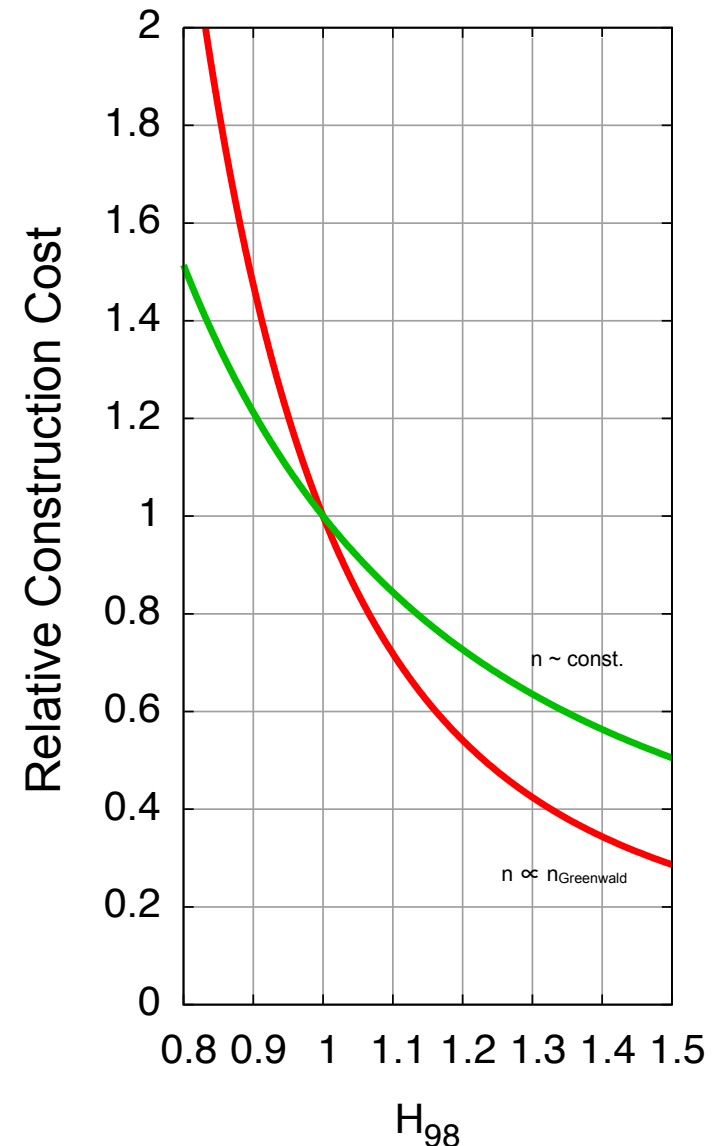
$$R \sim 1 / (H^{2.4} B^{1.7})$$

ITER std  $H=1$ , steady-state  $H \sim 1.5$

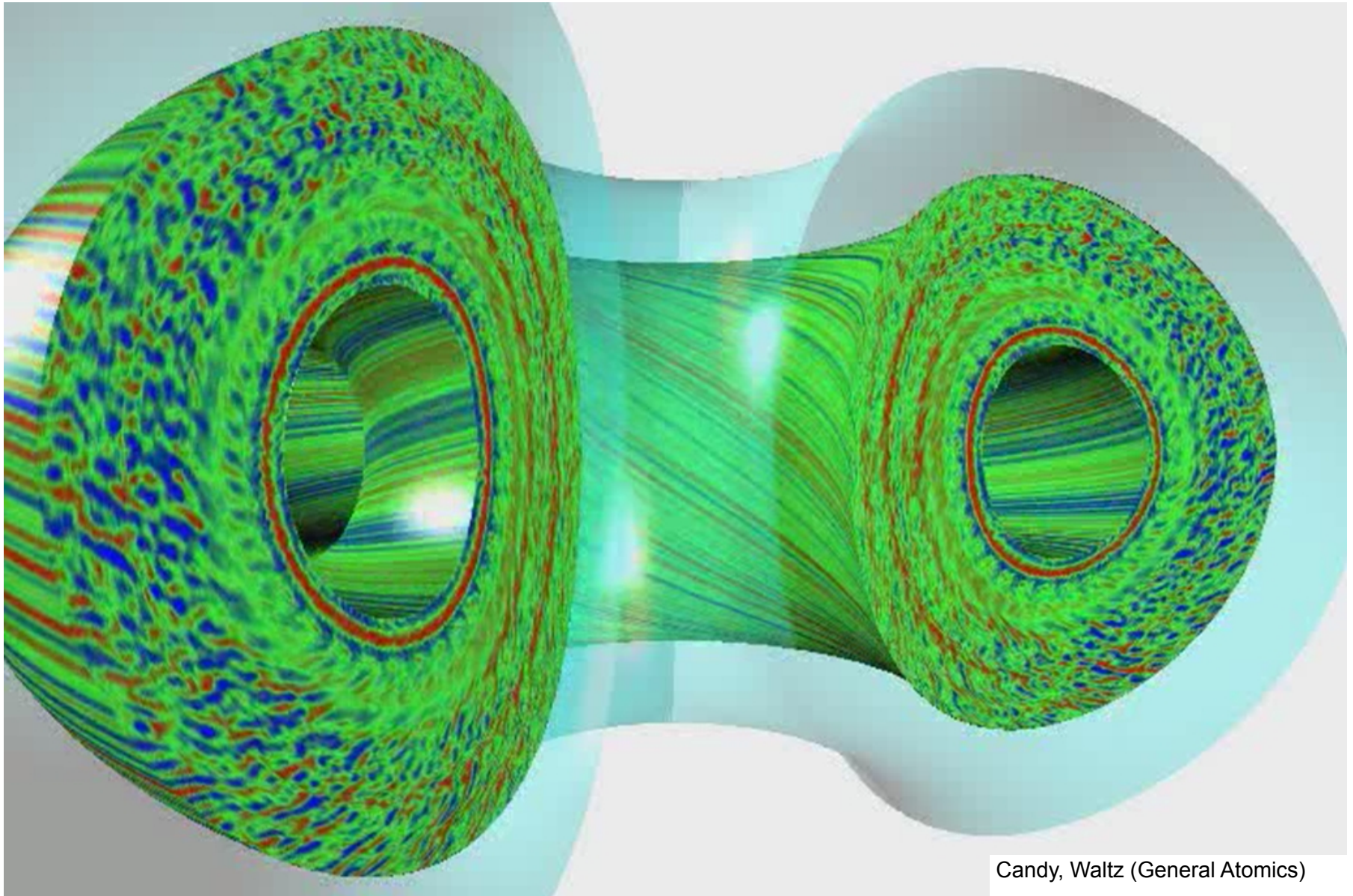
ARIES-AT  $H \sim 1.5$

MIT ARC (fire.pppl.gov FESAC)  $H_{89}/2 \sim 1.4$  (new HTS  $\sim B \times 2, P_{fus} \sim B^4$  at fixed)

(Plots assumes  $a/R=0.25$ , cost  $\propto R^2$  roughly. Plot accounts for constraint on  $B$  @ magnet with 1.16 m blanket/shield, i.e.  $B = B_{mag} (R-a-a_{BS})/R$ )

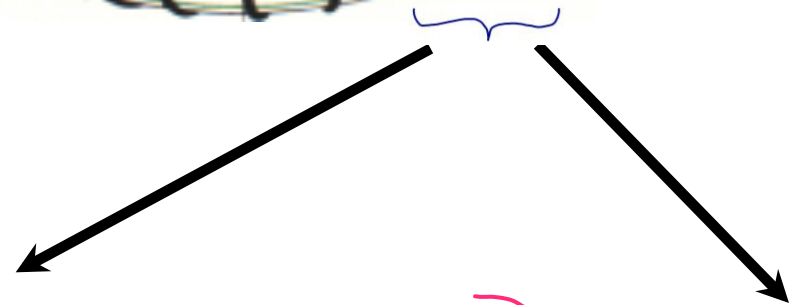
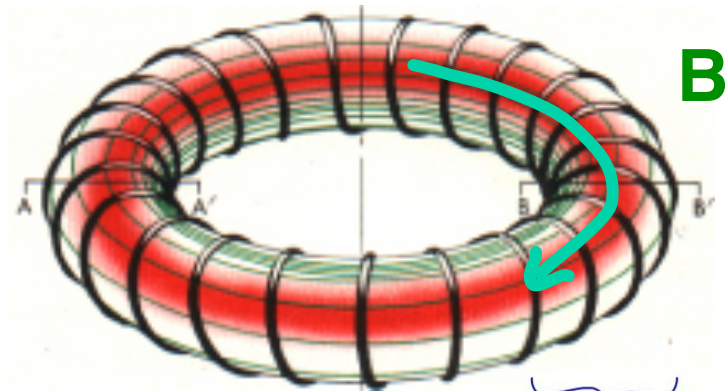
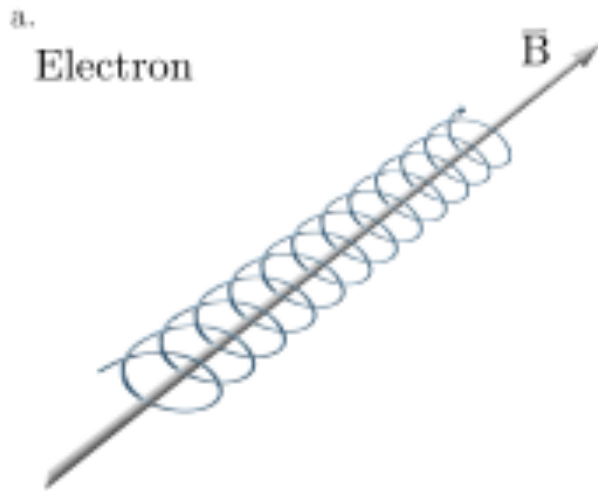


# Gyrokinetic Simulation of Microturbulence in main Tokamak Core

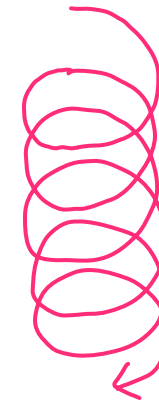


# A Crash Course in Magnetic Confinement (in 3 slides)

Particles have helical orbits in B field, not confined along B. Try to fix by wrapping B into a torus.



Fermi (~1946): but now  
 $B \sim 1/R$ , so particles will drift  
out:

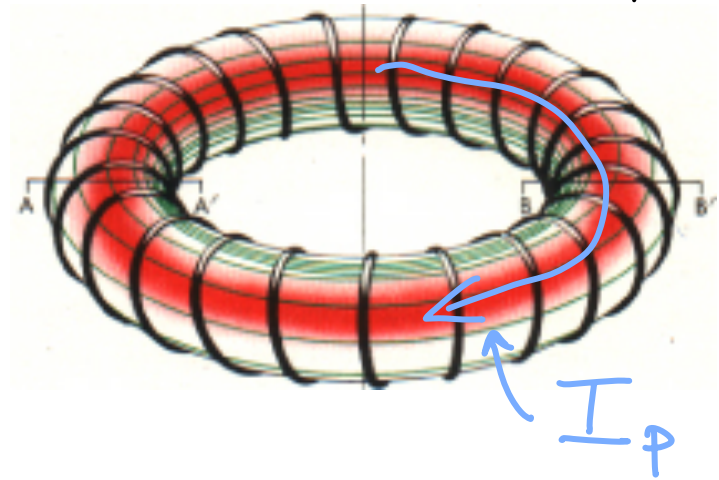


ions drift  
down

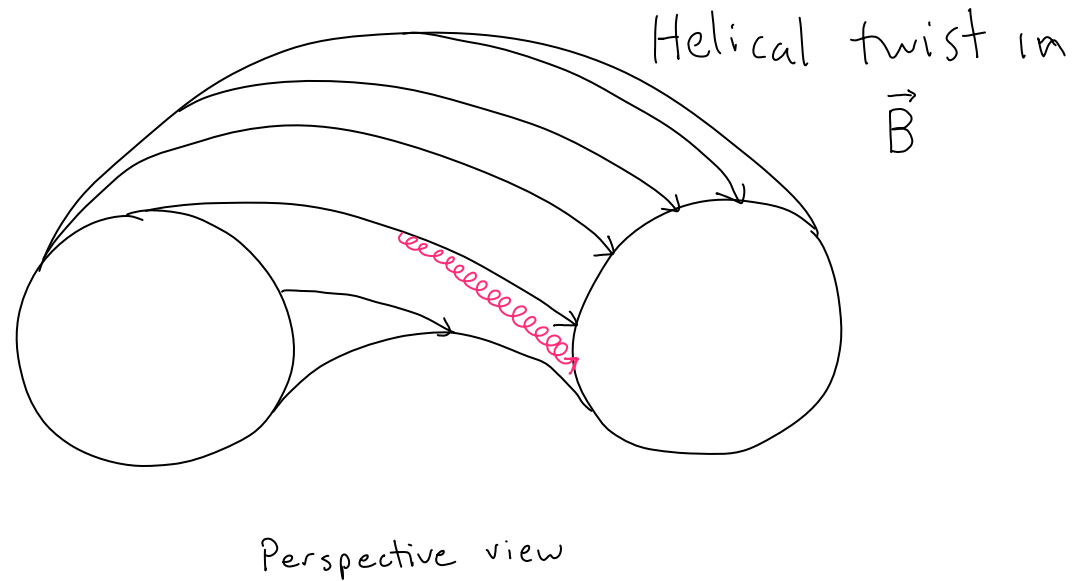
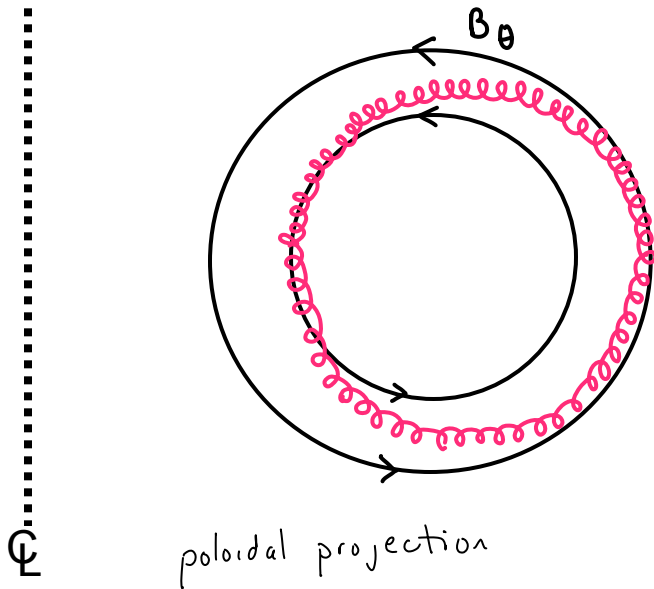


# Cure problems by twisting the $\vec{B}$ field

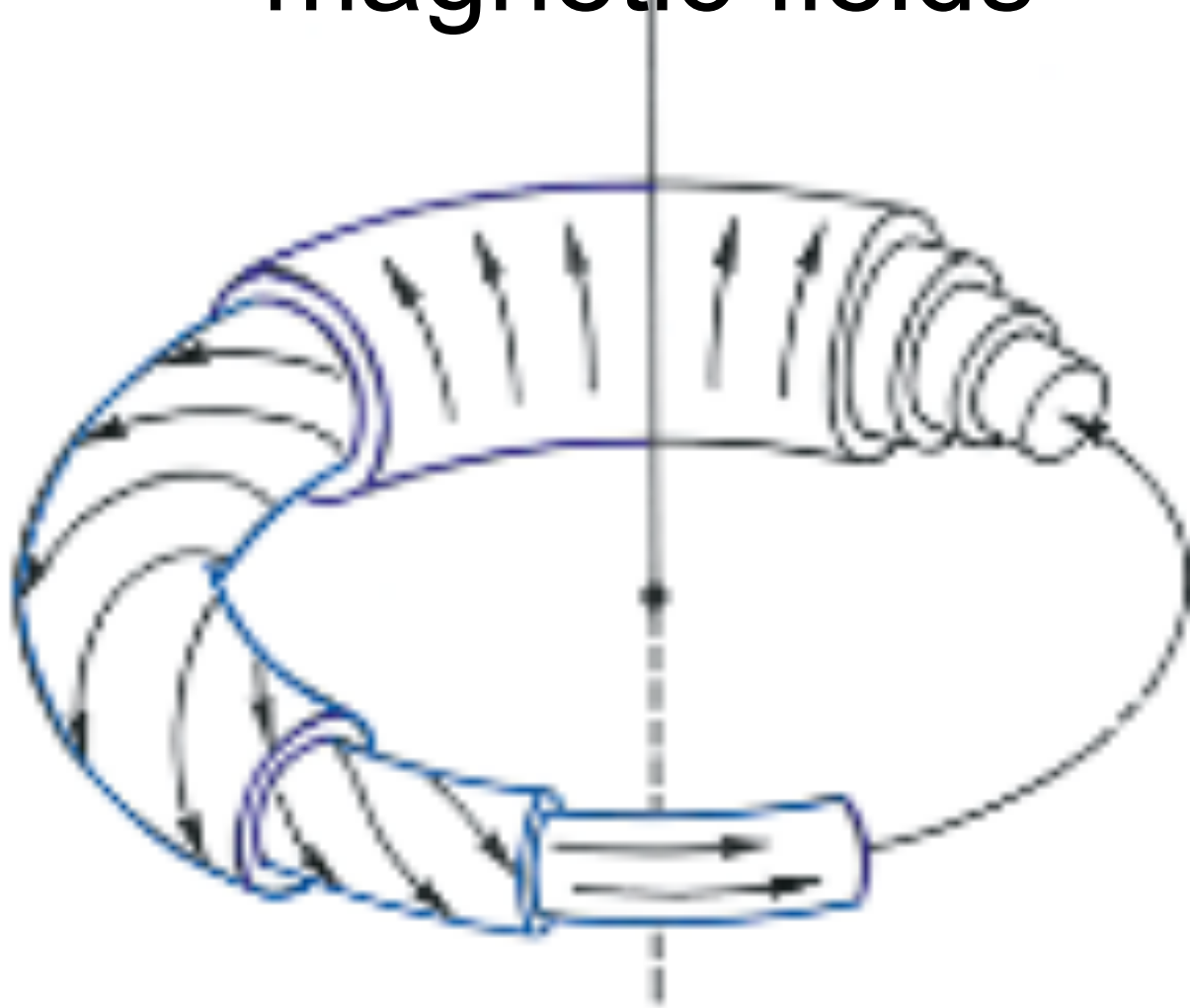
Induce a current in plasma:



Ion motion along twisting  $\vec{B}$  field + downward drift:



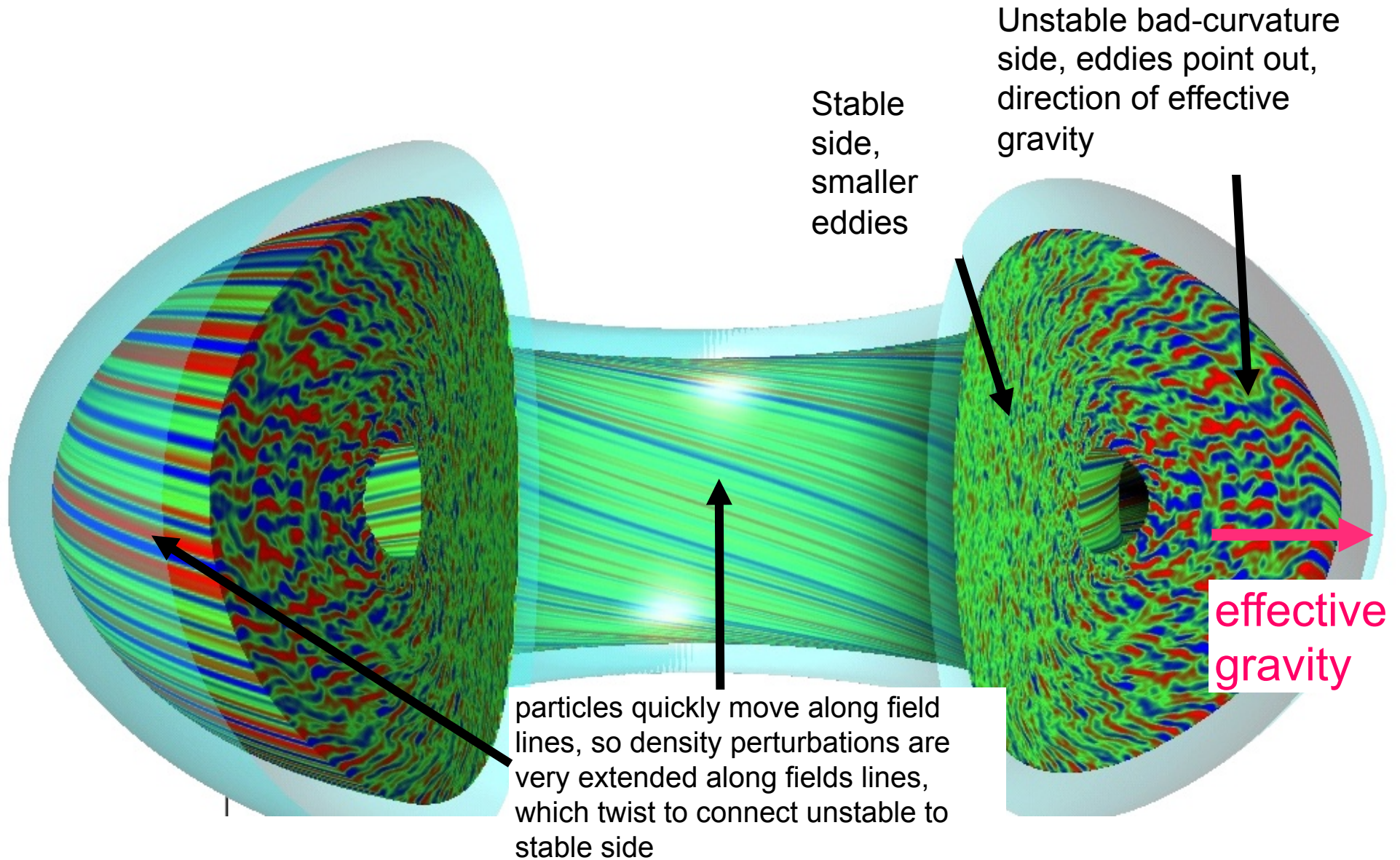
# Torus with sheared helical magnetic fields



Extreme example,  
magnetic field is mostly in  
toroidal direction in  
standard tokamak.

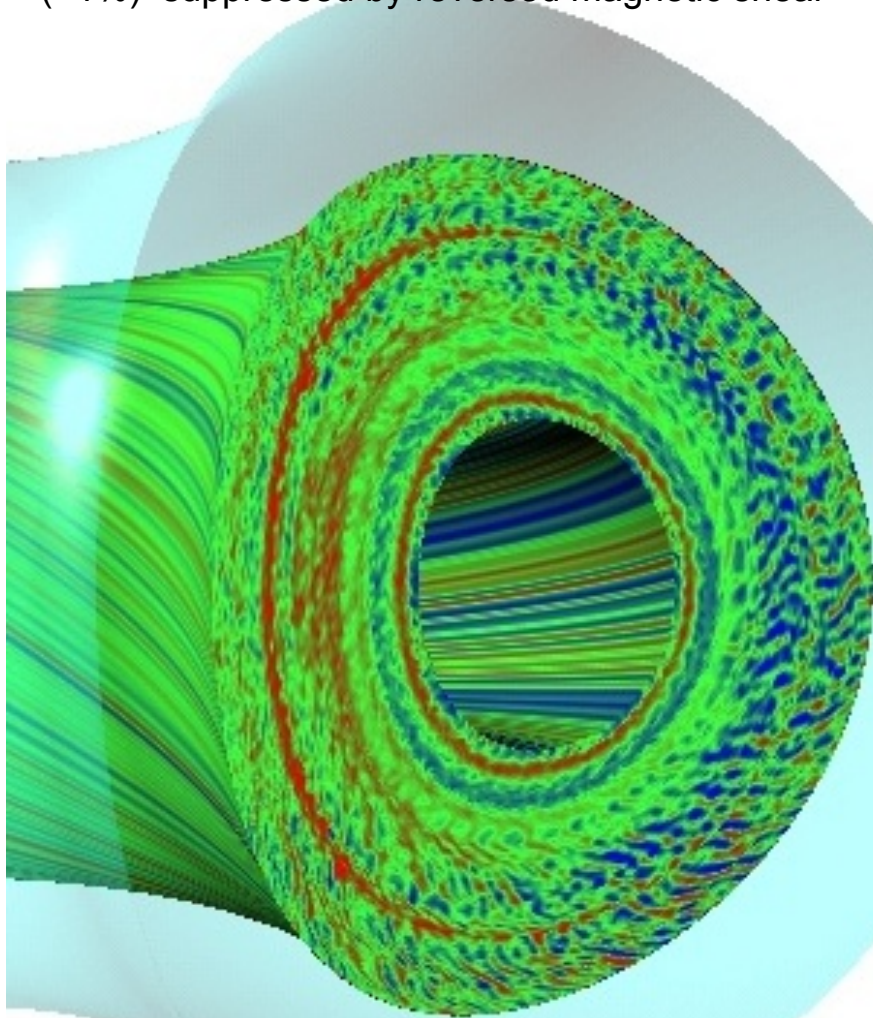
magnetic shear can help stabilize instabilities  
(negative & zero average shear can be better, average  $\neq$  local shear)

These physical mechanisms can be seen in gyrokinetic simulations and movies



# Fairly Comprehensive 5-D Gyrokinetic Turbulence Codes Have Been Developed

small scale, small amplitude density fluctuations  
( $<1\%$ ) suppressed by reversed magnetic shear



- Solve for the particle distribution function  $f(r, \theta, \alpha, E, \mu, t)$  (avg. over gyration: 6D  $\rightarrow$  5D)
- 500 radii x 32 complex toroidal modes (96 binormal grid points)  
x 10 parallel points along half-orbits  
x 8 energies x 16  $v_{\parallel}/v$   
12 hours on ORNL Cray X1E w/ 256 MSPs
- Realistic toroidal geometry, kinetic ions & electrons, finite- $\beta$  electro-magnetic fluctuations, full linearized collisions.
- Sophisticated spectral/high-order upwind algorithms. This plot from continuum/Eulerian code GYRO (Candy & Waltz, SciDAC), GENE (Jenko et al., Garching / UCLA) similar. These and other codes being widely compared with experiments.

# Gyrokinetic Eq. Summary

The electrostatic gyrokinetic equation, in a “full-f” drift-kinetic-like form, for the gyro-averaged, guiding-center distribution function  $\bar{f}(\vec{R}, v_{\parallel}, \mu, t) = \bar{f}_0 + \delta\bar{f}$ :

$$\frac{\partial B\bar{f}}{\partial t} + \nabla \cdot \left( \left( v_{\parallel} \hat{b} + \mathbf{v}_E + \mathbf{v}_d \right) B\bar{f} \right) + \frac{\partial}{\partial v_{\parallel}} \left( \left( -\frac{1}{m} \hat{b} \cdot \nabla (e\bar{\Phi} + \mu B) + v_{\parallel} (\hat{b} \cdot \nabla \hat{b}) \mathbf{v}_E \right) B\bar{f} \right) = C[\bar{f}]$$

at long wavelength (the hard part):  $-\nabla_{\perp} \cdot \left( \sum_s \frac{n_s m_s c^2}{B^2} \nabla_{\perp} \phi \right) = \sum_s q_s \int d^3v \bar{f}_s$

magnetic moment  $\mu = (m/2)v_{\perp}^2/B$

$E \times B$  velocity:

$$\mathbf{v}_E = -\frac{c}{B} \nabla \bar{\Phi} \times \hat{b}$$

$\nabla B$  and curvature drifts:

$$\mathbf{v}_d = \frac{v_{\parallel}^2}{\Omega} \hat{b} \times (\hat{b} \cdot \nabla \hat{b}) + \frac{\mu}{\Omega} \hat{b} \times \nabla B$$

With small corrections, can be written in Hamiltonian form  $\partial f / \partial t = \{H, f\} + C[f]$ .

Derivation refs.: Frieman-Chen, Lee, Dubin, Hahm, Sugama, Brizard, Miyata, Parra, ...

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$$-\nabla_{\perp} \cdot \left( \sum_s \frac{n_s m_s c^2}{B^2} \nabla_{\perp} \phi \right) = \sum_s q_s \int d^3v \bar{f}_s$$

using gyro averaged potential:

$$\begin{aligned} \bar{\phi}(\vec{R}) &= \frac{1}{2\pi} \int d\theta \phi(\vec{R} + \vec{\rho}(\theta)) \\ &= \frac{1}{2\pi} \int d\theta \sum_{\vec{k}} \phi_{\vec{k}} \exp(i\vec{k} \cdot (\vec{R} + \vec{\rho}(\theta))) \\ &= \sum_{\vec{k}} J_0(k_{\perp} \rho) \phi_{\vec{k}} \exp(i\vec{k} \cdot \vec{R}) \end{aligned}$$

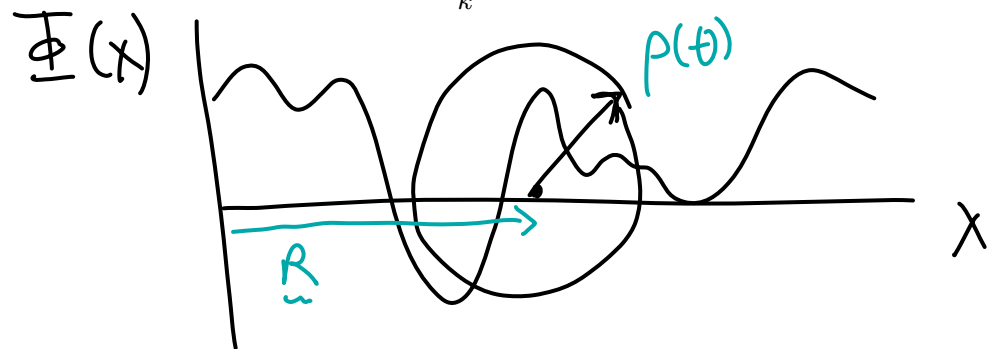
magnetic moment  $\mu = (m/2)v_{\perp}^2/B$

$E \times B$  velocity:

$$\mathbf{v}_E = -\frac{c}{B} \nabla \bar{\Phi} \times \hat{b}$$

$\nabla B$  and curvature drifts:

$$\mathbf{v}_d = \frac{v_{\parallel}^2}{\Omega} \hat{b} \times (\hat{b} \cdot \nabla \hat{b}) + \frac{\mu}{\Omega} \hat{b} \times \nabla B$$



# Discontinuous Galerkin Algorithms for Hamiltonian/ Kinetic Problems

(See Ammar Hakim's  
poster for details)



## Interesting Previous DG for Vlasov-Poisson (VP)

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- Ayuso, Carrillo, & Shu 2011: 1D, First DG scheme in literature for which energy conservation can be shown
- Ayuso, Carillo, & Shu 2012: extensions to multi-D, requires multiple ( $2 \times D$ ) Poisson solves
- Ayuso & Hajian 2012: 1D, also requires 2 Poisson solves per step
- Yingda Chen, I. Gamba, P. Morrison, et al., Energy conservation for Vlasov-Maxwell

## Special versions of DG for Hamiltonian systems (See A. Hakim's poster & future paper)

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- Navier-Stokes fluid eqs. directly represent conservation of particles, momentum, & energy. Finite-Volume methods automatically conserve these also.
- Energy conservation in Vlasov-Poisson and other Hamiltonian systems more subtle: energy conservation an indirect property (integrate by parts, careful treatment of particle-field energy exchange). (Arakawa conserves energy, but standard limiters/upwinding would lose energy conservation.)
- We appear to be first to note a version of DG (based on J.-G. Liu & C.-W. Shu, 2000 for 2D incompressible hydro) can exactly conserve energy for general Hamiltonian problems,  $\partial f/\partial t = \{H, f\}$ , (for continuous time) with single Poisson solve.
- Interestingly, energy is conserved even with upwind fluxes for  $f \rightarrow$  limiters (helpful to minimize artificial oscillations & preserve positivity).
- This version requires  $H$  to be in a continuous subspace of the basis functions used for  $f$ . DG for  $f$ , FEM to solve Poisson-type eqs. to find potentials.

## Special versions of DG for Hamiltonian systems (See A. Hakim's poster & future paper)

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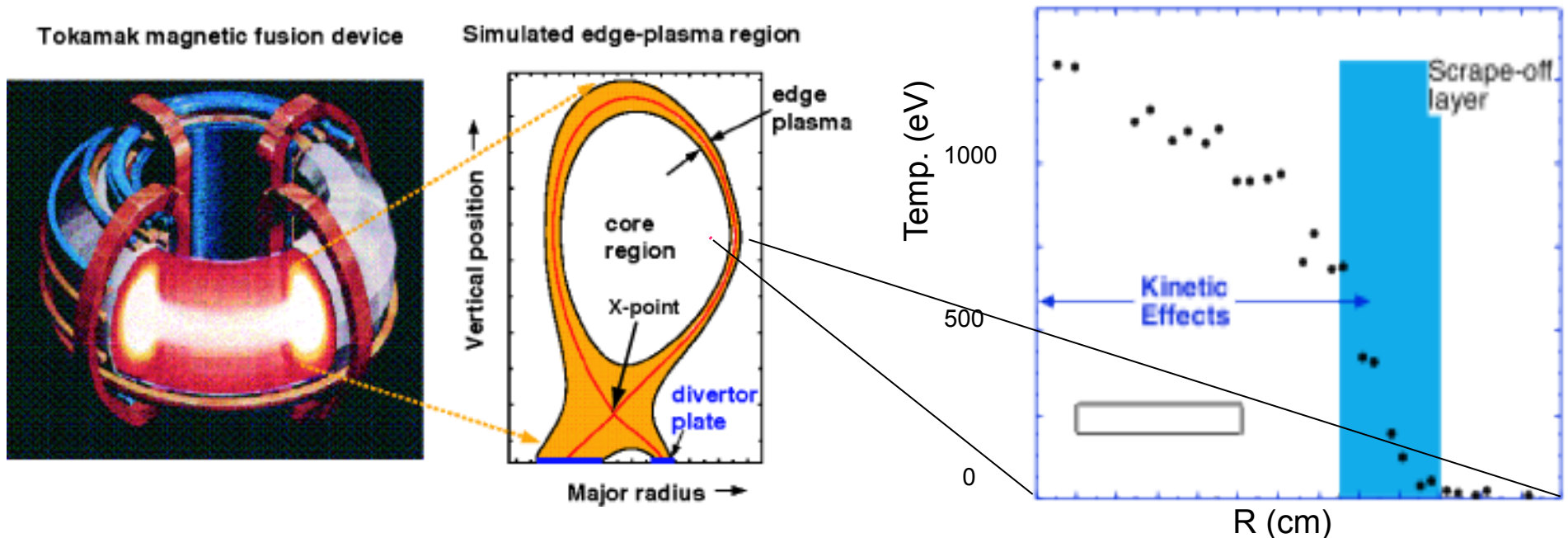
- Hakim's Gkeyll code is using this Hamiltonian DG for gyrokinetic studies of tokamak turbulence, and for Vlasov-Poisson (with static B) for plasma thruster simulations.
- To preserve the feature of the GK Poisson equation of multiple independent 2D Poisson solves, we discovered a local quasi-projection operator that produces a continuous 3D  $\phi$  from the 2D solves, while retaining a self-adjoint property so that energy conservation is preserved.
- For Vlasov-Maxwell simulations, using a different energy-conserving treatment.

## Simultaneous momentum & energy conservation

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- Our scheme can conserve energy or momentum exactly (depending on the basis functions for  $\phi$ ), but not both. Can add a correction term  $\sim (\Delta x)^p$  to conserve momentum and energy simultaneously (Finite Volume version: Taitano, Chacon, and Simikov, JCP14)

# Edge region very difficult



Edge pedestal temperature profile near the edge of an H-mode discharge in the DIII-D tokamak. [Porter2000]. Pedestal is shaded region.

Major extensions to gyrokinetic codes needed to handle additional complications of edge region of tokamaks (& stellarators):

open & closed field lines, steep gradients near beta limit, electric & magnetic fluctuations, strong shear-flow layers, steep-gradients and large amplitude fluctuations, positivity constraints, wide range of collisionality, non-axisymmetric RMP coils, plasma-wall interactions, strong sources and sinks in atomic physics.

A new code with these capabilities might also be useful for a wider range of astrophysics and other applications.

# General goal: new robust (gyro)kinetic code benefiting from several advanced continuum algorithms

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New continuum code using combination of advanced algorithms that could help it be significantly more efficient and robust, particularly on coarse velocity space grids.

Advanced algorithms include:

- certain versions of discontinuous Galerkin (DG) methods that are quite efficient and have good conservation properties (subtle for kinetic Hamiltonian problems), while allowing certain types of limiters (help preserve positivity).
- Maxwellian-weighted (or more general) basis functions,
- subgrid / hypercollision models to model phase-mixing and turbulent mixing to unresolved scales (handles recurrence issues).

DG combines some advantages of Finite Volume (FV) with Finite Element accuracy:

FV interpolates  $p$  uniformly-spaced points to get  $p$  order accuracy

DG interpolates  $p$  optimally-located points to get  $2p-1$  order accuracy

(DG has lower phase-errors like Finite Elements / Compact Finite Differencing, but calculations are local like FV, explicit code easier to parallelize.)

# Ampere Cancellation subtleties in DG/ FEM

## Simplest Alfvén Wave in Gyrokinetics

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$$\frac{\partial f_e}{\partial t} + v_{\parallel} \frac{\partial f_e}{\partial z} + \frac{q_e}{m_e} \left( -\frac{\partial \phi}{\partial z} - \frac{\partial A_{\parallel}}{\partial t} \right) \frac{\partial f_e}{\partial v_{\parallel}} = 0$$

$$-n_i k_{\perp}^2 \rho_{s0}^2 \frac{e\phi}{T_{e0}} = \int dv_{\parallel} f_e - n_i$$

$$k_{\perp}^2 A_{\parallel} = \mu_0 q_e \int dv_{\parallel} f_e v_{\parallel}$$

If  $\omega \gg k_{\parallel} v_{te}$ , this gives:

$$\omega^2 = \frac{k_{\parallel}^2 v_A^2}{1 + k_{\perp}^2 \rho_s^2 / \hat{\beta}_e}$$

where  $\hat{\beta}_e = (\beta_e/2)(m_i/m_e)$ . The electrostatic case  $A_{\parallel} = 0$  corresponds to the low  $\beta$  limit, where there is an  $\Omega_H$  mode that is even faster than electrons at low  $k_{\perp}$ :

$$\omega^2 = \frac{k_{\parallel}^2 v_{te}^2 / \hat{\beta}_e}{1 + k_{\perp}^2 \rho_s^2 / \hat{\beta}_e} \rightarrow \frac{k_{\parallel}^2 v_{te}^2}{k_{\perp}^2 \rho_s^2}$$

It would seem that finite  $\beta$  should be easier because it limits the fastest wave at low  $k_{\perp}$  to be no faster than the Alfvén wave



## Handling the $\partial A_{||}/\partial t$ term

$$\frac{\partial f_e}{\partial t} + v_{||} \frac{\partial f_e}{\partial z} + \frac{q_e}{m_e} \left( -\frac{\partial \phi}{\partial z} - \frac{\partial A_{||}}{\partial t} \right) \frac{\partial f_e}{\partial v_{||}} = 0$$

Codes usually eliminate the  $\partial A_{||}/\partial t$  term with the substitution  $\delta f_e = g + (q_e/m_e)A_{||}\partial F_{e0}/\partial v_{||}$  (or by going to  $p_{||} = mv_{||} + q_e A_{||}$  coordinates, which is equivalent linearly). Ampere's law become:

$$\underbrace{\left( k_{\perp}^2 + C_n \frac{\mu_0 q_e^2}{m_e} \int dp_{||} f_e \right)}_{C_n \omega_{pe}^2 / c^2} A_{||} = C_j \mu_0 \frac{q_e}{m_e^2} \int dp_{||} f_e p_{||}$$

“The Ampere Cancellation Problem”: the ratio of the first to second term is very small,  $k_{\perp}^2 \rho_s^2 / \hat{\beta} \sim 10^{-5}$ , for  $k_{\perp} \rho_s = 0.01$  and  $\beta_e \sim 1\%$ . Small errors (represented by  $C_n$  or  $C_j \neq 1$ ) in large terms can have a large effect:

$$\text{If } \omega \gg k_{||} v_{te}: \quad \omega^2 = \frac{k_{||}^2 v_{te}^2}{k_{\perp}^2 \rho_s^2} \left[ \frac{k_{\perp}^2 \rho_s^2 + (C_n - C_j) \hat{\beta}_e}{k_{\perp}^2 \rho_s^2 + C_n \hat{\beta}_e} \right]$$

GS2's implicit formulation never had problem. I worked with Jenko in 2001 to fix problem in GENE. Related papers by Candy & Waltz JCP 2003, Y. Chen & S. Parker JCP 2003, B. Cohen 2002, Dannert & Jenko 2004, Belli & Hammett 2005, Bottino et al. IAEA 2010. [Latest PIC methods](#): E. A. Startsev & W.W. Lee 2014 (Double Split Weight), A. Mishchenko et al. Phys. Plasmas 2014 (Pullback method).

# Challenge for magnetic fluctuations in DG

We are using a novel version of the Discontinuous Galerkin (DG) algorithm that can exactly conserve energy for general Hamiltonian problems  $\partial f / \partial t = \{H, f\}$ . (Based on algorithm by J.-G. Liu and C-W. Shu, 2000.) Requires  $H$  (and thus  $\phi$  &  $A_{||}$ ) to be continuous.

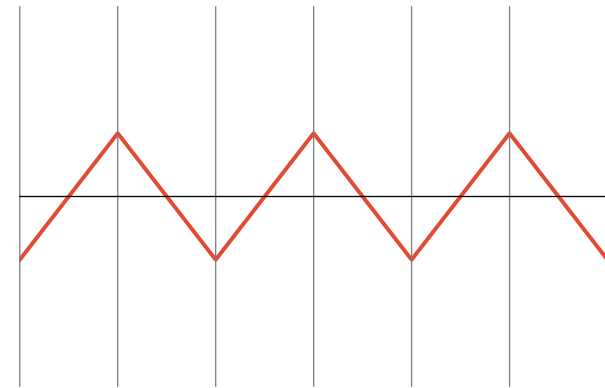
In the MHD limit, we need

$$E_{||} = -\frac{\partial \phi}{\partial z} - \frac{\partial A_{||}}{\partial t} \approx 0$$

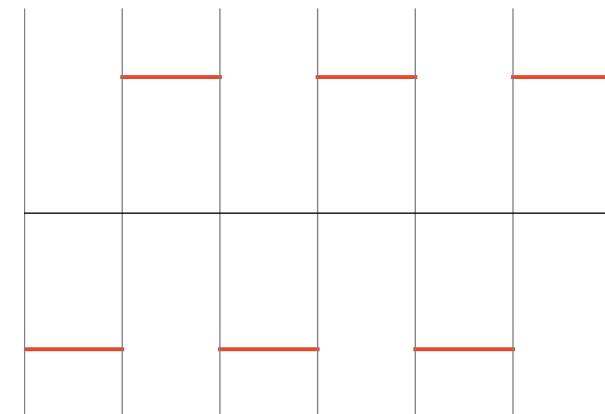
but there is no way for a continuous  $A_{||}(z)$  to offset this discontinuous  $\partial \phi / \partial z$ .

This electrostatic field drives a current that is a square wave, and wants to make a square wave  $A_{||}(z)$ . But projection of this square wave  $A_{||}$  onto a continuous subspace gives  $A_{||} = 0$ , as if  $\beta = 0$ . This gives very high frequency mode at grid scale, requiring a very small time step  $\Delta t < k_{||,max} v_{te} / (k_{\perp, min} \rho_s)$ .

Shortest Wavelength  $\phi(z)$

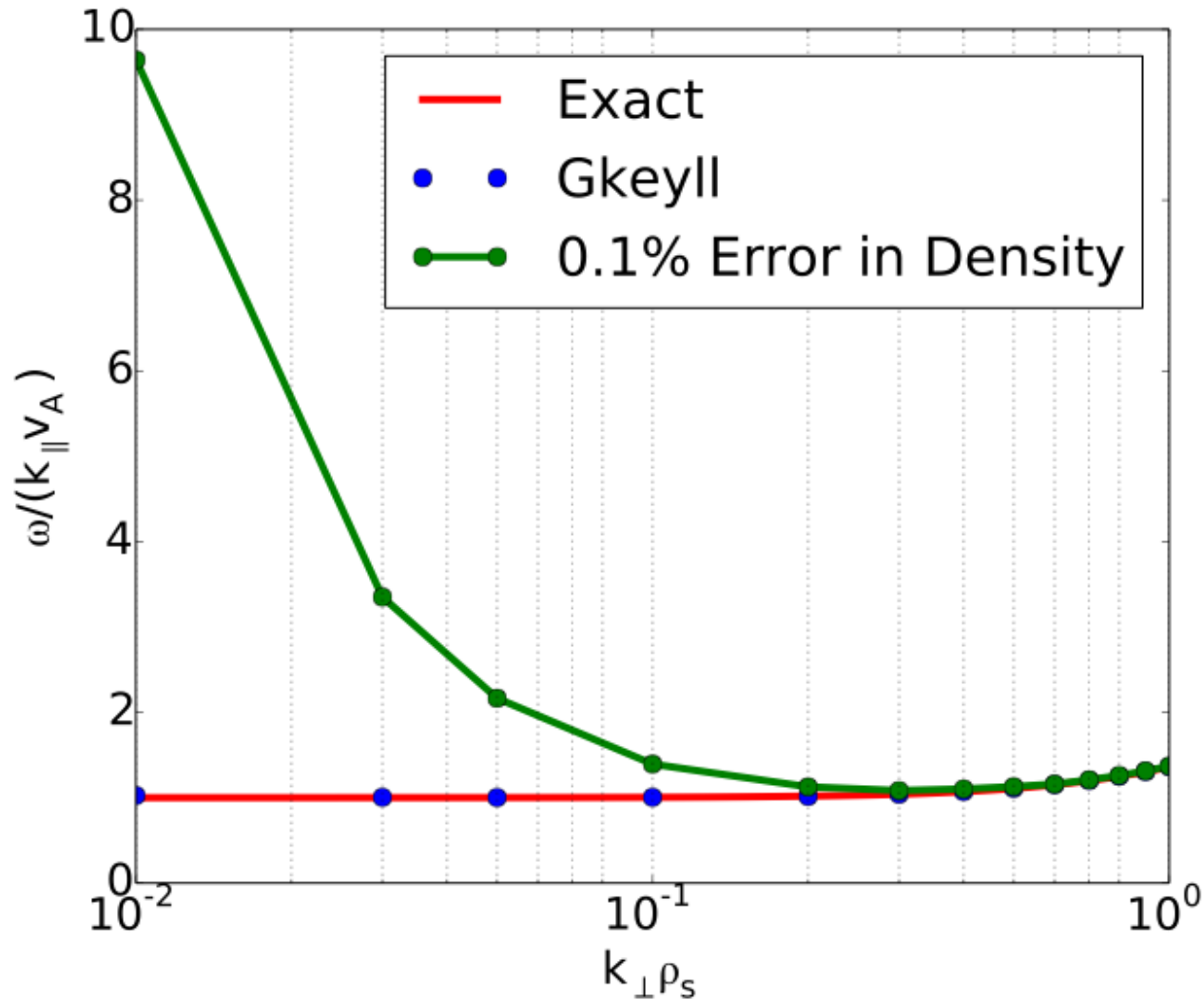


$$E_{\phi} = -\partial \phi(z) / \partial z$$



# Fix for magnetic fluctuations for DG

There are several solutions. One is to project  $\phi(z)$  onto a  $C_1$  subspace where  $\phi$  and  $\partial\phi/\partial z$  are continuous. ( $\phi$  must be at least piecewise-parabolic in this case.) This allows a  $C_0$   $A_{||}(z,t)$  to better approximate the ideal MHD condition  $E_{||} \approx 0 = -\partial\phi/\partial z - \partial A_{||}/\partial t$ . Allows Gkeyll to reproduce Alfvén wave even at very low  $k_{\perp}\rho_s$  with a normal time step.



In order to conserve energy, the projection operator must be self-adjoint. We have found a local filtering/projection operator that is self-adjoint.

# Summary:

## Properties of Gyrokinetic Turbulence in Tokamaks, & Discontinuous Galerkin Methods for (Gyro)Kinetics

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- **Fusion energy motivation**
- **Gkeyll, a new code for edge GK using a special energy-conserving version of DG for Hamiltonian systems.**
- **(Other versions of Gkeyll for Vlasov-Poisson, Vlasov-Maxwell, and multi-fluid-Maxwell using various algorithms. See A. Hakim's poster)**
- **Algorithm work:**
  - **Maxwellian-weighted basis functions in DG while preserving conservation properties (see E. Shi's poster)**
  - **Ampere cancellation problem in gyrokinetics, a subtle fix in DG/FEM**
  - **Multiscale coupling of 5D turbulence and 1D transport: extreme scaling computing for comprehensive tokamak simulations**