

# The Gyrokinetic Regime

Geometry

Velocity Space

Linear How-To

# Coordinates

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Start from Vlasov equation with a collision operator:

$$\frac{\partial \mathcal{F}_s}{\partial t} + \mathbf{v} \cdot \nabla \mathcal{F}_s + \mathbf{a} \cdot \frac{\partial \mathcal{F}_s}{\partial \mathbf{v}} = C(\mathcal{F}_s)$$

Acknowledge parallel and perpendicular dynamics are different:

$$\mathbf{v} = \mathbf{v}_\perp + \hat{\mathbf{b}}v_\parallel.$$

Go to energy and magnetic moment coordinates

$$E = \frac{1}{2}mv^2 \qquad \mu = \frac{1}{2}mv_\perp^2/B$$

with inverse transformation

$$v_\parallel^2 = 2(E - \mu B)/m \qquad \mathbf{v}_\perp = v_\perp(\mathbf{e}_1 \cos \xi + \mathbf{e}_2 \sin \xi)$$

where  $\mathbf{e}_1, \mathbf{e}_2, \hat{\mathbf{b}}$  form an orthogonal coordinate system.

# Guiding Center Transformation

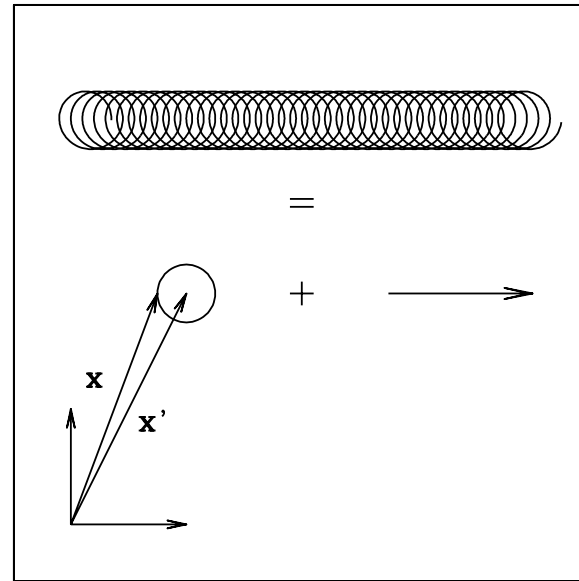
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- First key step is coordinate transformation, from

$$(\mathbf{x}, v_{\parallel}, v_{\perp}, \xi) \rightarrow (\mathbf{x}', E, \mu, \xi)$$

- Second key step is average over  $\xi$

- The difference between  $\mathbf{x}$  and  $\mathbf{x}'$  is the difference between the position of the particle and its guiding center.



(Perpendicular velocity is subtle!)

# Ordering Assumptions

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- Define:

$$\left| \frac{\rho}{F_0} \frac{\partial F_0}{\partial \mathbf{x}} \right| \sim \frac{\rho}{L} \equiv \rho_*$$

- Require **slow** evolution of equilibrium:

$$\left| \frac{1}{\Omega F_0} \frac{\partial F_0}{\partial t} \right| \sim \rho_*^3$$

(transport time scale,  $\tau$ )

- For fluctuations, require

$$\frac{\omega}{\Omega} \sim \rho \hat{\mathbf{b}} \cdot \nabla' \sim \frac{\delta f}{f} \sim \frac{\delta B}{B} \sim \frac{v_E}{v_t} \sim \rho_*$$

but allow

$$\rho \hat{\mathbf{b}} \times \nabla' = k_{\perp} \rho \sim 1$$

- Note there are *three* time scales:

$$\Omega^{-1}, \quad \omega^{-1}, \quad \tau$$

# Dynamical Equation

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- Expand  $F$  and fields in small parameter ( $\sim \rho_*$ )
- Find equilibrium is independent of gyrophase  $\xi$ ,  $F_0 = F_0(E, \mu, \mathbf{x})$ . Solubility condition yields  $\hat{\mathbf{b}} \cdot \nabla F_0 = 0$
- Assume equilibrium has isotropic pressure:  $F_0 = F_0(E, \mathbf{x}_\perp)$
- Perturbed distribution function still has  $\xi$  dependence. GK equation describes evolution of  $h$ , the non-adiabatic,  $\xi$ -independent part:

$$\left( \frac{d}{dt} + v_{\parallel} \hat{\mathbf{b}} \cdot \nabla + i\omega_d + C \right) h = i\omega_*^T \chi - q \frac{\partial F_0}{\partial \epsilon} \frac{\partial \chi}{\partial t}.$$

# Notation Defined

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- Time derivative includes nonlinear terms:

$$\frac{dh}{dt} = \frac{\partial h}{\partial t} + \frac{c}{B} \{\chi, h\}.$$

- Generalized potential is

$$\chi = J_0(\gamma) \left( \Phi - \frac{v_{\parallel}}{c} A_{\parallel} \right) + \frac{J_1(\gamma)}{\gamma} \frac{mv_{\perp}^2}{q} \frac{\delta B_{\parallel}}{B}.$$

- Argument of Bessel functions is  $\gamma = k_{\perp} v_{\perp} / \Omega$

- Curvature and  $\nabla B$  drifts from  $\omega_d$ :

$$\omega_d = \mathbf{k}_{\perp} \cdot \mathbf{B}_0 \times \left( mv_{\parallel}^2 \hat{\mathbf{b}} \cdot \nabla \hat{\mathbf{b}} + \mu \nabla B_0 \right) / (mB_0 \Omega),$$

# Integrals over Velocity

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- To find fields, need Maxwell's equations. Sources (charge, current) are integrals over velocity and sums over species
- Integrals evaluated at position  $\mathbf{x}$ ; requires coordinate transformation for  $h = h(E, \mu, \mathbf{x}')$ . Example:

$$\int d^3v h = \frac{B}{m^2} \int \frac{d\epsilon d\mu d\xi}{|v_{\parallel}|} h \exp(iL) \equiv \frac{1}{2\pi} \int d^2v d\xi h \exp(iL)$$

where  $L = (\mathbf{v} \times \hat{\mathbf{b}} \cdot \mathbf{k}_{\perp}) / \Omega$  accounts for the gyrophase dependence.

- Integral over  $\xi$  results in Bessel functions:

$$\frac{1}{2\pi} \int d\xi h \exp(iL) = h J_0(\gamma)$$

# Maxwell's Equations

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- Poisson's equation:

$$\nabla_{\perp}^2 \Phi = 4\pi \sum_s \int d^2v q \left[ q\Phi \frac{\partial F_0}{\partial E} + J_0(\gamma)h \right]$$

- Ampere's law:

$$\nabla_{\perp}^2 A_{\parallel} = -\frac{4\pi}{c} \sum_s \int d^2v qv_{\parallel} J_0(\gamma)h$$

- Perturbed force balance:

$$\frac{\delta B_{\parallel}}{B} = -\frac{4\pi}{B^2} \sum_s \int d^2v m v_{\perp}^2 \frac{J_1(\gamma)}{\gamma} h$$



# **Review of Key Points**

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- **Existence of multiple space and time scales:**
  - Dynamics slow compared to  $\Omega$
  - Equilibrium frozen on dynamical time scale
  - Weak variation of equilibrium scale lengths
- **Equilibrium quantities constant on flux surface**
- **Small amplitude fluctuations**

# Review of Key Points

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- Velocity-space coordinates are  $(E, \mu)$ 
  - Can trade magnetic moment  $\mu$  for pitch angle  $\lambda = \mu/E$
- $k_{\parallel} \ll k_{\perp}$  implies high toroidal mode numbers.
- No restriction on any of

$$\beta, \quad k_{\perp} \rho, \quad \frac{\omega}{k_{\parallel} v_t}, \quad \frac{\omega}{\omega_d}, \quad \frac{\omega}{\omega_b}, \quad \frac{\omega}{\nu}, \quad \frac{\omega}{\omega_{NL}}$$

# Additional Nonlinearities

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- “Parallel” nonlinearity ordered small:

$$\hat{\mathbf{b}} \cdot \nabla \Phi \left( \frac{\partial \delta f}{\partial v_{\parallel}} \right) \sim \hat{\mathbf{b}} \cdot \nabla \Phi \left( \frac{\delta f}{v_t} \right) \ll \hat{\mathbf{b}} \cdot \nabla \Phi \left( \frac{\partial F_0}{\partial v_{\parallel}} \right) \sim \hat{\mathbf{b}} \cdot \nabla \Phi \left( \frac{F_0}{v_t} \right)$$

Likely a good assumption in fully developed turbulence

- Nonlinearities in Maxwell equations:

$$\delta(n\Phi) \sim n_0(\delta\Phi) + (\delta n)\Phi_0 + (\delta n)(\delta\Phi)$$

Dropped because fluctuation amplitudes are ordered small  
(no perp gradient here)

- Time evolution of equilibrium strictly forbidden

## References

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- P. Catto, Plasma Physics, 20:719, 1978
- T. Antonsen and B. Lane, Phys. Fluids, 23:1205, 1980
- E. A. Frieman and L. Chen, Phys. Fluids, 24:502, 1982