## Partially-relaxed, topologically-constrained MHD equilibria with chaotic fields.

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5<sup>th</sup> International Workshop on Stochasticity in Fusion Plasmas, Jülich, Germany, 11<sup>th</sup> -14<sup>th</sup> April 2011

#### Motivation and Outline

- → The simplest model of approximating global, macroscopic force balance in toroidal plasma confinement with arbitrary geometry is magnetohydrodynamics (MHD)
- → Toroidal magnetic fields are analogous to 1-1/2 Hamiltonians, are generally <u>not</u> foliated by continuous family of flux surfaces, so we need an MHD equilibrium code that allows for *non-integrable* fields.
- → Ideal MHD equilibria with non-integrable magnetic fields (i.e. fractal phase space) are infinitely discontinuous. This leads to an ill-posed numerical algorithm for computing numerically-intractable, *pathological* equilibria.
- → A new partially-relaxed, topologically-constrained MHD equilibrium model is described and implemented numerically. Results demonstrating convergence tests, benchmarks, and non-trivial solutions are presented.

An ideal equilibrium with non-integrable (chaotic) field and

continuous pressure, is infinitely discontinous

*ideal* MHD theory =  $\nabla p = \mathbf{j} \times \mathbf{B}$ , gives  $\mathbf{B} \cdot \nabla p = 0$ 

 $\rightarrow$  transport of pressure along field is "infinitely" fast  $\rightarrow$  no scale length in ideal MHD

 $\rightarrow$  pressure adapts exactly to structure of phase space

chaos theory = nowhere are flux surfaces continuously nested<sup>L</sup>

\*for non-symmetric systems, nested family of flux surfaces is destroyed;
\*islands & irregular field lines appear where transform is rational (n / m); rationals are dense in space; Poincare-Birkhoff theorem → periodic orbits, (e.g. stable and unstable) guaranteed to survive into chaos
\*some irrational surfaces survive if there exists an r, k ∈ R s.t. for all rationals, |t - n / m| > r m<sup>-k</sup>, i.e. rotational-transform, t, is *poorly approximated* by rationals, Kolmogorov, Arnold and Moser, Diophantine condition but nowhere are smooth flux surfaces continuously nested, i.e. nowhere foliate space;

#### *ideal* MHD theory + chaos theory $\rightarrow$ infinitely discontinuous equilibrium

\*iterative method for calculating equilibria is ill-posed;
1) B<sub>n</sub> · ∇p = 0 → ∇p is everywhere discontinuous, or zero;
2) j<sub>⊥</sub> = B<sub>n</sub> × ∇p/B<sub>n</sub><sup>2</sup> → j<sub>⊥</sub> everywhere discontinuous or zero;
3) B<sub>n</sub> · ∇σ = -∇ · j<sub>⊥</sub>; B · ∇ is *densely and irregularly* singular; condition that σ be single valued δσ = -∮<sub>C</sub> ∇ · j<sub>⊥</sub>dl / B = 0; pressure must be flat on every closed field line, or parallel current is not single-valued;

4)  $\nabla \times \mathbf{B}_{n+1} = \mathbf{j} \equiv \sigma \mathbf{B}_n + \mathbf{j}_\perp;$ 

To have a well-posed equilibrium with chaotic **B** need to extend beyond ideal MHD. e.g. introduce non-ideal terms, such as resistivity,  $\eta$ , perpendicular diffusion,  $\kappa_{\perp}$ , [*HINT*, *M3D*, *NIMROD*,..],  $\rightarrow$  or can relax infinity of ideal MHD constraints



# Instead, a multi-region, relaxed energy principle for MHD equilibria with non-trivial pressure and chaotic fields Energy, helicity and mass integrals (defined in nested annular volumes) $\underbrace{W_l = \int_{V_l} \left(\frac{p}{\gamma - 1} + \frac{B^2}{2}\right) dv}_{\text{plasma energy}}, \quad H_l = \underbrace{\int_{V_l} \left(\mathbf{A} \cdot \mathbf{B}\right) dv}_{\text{helicity}}, \quad M_l = \underbrace{\int_{V_l} p^{1/\gamma} dv}_{\text{mass}}$ Seek extrema of plasma energy with constraints : $F = \sum_{l=1}^{N} (W_l - \mu_l H_l / 2 - v_l M_l)$ First variation due to *unconstrained* variations in pressure, fields and geometry except ideal constraint $\delta \mathbf{B} = \nabla \times (\boldsymbol{\xi} \times \mathbf{B})$ imposed discretely at interfaces $\delta F = \sum_{l=1}^{N} \left\{ \int_{V_l} \underbrace{\left( \frac{1}{\gamma - 1} - \frac{\nu_l p^{1/\gamma - 1}}{\gamma} \right)}_{\nu p^{1/\gamma - \gamma} p^{1/\gamma - 1}} \delta p \, d\nu + \underbrace{\int_{V_l} \delta \mathbf{A} \cdot \left( \nabla \times \mathbf{B} - \mu_l \mathbf{B} \right) d\nu}_{\nabla \times \mathbf{B} = \mu_l \mathbf{B} \text{ in each annulus}} - \int_{\partial V_l} \underbrace{\left[ \frac{p + B^2}{2} \right]}_{\text{continuity of total pressure across interfaces}} \xi.dS \right\}$ $v p^{1/\gamma} = \gamma p/(\gamma - 1) = const$ in each annulus

Equilibrium solutions when  $\nabla \times \mathbf{B} = \mu_l \mathbf{B}$  in annuli,  $[[\mathbf{p}+\mathbf{B}^2/2]]=0$  across interfaces

→ partial *Taylor relaxation* allowed in each annulus; allows for topological variations/islands/chaos;

- $\rightarrow$  global relaxation prevented by ideal constraints;  $\rightarrow$  non-trivial *stepped pressure* solutions;
- $\rightarrow \nabla \times \mathbf{B} = \mu_l \mathbf{B}$  is a linear equation for **B**; depends on interface geometry; solved in parallel in each annulus;
- → solving force balance = adjusting interface geometry to satisfy [[p+B<sup>2</sup>/2]]=0; standard numerical problem finding zero of multi-dimensional function; call NAG routine: Newton & convex gradient method;

## Existence of Three-Dimensional Toroidal MHD Equilibria with Nonconstant Pressure

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We establish an existence result for the three-dimensional MHD equations

 $(\nabla \times \mathbf{B}) \times \mathbf{B} = \nabla p$  $\nabla \cdot \mathbf{B} = \mathbf{0}$  $\mathbf{B} \cdot \mathbf{n}|_{\partial T} = 0$ 

with  $p \neq$  const in tori T without symmetry. More precisely, our theorems insure the existence of sharp boundary solutions for tori whose departure from axisymmetry is sufficiently small; they allow for solutions to be constructed with an arbitrary number of pressure jumps. © 1996 John Wiley & Sons, Inc.

Communications on Pure and Applied Mathematics, Vol. XLIX, 717-764 (1996)

 $\rightarrow$  how large the "sufficiently small" departure from axisymmetry can be needs to be explored numerically....

## By *definition*, an equilibrium code must constrain topology; $\mathbf{B} \cdot \nabla p = 0$ means flux surfaces *must* coincide with pressure gradients.

#### Definition: Equilibrium Code (fixed boundary)

- given (1) boundary (2) pressure (3) rotational-transform  $\equiv$  inverse q-profile (or current profile);  $\rightarrow$  calculate **B** that is consistent with force-balance; pressure profile *is not changed*! compare with "coupled equilibrium-transport" algorithm:
- $\rightarrow$  simultaneously evolve pressure, etc. , while adjusting **B**;

An equilibrium code must enforce topological constraints;

- → Parallel transport  $\gg$  perpendicular transport; simplest approximation **B**· $\nabla p$ =0;
- → The constraint  $\mathbf{B} \cdot \nabla p = 0$  means the structure of  $\mathbf{B}$  and p are intimately connected; \*cannot apriori specify pressure without apriori constraining topology of the field;
- $\rightarrow$  pressure gradients must coincide with flux surfaces;
- → the flux surfaces most likely to survive are strongly irrational = "noble";
- = limit of alternating path down Farey-tree;= Fibonacci sequence

 $\frac{p_1}{q_1}, \frac{p_2}{q_2}, \frac{p_1 + p_2}{q_1 + q_2}, \dots \rightarrow \frac{p_1 + \gamma p_2}{q_1 + \gamma q_2}, \ \gamma = golden \ \overline{mean}$ 



## <u>Extrema of energy functional obtained numerically;</u> <u>introducing the Stepped Pressure Equilibrium Code, SPEC</u>

#### The vector-potential is discretized

\* toroidal coordinates  $(s, \vartheta, \zeta)$ , \*interface geometry  $R_l = \sum_{m,n} R_{l,m,n} \cos(m\vartheta - n\zeta), Z_l = \sum_{m,n} Z_{l,m,n} \sin(m\vartheta - n\zeta)$ \* exploit gauge freedom  $\mathbf{A} = A_g(s, \vartheta, \zeta) \nabla \vartheta + A_{\zeta}(s, \vartheta, \zeta) \nabla \zeta$  $A_{g} = \sum_{m \ n} a_{\vartheta}(s) \cos(m\vartheta - n\zeta)$ \* Fourier  $a_{\vartheta}(s) = \sum_{i} a_{\vartheta,i}(s) \varphi(s)$  piecewise cubic or quintic basis polynomials \* Finite-element and inserted into constrained-energy functional  $F = \sum_{l=1}^{N} (W_l - \mu_l H_l / 2 - \nu_l M_l)$ \* derivatives w.r.t. vector-potential  $\rightarrow$  linear equation for Beltrami field  $\nabla \times \mathbf{B} = \mu \mathbf{B}$ solved using sparse linear solver \* field in each annulus computed independently, distributed across multiple cpus \* field in each annulus depends on enclosed toroidal flux (boundary condition) and  $\rightarrow$  poloidal flux,  $\psi_P$ , and helicity-multiplier,  $\mu$ adjusted so interface transform is strongly irrational  $\rightarrow$  geometry of interfaces,  $\xi \equiv \{R_{m,n}, Z_{m,n}\}$ Force balance solved using multi-dimensional Newton method. \* interface geometry is adjusted to satisfy force  $\mathbf{F}[\boldsymbol{\xi}] = \{[[\mathbf{p}+B^2/2]]_m, \}=0$ \* angle freedom constrained by spectral-condensation, adjust angle freedom to minimize  $\sum m^2 \left( R_{mn}^2 + Z_{mn}^2 \right)$ minimal spectral width [Hirshman, VMEC] \* derivative matrix,  $\nabla F[\xi]$ , computed in parallel using finite-differences

\* call NAG routine: quadratic-convergence w.r.t. Newton iterations; robust convex-gradient method;

## Numerical error in Beltrami field scales as expected

Scaling of numerical error with radial resolution depends on finite-element basis



## Stepped-pressure equilibria accurately approximate smooth-pressure *axisymmetric* equilibria



#### in axisymmetric geometry . . .

- $\rightarrow$  magnetic fields have family of nested flux surfaces
- $\rightarrow$  equilibria with smooth profiles exist,
- $\rightarrow$  may perform benchmarks (e.g. with VMEC)
  - (arbitrarily approximate smooth-profile with stepped-profile)
- $\rightarrow$  approximation improves as number of interfaces increases
- $\rightarrow$  location of magnetic axis converges w.r.t radial resolution



## Equilibria with (i) perturbed boundary≡chaotic fields, and (ii) pressure are computed .



#### Sequence of equilibria with increasing pressure shows plasma can have significant response to external perturbation. axisymmetric small perturbation plus $R = 1.00 + 0.30\cos(\vartheta) + 0.05\cos(2\vartheta) + [\delta_{21}\cos(2\vartheta - \zeta) + \delta_{31}\cos(3\vartheta - \zeta)]\cos(\vartheta)$ $[\delta_{21}\cos(2\vartheta-\zeta)+\delta_{31}\cos(3\vartheta-\zeta)]\sin(\vartheta)$ $Z = 1.00 + 0.40 \sin(\theta) +$ t−2 π. 0/ 24 $\beta_{tot} \approx 0.00$ $\beta_{tot} \approx 0.05$ p= 0.000 |F|=2.e-11 M= 8 N= .3 RESOLUTION PRESSURE TRANSFORM 0.6 +1.0e-03 resonant error field 0.5 +5.0e-04 0.4 +0.0e+00 0.3 -5.0e-04 15 pressure

## <u>Summary</u>

 $\rightarrow$  A partially-relaxed, topologically-constrained energy principle has been presented for MHD equilibria with chaotic fields and non-trivial (i.e. non-constant) pressure

#### $\rightarrow$ The model has been implemented numerically

- \* using a high-order (piecewise quintic) radial discretization
- \* an optimal (i.e. spectrally condensed) Fourier representation
- \* workload distrubuted across multiple cpus,
- \* extrema located using Newton's method with quadratic-convergence

#### $\rightarrow$ Intuitively, the equilibrium model is an extension of Taylor relaxation to multiple volumes

#### $\rightarrow$ The model has a sound theoretical foundation

\* solutions guaranteed to exist (under certain conditions)

#### $\rightarrow$ The numerical method is computationally tractable

- \* does not invert singular operators
- \* does not struggle to resolve fractal structure of chaos

#### $\rightarrow$ Convergence studies have been performed

- \* expected error scaling with radial resolution confirmed
- \* detailed benchmark with axisymmetric equilibria (with smooth profiles)
- \* that the island widths converge with Fourier resolution has been confirmed

## Toroidal magnetic confinement depends on flux surfaces

Transport in magnetized plasma dominately parallel to **B** 

 $\rightarrow$  if the field lines are not confined (e.g. by flux surfaces), then the plasma is poorly confined

Axisymmetric magnetic fields possess a continuously nested family of flux surfaces

→ nested family of flux surfaces is guaranteed if the system has an ignorable coordinate magnetic field is called integrable

 $\rightarrow$  rational field-line = periodic trajectory *family of periodic orbits* = *rational flux surface* 



## Ideal MHD equilibria are extrema of energy functional

The energy functional is

$$W = \int_V (p + B^2 / 2) \, dv$$

 $V \equiv$  global plasma volume

 $\frac{\partial}{\partial t}\rho + \nabla \cdot (\rho \mathbf{v}) = 0$ 

 $\left\{ d_t(p\rho^{-\gamma}) = 0 \right\}$ 

#### ideal variations

mass conservation

state equation

Faraday's law, ideal Ohm's law  $\delta \mathbf{B} = \nabla \times (\delta \boldsymbol{\xi} \times \mathbf{B})$ 

 $\rightarrow$ ideal variations **don't** allow field topology to change "frozen-flux"

#### the first variation in plasma energy is

 $\delta W = \int_{W} (\nabla p - \mathbf{j} \times \mathbf{B}) \cdot \delta \boldsymbol{\xi} \, dv$ 

Euler Lagrange equation for globally ideally-constrained variations  
ideal-force-balance 
$$\nabla p = \mathbf{j} \times \mathbf{B}$$

→ two surface functions, e.g. the pressure, p(s), and rotational-transform = inverse-safety-factor,  $\iota(s)$ , and → a boundary surface (.. for fixed boundary equilibria...), constitute "boundary-conditions" that must be provided to uniquely define an equilibrium solution ..... The computational task is to compute the magnetic field that is consistent with the given boundary conditions...

#### nested flux surface topology maintained by singular currents at rational surfaces

from  $\nabla \cdot (\sigma \mathbf{B} + \mathbf{j}_{\perp}) = 0$ , parallel current must satisfy  $\mathbf{B} \cdot \nabla \sigma = -\nabla \cdot \mathbf{j}_{\perp}$ , where  $\mathbf{j}_{\perp} = \mathbf{B} \times \nabla p / B^2$ 

 $\rightarrow$  magnetic differential equations are singular at rational surfaces (periodic orbits)  $\rightarrow$  pressure-driven "Pfirsch-Schlüter currents" have 1/x type singularity  $\rightarrow \delta$  - function singular currents shield out islands

$$\sigma_{m,n} = \frac{i(\sqrt{g} \nabla \cdot \mathbf{j}_{\perp})_{m,n}}{(mn-n)} + \delta(mn-n)$$

## <u>Topological constraints :</u> pressure gradients coincide with flux surfaces

#### The ideal interfaces are chosen to coincide with pressure gradients

- $\rightarrow$  parallel transport dominates perpendicular transport,
- $\rightarrow$  simplest approximation is  $\mathbf{B} \cdot \nabla p = 0$
- $\rightarrow$  pressure gradients **must** coincide with KAM surfaces = ideal interfaces

 $\rightarrow$  structure of *B* and structure of the pressure are intimately connected;

 $\rightarrow$  cannot apriori specify pressure without apriori constraining structure of the field;

[next order of approximation, 
$$\mathbf{B} \cdot \nabla p$$
 is small, e.g.  $\partial_t p = \kappa_{\parallel} \nabla_{\parallel}^2 p + \kappa_{\perp} \nabla_{\perp}^2 p = 0$ , with  $\kappa_{\parallel} \gg \kappa_{\perp}$ , e.g.  $\kappa_{\perp} / \kappa_{\parallel} \sim 10^{-10}$ 

\*pressure gradients coincide with KAM surfaces, cantori . . \*pressure flattened across islands, chaos with width >  $\Delta w_C \sim (\kappa_{\perp} / \kappa_{\parallel})^{1/4}$ 

 $\rightarrow$  where there are significant pressure gradients, there can be no islands or chaotic regions with width  $> \Delta wc$ 

\* anisotropic diffusion equation solved analytically, p'  $\propto 1 / (\kappa_{\parallel} \varphi_2 + \kappa_{\perp} G)$ ,  $\varphi_2$  is quadratic-flux across cantori, G is metric term

A fixed boundary equilibrium is defined by: (i) given pressure,  $p(\psi)$ , and rotational-transform profile,  $\iota(\psi)$ (ii) geometry of boundary;

(a) only stepped pressure profiles are consistent (numerically tractable) with chaos and B•∇p = 0
(b) the computed equilibrium magnetic field must be consistent with the input profiles
(a) + (b) = where the pressure has gradients, the magnetic field must have flux surfaces.
→ non-trivial stepped pressure equilibrium solutions are *guaranteed* to exist

Taylor relaxation: a weakly resistive plasma will relax,

subject to single constraint of conserved helicity

Taylor relaxation, [Taylor, 1974]

$$W = \int_{V} (p + B^{2} / 2) dv, \qquad H = \int_{V} (\mathbf{A} \cdot \mathbf{B}) dv$$
  
plasma energy  
Constrained energy functional  $F = W - \mu H / 2, \ \mu = \text{Lagrange multiplier}$   
Euler-Lagrange equation, for *unconstrained* variations in magnetic field,  $\nabla \times \mathbf{B} = \mu \mathbf{B}$   
linear force-free field = Beltrami field

#### But, . . . Taylor relaxed fields have no pressure gradients

Ideal MHD equilibria and Taylor-relaxed equilibria are at opposite extremes . . .

Ideal-MHD  $\rightarrow$  imposition of *infinity* of ideal MHD constraints non-trivial pressure profiles, but structure of field is *over-constrained* 

Taylor relaxation  $\rightarrow$  imposition of *single* constraint of conserved global helicity structure of field is not-constrained, but pressure profile is trivial, i.e. *under-constrained* 

We need something in between . . .

. . . perhaps an equilibrium model with *finitely* many ideal constraints, and *partial* Taylor relaxation?



# Introducing the multi-volume, partially-relaxed model of MHD equilibria with topological constraints

#### Energy, helicity and mass integrals



#### Multi-volume, partially-relaxed energy principle

- \* A set of N nested toroidal surfaces enclose N annulur volumes
- $\rightarrow$  the interfaces are assumed to be ideal,  $\delta \mathbf{B} = \nabla \times (\delta \boldsymbol{\xi} \times \mathbf{B})$
- \* The multi-volume energy functional is

$$F = \sum_{l=1}^{N} (W_{l} - \mu_{l}H_{l} / 2 - \nu_{l}M_{l})$$

Euler-Lagrange equation for unconstrained variations in A

 → field remains tangential to interfaces,
 → a finite number of ideal constraints, imposed topologically!

 $V_1$ 

In each annulus, the magnetic field satisfies  $\nabla \times \mathbf{B}_l = \mu_l \mathbf{B}_l$ 

Euler-Lagrange equation for variations in interface geometry

Across each interface, pressure jumps allowed, but total pressure is continuous  $[[p+B^2/2]]=0$ 

 $\rightarrow$  an analysis of the force-balance condition is that the interfaces must have strongly irrational transform

ideal interfaces coincide with KAM surfaces

## Sequence of equilibria with slowly increasing pressure

