

# Resolving the physics of anisotropy, flow and chaotic fields

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ITER

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# Outline

- Motivation
- Australian fusion science research snapshot
- Anisotropy equilibrium and stability
  - Development of anisotropy into EFIT++
  - Determine impact of anisotropy on plasma stability
- Probabilistic (Bayesian) inference framework
  - Used to infer flux surface geometry with uncertainties
  - Provides model validation (equilibrium and mode structure)
  - Can be used to identify faulty diagnostics & optimise systems
  - Harnessed to infer properties of plasma (e.g. fast particle pressure)
- Multiple Relaxed Region MHD model
  - resolves chaotic field regions, islands, flux surfaces in fully 3D plasmas
  - Stepped Pressure Equilibrium Code.
  - Applied to DIII-D RMP coils and ITER ELM coils as illustration.
- Summary

# Australian Plasma Fusion Research Facility

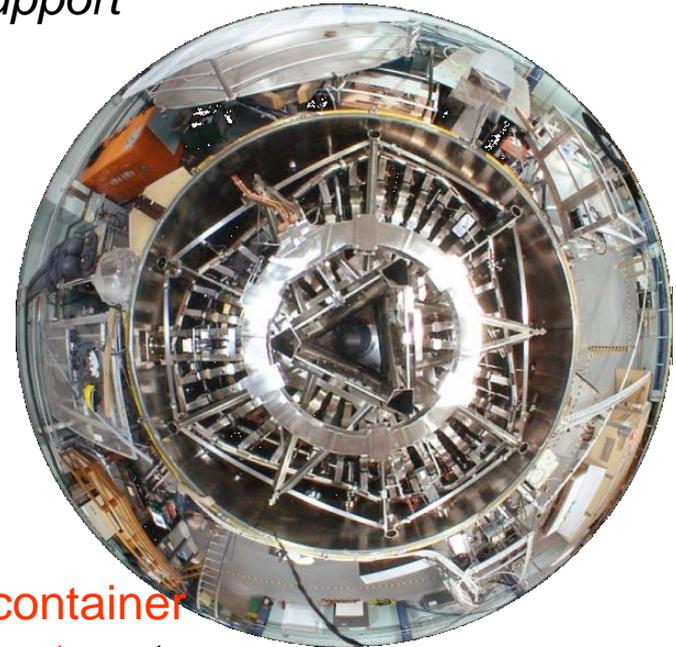
- Australia's only fusion-relevant facility
- \$30 million (ANU contribution ~\$20 million)
- Facility funding extended to 2013.  
Infrastructure upgrade to
  - *Improve suitability as a testbed for ITER diagnostics*
  - *Improve plasma production/reliability/cleanliness*
  - *Improving opportunities for collaboration*
  - *Improve data analysis + provide computational support*
  - *Improve diagnostics*

## 2011-12 Highlights:

- 2x200kW RF sources drive new phased antenna
- Third configuration control parameter added
- New Lab for materials diagnostics facility
- Upgraded impurity monitor system, interferometer
- Alfvén Excitation experiments

## Mission:

- Study physics of hot plasma in a **helical magnetic container**
- Host development of **advanced plasma measurement** systems
- Contribute to global research, **maintain Australian presence** in fusion



# Materials Diagnostic Test Facility Prototype

## Mission:

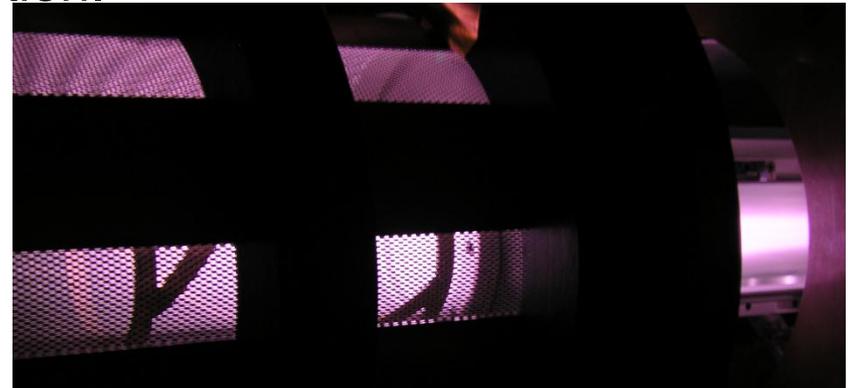
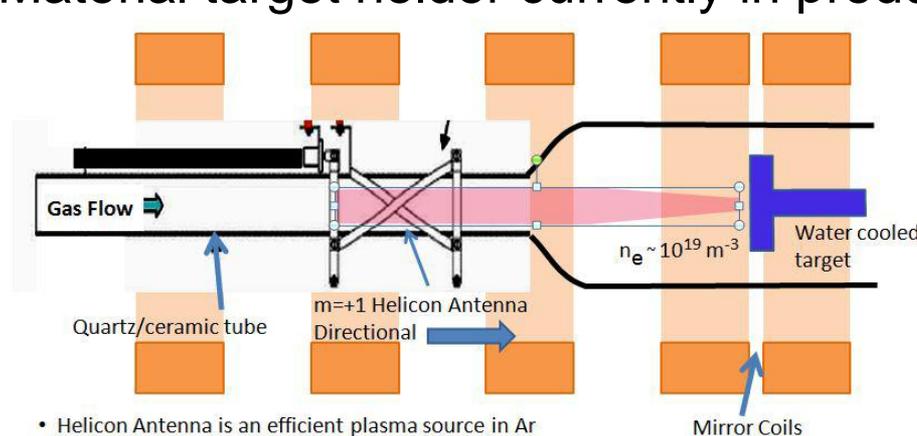
- Resolve extreme plasma-surface interactions under controlled conditions (ANU + ANSTO + Univ. Syd. + Univ. Newcastle).
- Develop non-intrusive diagnostics for fusion relevant wall studies.

## Initial results:

- B-dot probe indicates helicon wave propagation in argon.
- H plasma densities up to  $10^{19} \text{ m}^{-3}$ , Argon  $\sim 2x$  higher
- Complex plasma flows observed by Doppler coherence imaging
- Exploratory W, C surface studies in H, He, Ar.a

## Current status:

- Commissioned 20 kW pulsed RF power to provide  $P \sim 1 \text{ MW m}^{-2}$
- Material target holder currently in production.



# Australian Fusion Research Profile

- 3D MHD configuration physics:
  - Alfvén wave physics in fully 3D geometry
  - MRXMHD - partially relaxed MHD for fully 3D plasmas
- Diagnostics: Doppler imaging, MSE & CXRS imaging
- Plasma modelling, theory development
- Data mining: “clustering” fluctuation data across machines
- Bayesian integrated equilibrium modelling
- Plasma surface interaction studies
- Dust in plasmas
- Materials (e.g. MAX phase alloys), characterisation, modelling
- Atomic collision data physics

Very international. Some collaborators include ....



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# Expected impact of anisotropy

- Small angle  $\theta_b$  between beam, field  $\Rightarrow p_{\parallel} > p_{\perp}$
- Beam orthogonal to field,  $\theta_b = \pi/2 \Rightarrow p_{\perp} > p_{\parallel}$
- If  $p_{\parallel}$  sig. enhanced by beam,  $p_{\parallel}$  surfaces distorted and displaced inward relative to flux surfaces

[Cooper et al, Nuc. Fus. 20(8), 1980]

- If  $p_{\perp} > p_{\parallel}$ , an increase will occur in centrifugal shift :

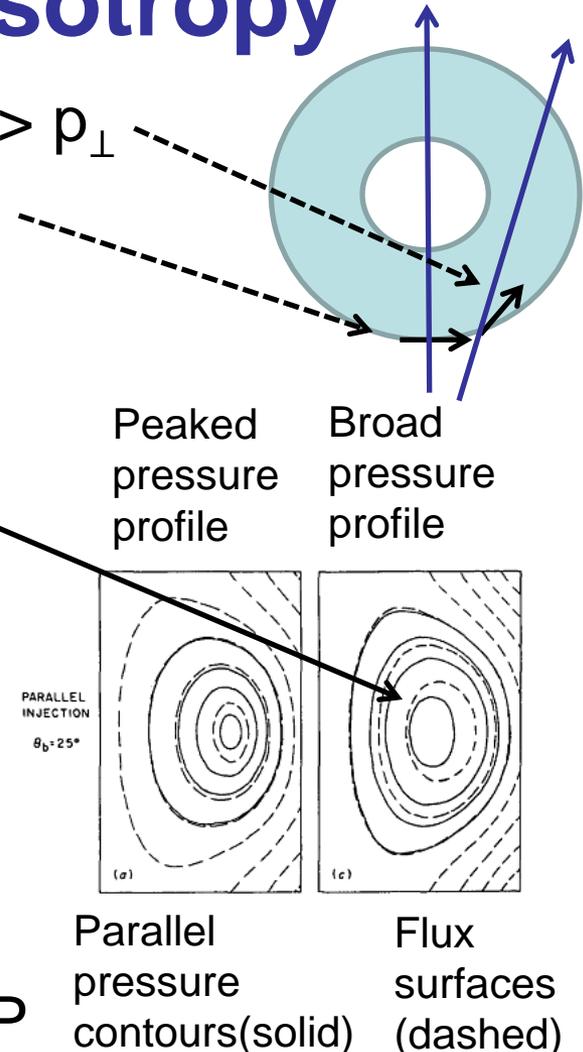
[R. Iacono, A. Bondeson, F. Troyon, and R. Gruber, Phys. Fluids B 2 (8). August 1990]

- Compute  $p_{\perp}$  and  $p_{\parallel}$  from moments of distribution function, computed by TRANSP

[M J Hole, G von Nessi, M Fitzgerald, K G McClements, J Svensson, PPCF 53 (2011) 074021]

- Infer  $p_{\perp}$  from diamagnetic current  $\mathbf{J}_{\perp}$

[see V. Pustovitov, PPCF 52 065001, 2010 and references therein]



# Previous implementations of anisotropy

- Low-aspect-ratio , stationary  
W.A. COOPER et al NUCLEAR FUSION, Vol.20, No.8 (1980) 985
- EFIT, but no toroidal flow  
Zwingman, Eriksson and Stubberfield, Plasma Phys. Control. Fusion 43 (2001) 1441–1456
- FLOW, toroidal, poloidal flow and anisotropy, but not constrained to data  
L. Guazzotto, R. Betti, J. Manickam and S. Kaye, Phys. Plas. Vol. 11, 2004
- 3D anisotropic code ANIMEC  
W. A. Cooper, Comput. Phys. Commun. 180 (2009) 1524-1533.
- Why implement anisotropy into EFIT++?  
Contains many constraints to experimental data and iron/induction models.

# MHD with rotation & anisotropy

- Inclusion of anisotropy and flow in equilibrium MHD equations

[R. Iacono, et al Phys. Fluids B 2 (8). 1990]

$$\nabla \cdot (\rho \mathbf{v}) = 0, \quad \rho \mathbf{v} \cdot \nabla \mathbf{v} = \mathbf{J} \times \mathbf{B} - \nabla \cdot \bar{\mathbf{P}}, \quad \nabla \cdot \mathbf{B} = 0$$

$$\mu_0 \mathbf{J} = \nabla \times \mathbf{B}, \quad \nabla \times (\mathbf{v} \times \mathbf{B}) = 0,$$

$$\bar{\mathbf{P}} = p_{\perp} \bar{\mathbf{I}} + \Delta \mathbf{B} \mathbf{B} / \mu_0, \quad \Delta = \frac{\mu_0 (p_{\parallel} - p_{\perp})}{B^2}$$

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- Frozen flux gives velocity:

$$\mathbf{v} = \frac{\psi'_M(\psi)}{\rho} \mathbf{B} - R \phi'_E(\psi) \mathbf{e}_{\phi}.$$

Equilibrium eqn becomes:

$$\nabla \cdot \left[ \tau \left( \frac{\nabla \psi}{R^2} \right) \right] = - \frac{\partial p_{\parallel}}{\partial \psi} - \rho H'_M(\psi) + \rho \frac{\partial W}{\partial \psi} - I'_M(\psi) \frac{I}{R^2} - \psi''_M(\psi) \mathbf{v} \cdot \mathbf{B} + R \rho v_{\phi} \phi''_E(\psi)$$

$$I = R B_{\phi}$$

$$I_M(\psi) = \tau I - \mu_0 R^2 \psi'_M(\psi) \phi'_E(\psi)$$

$$H_M(\psi) = W_M(\rho, B, \psi) - \frac{1}{2} [R \phi'_E(\psi)]^2 + \frac{1}{2} \left[ \frac{\psi'_M(\psi) B}{\rho} \right]^2,$$

$$\left\{ I_M(\psi), \psi_M(\psi), \phi_E(\psi), H_M(\psi), \frac{\partial p_{\parallel}}{\partial \psi}, \frac{\partial W}{\partial \psi} \right\}$$

Set of 6 profile constraints

$$\tau = 1 - \Delta - \mu_0 (\psi'_M)^2 / \rho,$$

# Neglect poloidal flow

- Suppose  $\mathbf{v} = -R\phi'_E(\psi)\mathbf{e}_\phi = R\Omega(\psi)\mathbf{e}_\phi \Rightarrow F(\psi) = I_M(\psi)/\tau$

and equilibrium eqn becomes:

$$\nabla \cdot \left[ (1 - \Delta) \left( \frac{\nabla \psi}{R^2} \right) \right] = -\frac{\partial p_\parallel}{\partial \psi} - \rho H'(\psi) + \rho \frac{\partial W}{\partial \psi} - \frac{F'(\psi)F'(\psi)}{R^2(1 - \Delta)} + R^2 \rho \Omega(\psi) \Omega'(\psi)$$

**Set of 5 profile constraints**

$$\left\{ F(\psi), \Omega(\psi), H(\psi), \frac{\partial p_\parallel}{\partial \psi}, \frac{\partial W}{\partial \psi} \right\}$$

- $\partial W / \partial \psi$ : different for MHD/ double-adiabatic/ guiding centre
- If two temperature Bi-Maxwellian model chosen

$$p_\parallel(\rho, B\psi) = \frac{k_B}{m} \rho T_\parallel(\psi) \quad p_\perp(\rho, B\psi) = \frac{k_B}{m} \rho T_\perp(\psi) = \frac{k_B}{m} \rho T_\parallel(\psi) \frac{B}{B - \theta(\psi) T_\parallel}$$

$$\left\{ F(\psi), \Omega(\psi), H(\psi), T_\parallel(\psi), \theta(\psi) \right\}$$

# Implementation: EFIT++ overview

L. C. Appel

$$R^2 \nabla \cdot \left( \frac{\nabla \psi}{R^2} \right) = -R J_\phi(R, \psi)$$

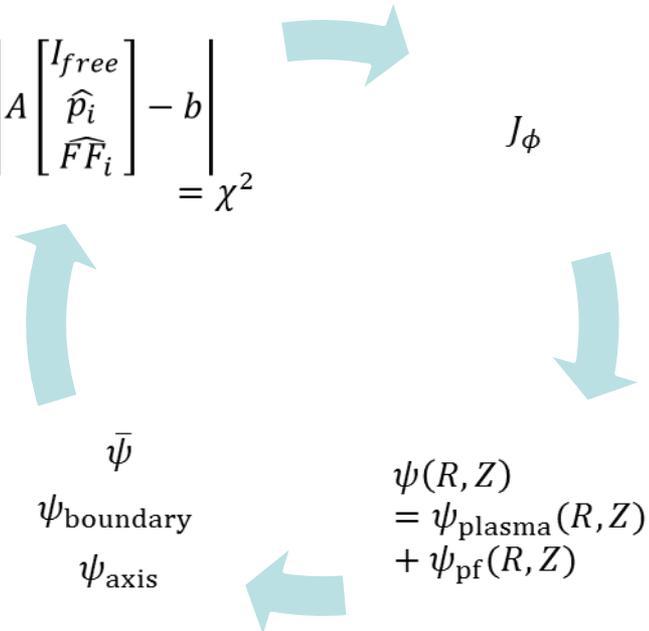
$$J_\phi(R, \psi) = R p'(\psi) + \frac{F F'(\psi)}{R}$$

$$p'(\psi) \approx \sum_i \hat{p}_i \bar{\psi}^i$$

$$F F'(\psi) \approx \sum_i \hat{F}_i \bar{\psi}^i$$

$$\{p(\psi), F(\psi)\}$$

$$\left| A \begin{bmatrix} I_{free} \\ \hat{p}_i \\ \hat{F}_i \end{bmatrix} - b \right| = \chi^2$$



- Linear in plasma coefficients, linear least-squares eigenvalue problem, using response matrix  $A$  and measurements  $b$  (with errors)
- Compute plasma current  $J_\phi$
- Solve Grad-Shafranov equation for  $\psi$
- Locate last closed flux surface and magnetic axis

# EFIT++ (TENSOR) equations

M. Fitzgerald, L. C. Appel, M. J. Hole

$$\{F(\psi), \Omega(\psi), H(\psi), T_{\parallel}(\psi), \theta(\psi)\}$$

$$R^2 \nabla \cdot \left( \frac{\nabla \psi}{R^2} \right) = -R J_{\phi}(R, Z)$$

$$J_{\phi} = R \frac{k}{m} \rho T'_{\parallel}(\psi) + \frac{FF'(\psi)}{R(1-\Delta)} + \rho R^3 \Omega \Omega'(\psi) + R \rho H'(\psi) - R \rho \frac{\partial W}{\partial \psi} - R \nabla \cdot \left( \frac{\Delta}{R^2} \nabla \psi \right)$$

$$W(\rho, B, \psi) = \frac{k}{m} T_{\parallel} \ln \left( \frac{\rho |B - T_{\parallel}(\psi) \theta(\psi)|}{\rho_0 |B|} \right) \quad (\text{Bi-Maxwellian})$$

$$\rho = \rho_0 \frac{T_{\perp}(\psi, B)}{T_{\parallel}(\psi)} \exp \left( \frac{m R^2 \Omega^2(\psi)}{2 k T_{\parallel}(\psi)} \right) \exp \left( \frac{m H(\psi)}{k T_{\parallel}(\psi)} \right)$$

$$T'_{\parallel}(\psi) \approx \sum_i \widehat{T}_i \bar{\psi}^i, \quad FF'(\psi) \approx \sum_i \widehat{F} \widehat{F}_i \bar{\psi}^i,$$

$$\Omega \Omega'(\psi) \approx \sum_i \widehat{\Omega} \widehat{\Omega}_i \bar{\psi}^i, \quad H'(\psi) \approx \sum_i \widehat{H}_i \bar{\psi}^i, \quad \theta'(\psi) \approx \sum_i \widehat{\theta}_i \bar{\psi}^i$$

- Equations re-arranged into the form of a G-S equation with non-linear terms (red) expressed as a current.
- Current almost a linear combination of flux functions or flux functions times density.
- Shift of pressure profiles from magnetic surfaces caused by density.

# Constraining the flux functions to transport codes or experiment

$$\{F(\psi), \Omega(\psi), H(\psi), T_{\parallel}(\psi), \theta(\psi)\}$$

- TRANSP computes  $f(E, \lambda)$ : Moments give  $p_{\perp}$ ,  $p_{\parallel}$ ,  $u_{\parallel}$ ,
- Dependency of flux functions on (R,Z) mesh

$$T_{\parallel}(R_i, Z_i) = \frac{p_{\parallel}(R_i, Z_i)}{\left(\frac{k}{m}\right)\rho(R_i, Z_i)}$$

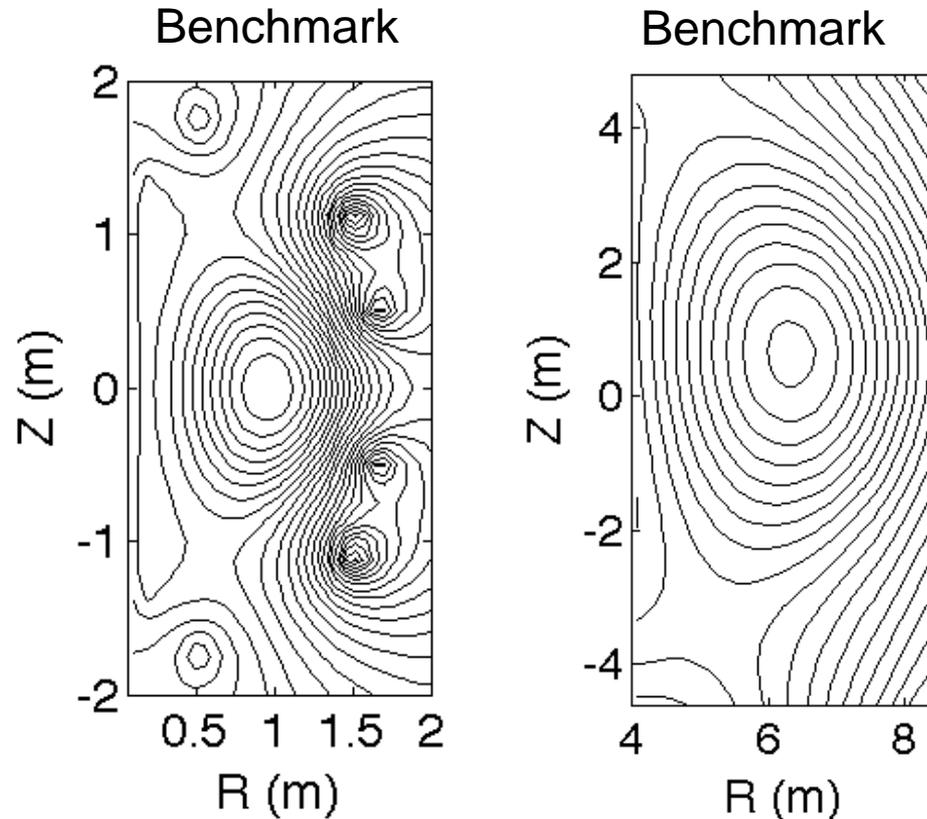
$$F(R_i, Z_i) = R_i B_{\phi}(R_i, Z_i) [1 - \Delta(R_i, Z_i)]$$

$$\Omega(R_i, Z_i) = \frac{v_{\phi}(R_i, Z_i)}{R_i}$$

$$H(R_i, Z_i) = \frac{p_{\parallel}(R_i, Z_i)}{\rho(R_i, Z_i)} \ln \left( \frac{\rho(R_i, Z_i) p_{\parallel}(R_i, Z_i)}{\rho_0 p_{\perp}(R_i, Z_i)} \right) - \frac{v_{\phi}^2(R_i, Z_i)}{2}$$

$$\theta(R_i, Z_i) = \frac{\left(\frac{k}{m}\right)\rho(R_i, Z_i) B(R_i, Z_i)}{p_{\parallel}(R_i, Z_i)} - \frac{\left(\frac{k}{m}\right)\rho(R_i, Z_i) B(R_i, Z_i)}{p_{\perp}(R_i, Z_i)}$$

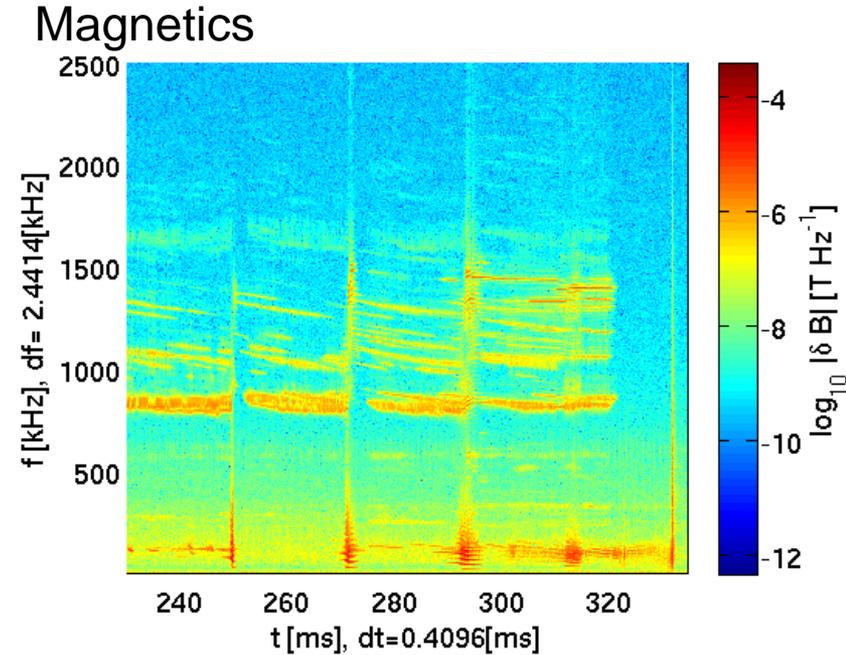
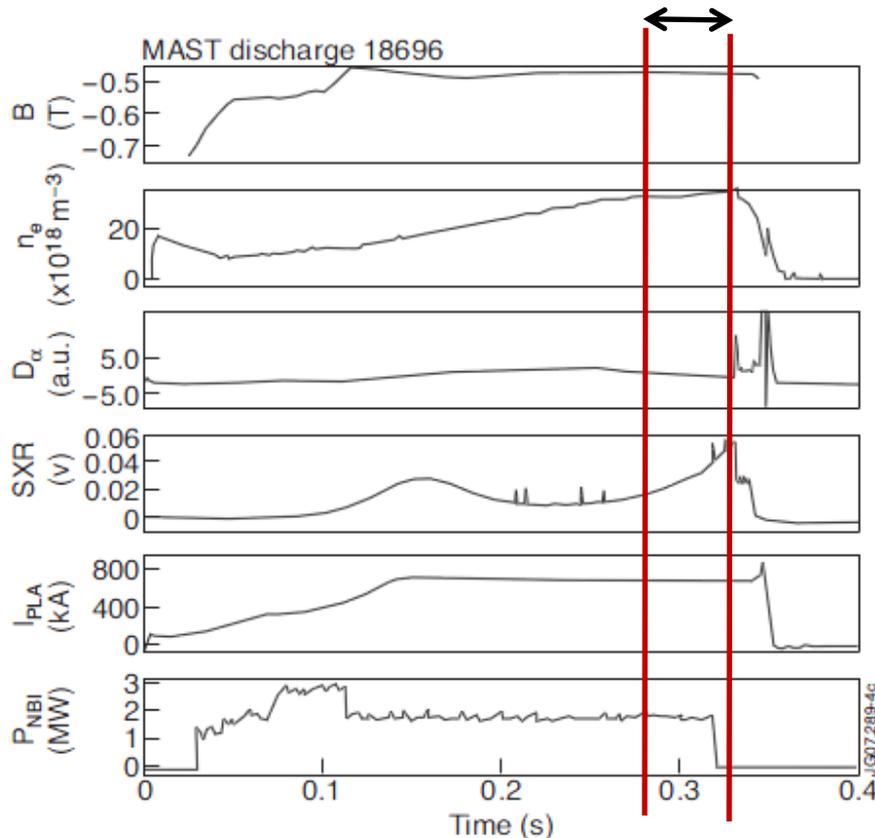
# Code benchmarked



- So far tested (isotropic) against MAST #13050, #18696
- Able to use the same constraints as existing EFIT++
- Converges at same speed as existing EFIT++

# Anisotropy on MAST

- MAST #18696
- 1.9MW NB heating
- $I_p = 0.7\text{MA}$ ,  $\beta_n=2.5$
- TRANSP simulation available
- Magnetics shows CAEs

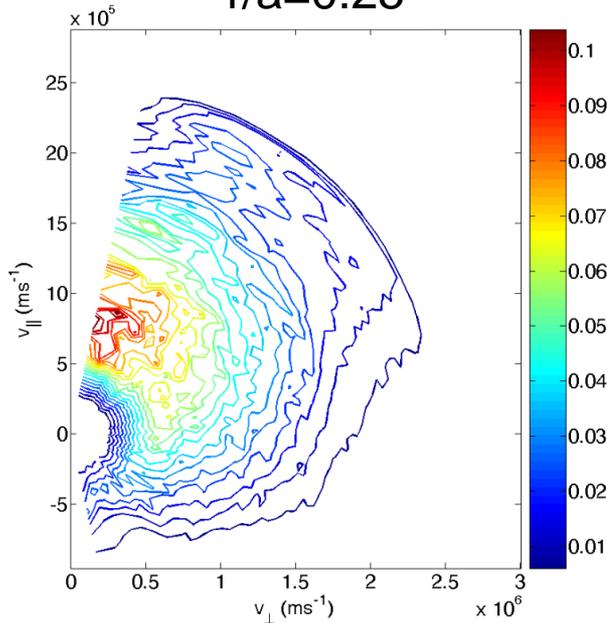


[M.P. Gryaznevich et al, Nuc. Fus. 48, 084003, 2008.; Lilley *et al* 35th EPS Conf. Plas.Phys. 9 - 13 June 2008 ECA Vol.32D, P-1.057]

- What is the impact on  $q$  profile due to presence of anisotropy and flow?

# $\rho_{\parallel}, \rho_{\perp}$ , flow from $f(E, \lambda)$ moments

$r/a=0.25$



$$E = 0.5mv^2, \quad v_{\parallel} = v \cos \lambda$$

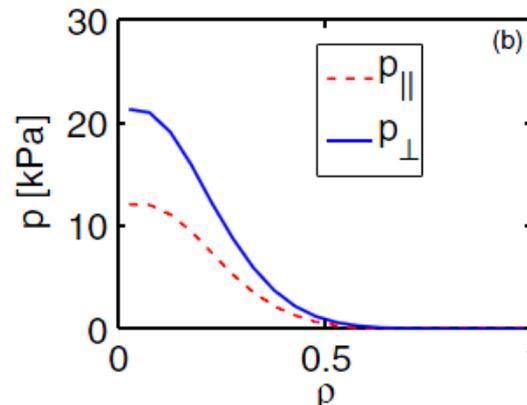
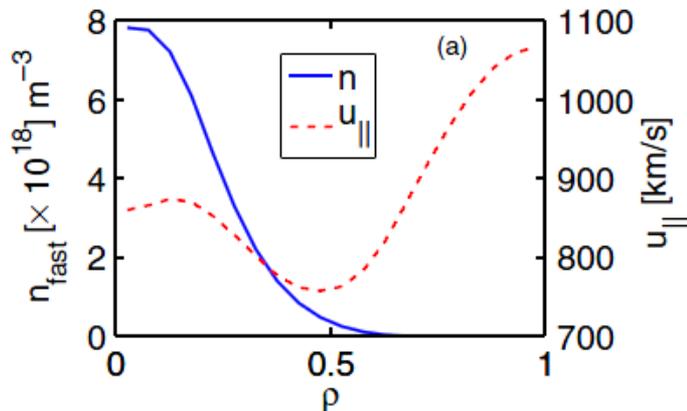
$$n = \int_0^{\infty} \int_{-1}^1 \hat{f}(E, \lambda) d\lambda dE$$

$$nu_{\parallel} = \int_0^{\infty} \int_{-1}^1 v_{\parallel} \hat{f}(E, \lambda) d\lambda dE$$

$$p_{\parallel} = m \int_0^{\infty} \int_{-1}^1 (v_{\parallel} - u_{\parallel})^2 \hat{f}(E, \lambda) d\lambda dE$$

$$p_{\perp} = \frac{m}{2} \int_0^{\infty} \int_{-1}^1 v_{\perp}^2 \hat{f}(E, \lambda) d\lambda dE.$$

[35th EPS 2008; M.K.Lilley et al]



$$\rho_{\perp}/\rho_{\parallel} \approx 1.7$$

$$\rho = \sqrt{\Phi / \Phi_0}$$

$\Phi =$  toroidal flux

# Impact of anisotropy on equilibrium

- Impact on configuration computed using FLOW

[Guazzotto L, Betti R, Manickam J and Kaye S 2004 PoP11 604–14]

$$\Delta < 0: p_{\perp}/p_{\parallel} \approx 1.7 \quad \Delta = 0: p_{\perp}/p_{\parallel} = 1$$

# Impact of anisotropy on equilibrium

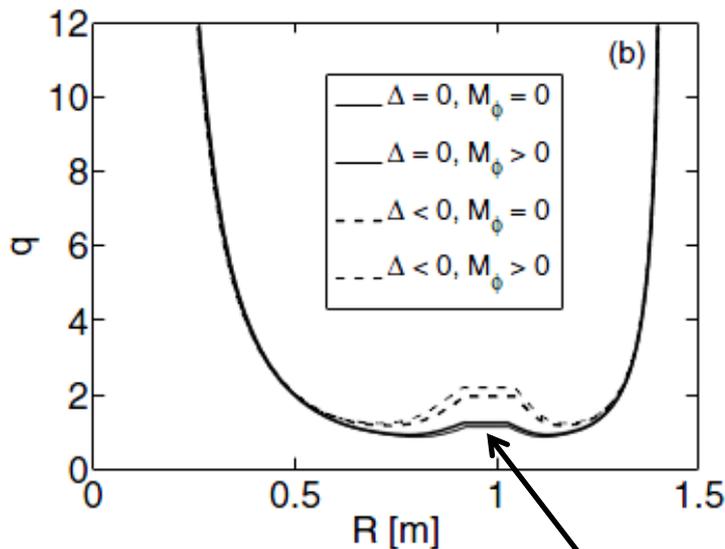
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$$\Delta < 0: p_{\perp}/p_{\parallel} \approx 1.7 \quad \Delta = 0: p_{\perp}/p_{\parallel} = 1 \quad \Delta = \mu_0(p_{\parallel} - p_{\perp})/B^2$$

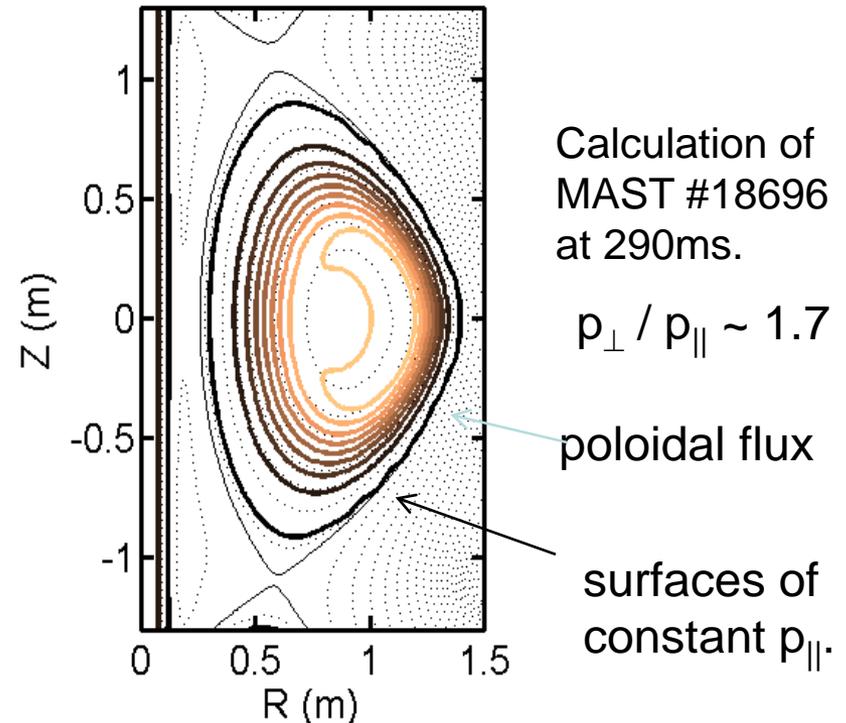
- Toroidal rotation does not change  $q$  appreciably with  $M_{A,\phi} \leq 0.3$
- Increase in  $q_0 \sim 100\%$  for case with anisotropy

FLOW scans

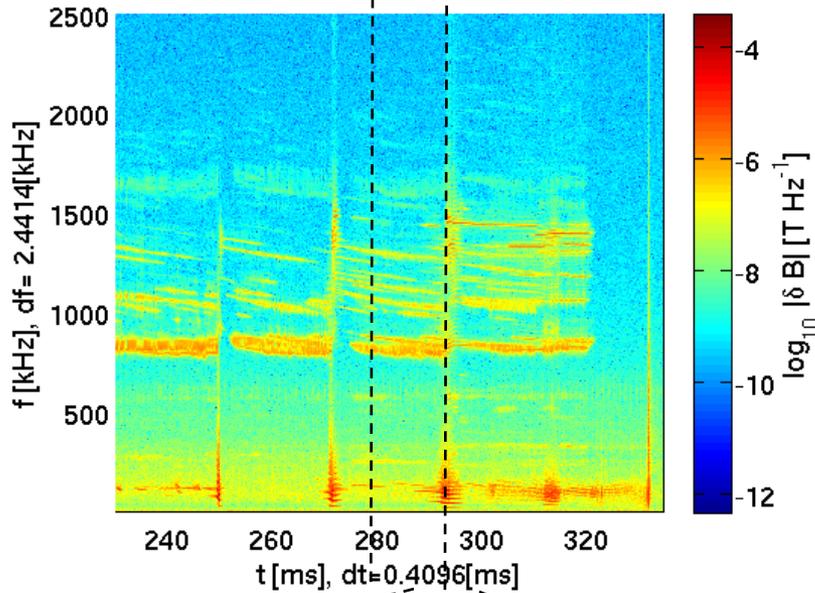


Low grid resolution of FLOW at core

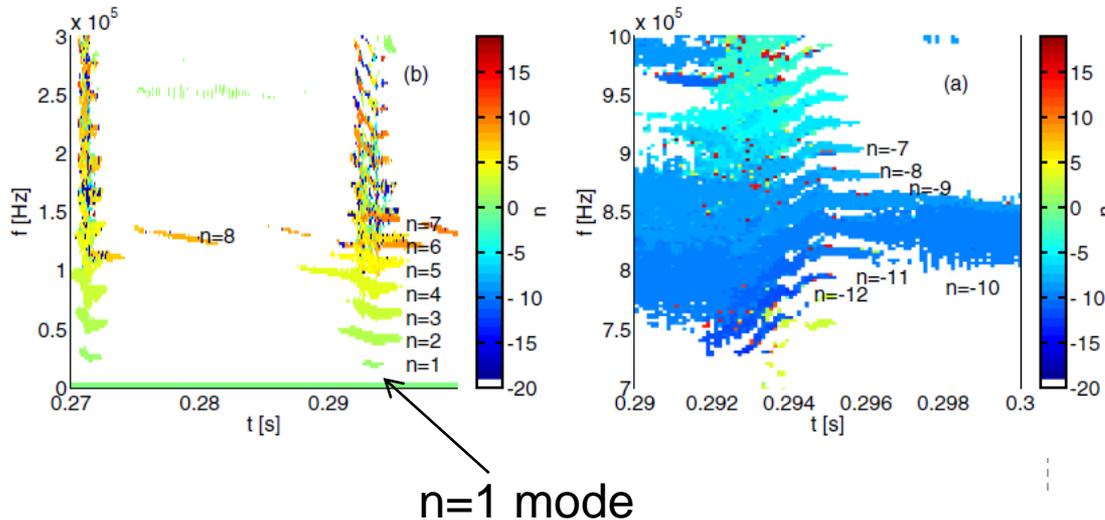
EFIT++ (TENSOR)



# Impact of anisotropy on wave modes

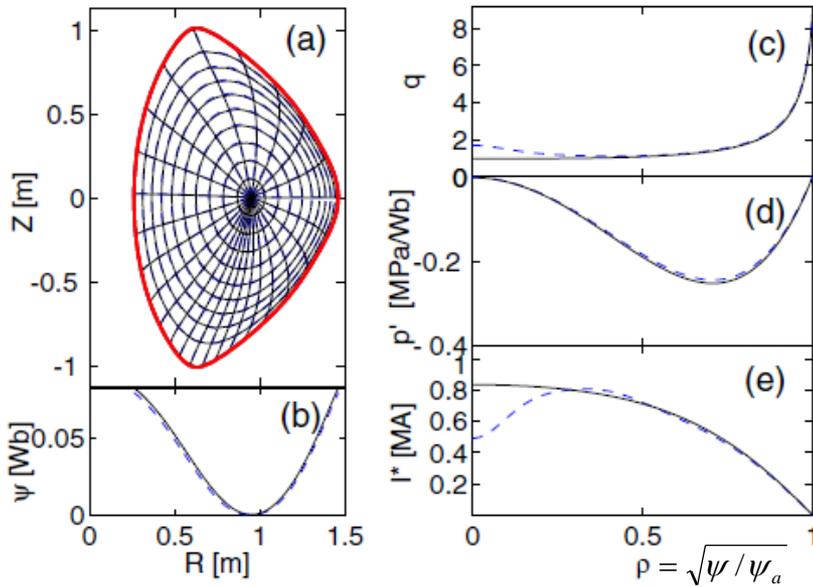


- How do predicted mode frequencies change due to changes in  $q$  produced by anisotropy and flow?
- Calculation of change in stability due to anisotropy in progress.



- Appetiser: What is the change in ideal MHD stability of  $n=1$  TAE and  $n=-10$  CAE?

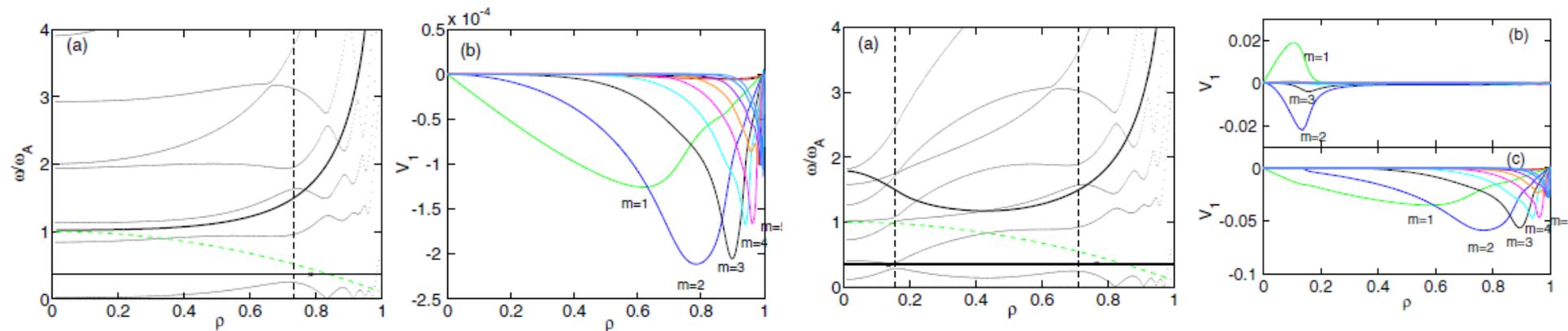
# Increased shear gives multiple TAEs



- Reshape plasma to have larger reverse shear

$$I^*(s) \rightarrow I^*(s) + \underbrace{I_0 \exp\left[-\frac{(s-s_0)^2}{2\sigma_0^2}\right]}_{\text{core}} + \underbrace{I_1 \exp\left[-\frac{(s-s_1)^2}{2\sigma_1^2}\right]}_{\text{reverse shear}}$$

$I_0, I_1$  varied to match  $q_0=1.7, q_{\min}=1.24$



Single global TAE at  $(m,n) = (1,1)$

Reverse shear produces second  $(m,n) = (1,1)$  odd TAE resonance in the core

# CAE frequency: impact of change in q

## CAE eigenfrequency

[Smith et al PoP 10(5),1437-1442,2003]

$$\omega_{|n|,s,p} \approx \frac{v_A}{\rho_0} \sqrt{\frac{n^2 q^2}{\kappa^2} + k_p^2 + \frac{|n|q}{\kappa} \alpha_{sp}},$$

$$k_p = (1 - \kappa^{-2})(10p^2 - 2p + 3)/4$$

$$\alpha_{sp} = f_s(\rho_0/R) \sqrt{1 + (3 + R_0/\rho_0)^2} + f_p \sqrt{1 - R_0/\kappa^2 R}$$

Known:  $R_0$  = major radius,  $\kappa$  = ellipticity,  $n=-10$

Inferred:  $p$  = poloidal mode no. =  $|n|q_{mn}$

Unknown:

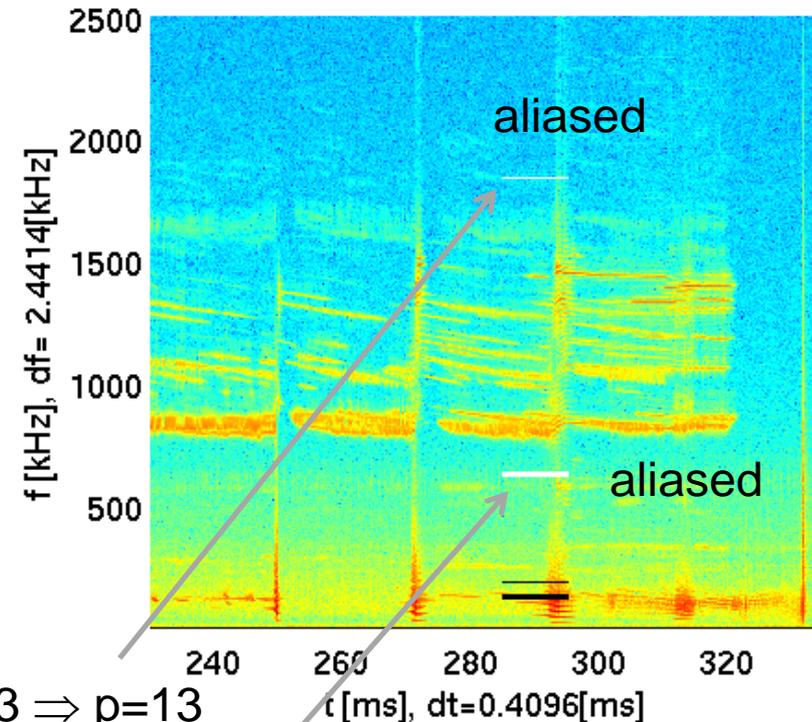
$s$  = radial mode no. = 0 (guess)

$\rho_0$  = radial localisation of mode  $\sim a/2$  (guess)

Static, isotropic equilibrium:  $q_{mn}(R=R_0+a/2) = 1.3 \Rightarrow p=13$

Flowing, anisotropic equilibrium:  $q_{mn}(R=R_0+a/2) = 1.3 \Rightarrow p=15$ .

Illustration



# Anisotropy work in progress / planned

- Formulation of stability in presence of anisotropy, flow
- Implement anisotropy extensions of the global stability code MISHKA-F
- Couple the wave particle interaction code HAGIS to the TENSOR anisotropy module of EFIT++, and MISHKA-F
- Extend the Alfvén and ion sound wave continuum code CSCAS to include anisotropy.
- Use anisotropy inputs in ANIMEC to explore impact of anisotropy in 3D (no flow).

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# Inference of energetic physics

Jakob Svensson, Gregory von Nessi, Lynton Appel,

ANU/CCFE/IPP developed a probabilistic framework based on Bayes' theorem for validating models for equil. & mode structure

## Motivation:

- handle data from multiple diagnostics with strong model dependency
- provides a validation framework for different equilibrium models: e.g. Two fluid with rotation, multi-fluid, MHD with anisotropy
- yield uncertainties in inferred physics parameters (e.g.  $q$  profile) from models, data, and their uncertainties.
- Can be inverted : By reducing force-balance model uncertainty to zero, use as a technique to infer physics difficult to experimentally diagnose directly (e.g. Energetic particle pressure)

# Bayesian equilibrium modelling

$$P(\mathbf{H}|\mathbf{D}) = P(\mathbf{D}|\mathbf{H})P(\mathbf{H}) / P(\mathbf{D})$$

$$\mathbf{H} = \{J_\phi(R, Z), p'(\psi), f(\psi), \rho(\psi, R), \Omega(\psi)\}$$

$$\mathbf{D} = \{P_i(R, Z), F_i(R, Z), \tan \gamma_i(R, Z), \underbrace{P_{s,e}, S_e(k, \omega)}_{\text{TS}}, S_C(\nu)\}$$

Pick-up  
coils

Flux  
loops

MSE  
signals

TS  
spectra

CXRS  
spectra

## Aims

(1) Improve equilibrium reconstruction

(2) Validate different physics models

Two fluid with rotation

[McClements & Thyagaraja Mon. Not. R. Astron. Soc. 323 733–42 2001]

Ideal MHD fluid with rotation

[Guazzotto L et al, Phys. Plasmas 11 604–14, 2004]

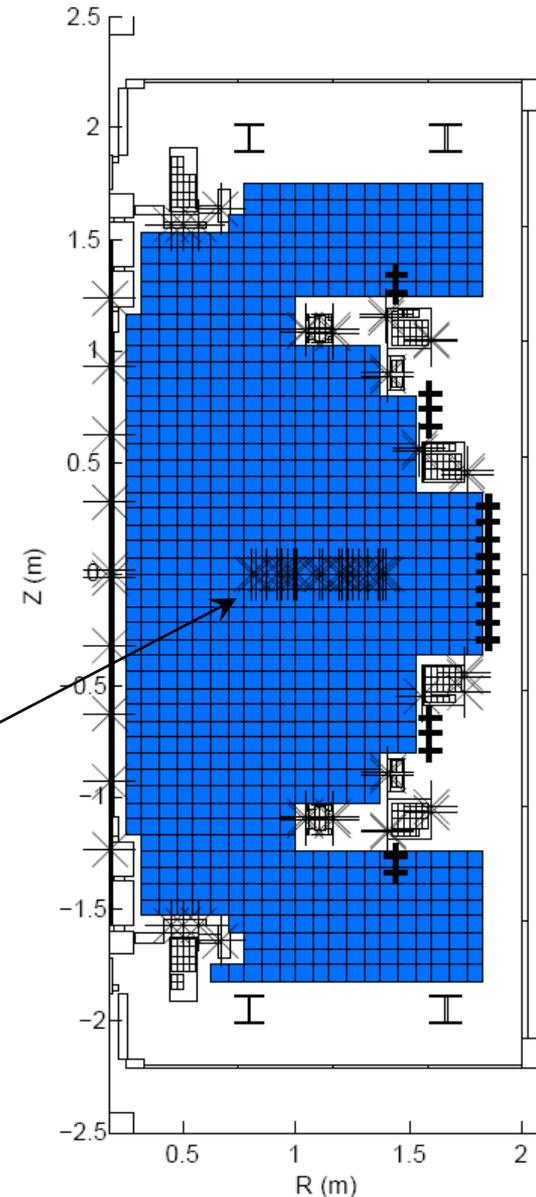
Energetic particle resolved multiple-fluid

[Hole & Dennis, PPCF 51 035014, 2009]

(3) Infer poorly diagnosed physics parameters

# “Linear” current tomography

- Model the MAST plasma current as a cluster of rectangular, toroidal current beams that fill out the limiter region.
- Aim is to infer the distribution for each of these plasma beam currents (ie.  $\mathbf{H}$  = vector of currents,  $I$ ).
- Constraints:
  - Pick up coils data,  $P_i (+)$
  - Flux loops data,  $F_i (*)$
  - MSE data,  $\tan \gamma_i$



[ Svensson J and Werner A *Plasma Phys. Control. Fusion* 50 085002 , 2008]

# Forward models for magnetics and MSE

- Forward model describes predicted signal given plasma parameters (ie.  $\mathbf{D}|\mathbf{H}$  in  $P(\mathbf{D}|\mathbf{H})$ ). For pickup coils  $P_i$ , flux loops  $F_i$  and polarisation angle  $\gamma_i$

$$P_i(R, Z; I) = B_R(R, Z; I)\cos(\theta_i) + B_Z(R, Z; I)\sin(\theta_i)$$

$$F_i(R, Z; I) = \psi(R, Z; I)$$

$$\tan \gamma_i(R, Z; I) = \frac{A_0 B_Z(R, Z; I) + A_1 B_R(R, Z; I) + \cancel{A_2 B_\phi(R, Z; I)}}{\cancel{A_3 B_Z(R, Z; I)} + \cancel{A_4 B_R(R, Z; I)} + A_5 B_\phi(R, Z; I)}$$

Angle between coil normal and midplane

- MSE viewing optics on midplane  $\Rightarrow A_2=A_3=A_4 \approx 0$ .
- If  $B_\phi$  taken as vacuum field,  $P_i$ ,  $F_i$ ,  $\tan \gamma_i$  are linear in  $I$ .  
Hence:

$$\mathbf{P} = \mathbf{M}\mathbf{I} + \mathbf{C}$$

prediction
response
current

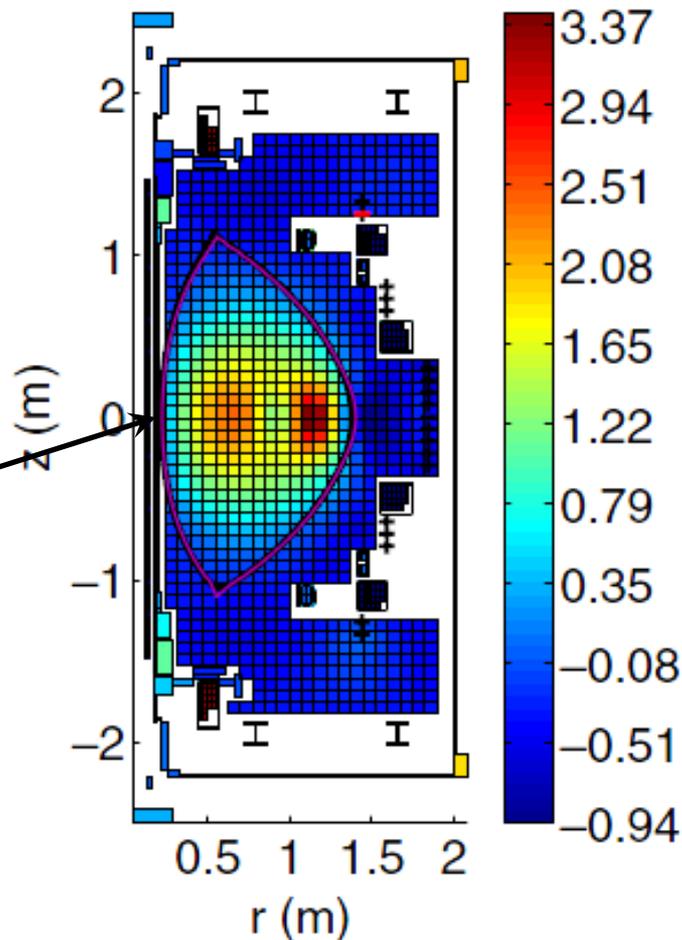
# Mean in posterior gives flux surfaces

- If current beams  $I$  have a Gaussian pdf  $\Rightarrow$  inference analytic
- MAST #24600 @280ms
- D plasma, 3MW NB heating
- $I_p = 0.8\text{MA}$ ,  $\beta_n=3$

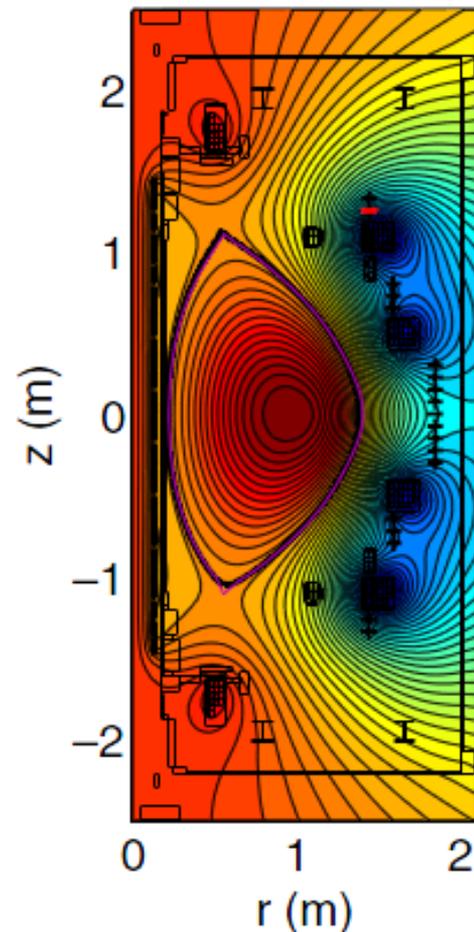
Last closed flux surface of MSE& EFIT

$J_\phi$  and  $\psi$  surfaces plotted for currents corresponding to the maximum of the posterior

Current Tomography

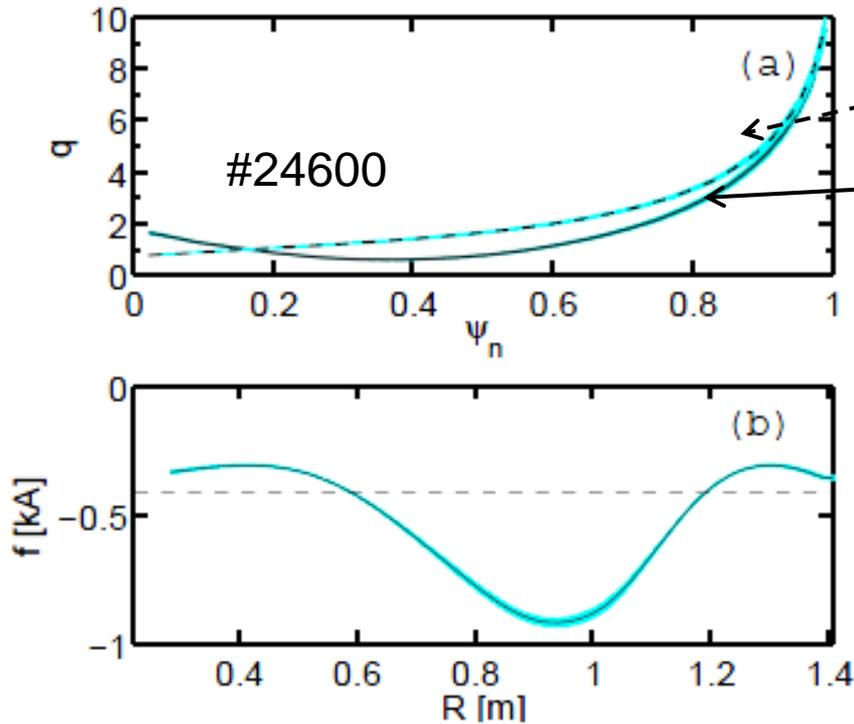


Poloidal flux surfaces



# Sampling of posterior gives distribution

- Distributions generated by sampling, e.g. q profile

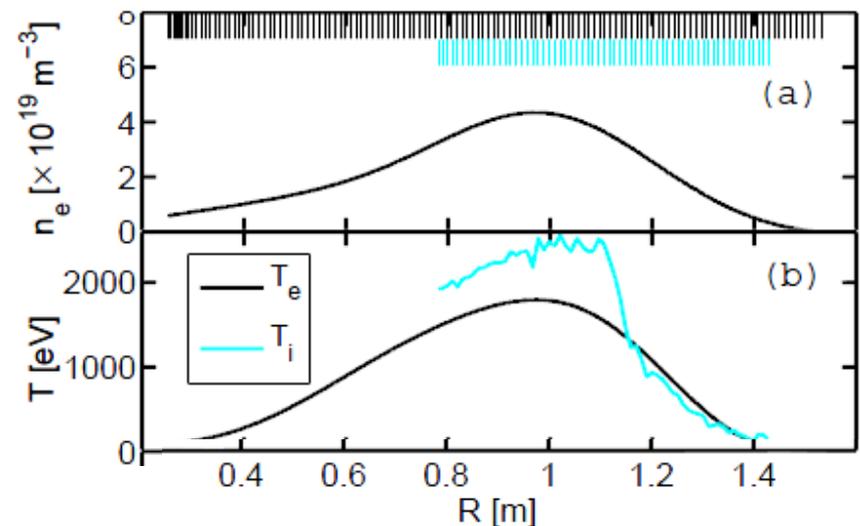


No poloidal currents

Inference of poloidal currents: allow  $f(\psi)$  to be a 4<sup>th</sup>-order polynomial in  $\psi$

**Errors < 5%, but are model dependant**

- Bayesian models for TS and CXRS



# Bayesian Equilibrium Analysis & Simulation Tool

Gregory von Nessi

- Fold in Force balance model as a weak constraint by technique of split observations.
- Allows quantification of agreement of force-balance through evidence

Biot-Savart link to diagnostics

$$-\frac{R}{2\pi\mu_0} \nabla \cdot \left[ \left( \frac{\nabla \psi}{R^2} \right) \right] = J_\phi = -2\pi R p'(\psi) + \frac{\mu_0}{2\pi R} f(\psi) f'(\psi)$$

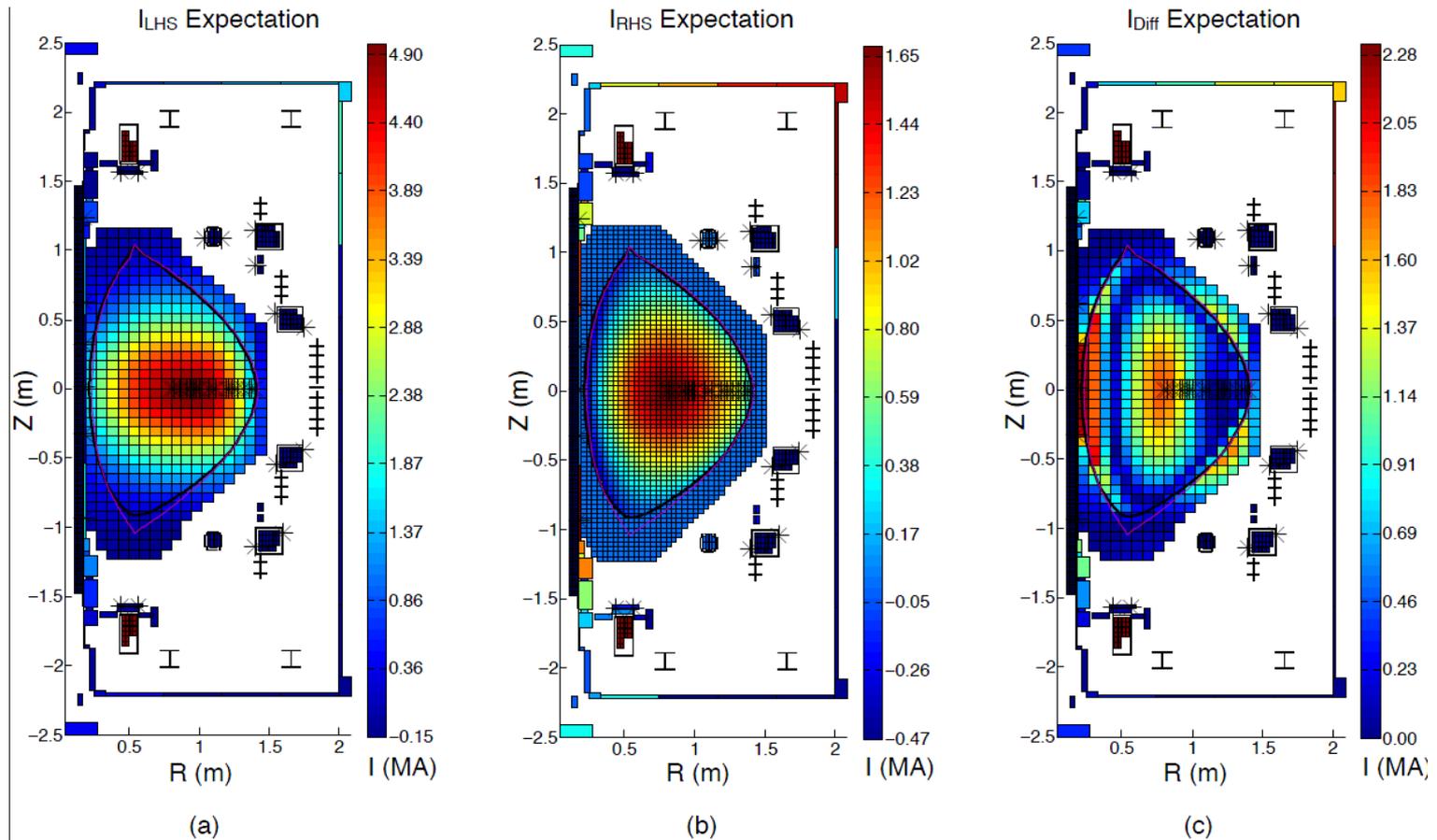
$$P(\mathbf{H}|\mathbf{D}) = \frac{P(\mathbf{D}|\mathbf{H})P(\mathbf{H})}{P(\mathbf{D})} = \frac{P_{1/2}(\mathbf{D}|\mathbf{H}_L)P_{1/2}(\mathbf{D}|\mathbf{H}_R)P(\mathbf{H})}{C_{1/2}P(\mathbf{D})}$$

- Grad-Shafranov equation is non-analytic
- Computationally challenges overcome by nested sampling.

# Validation of force balance

MAST #22254 @ 350ms

Gregory von Nessi



- Discrepancy between LHS & RHS  $\Rightarrow$  model not consistent with observations
- Agreement quantified by evidence  $\ln(P(D))=1263.5$
- Relative evidence between different models important

# Energetic pressure inference

- add polynomial parameterisations of  $P_{\text{total}}$ ,  $P_{\text{therm}}$  to  $H$ , and add analysed Thomson scattering data to  $D$

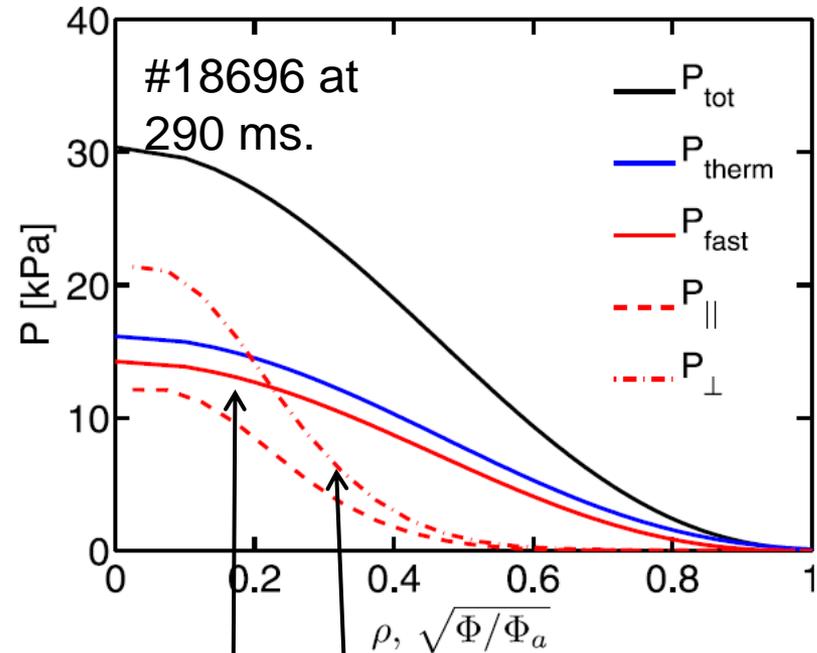
- Assume

$$P_{\text{therm}} = (n_i T_i + n_e T_e) \sim n_e T_e$$

$$f(\psi) \propto \psi$$

- Add a force-balance constraint  $\Rightarrow$

$$P_{\text{fast}} = P_{\text{tot}} - P_{\text{therm}}$$



inferred  $P_{\text{fast}} \sim (P_{\perp} + P_{\parallel})/2$  computed in NUBEAM.

# Evidence-based cross-validation:

Gregory von Nessi

...a systematic technique to identify faulty diagnostics.

- Identifies inconsistent diagnostics by maximising evidence.

[ G. T. von Nessi, M. J. Hole, J. Svensson, and L. Appel Phys. Plasmas 19, 012506 (2012)]

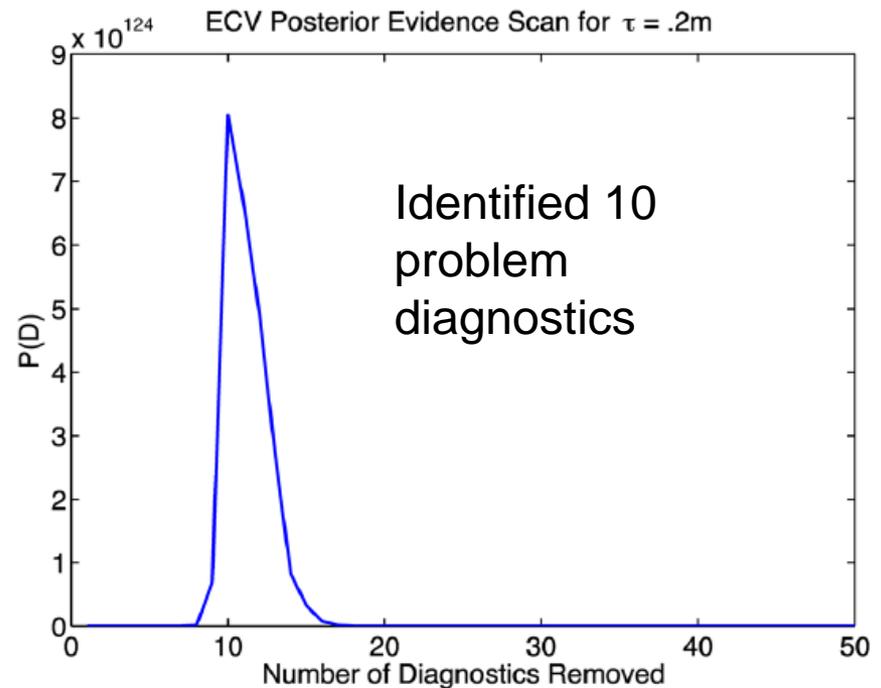
1 A baseline posterior,  $P_0$ , is calculated with all diagnostics

2. One diagnostic observation,  $o_i$ , is removed, a new posterior  $P_i$  and log-evidence  $E_i = \ln(P(D))$  computed. Repeat for all diagnostics.

3. The diagnostic with lowest  $E_i$  is removed, and a new baseline posterior calculated. The evidence of this new posterior is recorded and associated with the removed diagnostic.

4. Steps (1)–(3) repeated to generate a curve of posterior evidence versus the number of diagnostics removed.

5. Diagnostics removed such that the posterior evidence recorded in Step (3) is maximised.



# Outline

- Motivation
- Australian fusion science research snapshot
- Anisotropy equilibrium and stability
  - Development of anisotropy into EFIT++
  - Determine impact of anisotropy on plasma stability
- Probabilistic (Bayesian) inference framework
  - Used to infer flux surface geometry with uncertainties
  - Provides model validation (equilibrium and mode structure)
  - Can be used to identify faulty diagnostics & optimise systems
  - Harnessed to infer properties of plasma (e.g. fast particle pressure)
- **Multiple Relaxed Region MHD model**
  - resolves chaotic field regions, islands, flux surfaces in fully 3D plasmas
  - Stepped Pressure Equilibrium Code.
  - Applied to DIII-D RMP coils and ITER ELM coils as illustration.
- Summary

# Toroidal plasma equilibrium in 3D

- simplest model to approximate global, macroscopic force-balance in toroidal plasma confinement with arbitrary geometry is magnetohydrodynamics (MHD).

$$\nabla p = \mathbf{J} \times \mathbf{B}, \quad \nabla \times \mathbf{B} = \mathbf{J}, \quad \nabla \cdot \mathbf{B} = 0$$

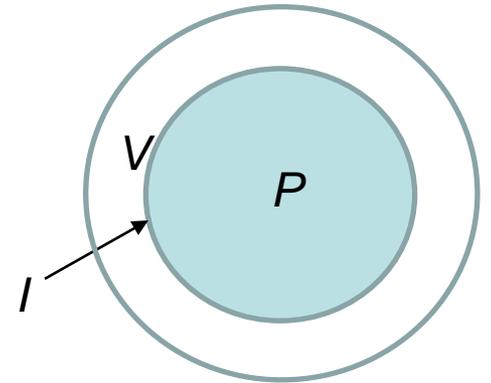
- Non-axisymmetric magnetic fields generally **do not** have a nested family of smooth flux surfaces, **unless** ideal surface currents are allowed at the rational surfaces.
- If the field is non-integrable (i.e. chaotic, with a fractal phase space), then any **continuous** pressure that satisfies  $\mathbf{B} \cdot \nabla p = 0$  must have an **infinitely discontinuous gradient**,  $\nabla p$ .
- Instead, solutions with stepped-pressure profiles are guaranteed to exist. A partially-relaxed, topologically-constrained, MHD energy principle is described.
- A numerical solver, SPEC (written by S. Hudson, PPPL), solves for these fields: field has islands, chaotic regions, and flux surfaces

# Taylor Relaxed States

- Zero pressure gradient regions are **force-free** magnetic fields:
- In 1974, Taylor argued that turbulent plasmas with small resistivity, and viscosity relax to a Beltrami field

Internal energy: 
$$W = \int_{P \cup V} \left( \frac{B^2}{2\mu_0} + \frac{p}{\gamma - 1} \right) d\tau^3$$

Total Helicity : 
$$H = \int_V (\mathbf{A} \cdot \mathbf{B}) d\tau^3$$



Taylor solved for minimum  $W$  subject to fixed  $H$

i.e. solutions to  $\delta F = 0$  of functional 
$$F = W - \mu H / 2$$

$P :$  
$$\nabla \times \mathbf{B} = \mu \mathbf{B}$$

$I :$  
$$\left[ \left[ \frac{B^2}{2\mu_0} + p \right] \right] = 0$$

$V :$  
$$\nabla \times \mathbf{B} = 0$$

Model had a lot of success for toroidal pinches, multipinch, and spheromaks

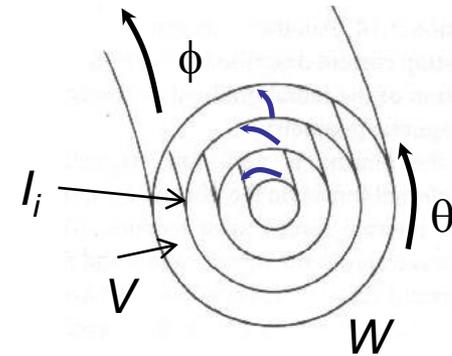
# Generalised Taylor Relaxation: Multiple Relaxed Region MHD (MRXMHD)

R. L. Dewar

- Assume each invariant tori  $I_i$  act as ideal MHD barriers to relaxation, so that Taylor constraints are localized to subregions.

New system comprises:

- $N$  plasma regions  $P_i$  in relaxed states.
- Regions separated by ideal MHD barrier  $I_i$ .
- Enclosed by a vacuum  $V$ ,
- Encased in a perfectly conducting wall  $W$



$$W_l = \int_{R_i} \left( \frac{B_l^2}{2\mu_0} + \frac{P_l}{\gamma - 1} \right) d\tau^3$$

$$H_l = \int_V (\mathbf{A}_l \cdot \mathbf{B}_l) d\tau^3$$

Seek minimum energy state:

$$F = \sum_{l=1}^N (W_l - \mu_l H_l / 2)$$

$$P_l : \quad \nabla \times \mathbf{B} = \mu_l \mathbf{B}$$

$$P_l = \text{constant}$$

$$I_l : \quad \mathbf{B} \cdot \mathbf{n} = 0$$

$$[[P_l + B^2 / (2\mu_0)]] = 0$$

$$V : \quad \nabla \times \mathbf{B} = 0$$

$$\nabla \cdot \mathbf{B} = 0$$

$$W : \quad \mathbf{B} \cdot \mathbf{n} = 0$$

# Existence of Three-Dimensional Toroidal MHD Equilibria with Nonconstant Pressure

OSCAR P. BRUNO

PETER LAURENCE

*California Institute of Technology*    *Universita di Roma "La Sapienza"*

We establish an existence result for the three-dimensional MHD equations

$$(\nabla \times \mathbf{B}) \times \mathbf{B} = \nabla p$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\mathbf{B} \cdot \mathbf{n}|_{\partial T} = 0$$

with  $p \neq \text{const}$  in tori  $T$  without symmetry. More precisely, our theorems insure the existence of sharp boundary solutions for tori whose departure from axisymmetry is sufficiently small; they allow for solutions to be constructed with an arbitrary number of pressure jumps. © 1996 John Wiley & Sons, Inc.

Communications on Pure and Applied Mathematics, Vol. XLIX, 717–764 (1996)

→ this was a strong motivation for pursuing the stepped-pressure equilibrium model

→ how large the “sufficiently small” departure from axisymmetry can be needs to be explored numerically

# Stepped Pressure Equilibrium Code, SPEC

[Plasma Physics and Controlled Fusion, 54:014005, 2012]

S. Hudson

## Vector potential is discretised using mixed Fourier & finite elements

- Coordinates  $(s, \vartheta, \zeta)$
- Interface geometry  $R_i = \sum_{l,m,n} R_{lmn} \cos(m\vartheta - n\zeta)$ ,  $Z_i = \sum_{l,m,n} Z_{lmn} \sin(m\vartheta - n\zeta)$
- Exploit gauge freedom  $\mathbf{A} = A_\vartheta(s, \vartheta, \zeta) \nabla \vartheta + A_\zeta(s, \vartheta, \zeta) \nabla \zeta$
- Fourier  $A_\vartheta = \sum_{m,n} \alpha(s) \cos(m\vartheta - n\zeta)$
- Finite-element  $a_\vartheta(s) = \sum_i a_{\vartheta,i}(s) \rho(s)$

## & inserted into constrained-energy functional

$$F = \sum_{l=1}^N (W_l - \mu_l H_l / 2)$$

- Derivatives wrt  $\mathbf{A}$  give Beltrami field  $\nabla \times \mathbf{B} = \mu \mathbf{B}$
- Field in each annulus computed independently, distributed across multiple cpu's
- Field in each annulus depends on enclosed toroidal flux, poloidal flux, interfaces  $\xi$

## Force balance solved using multi-dimensional Newton method

- Interface geometry adjusted to satisfy force balance  $\mathbf{F}[\xi] = \{ \{ p + B^2 / 2 \}_{m,n} \} = 0$
- Angle freedom constrained by spectral condensation,
- Derivative matrix  $\nabla F[\xi]$  computed in parallel using finite difference

# Numerical error scales as expected

Scaling of numerical error with radial resolution.

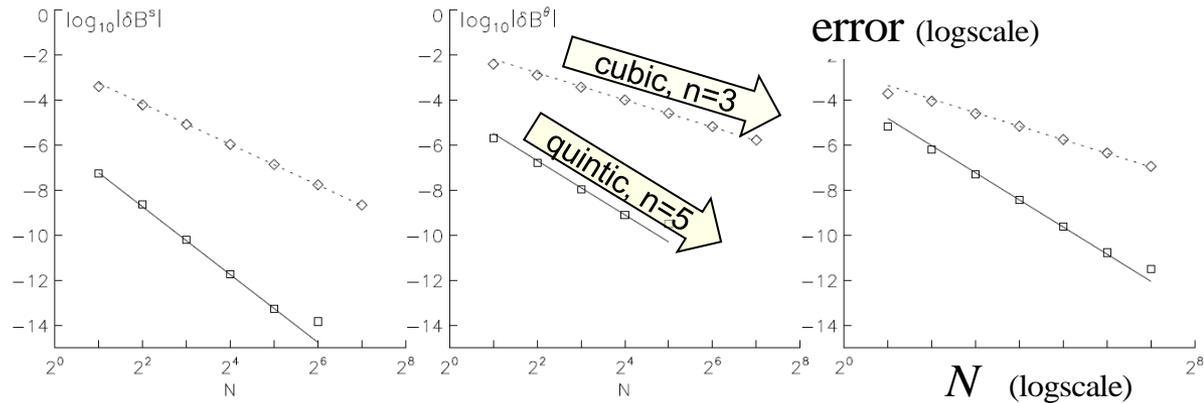
$\mathbf{A} = A_\vartheta \nabla \vartheta + A_\zeta \nabla \zeta$ ,  $\mathbf{B} = \nabla \times \mathbf{A}$ ,  $\mathbf{j} = \nabla \times \mathbf{B}$ , need to quantify **error** =  $\mathbf{j} - \mu \mathbf{B}$

$A_\vartheta, A_\zeta \sim O(h^n)$  **h = radial grid size = 1 / N**  
**n = order of polynomial**

$(\mathbf{j} - \mu \mathbf{B}) \cdot \nabla s \sim O(h^{n-1})$   $(\mathbf{j} - \mu \mathbf{B}) \cdot \nabla \theta \sim O(h^{n-1})$   $(\mathbf{j} - \mu \mathbf{B}) \cdot \nabla \zeta \sim O(h^{n-1})$

$\sqrt{g} B^s = \partial_\vartheta A_\zeta - \partial_\zeta A_\vartheta \sim O(h^n)$   
 $\sqrt{g} B^\vartheta = -\partial_s A_\zeta \sim O(h^{n-1})$   
 $\sqrt{g} B^\zeta = \partial_s A_\vartheta \sim O(h^{n-1})$

$\sqrt{g} j^s \sim O(h^{n-1})$   
 $\sqrt{g} j^\vartheta \sim O(h^{n-2})$   
 $\sqrt{g} j^\zeta \sim O(h^{n-2})$



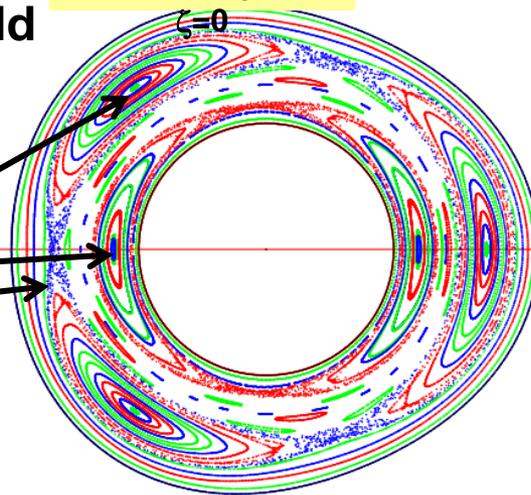
**Example of chaotic Beltrami field in single given annulus;**

$R = 1.0 + r(\vartheta, \zeta) \cos \vartheta$ ,  
 $Z = r(\vartheta, \zeta) \sin \vartheta$ ,

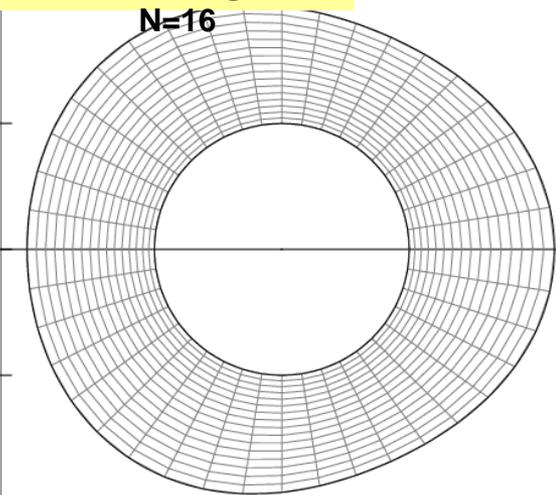
**(m,n)=(3,1) island**  
**+ (m,n)=(2,1) island**  
**= chaos**

inner surface  
 $r = 0.1$   
 outer interface  
 $r = 0.2 + \delta [\cos(2\vartheta - \zeta) + \cos(3\vartheta - \zeta)]$

**Poincaré plot,**



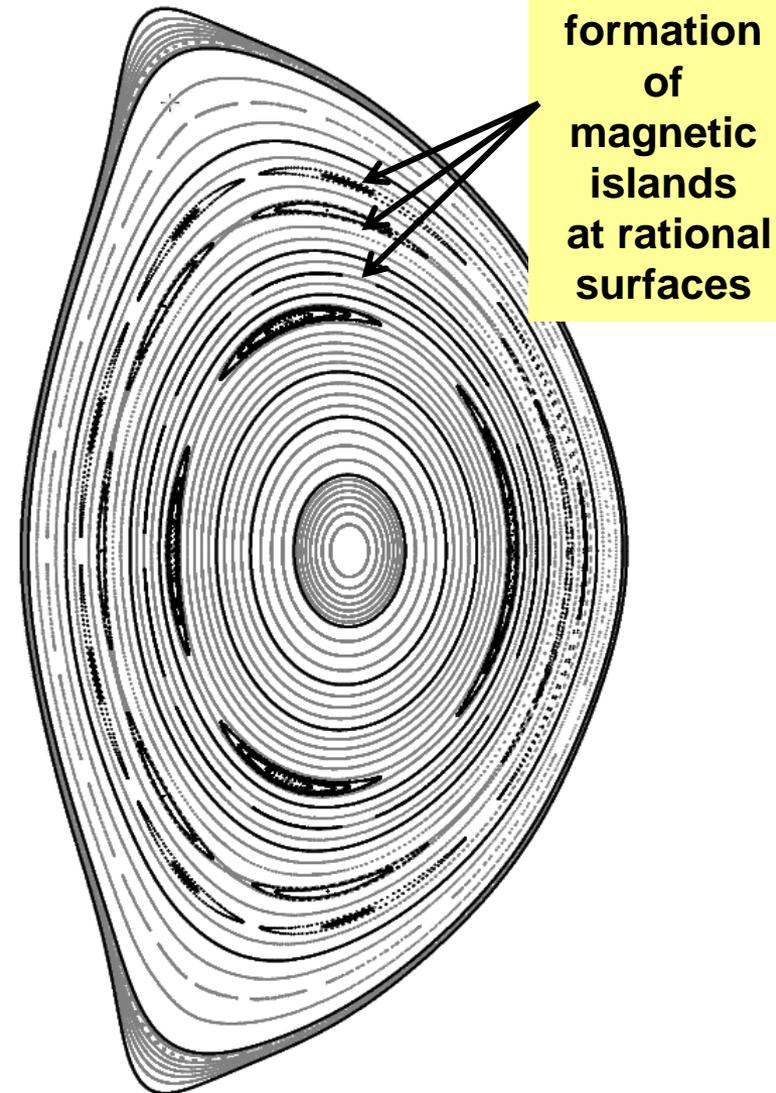
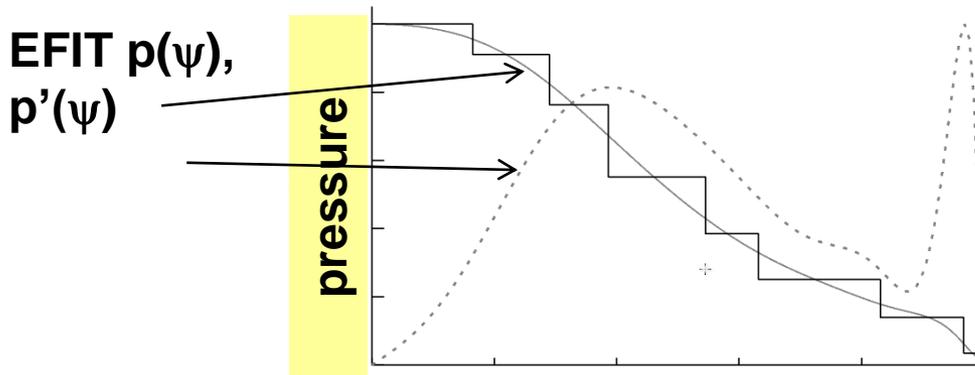
**sub-radial grid,**



# Example : DIID with $n=3$ applied error field

- Axis-symmetric boundary, pressure profile from EFIT reconstruction,  $\beta \approx 15\%$   
*Acknowledgement: Ed Lazarus, Sam Lazerson*

- Apply 3mm,  $n=3$  boundary deformation, ( $m=2,3,4$ )
- Strong pressure gradient near edge
- Irrational interfaces chosen to coincide with pressure gradients.



- Island formation is permitted
- No rational “shielding currents” included in calculation.

# Example of ITER relevant configuration, with and without rational shielding currents

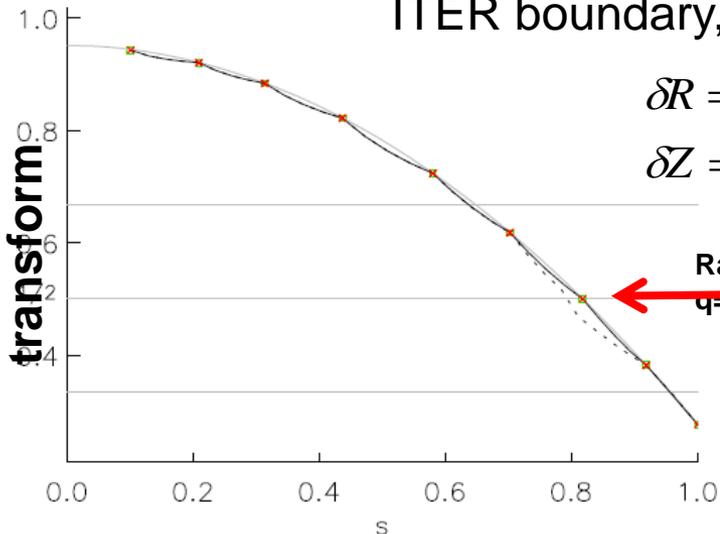
- If ideal constraint applied at rational surfaces, shielding currents prevent islands

ITER boundary, plus  $\delta=10^{-4}$  perturbation.

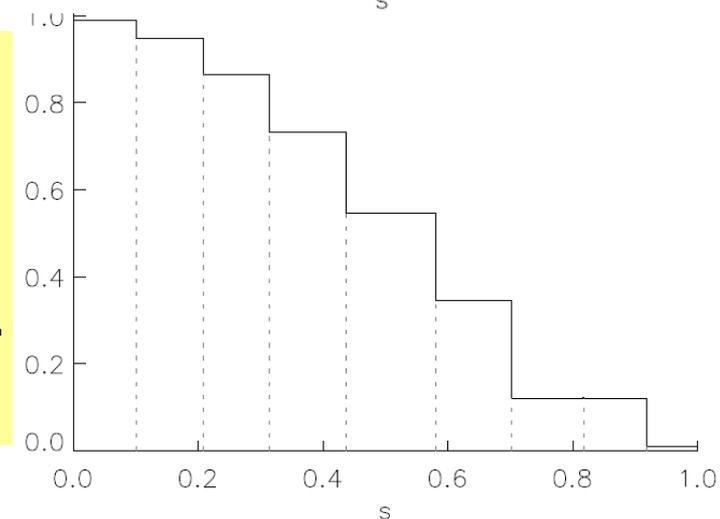
$$\delta R = \delta \cos(2\vartheta - \phi) \cos \vartheta,$$

$$\delta Z = \delta \cos(2\vartheta - \phi) \sin \vartheta$$

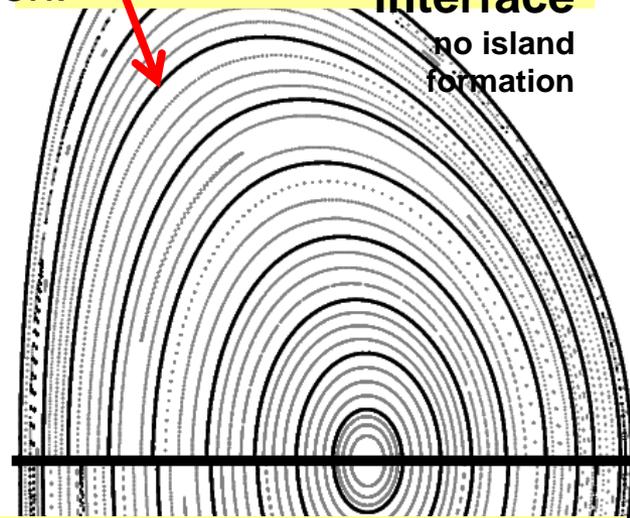
rotational transform



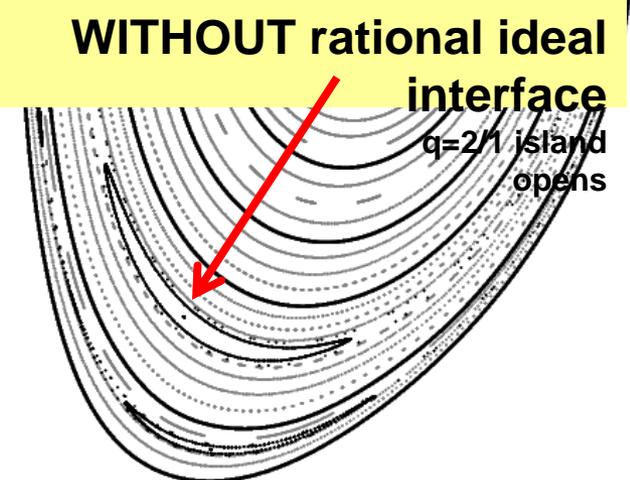
pressure



**WITH rational ideal interface**



**WITHOUT rational ideal interface**



# Spontaneously formed helical states

G. Dennis

- The quasi-single helicity state is a stable helical state in RFP: becomes purer as current is increased

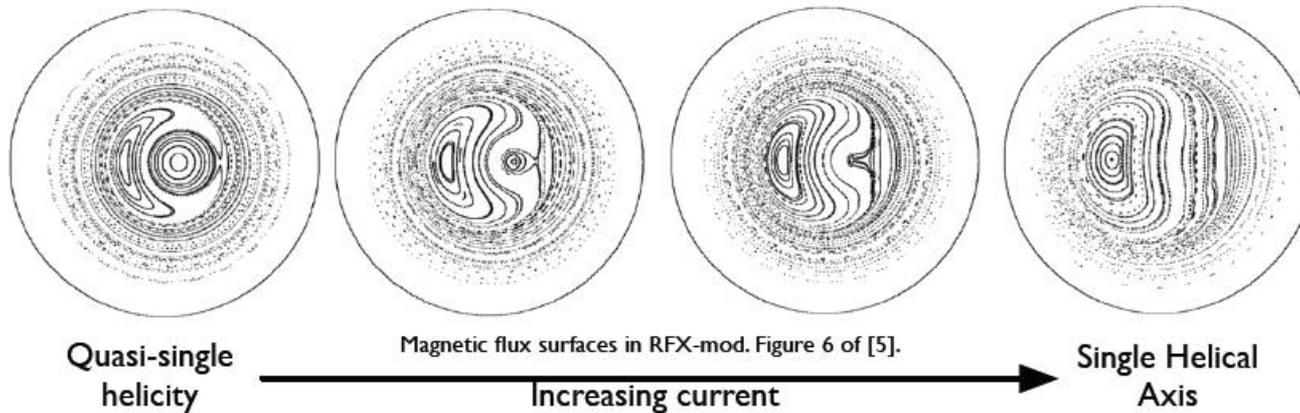
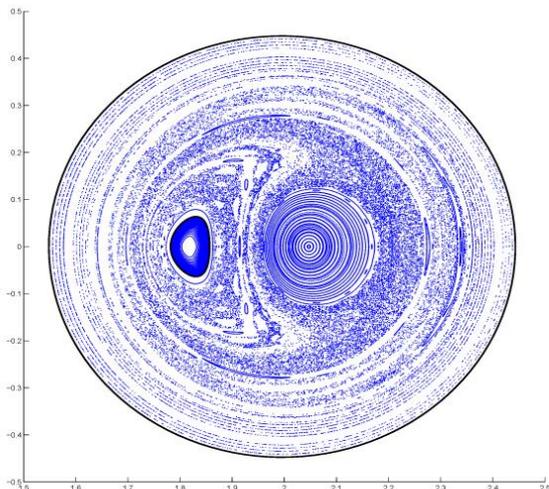


Fig. 6 of P. Martin *et al.*, *Nuclear Fusion* **49**, 104019 (2009)



- Attempt to describe RFX-mod QSH state by a two-interface minimum energy MRXMHD state
- Calculation of the RFP bifurcated state, with energy lower than the comparable axis-symmetric state
- Both magnetic axes can be reproduced in addition to island structure and significant amounts of chaos

# Summary

- Anisotropy equilibrium and stability
  - Development of anisotropy into EFIT++
  - Determine impact of anisotropy on plasma stability
- Bayesian validation framework for equilibrium
  - Provides  $q$  profile and uncertainty.
  - Motivation: validate equilibrium models
  - Exploited force balance discrepancy to infer  $P_{\text{energ}}$
  - tools to optimally place diagnostics, identify faulty diagnostics
- Multiple Relaxed Region MHD model
  - resolves chaotic field regions, islands, flux surfaces in 3D plasmas
  - Stepped Pressure Equilibrium Code.
  - Applied to DIII-D RMP coils and ITER ELM coils as illustration.
- *Strong interest in ITER physics. Opportunity to shape work to be more ITER relevant. Seek research participation through collaboration and competitive grants*



# Stepped Pressure Equilibrium Code, SPEC

[Plasma Physics and Controlled Fusion, 54:014005, 2012]

S. Hudson

## Vector potential is discretised using mixed Fourier & finite elements

\* toroidal coordinates  $(s, \vartheta, \zeta)$ , \*interface geometry  $R_l = \sum_{m,n} R_{l,m,n} \cos(m\vartheta - n\zeta)$ ,  $Z_l = \sum_{m,n} Z_{l,m,n} \sin(m\vartheta - n\zeta)$

\* exploit gauge freedom  $\mathbf{A} = A_\vartheta(s, \vartheta, \zeta) \nabla \vartheta + A_\zeta(s, \vartheta, \zeta) \nabla \zeta$

\* Fourier  $A_\vartheta = \sum_{m,n} a_\vartheta(s) \cos(m\vartheta - n\zeta)$

\* Finite-element  $a_\vartheta(s) = \sum_i a_{\vartheta,i}(s) \varphi(s)$

**& inserted into constrained-energy functional**  $F = \sum_{l=1}^N (W_l - \mu_l H_l / 2)$

\* derivatives w.r.t. vector-potential  $\rightarrow$  Beltrami field  $\nabla \times \mathbf{B} = \mu \mathbf{B}$

\* field in each annulus computed independently, distributed across multiple cpus

\* field in each annulus depends on enclosed toroidal flux (boundary condition) and

$\rightarrow$  poloidal flux,  $\psi_p$ , and helicity,

$\rightarrow$  geometry of interfaces,  $\xi \equiv \{R_{m,n}, Z_{m,n}\}$

## Force balance solved using multi-dimensional Newton method

\* interface geometry is adjusted to satisfy force  $\mathbf{F}[\xi] \equiv \{[[p + B^2/2]]_{m,n}\} = 0$

\* angle freedom constrained by spectral-condensation, adjust angle freedom to minimize  $\sum (m^2 + n^2) (R_{mn}^2 + Z_{mn}^2)$

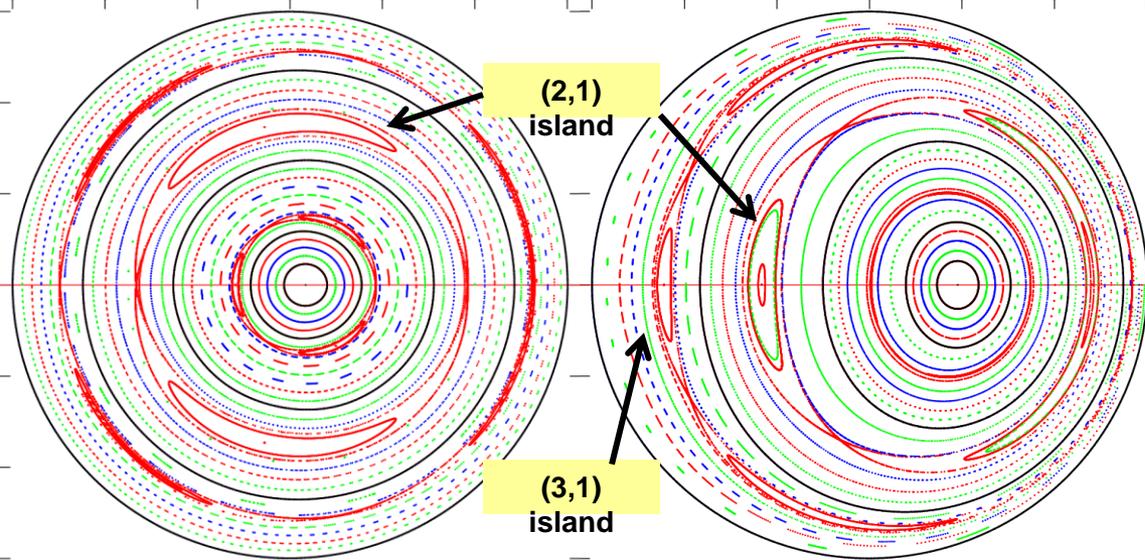
\* derivative matrix,  $\nabla \mathbf{F}[\xi]$ , computed in parallel using finite-differences

\* call NAG routine: quadratic-convergence w.r.t. Newton iterations; robust convex-gradient method;

# Equilibria with (i) perturbed boundary & chaotic fields, and (ii) pressure are computed .

Poincaré plot (cylindrical)  
 $\beta = 0\%$

Poincaré plot (cylindrical)  
 $\beta \approx 4\%$



boundary deformation induces islands

$$R = 1.0 + r \cos \vartheta, \quad Z = r \sin \vartheta$$

$$r = 0.3 + \delta \cos(2\vartheta - \phi) + \delta \cos(3\vartheta - \phi)$$

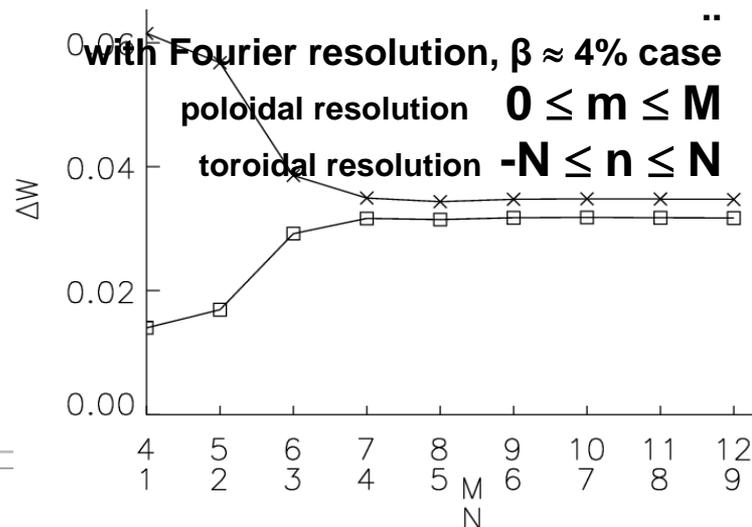
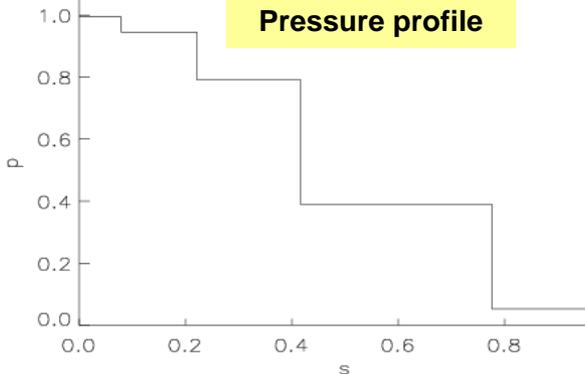
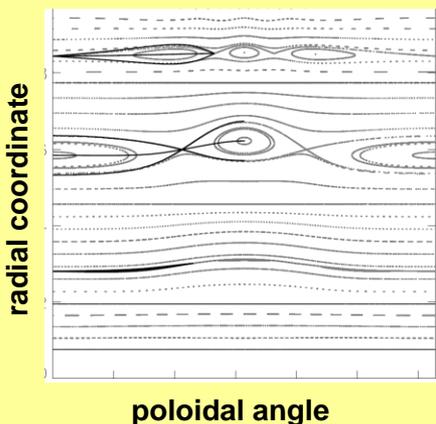
$$\delta = 10^{-4}$$

**Demonstrated  
Convergence**

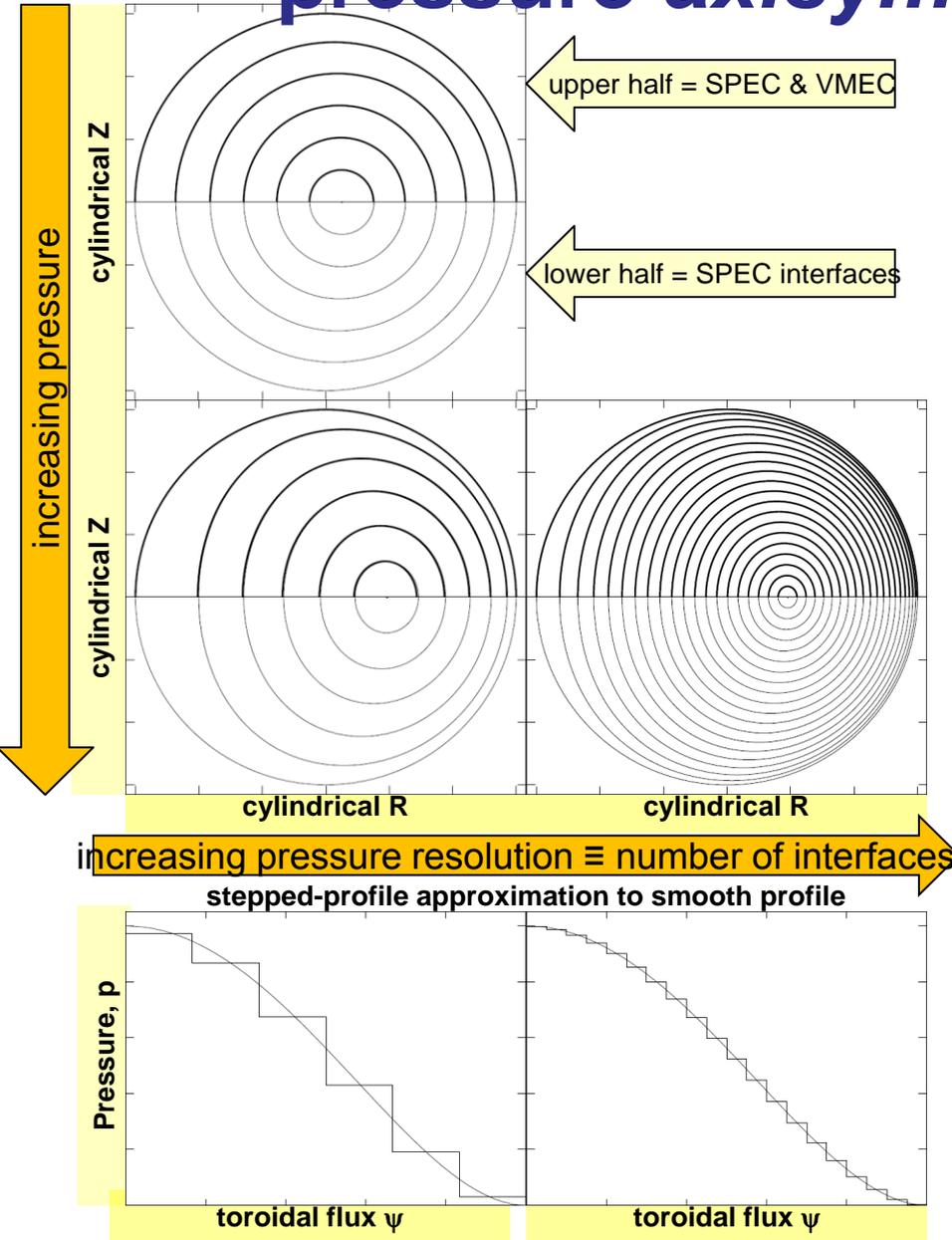
of high-pressure equilibrium with  
islands,

**with Fourier Resolution,**  
Convergence of (2,1) & (3,1) island widths

Poincaré plot (toroidal)  
 $\beta \approx 4\%$

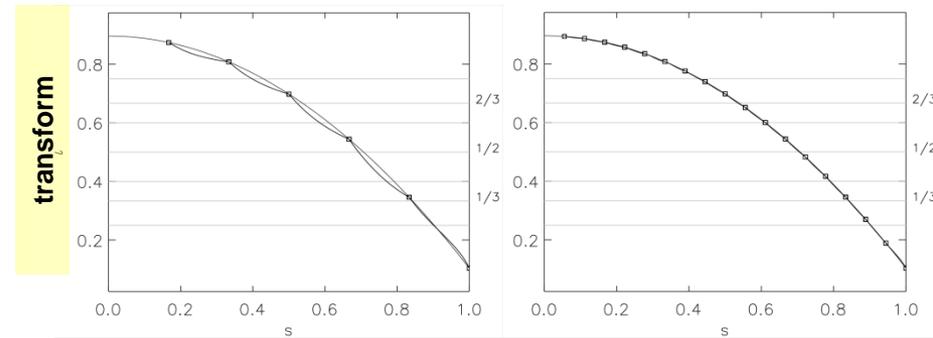
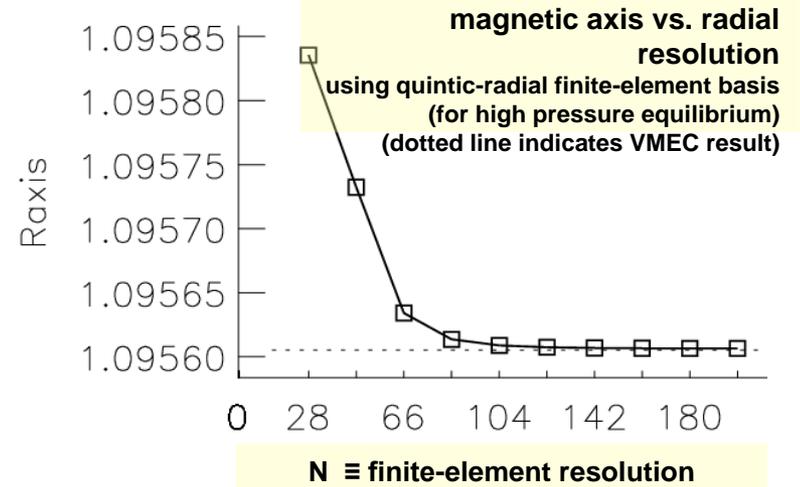


# equilibria accurately approximate smooth- pressure *axisymmetric* equilibria



In axisymmetric geometry

- fields have family of nested flux surfaces,
- Equilibria with smooth profiles exist
- Approximation improves with # interfaces
- magnetic axis converges with resolution



# 1<sup>st</sup> variation $\Rightarrow$ “relaxed” equilibria

Energy Functional  $W$ : 
$$W = \sum_{i=1}^N (U_i - \lambda_i / 2H_i - \nu_i M_i)$$

Setting  $\delta W=0$  yields:

$P_i$  : 
$$\nabla \times \mathbf{B} = \lambda_i \mathbf{B}$$

$$P_i = \text{constant}$$

$I_i$  : 
$$\mathbf{B} \cdot \mathbf{n} = 0$$

$$[[P_i + B^2 / (2\mu_0)]] = 0$$

$\mathbf{n}$  = unit normal to interfaces  $I$ , wall  $W$

$V$  : 
$$\nabla \times \mathbf{B} = 0$$

$$[[x]] = x_{i+1} - x_i$$

$$\nabla \cdot \mathbf{B} = 0$$

$W$  : 
$$\mathbf{B} \cdot \mathbf{n} = 0$$

Poloidal flux  $\Psi^{pol}$ , toroidal flux  $\Psi^t$  constant during relaxation:

$P_i$  : 
$$\Psi_{P_i}^t = \text{constant}$$

$V$  : 
$$\Psi_V^t = \text{constant}, \quad \Psi_V^{pol} = \text{constant}$$