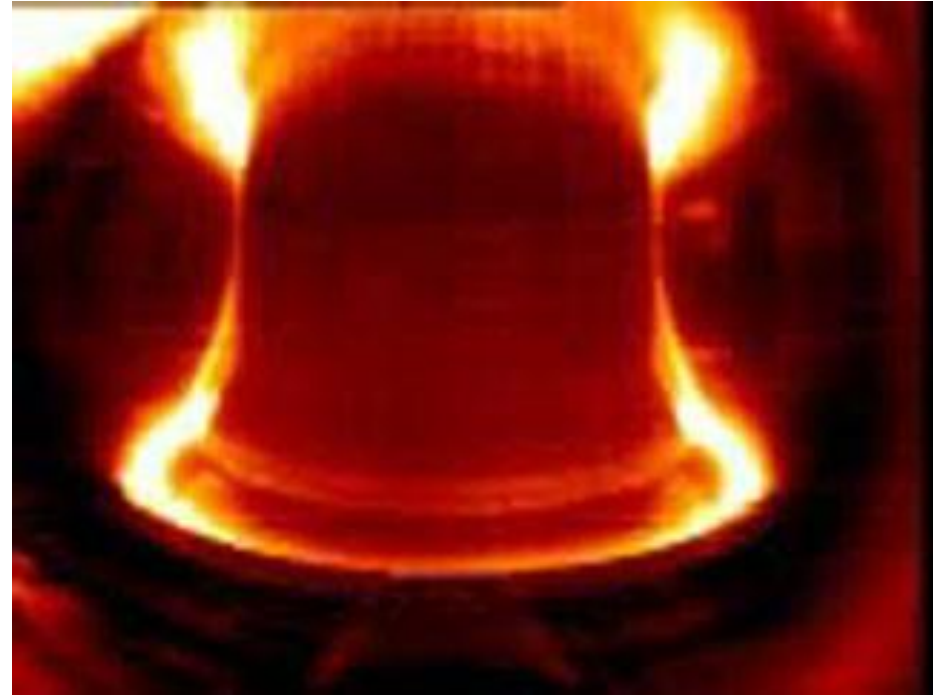
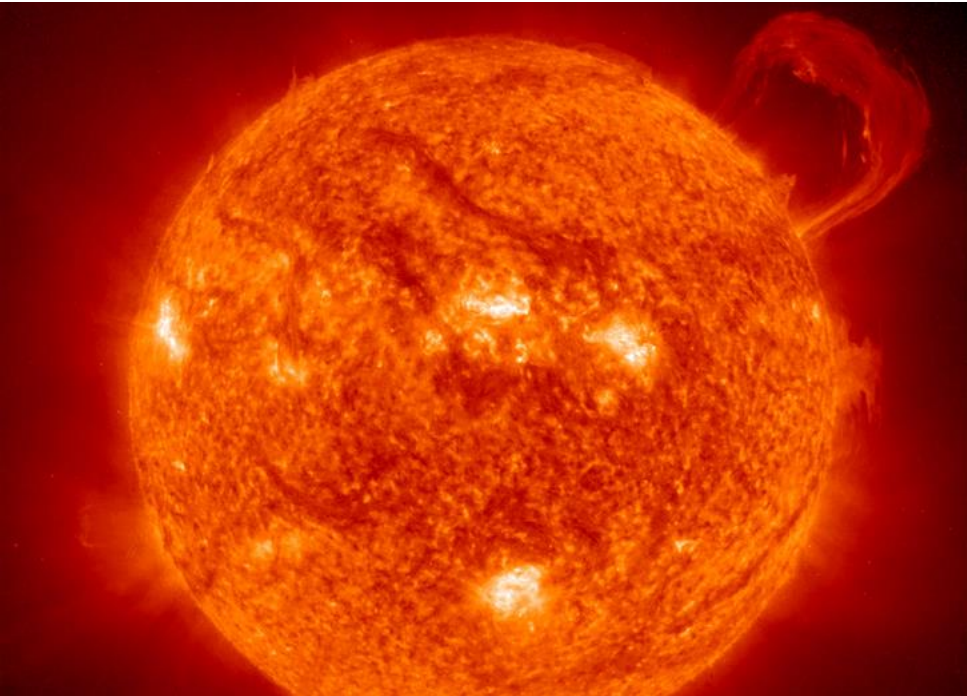


Containing a star on earth: the promise of fusion energy



Walter Guttenfelder
Princeton Plasma Physics Laboratory (PPPL)

Portland State University, Physics Dept. seminar
Nov. 5, 2018



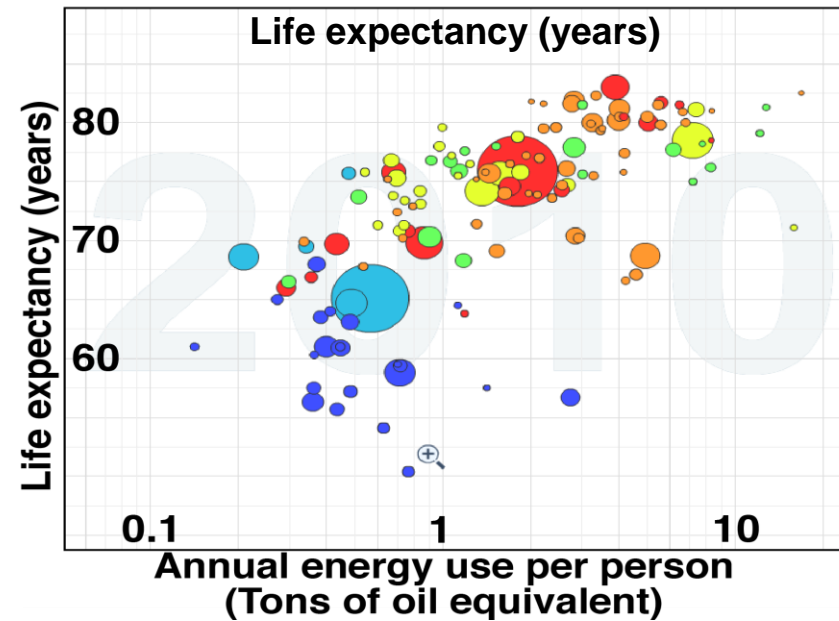
U.S. DEPARTMENT OF
ENERGY | Office of
Science



OUTLINE

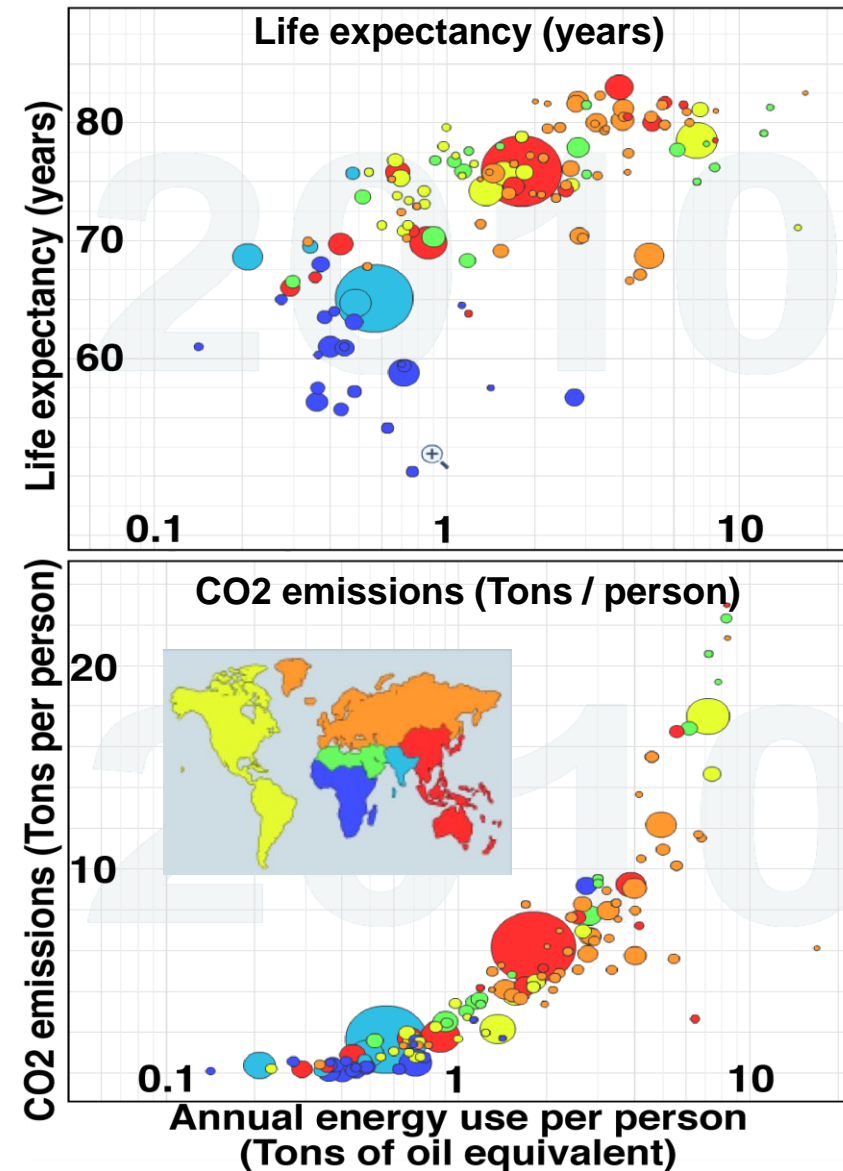
- Nuclear fusion for energy (what & why)
- Plasmas
- Magnetic confinement of fusion plasma
- Achievements in fusion energy research
- Challenges and opportunities

Many quality-of-life metrics correlated with energy use



- Similar trends for
 - UN human development index
 - Income, average wage
 - Literacy, years in school
 - Reduced child mortality
 - ...

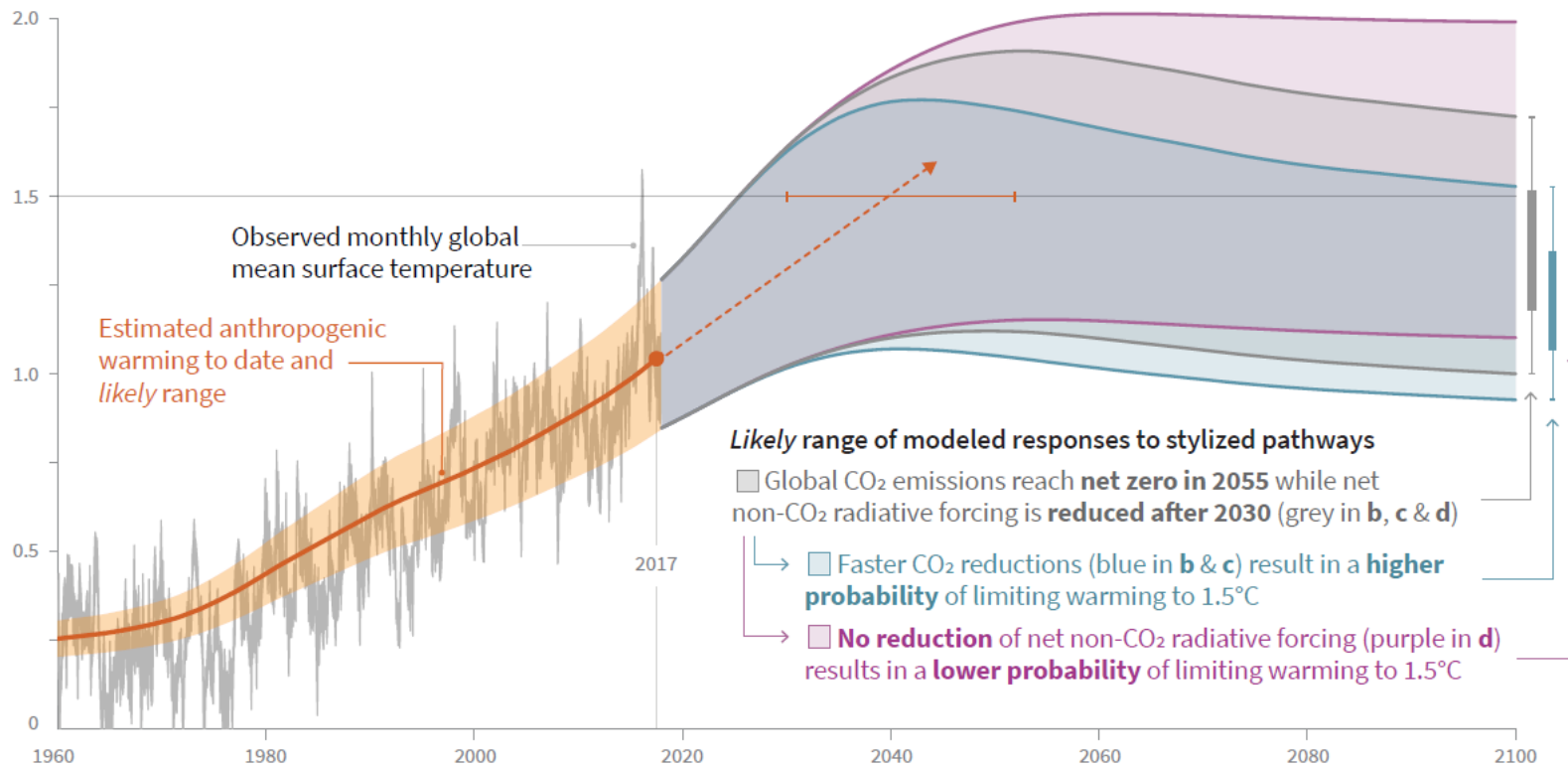
Many quality-of-life metrics correlated with energy use



- Similar trends for
 - UN human development index
 - Income, average wage
 - Literacy, years in school
 - Reduced child mortality
 - ...
- **Increased energy consumption in the industrial era has increased CO2 emissions**

Increased CO₂ emissions → increased CO₂ concentration → elevating global temperatures

Global warming relative to 1850-1900 (°C)



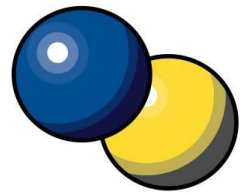
Source: IPCC Special Report on Global Warming of 1.5°C (Oct. 6, 2018)

- **Climate concerns & growing *global* energy demand drives pursuit of a portfolio of alternative / renewable, non-carbon energy sources:**
 - solar, wind, nuclear fission, hydroelectric, geothermal ... and **nuclear fusion (this talk)**

Nuclear Fusion: Energy release occurs due to fusing two small nuclei

$$\text{mass of deuterium + tritium} > \text{mass of Helium + neutron}$$

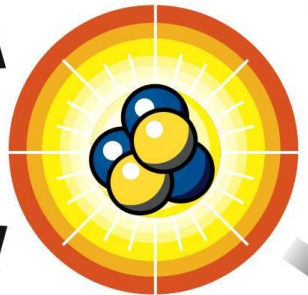
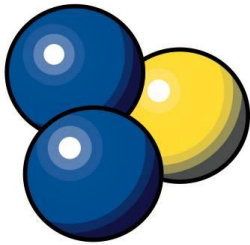
Deuterium



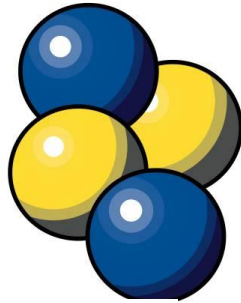
Neutron



Tritium



Fusion

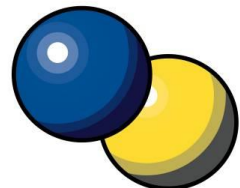


Helium

Nuclear Fusion: Energy release occurs due to fusing two small nuclei

mass of deuterium + tritium $>$ mass of Helium + neutron

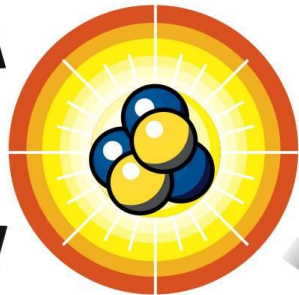
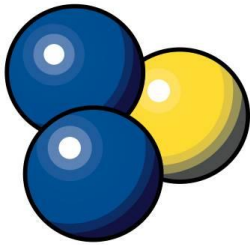
Deuterium



Neutron



Tritium

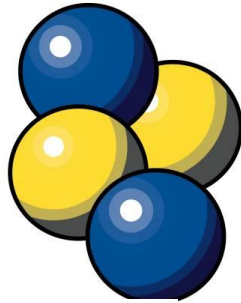


Fusion



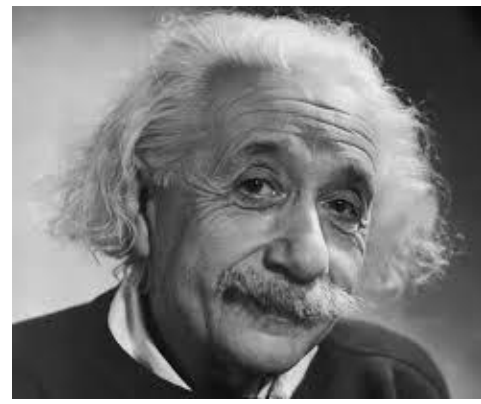
Energy

Helium



Tiny difference in mass is converted into energy

$$E = mc^2$$



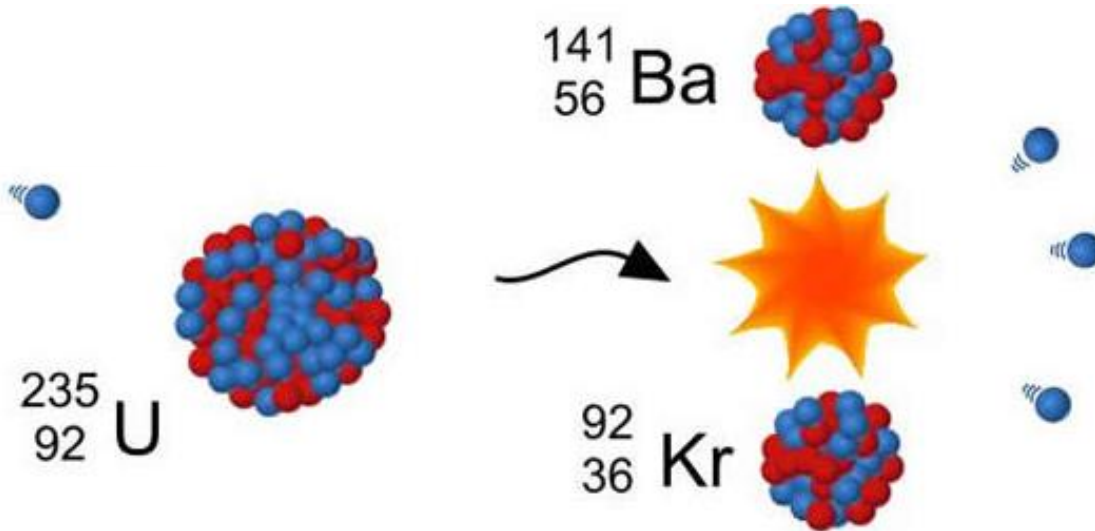
Opposite of nuclear fission that powers today's "nuclear" reactors

- Splitting large atoms also leads to energy release

mass of
Uranium + neutron

>

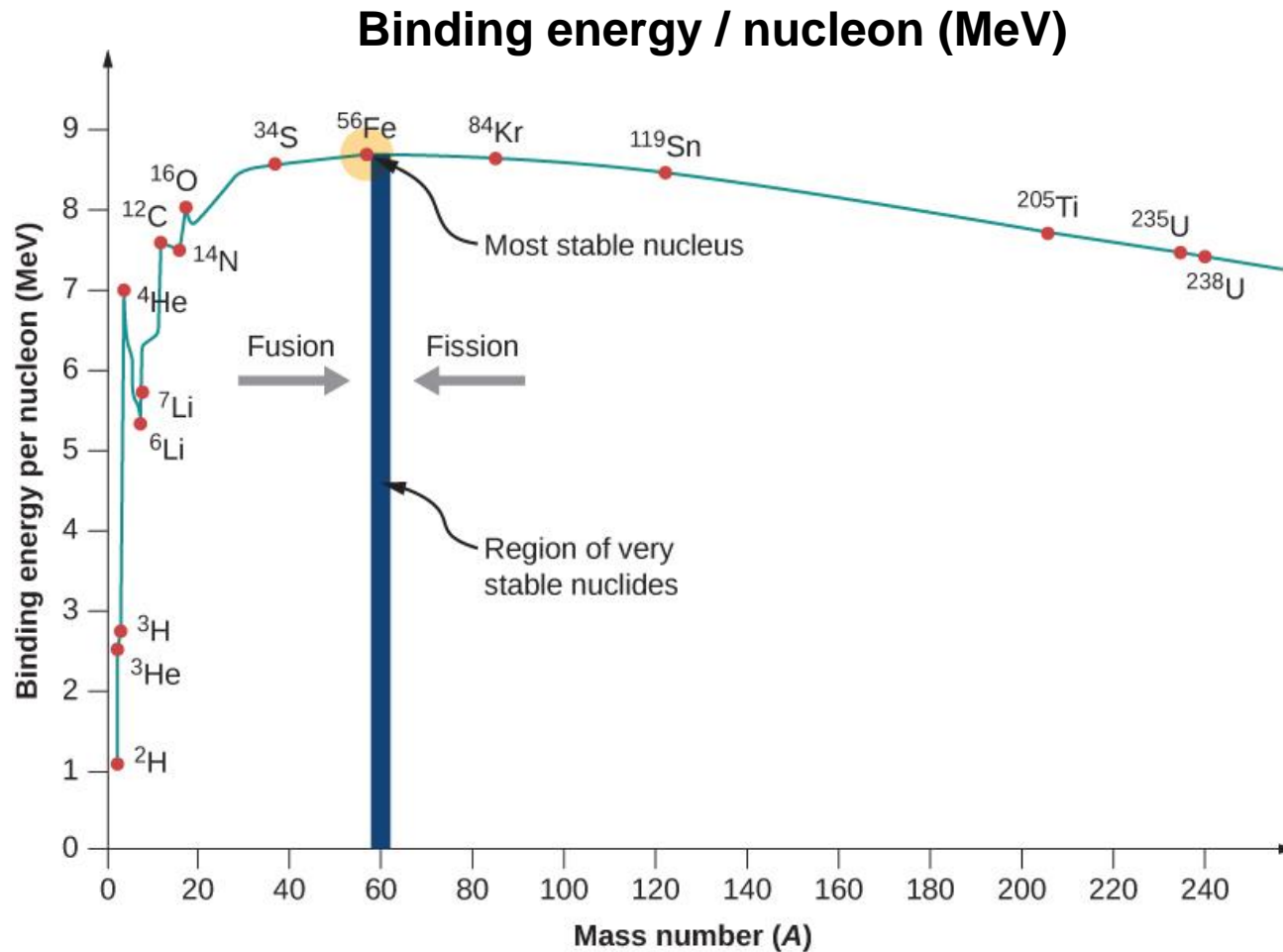
mass of
Products



Columbia Generating Station
(Richland, WA)

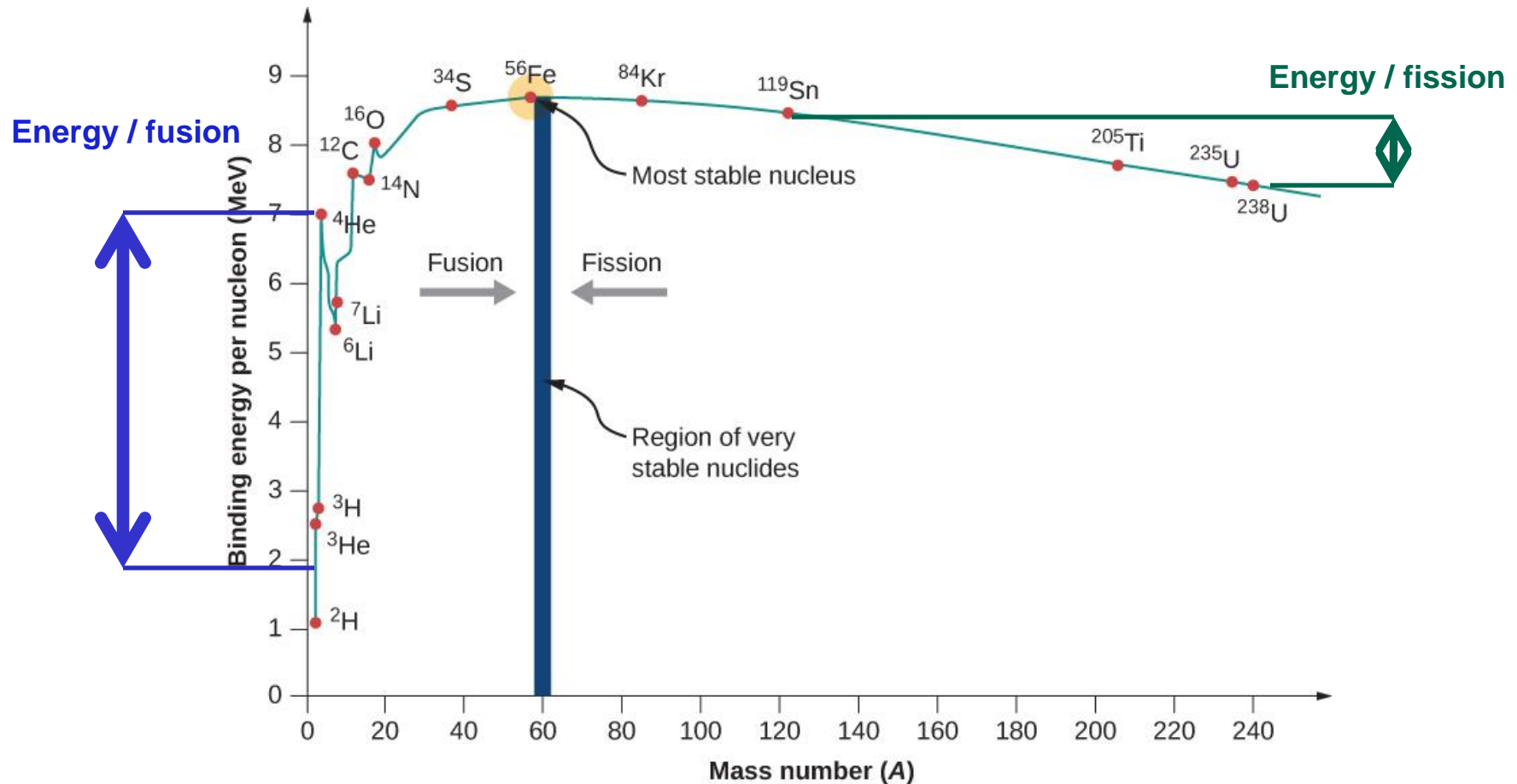


Energetics governed by binding energies / strong nuclear force



Energetics governed by binding energies / strong nuclear force

- Gain for fusion can be much larger than fission (both are far larger than chemical reactions)



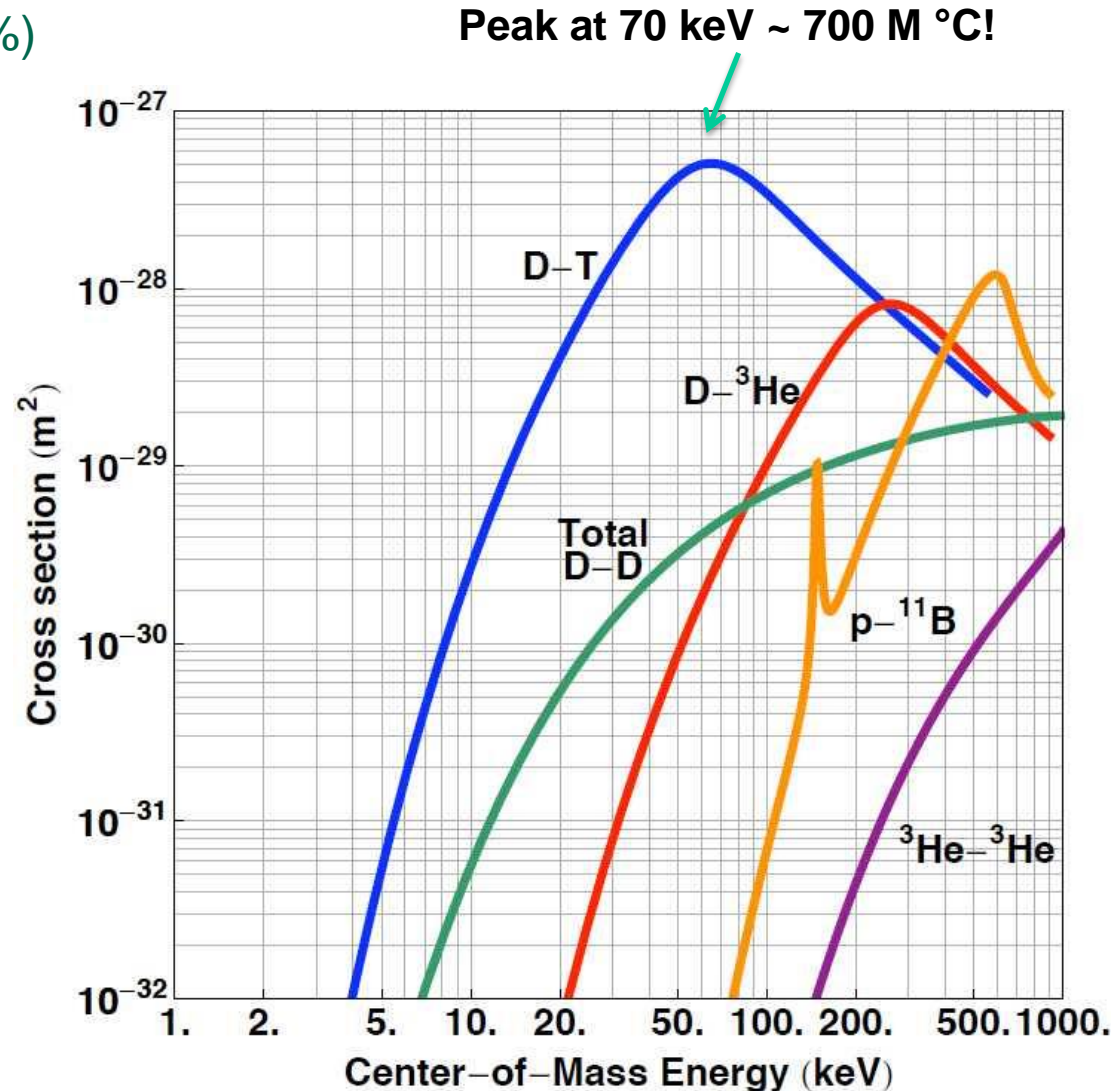
Fusion with deuterium & tritium (D-T) is easiest

Potential Candidates:

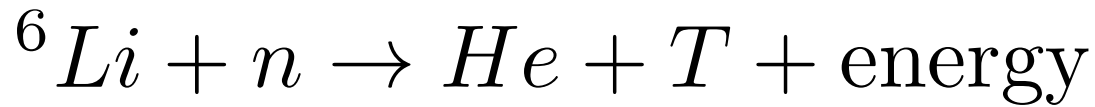
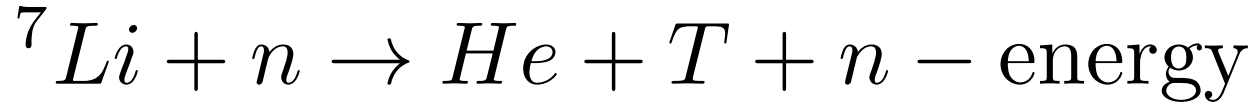


- Optimal temperature for D-T “thermonuclear” fusion ~ 150 M °C (15 keV)

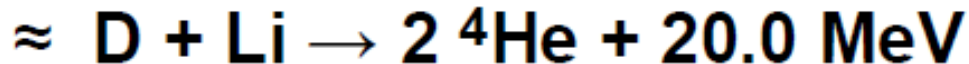
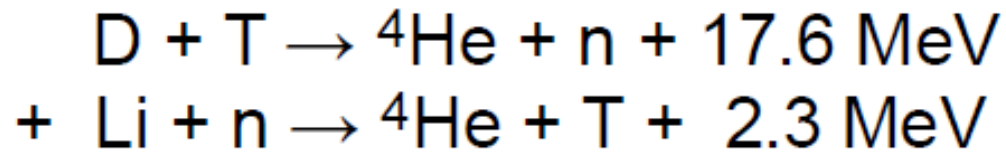
- ~10 × hotter than the center of the sun!



Deuterium is abundant in seawater, but tritium half-life ~12.3 years (only ~50 kg on the planet) → breed tritium from lithium

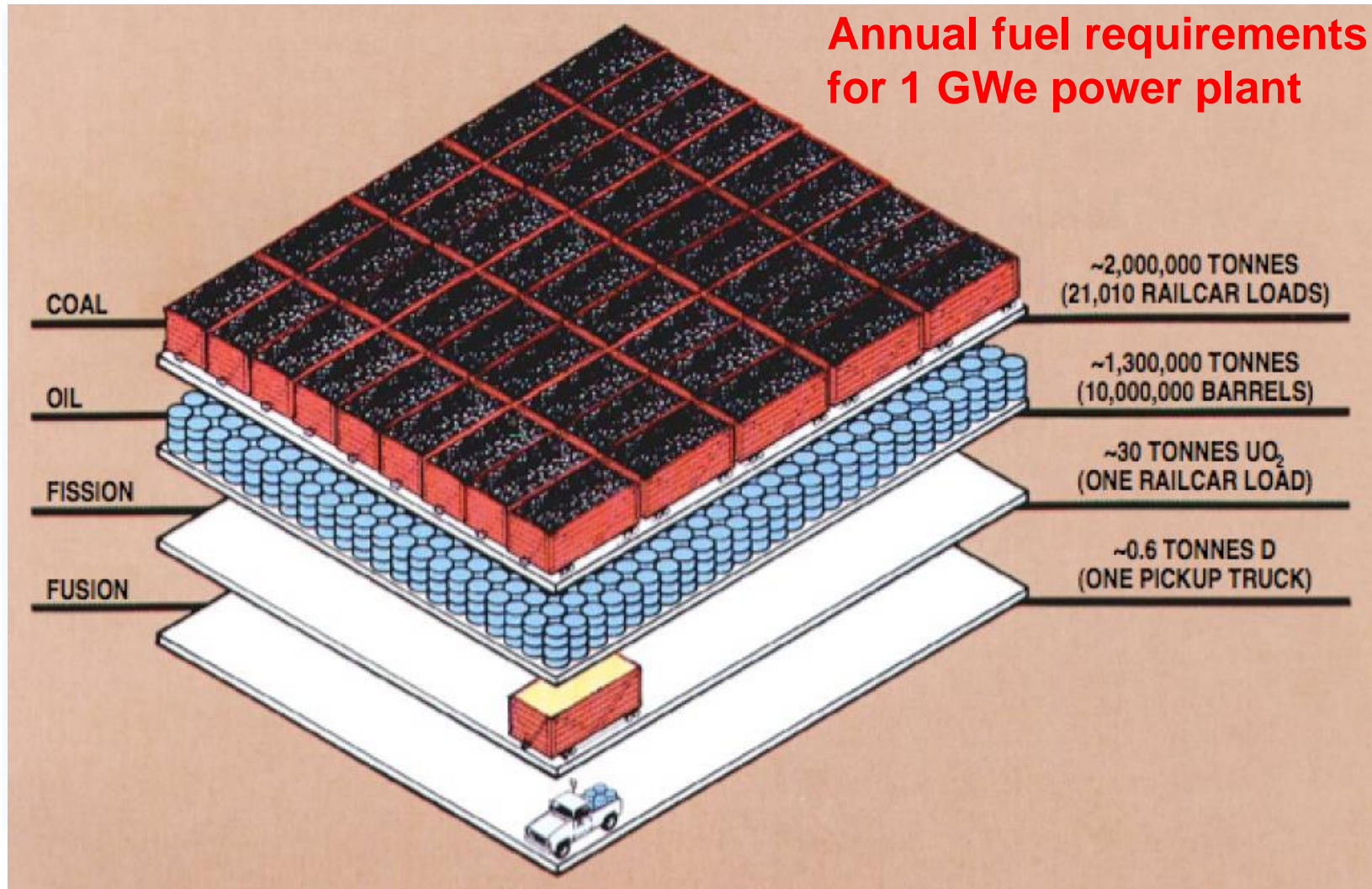


- Reserves in South America
- Potential abundant source of Li also in seawater (via desalination / dialysis)



Why study fusion energy research?

- No carbon emission
- Fuel is abundant (~thousands of years)

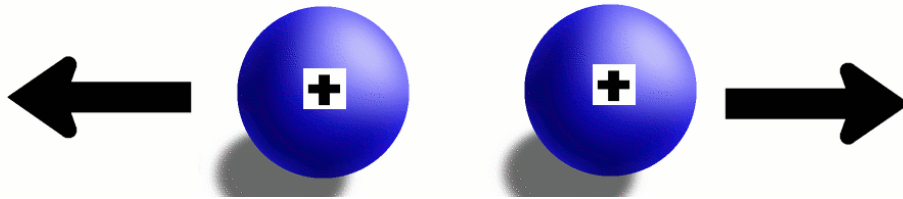


Why study fusion energy research?

- No carbon emission
- Fuel is abundant (~thousands of years)
- Inherently safe – only grams (<minute) of fuel in the device
 - no melt down/runaway concerns
- Very little (and short lived, low-level) radioactive material compared to nuclear fission
- Compared to non-carbon renewables (solar, wind) fusion is compact and continuous (not intermittent)
- **Disadvantages: Hard to do!**

Must overcome repulsive electrostatic force to fuse atomic nuclei

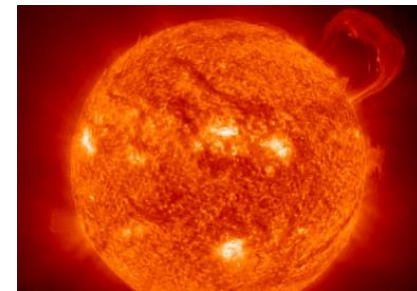
- Force between two charged particles increases as they get closer



$$F = \frac{1}{4\pi\epsilon_0} \frac{Q_1 Q_2}{r^2}$$



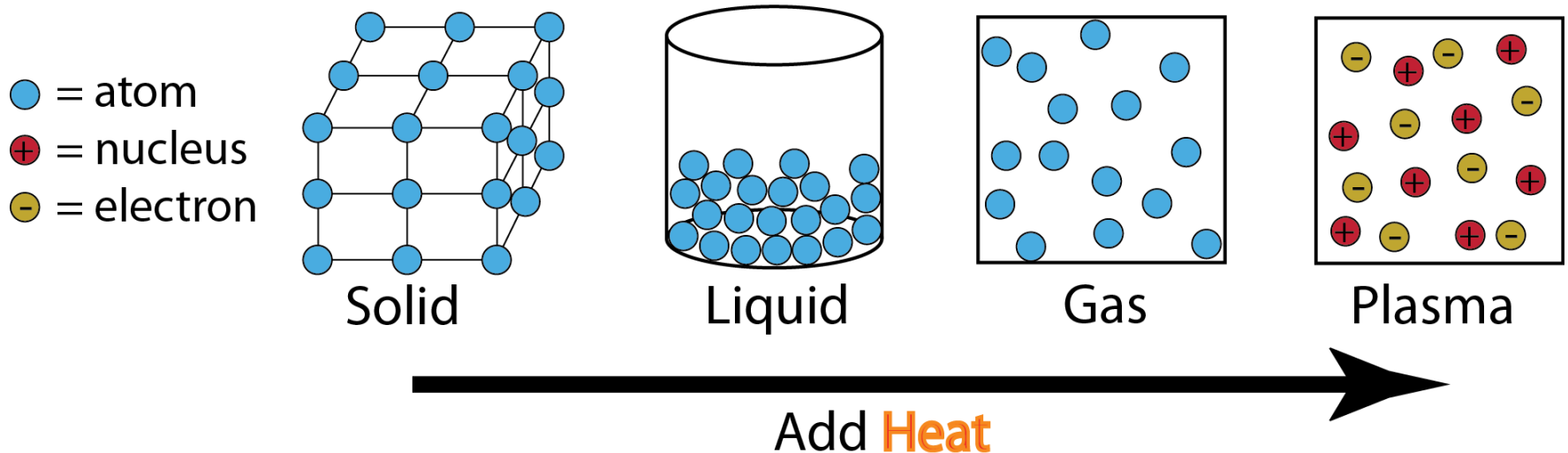
- Temperatures must be ~150 million degrees Celsius**
→ no longer a gas, but a plasma
(Core of the sun ~15 million C)



What is plasma?

Plasma: a gas of charged particles (the fourth state of matter)

States of Matter

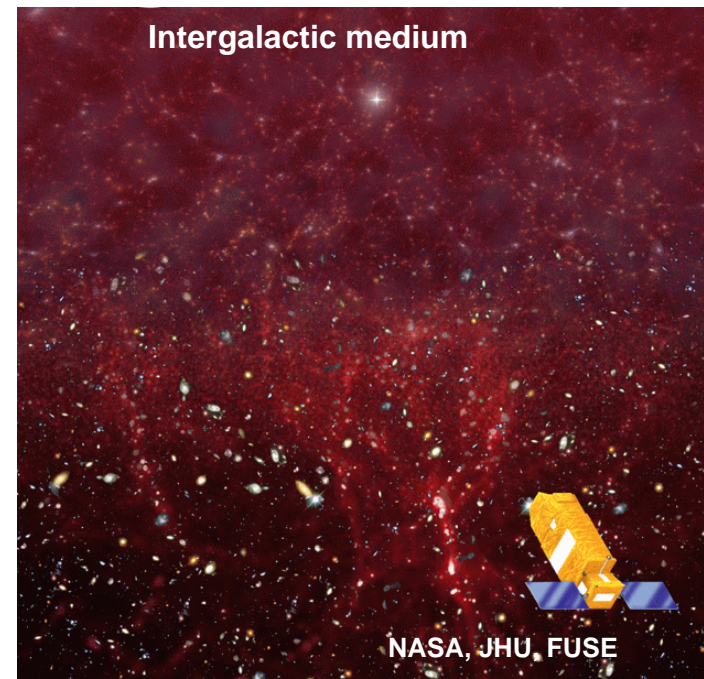
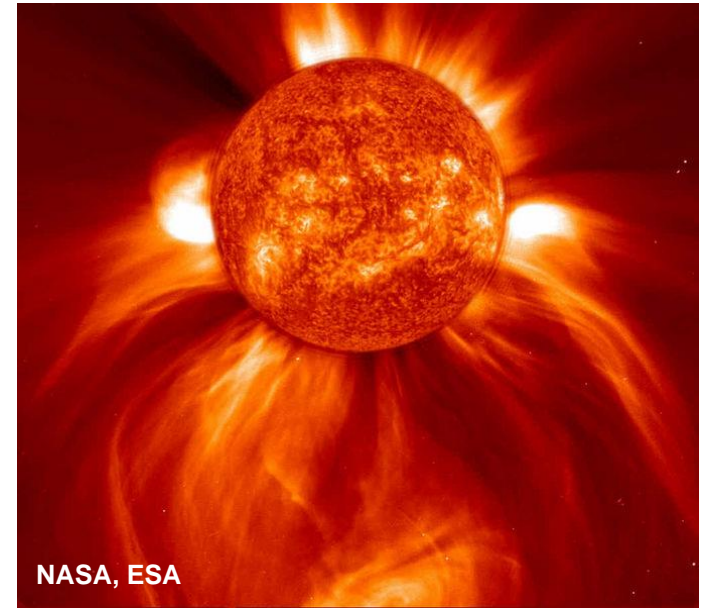
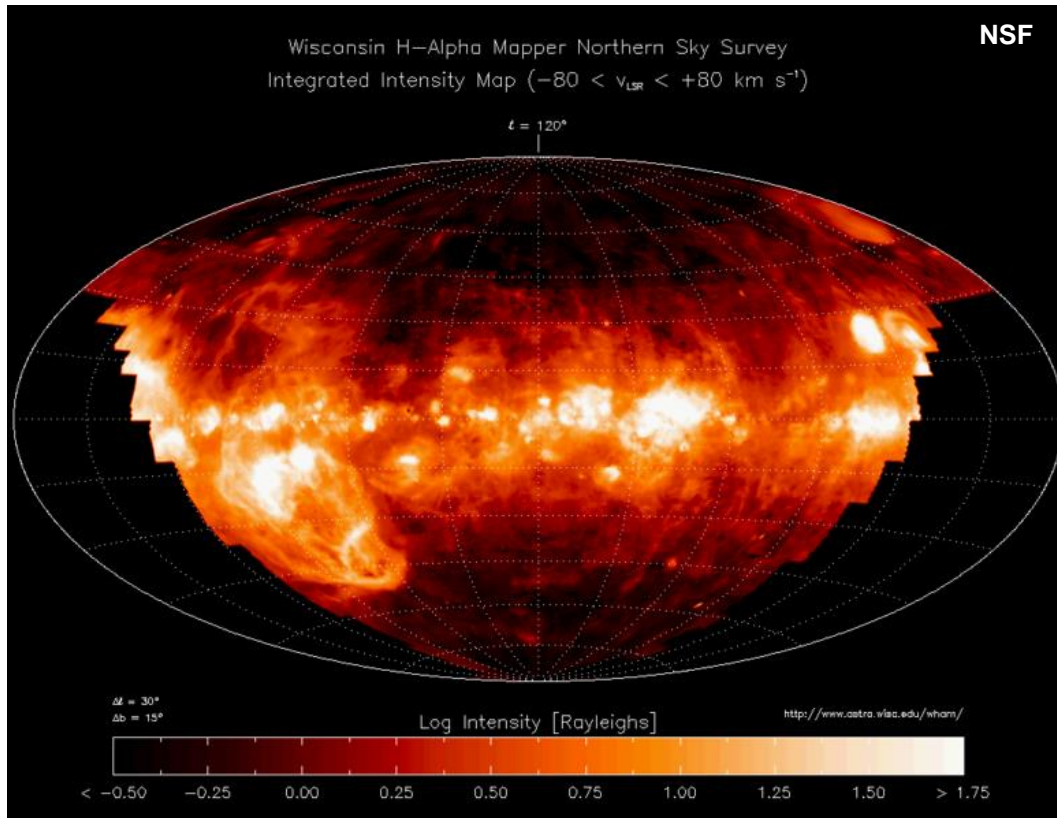


- Plasma behaves qualitatively different than neutral gas due to collective (Coulomb) interactions & interactions with electric (**E**) & magnetic (**B**) fields $\vec{F} = q \left(\vec{E} + \vec{v} \times \vec{B} \right)$

99% of (known) matter in universe is plasma

- Sun, stars, interstellar and intergalactic medium account for most mass and are largely plasma

Ionized gas in the Milky Way



Numerous examples of plasmas on or near earth

lightning



neon signs



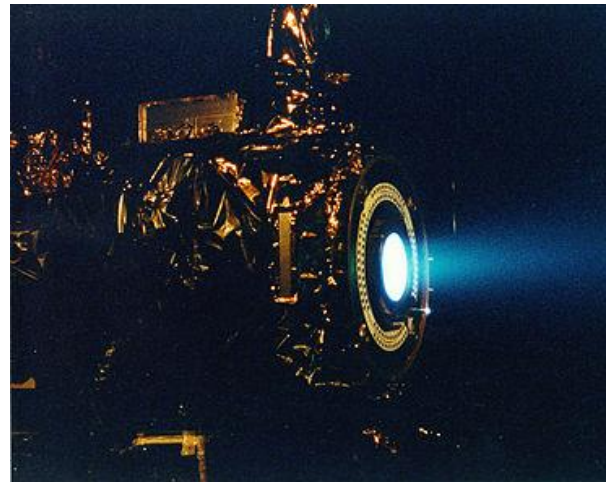
tv



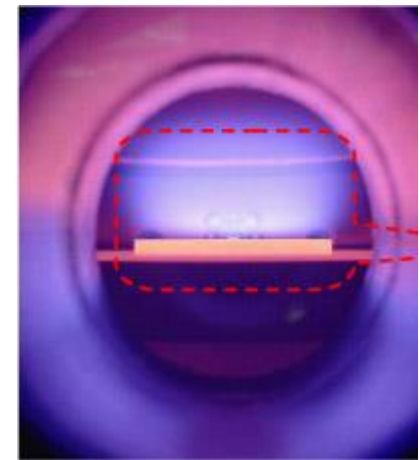
aurora



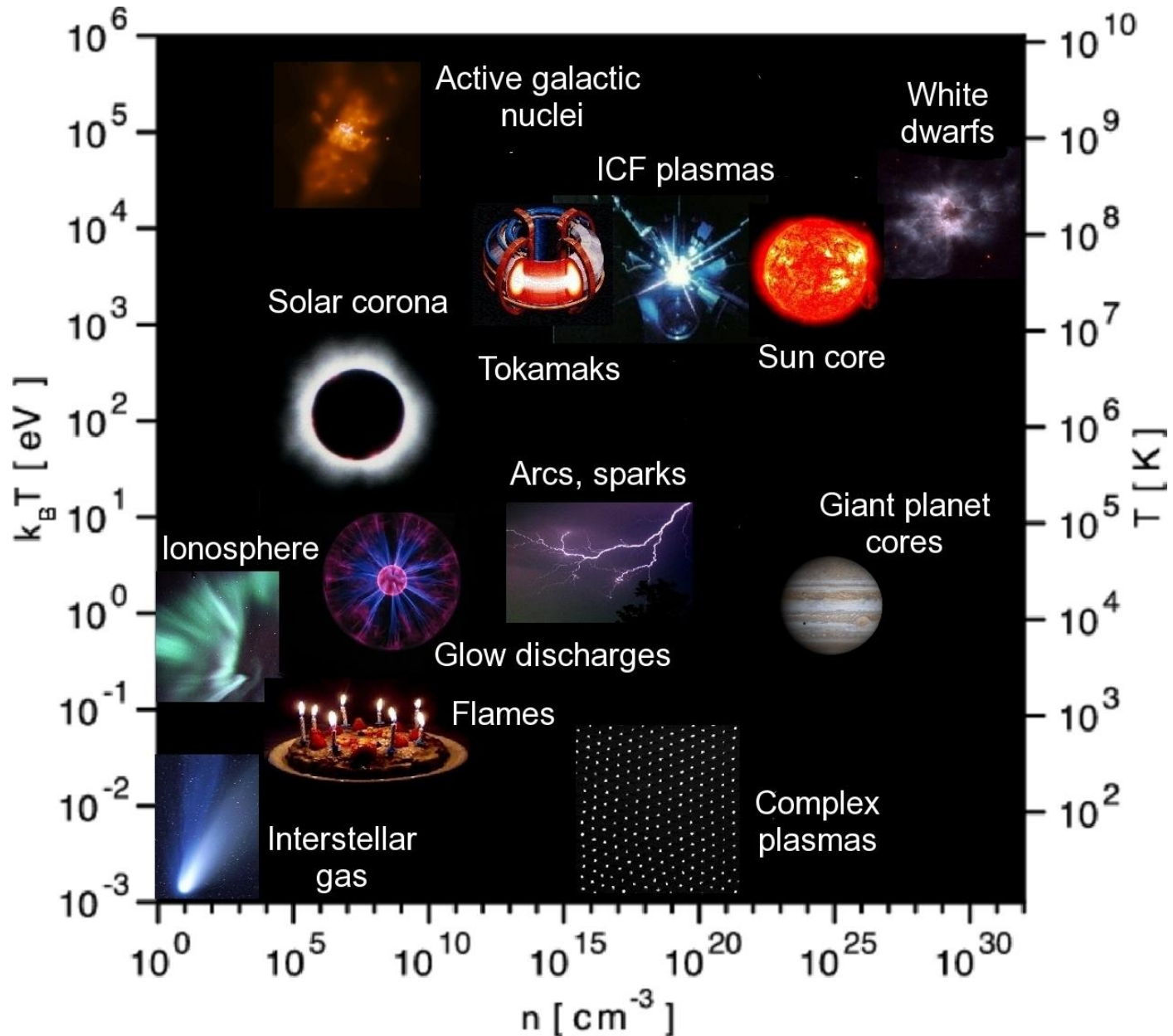
satellite plasma thrusters



semiconductor processing



Plasmas exist over broad range of density and temperature → wide range of physics phenomenon!



How do we create and contain a
hot plasma on earth?

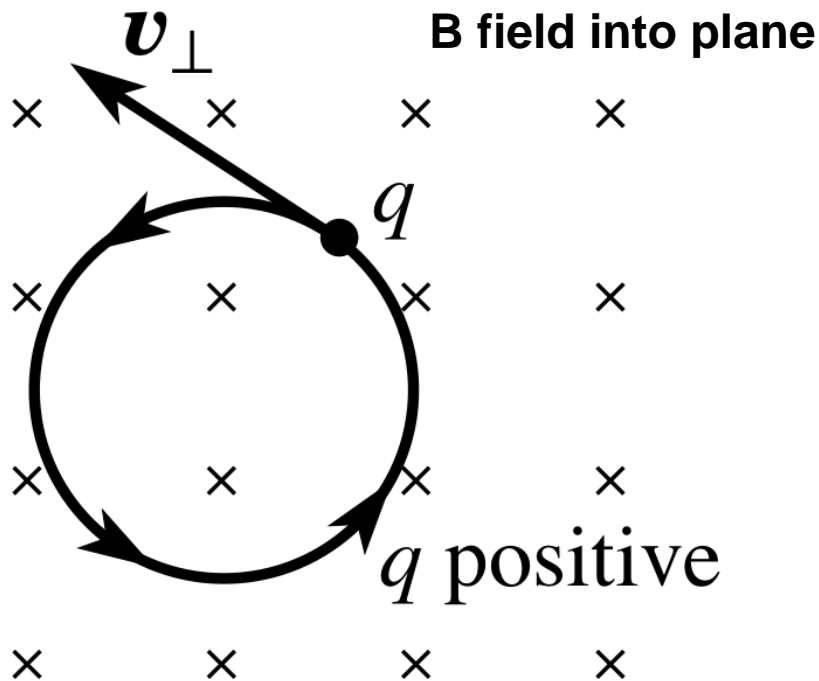
Recipe to create a fusion plasma

- 1. Establish an appropriate magnetic field**
- 2. Inject appropriate gases (in a container at vacuum pressure)**
- 3. Heat the gases**

Charged particles experience Lorentz force in a magnetic field → gyro-orbits

$$\vec{F} = q \left(\vec{E} + \vec{v} \times \vec{B} \right)$$

- Magnetic force acts perpendicular to direction of particle
→ Particles follow circular gyro-orbits



$$f_{\text{gyro}} = \frac{1}{2\pi} \frac{eB}{m}$$

$$f_{\text{gyro}} \sim 10^7 / 10^{10} \text{ Hz}$$

(for deuteron / electron,
B=5 T)

Magnetic field confines particles away from boundaries

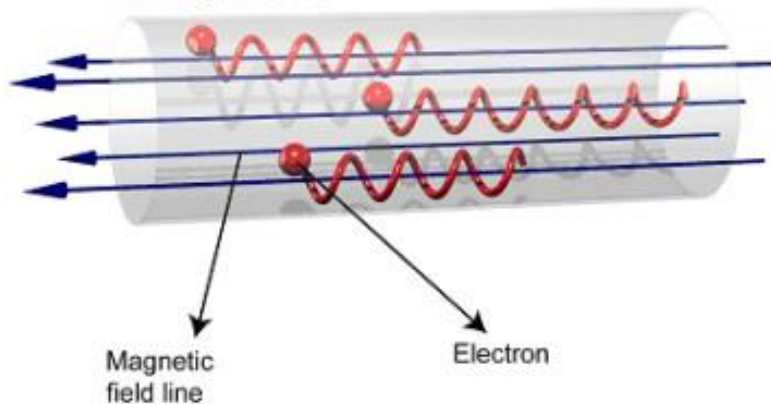
For a 5 Tesla magnetic field,
100 million C plasma

ion radius ~ 3 mm
electron radius ~ 0.05 mm << 1-2 meter
device size

No magnetic field



With magnetic field



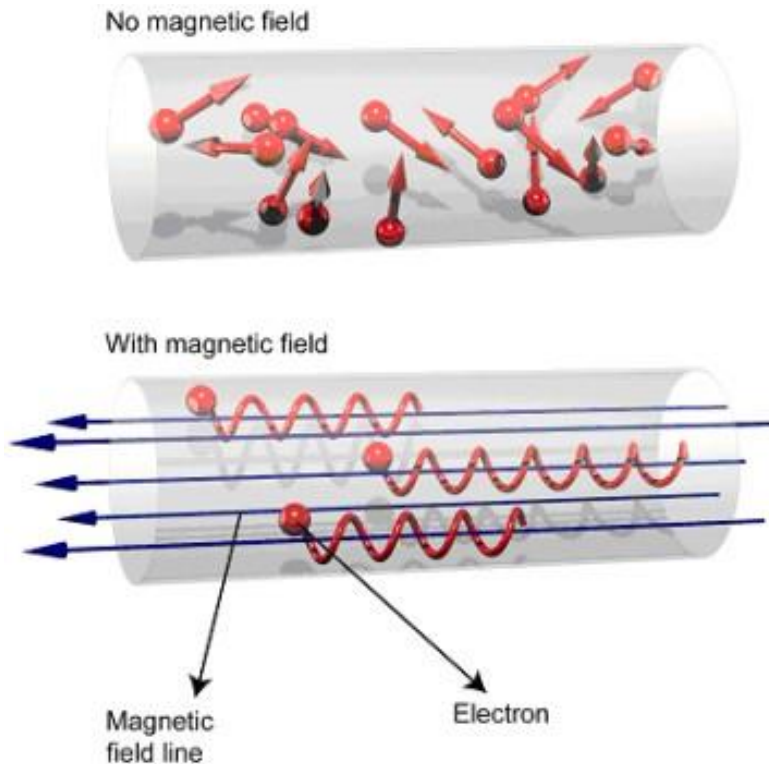
Magnetic field confines particles away from boundaries

For a 5 Tesla magnetic field,
100 million C plasma

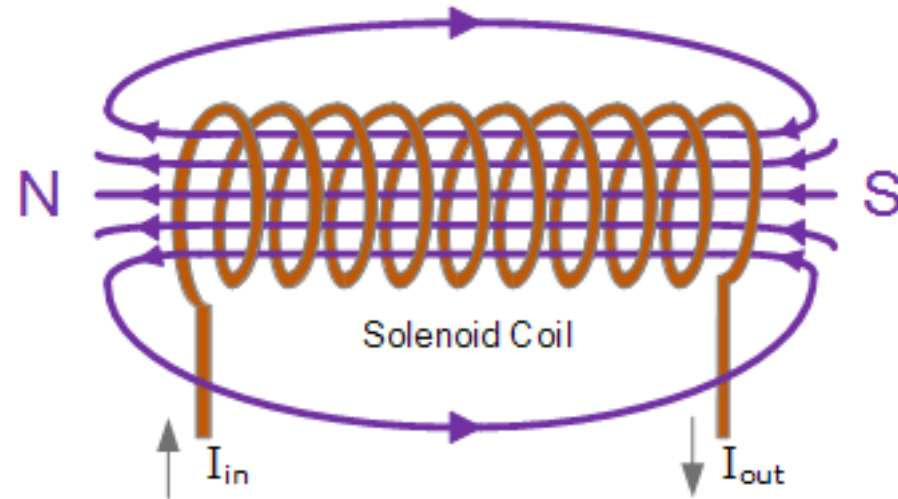
ion radius ~ 3 mm
electron radius ~ 0.05 mm \ll 1-2 meter device size

For comparison

- Earth's magnetic field – $50 \mu\text{T}$
- MRI – 1-5 T
- Junkyard magnet – 1-2 T

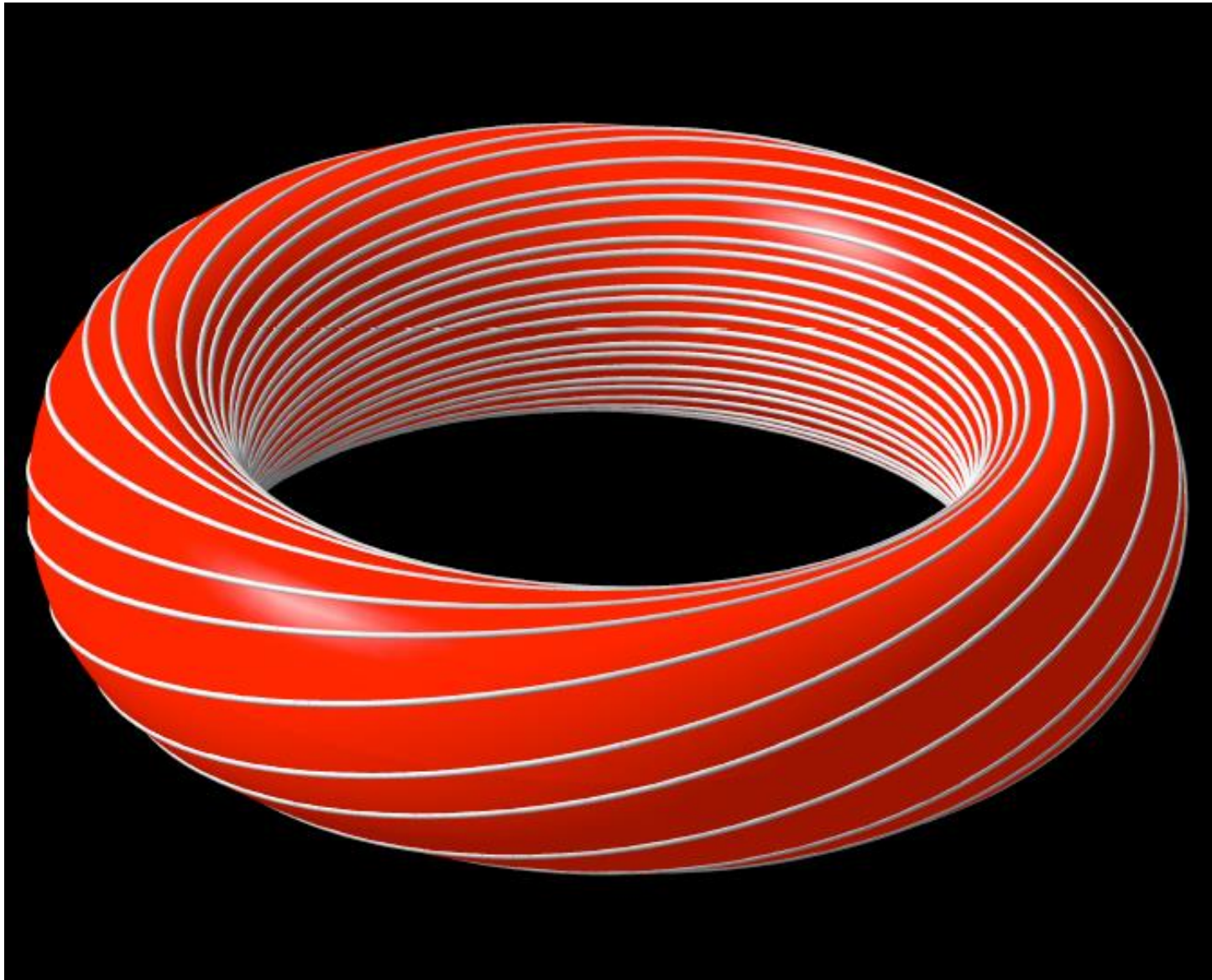


Electromagnetic field due to the flow of current



**But particles easily lost from ends
(very low collision frequency $\sim 1/T^{3/2}$,
 $\lambda_{MFP} \sim \text{km's} \gg \text{device size}$)**

Solution: bend the field into a donut-shaped torus

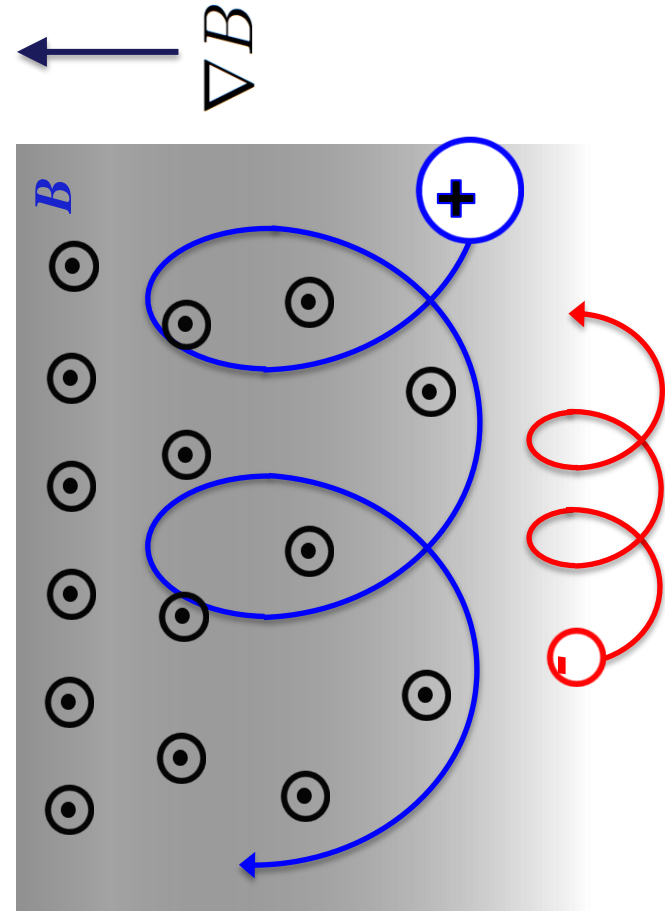


But toroidicity leads to vertical drifts from ∇B & curvature

$$B \sim \frac{1}{R}$$

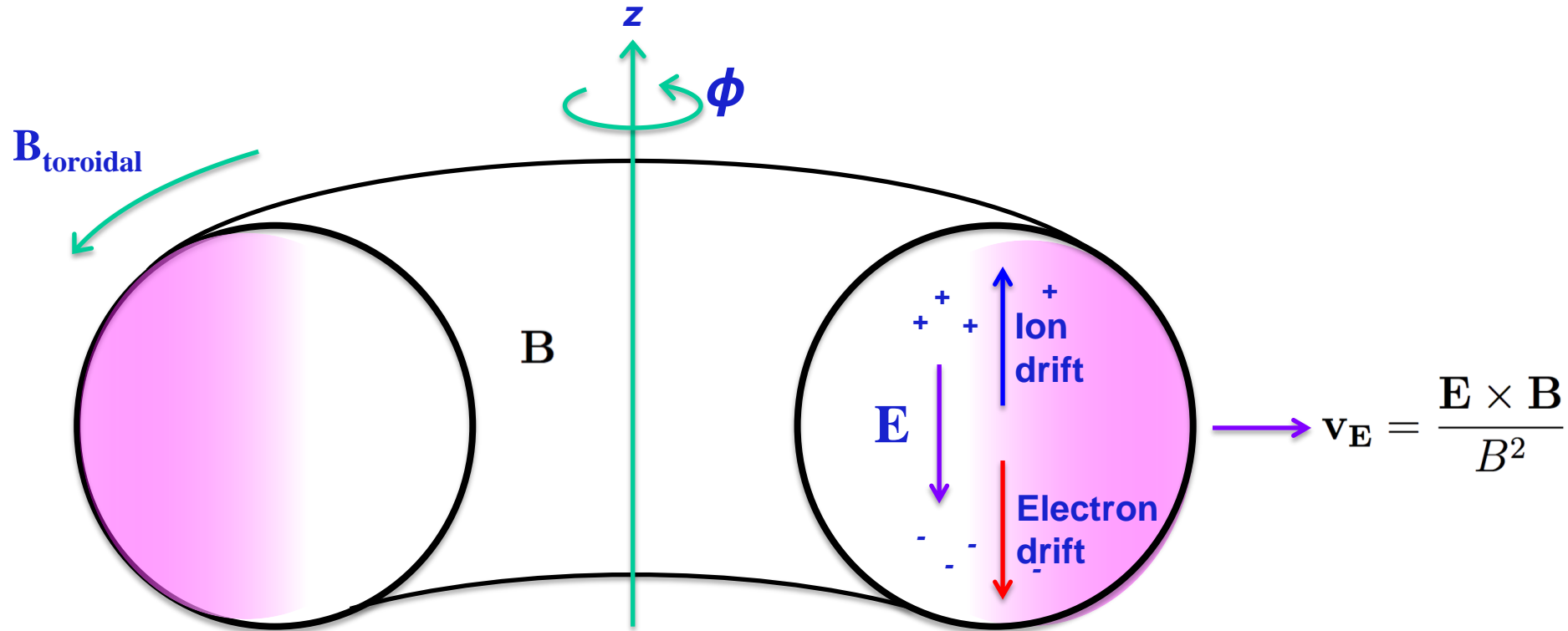
$$\mathbf{F} = q\mathbf{v} \times \mathbf{B}$$

$$v_{\nabla B} \approx \frac{T}{qBR} (\hat{Z})$$



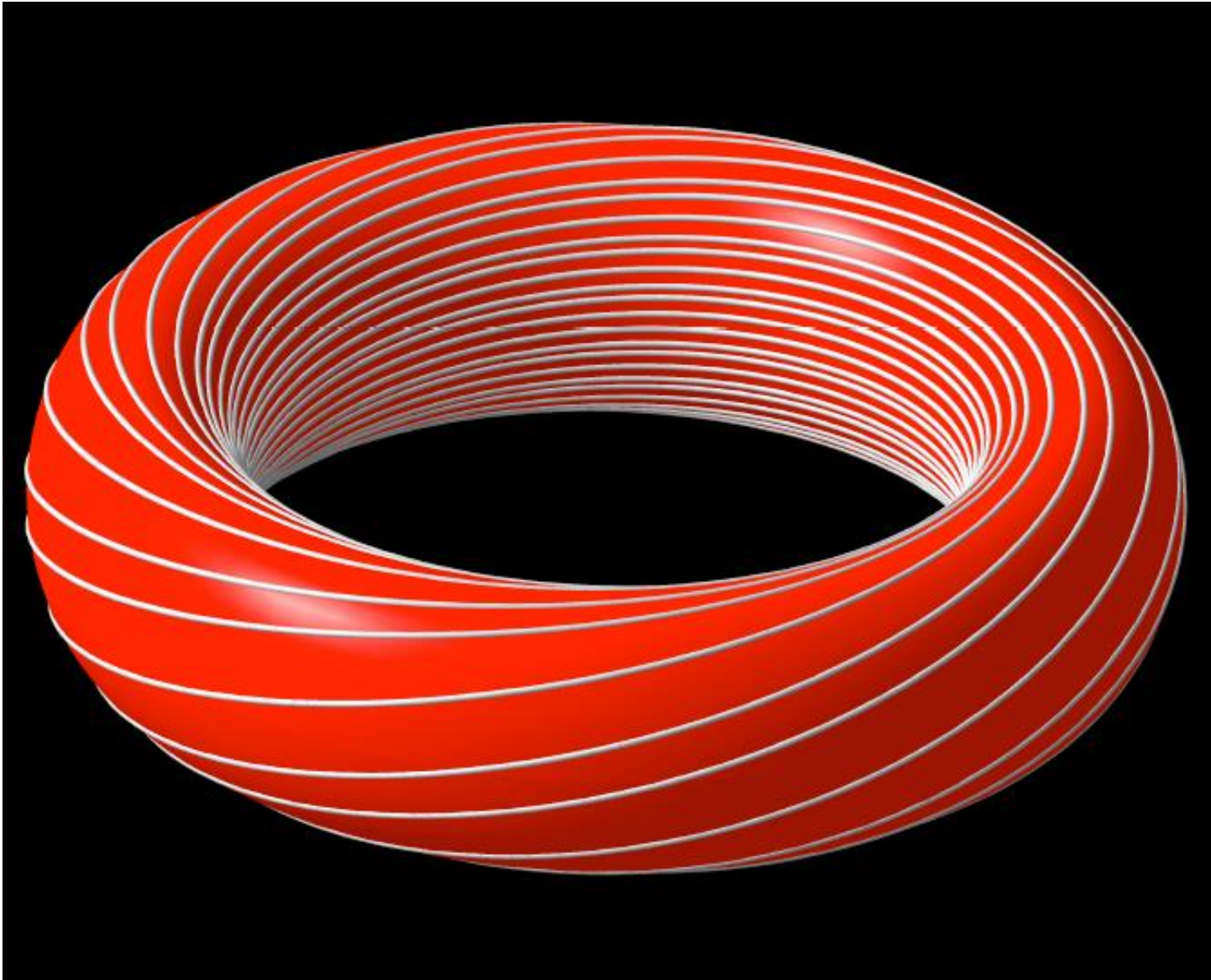
$\tau_{\text{loss}} \sim 5 \text{ ms}$ from vertical drifts ($B \sim 5 \text{ T}$, $R \sim 5 \text{ m}$, $T \sim 15 \text{ keV}$)

Even worse, charge separation leads to faster $\mathbf{E} \times \mathbf{B}$ drifts out to the walls



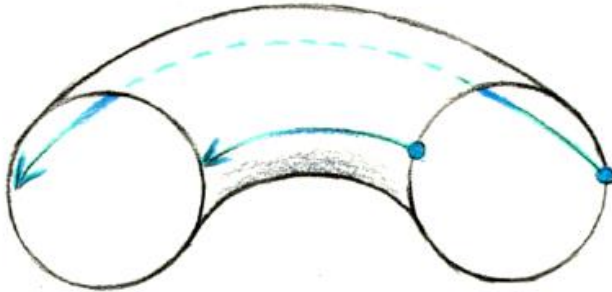
$\tau_{\text{loss}} \sim \mu\text{s}$ from $\mathbf{E} \times \mathbf{B}$ drifts (due to charge separation from vertical drifts)

Solution: need a helical magnetic field for confined particle orbits

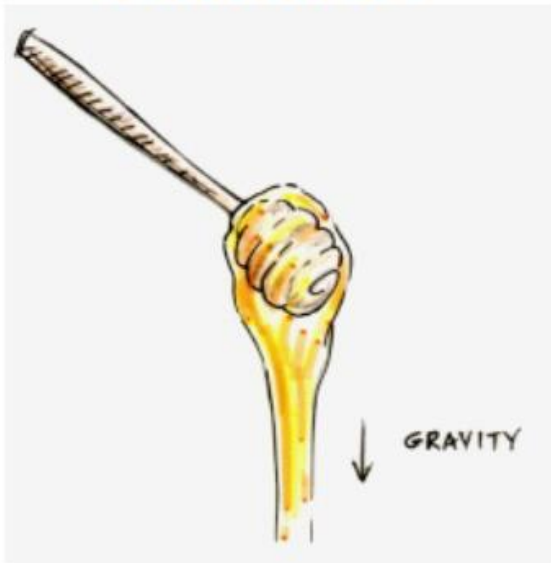


Helical B field carries plasma from “bad curvature” region to “good curvature” region

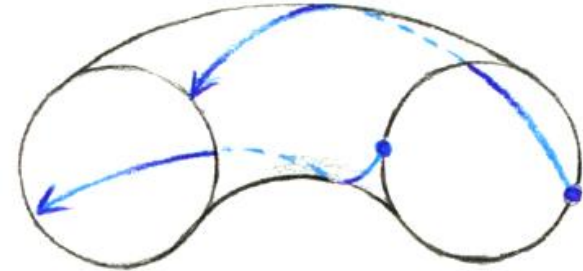
PURELY TOROIDAL \underline{B}



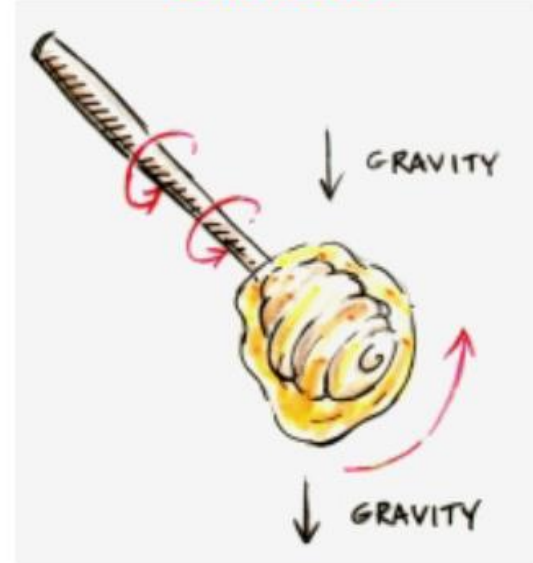
Unstable



TWISTING \underline{B}



Stable

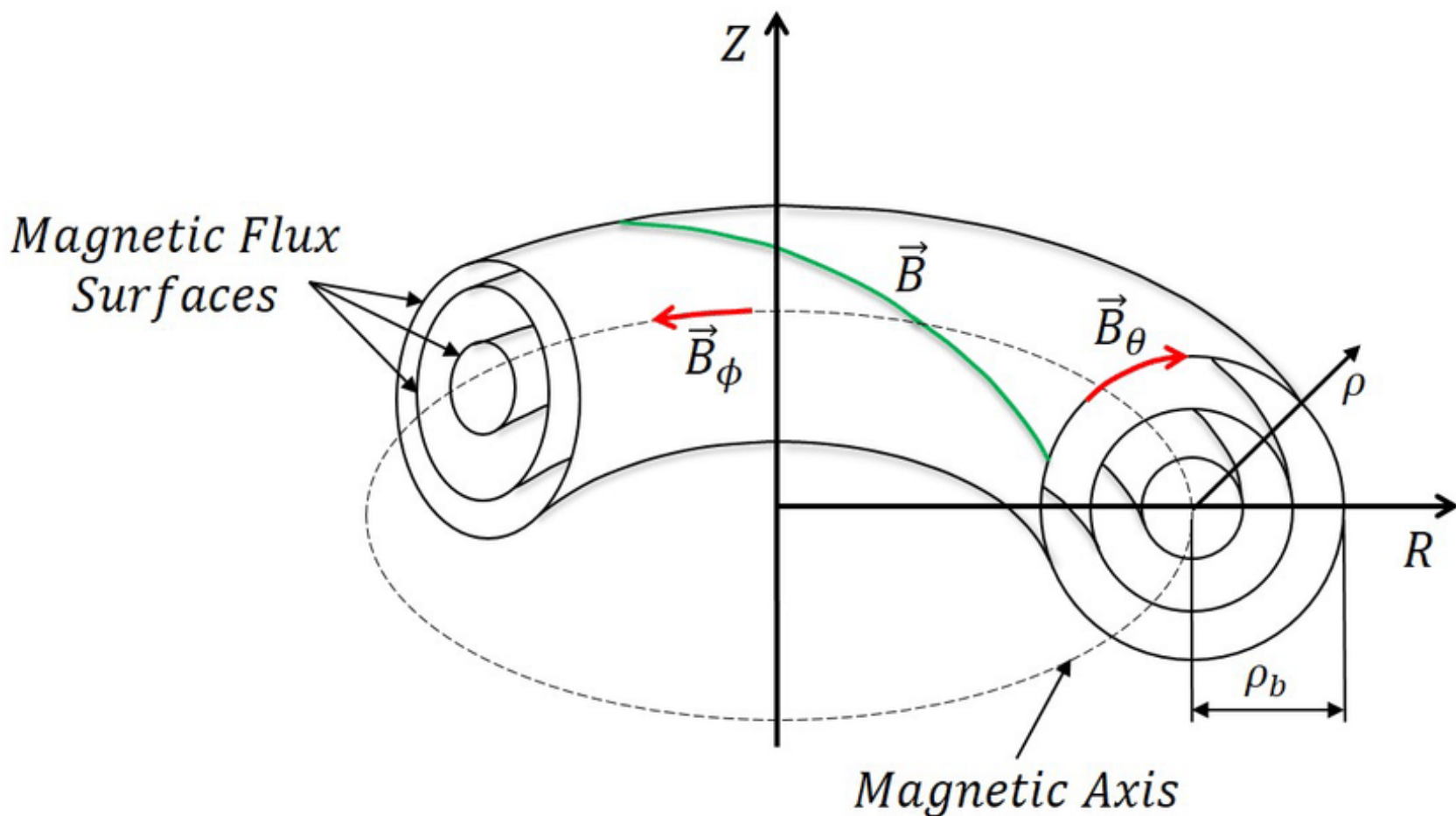


Similar to how honey dipper prevents honey from dripping

Equilibrium establishes closed, nested magnetic “flux surfaces”

Governed by magnetic hydrodynamic (MHD) force balance:

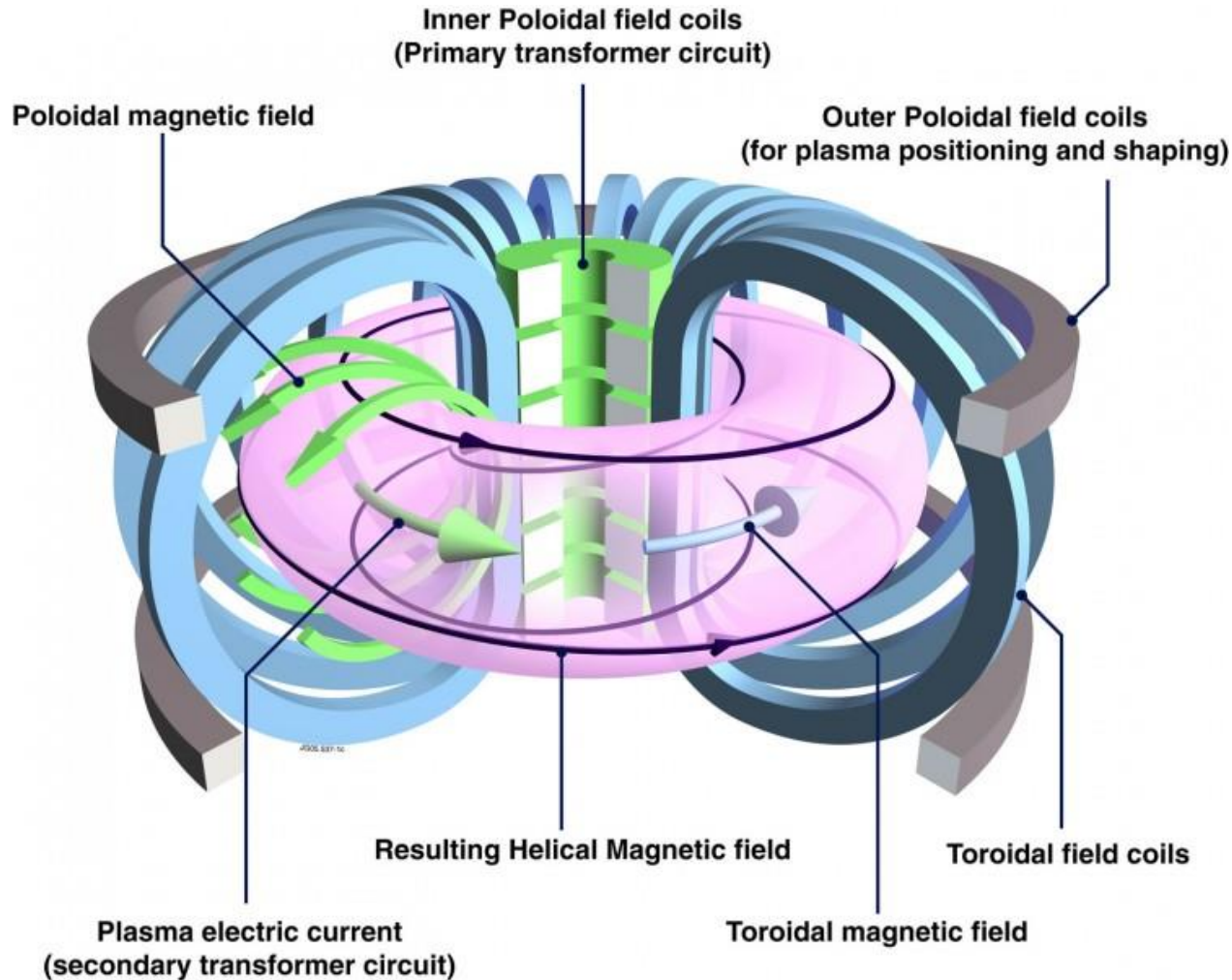
$$\mathbf{J} \times \mathbf{B} = \nabla P$$



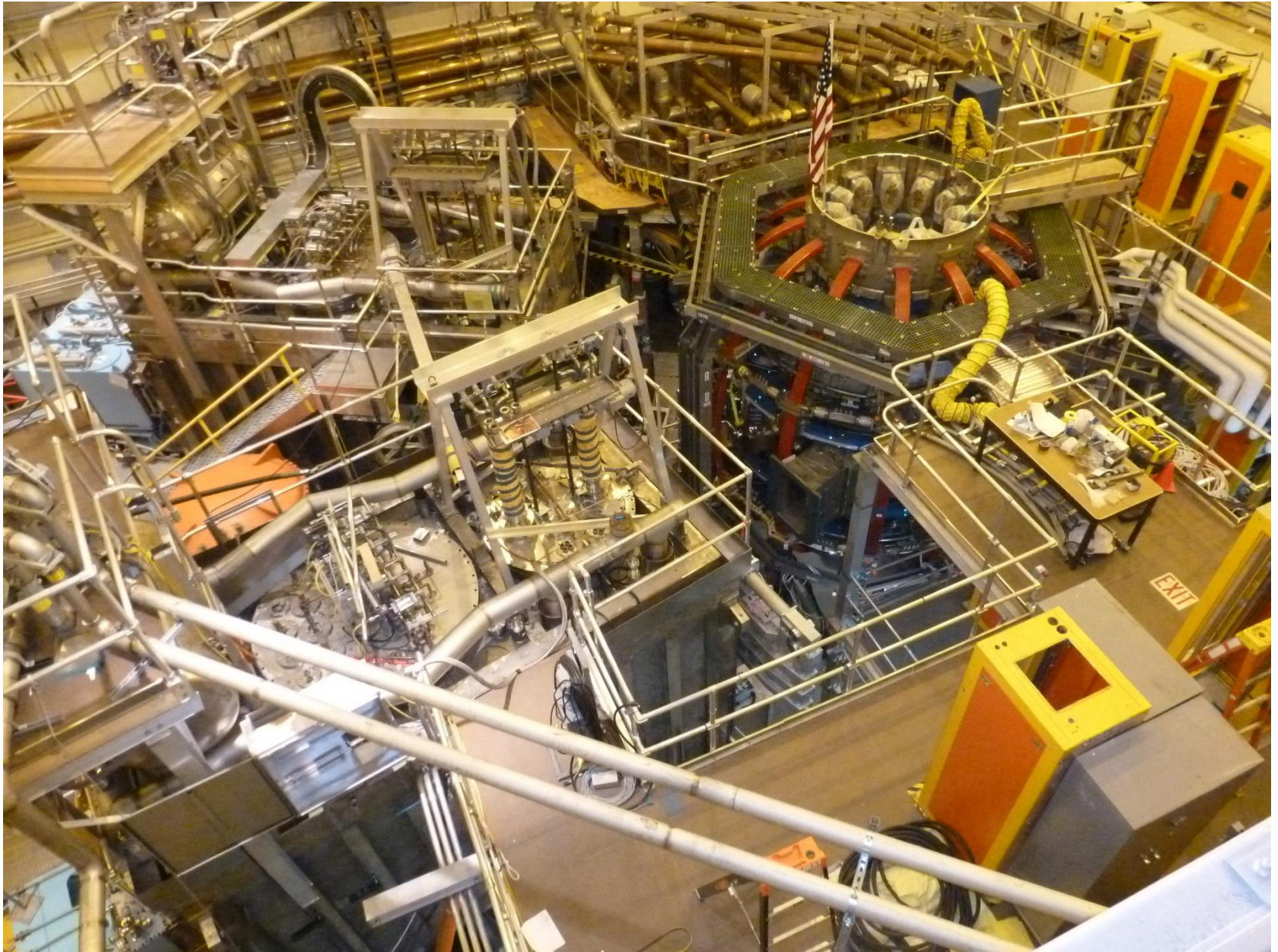
**How do we create the
helical magnetic field?**

The Tokamak (Russian acronym ~ “toroidal chamber with magnetic coils”)

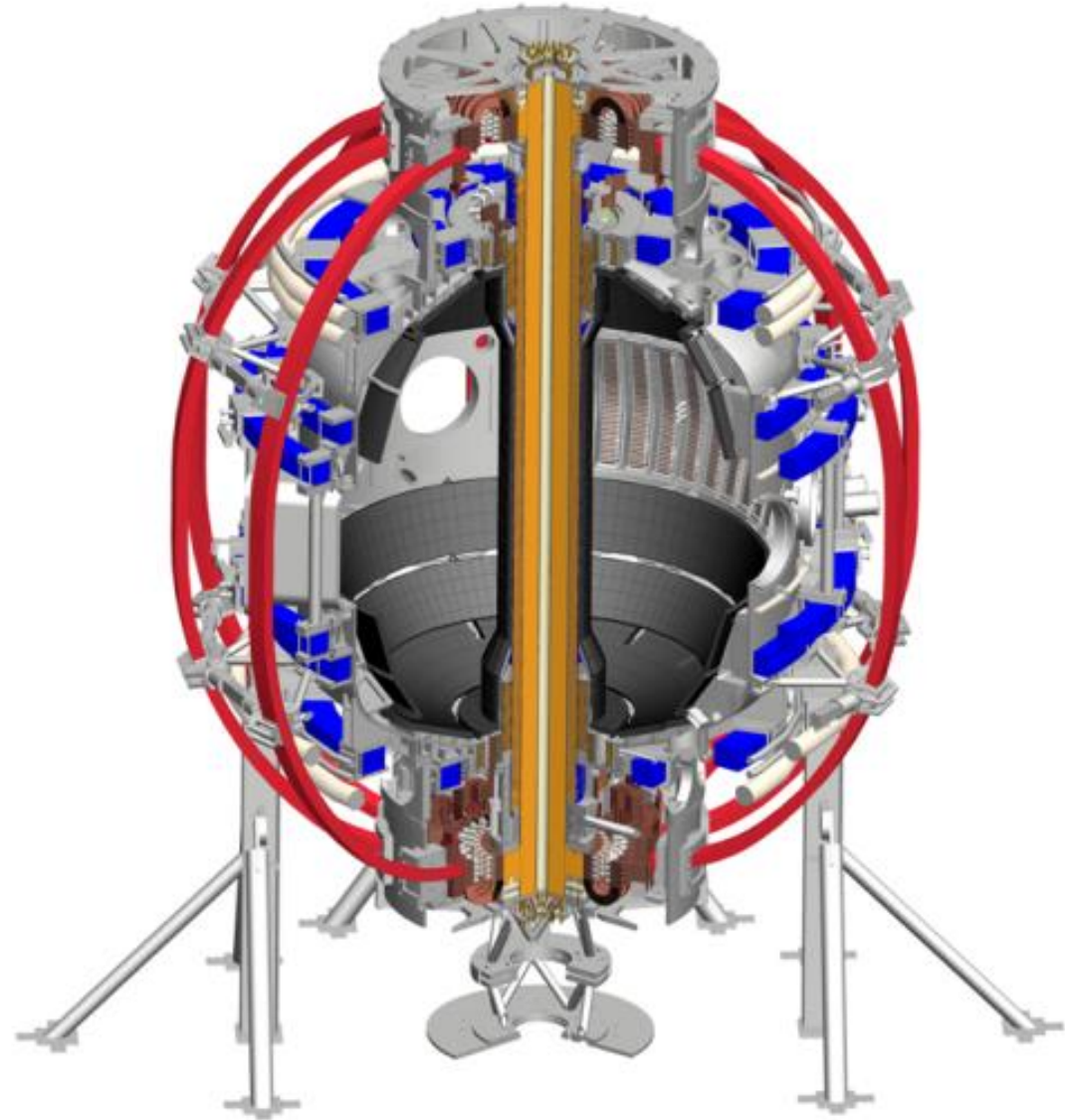
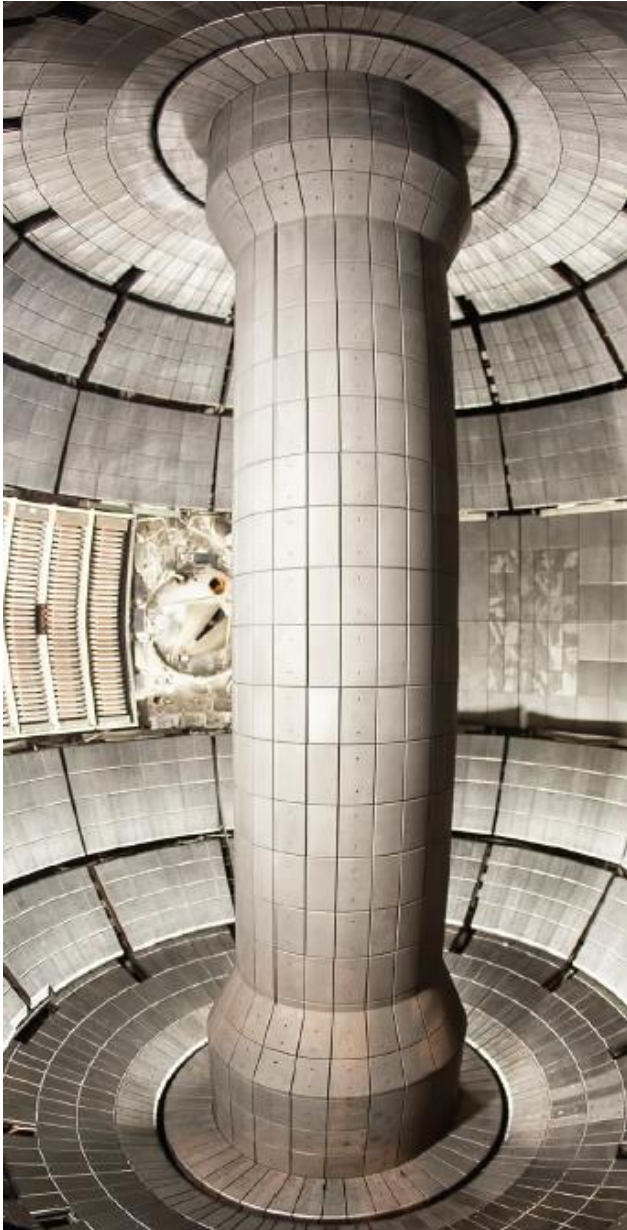
- Toroidal field from external coils + poloidal field from plasma current → helical field



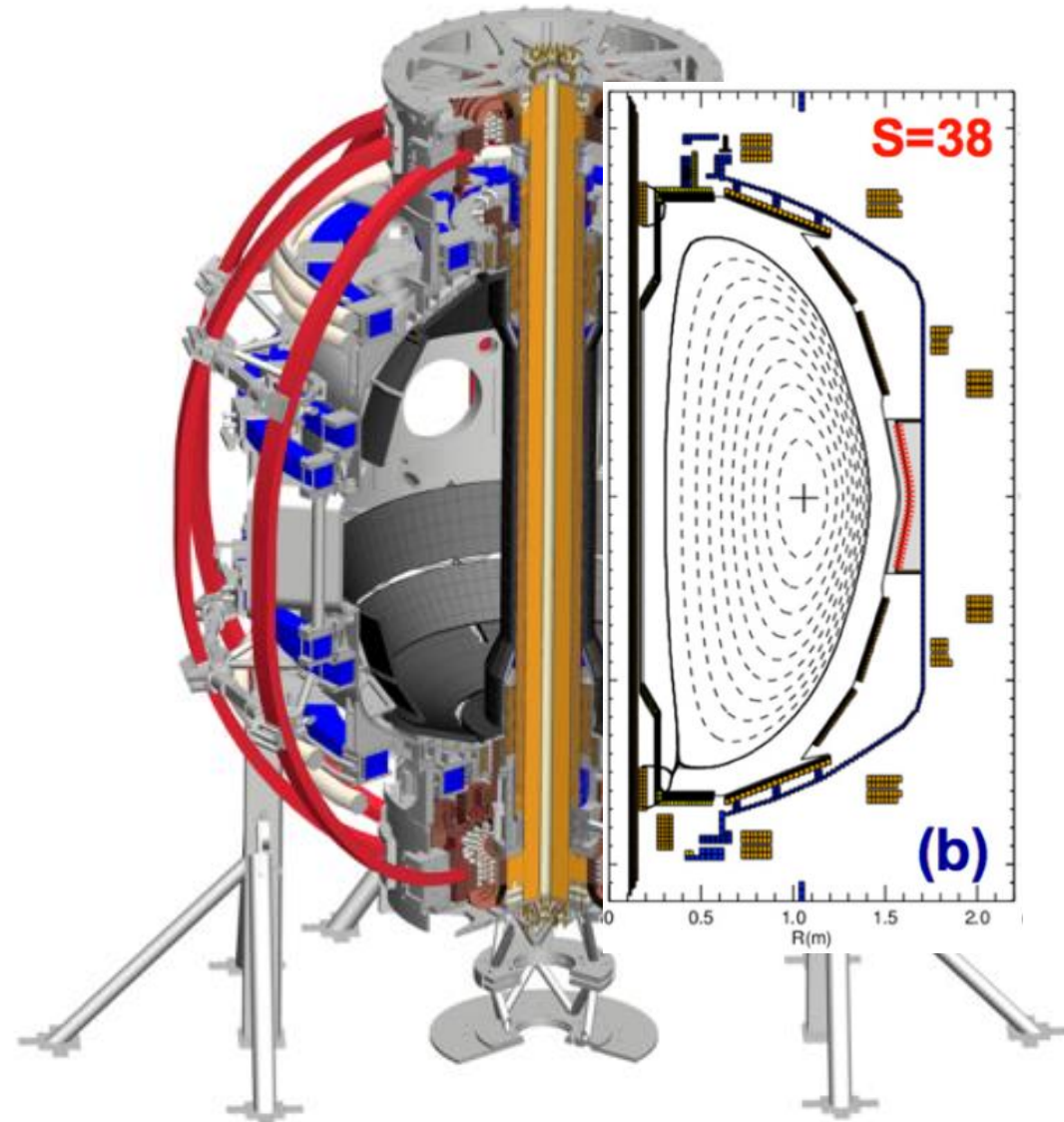
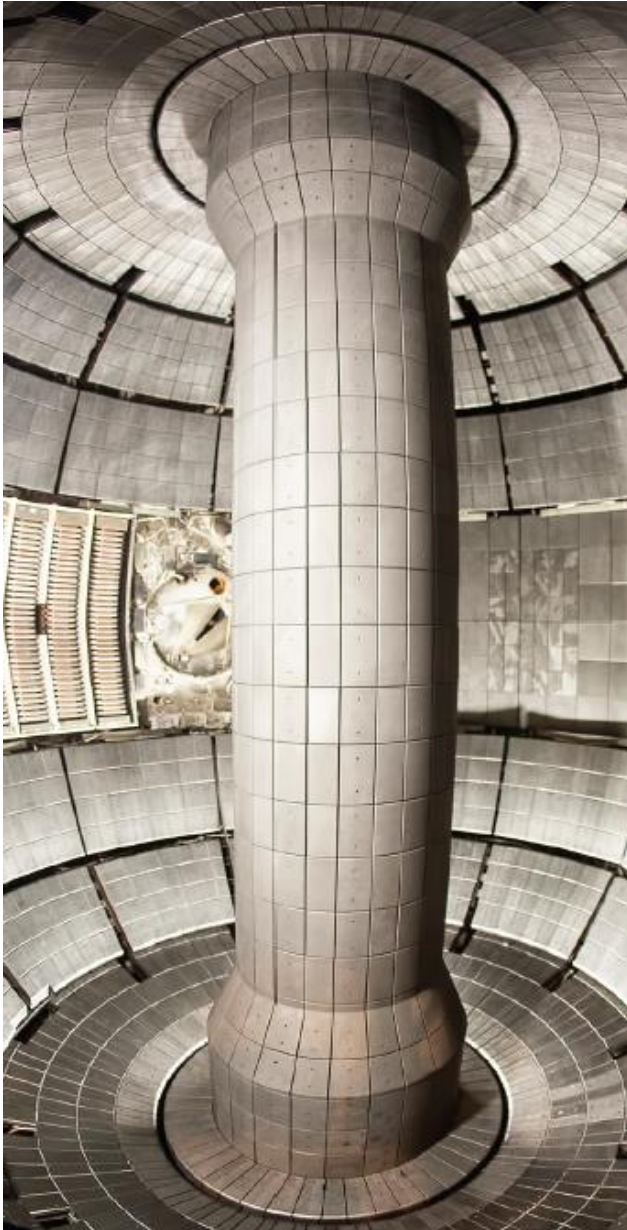
At Princeton Plasma Physics Lab (PPPL): National Spherical Torus Experiment-Upgrade (NSTX-U)

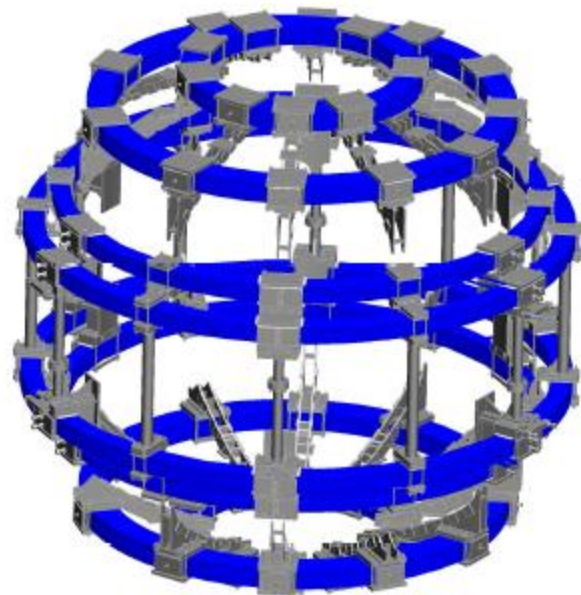
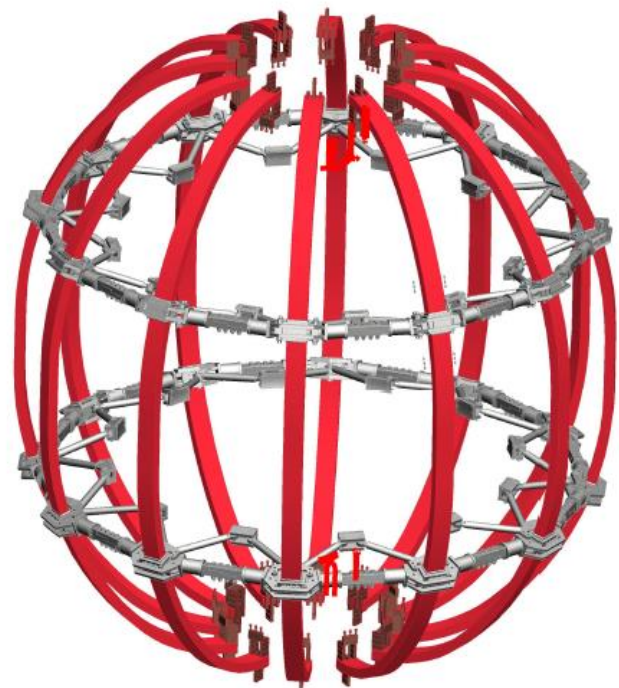
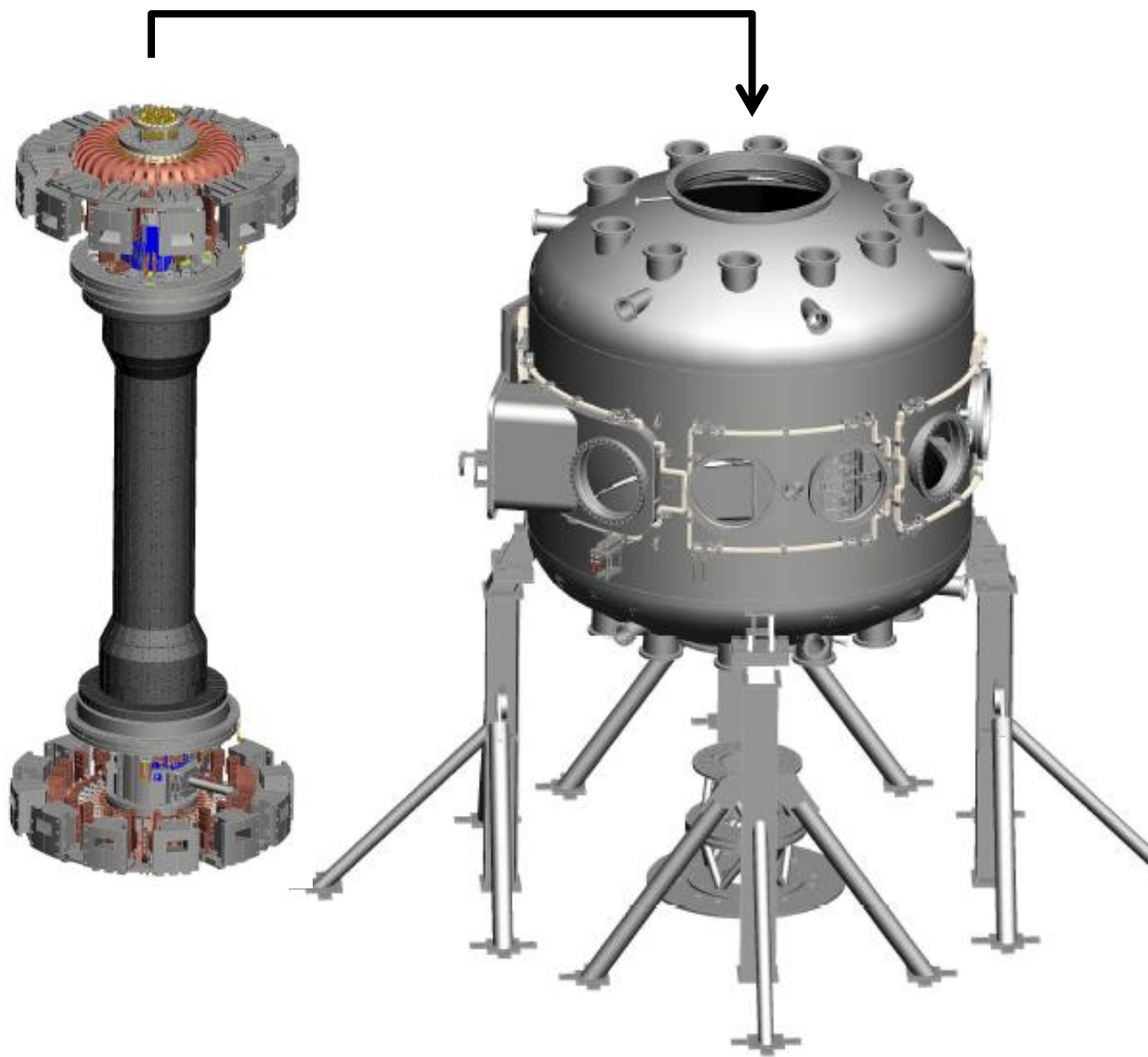


At PPPL: National Spherical Torus Experiment-Upgrade (NSTX-U)



At PPPL: National Spherical Torus Experiment-Upgrade (NSTX-U)

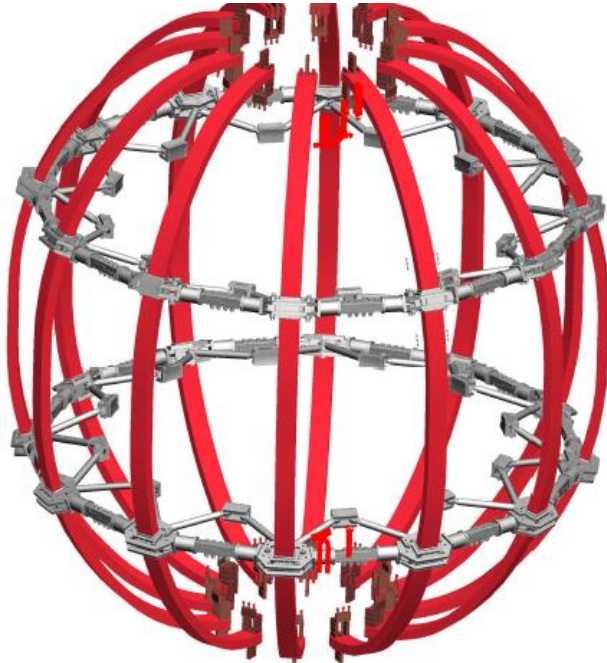




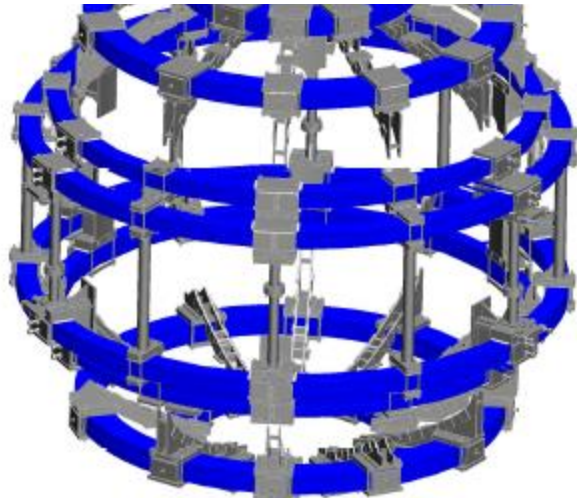
Solenoid for inductive current drive



Toroidal field coils



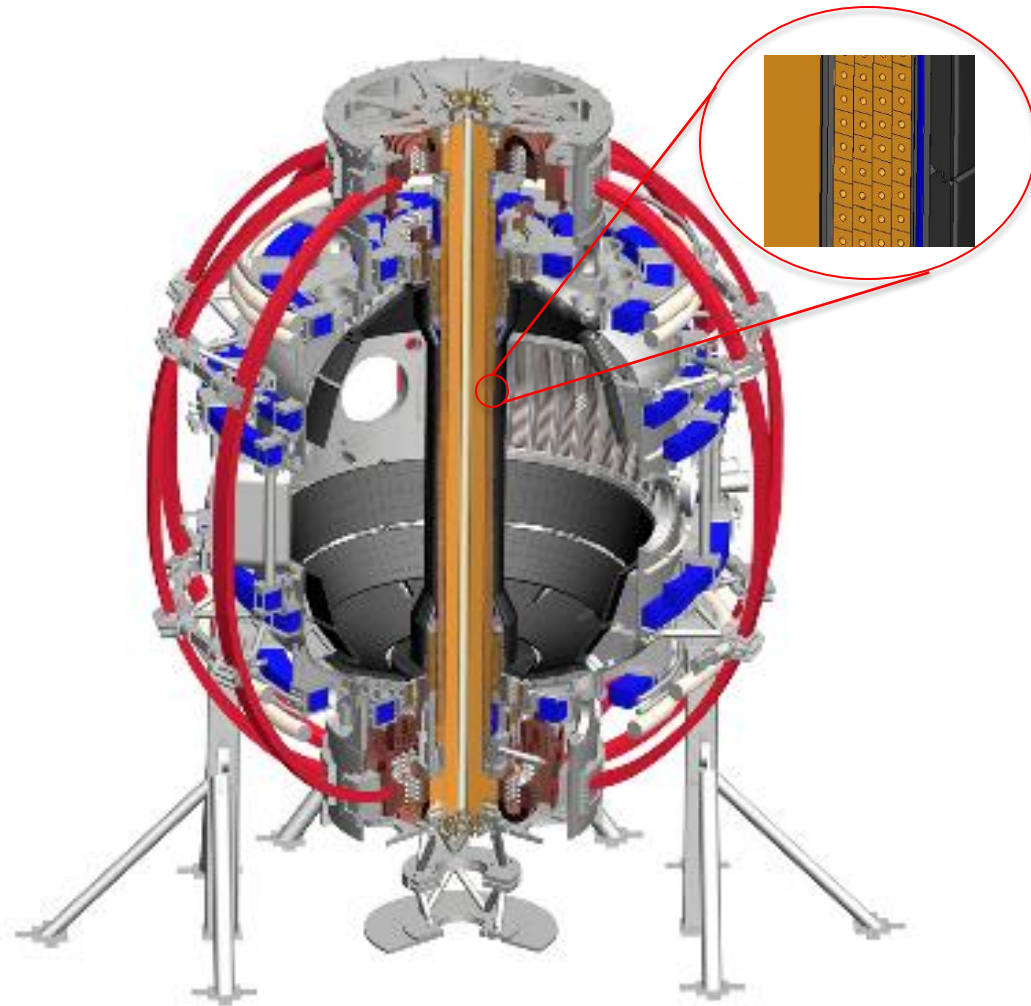
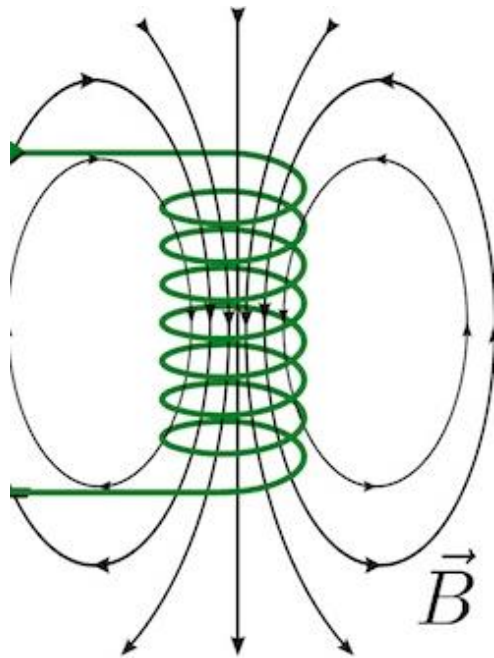
Shaping coils



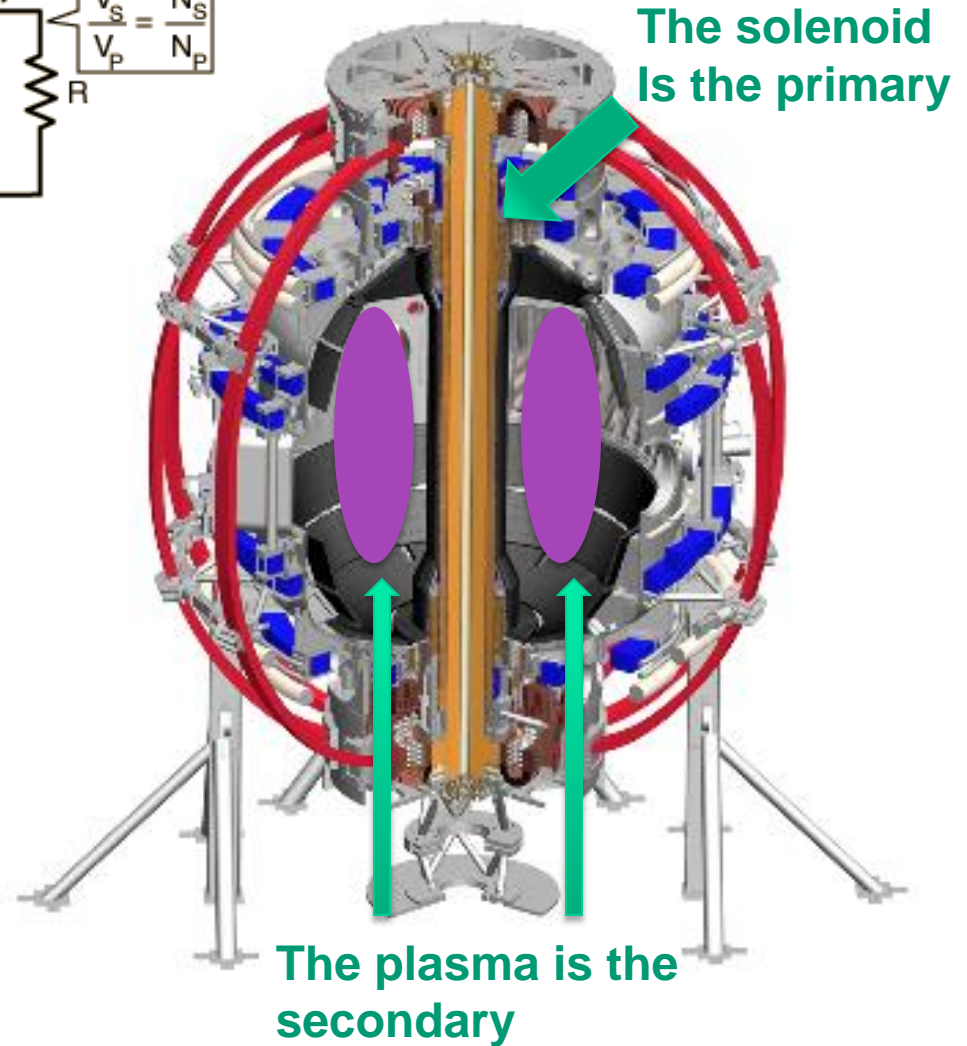
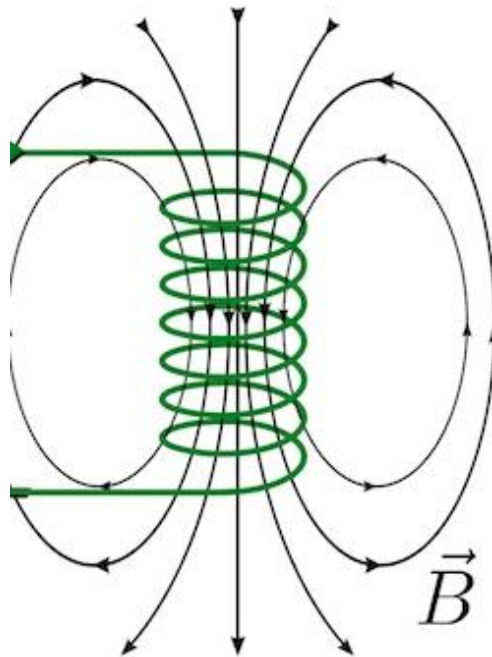
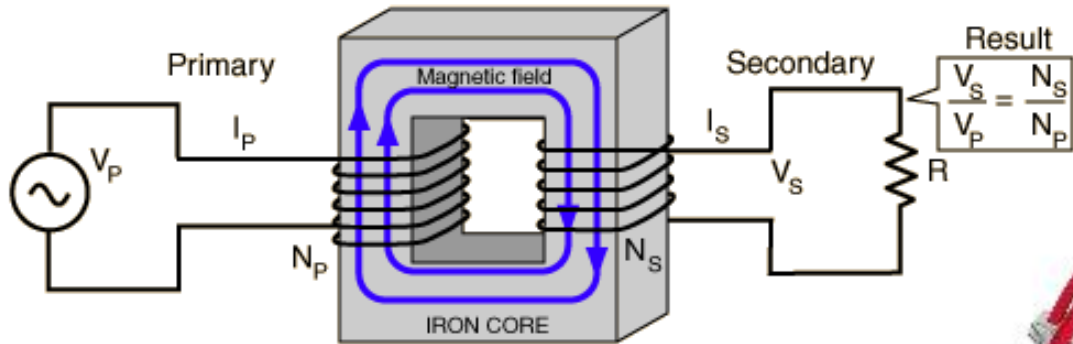
Vacuum vessel



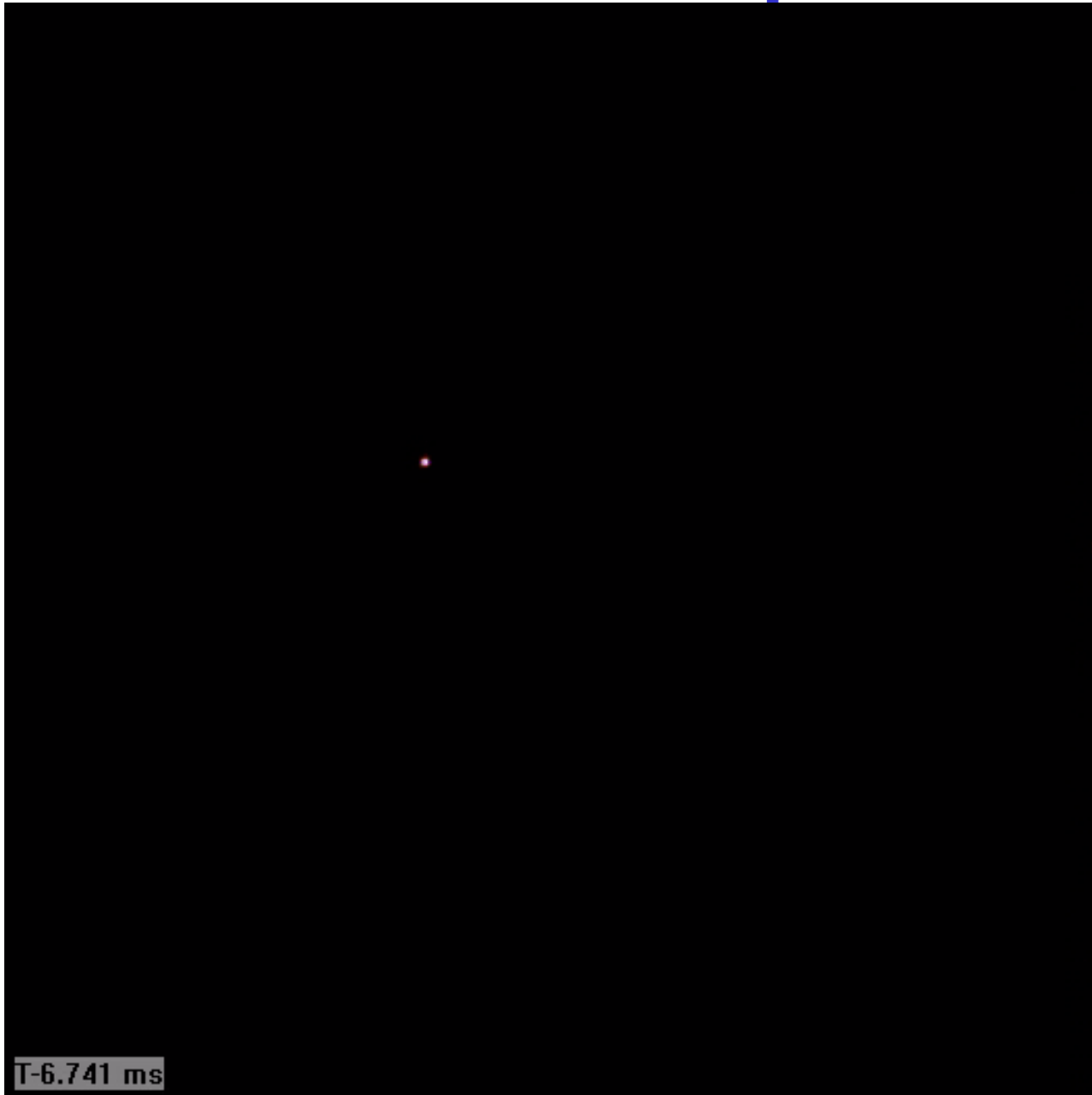
Plasma current induced through solenoid via transformer action (Faraday's law of induction)



Plasma current induced through solenoid via transformer action (Faraday's law of induction)

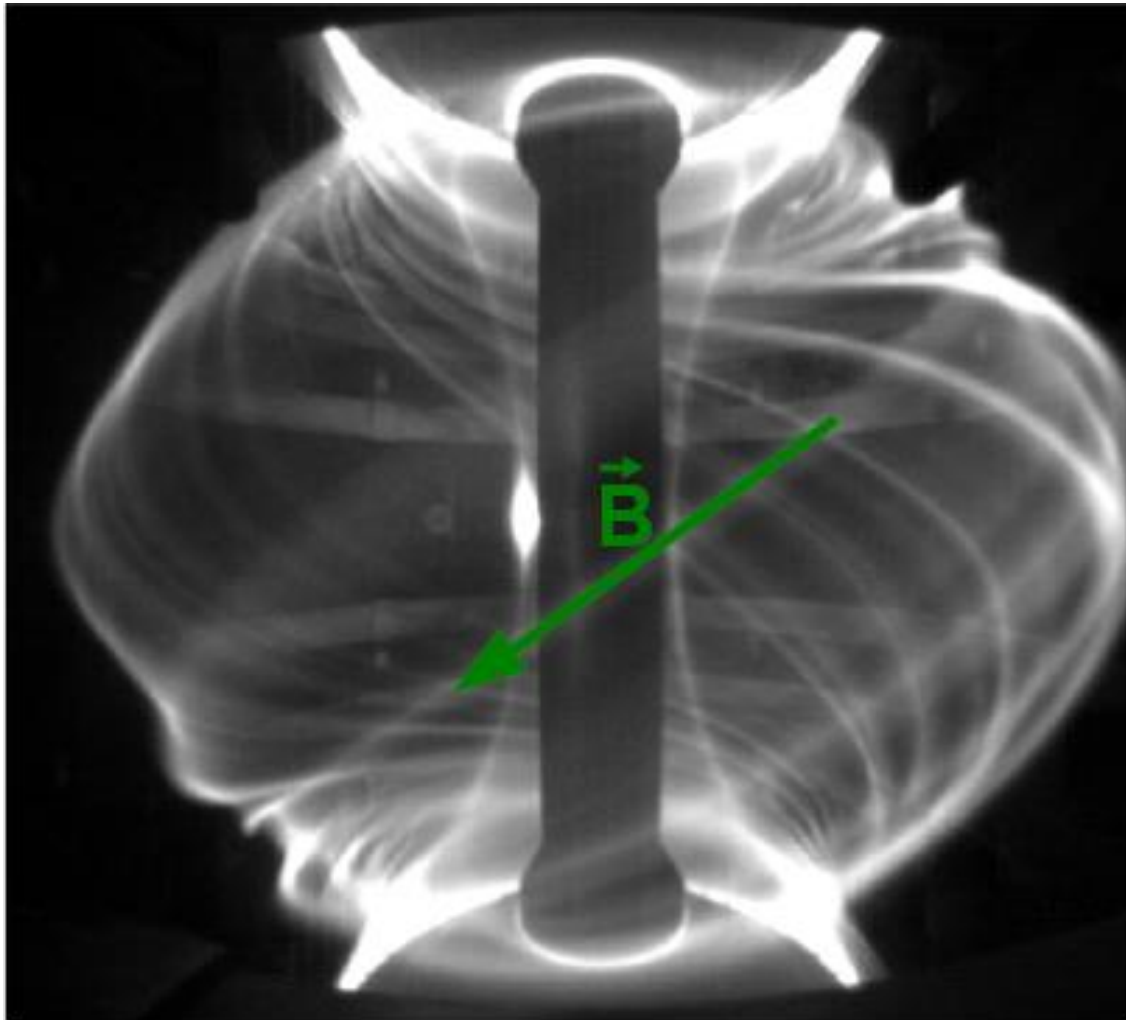


Video of NSTX-U plasma



Evidence of helical field seen in visible images of edge instabilities

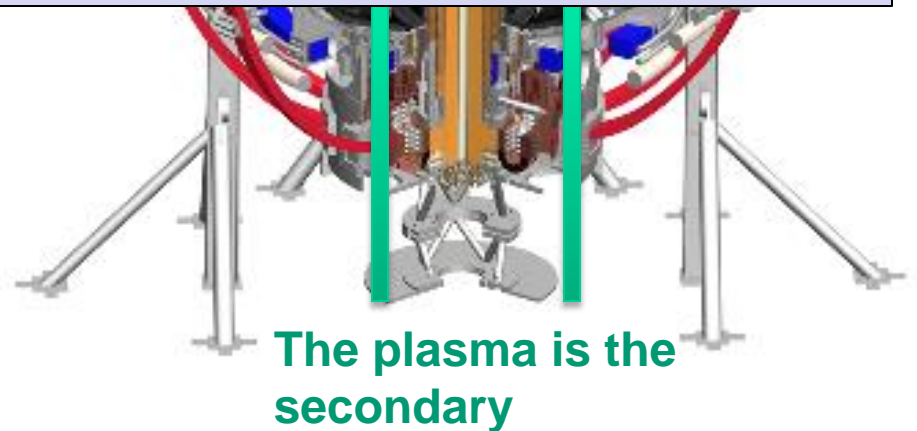
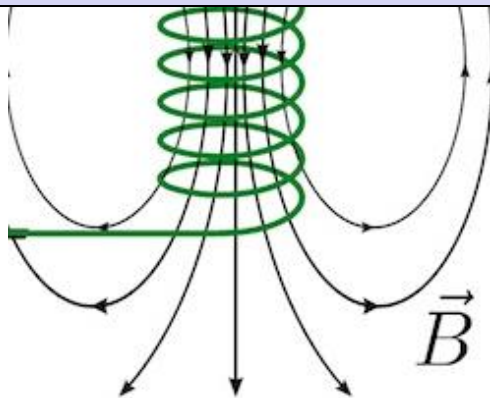
MAST tokamak (UK)



Plasma current induced through solenoid via transformer action (Faraday's law of induction)

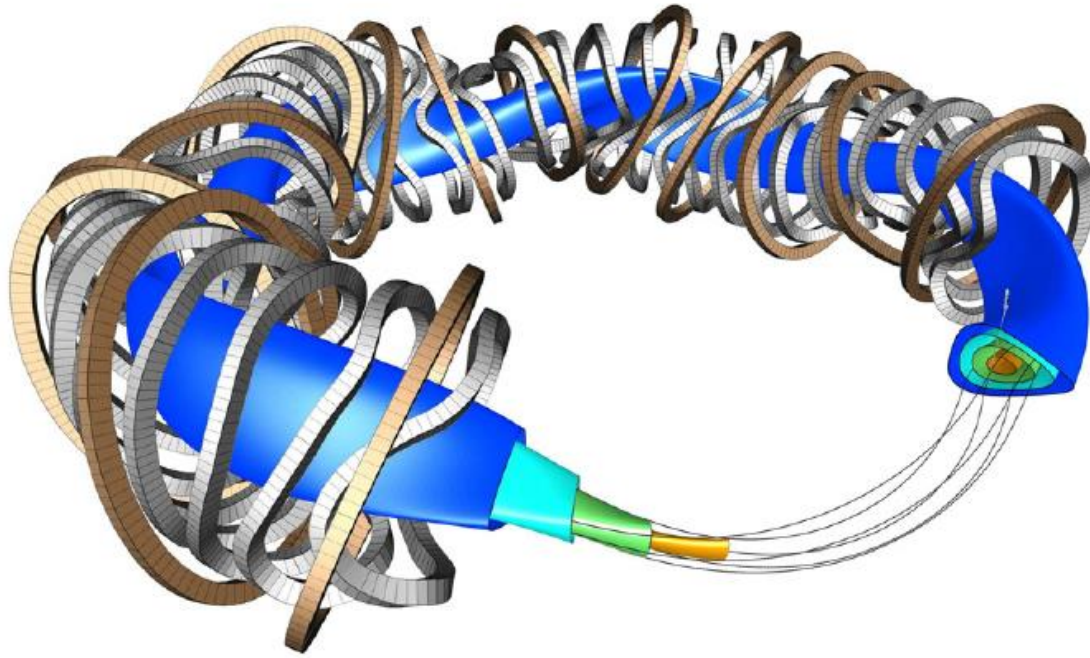


- Induction requires $di_{\text{solenoid}}/dt \rightarrow$ inherently pulsed
- Steady-state tokamaks require 100% non-inductive current drive (external current drive + self-driven “bootstrap” current)



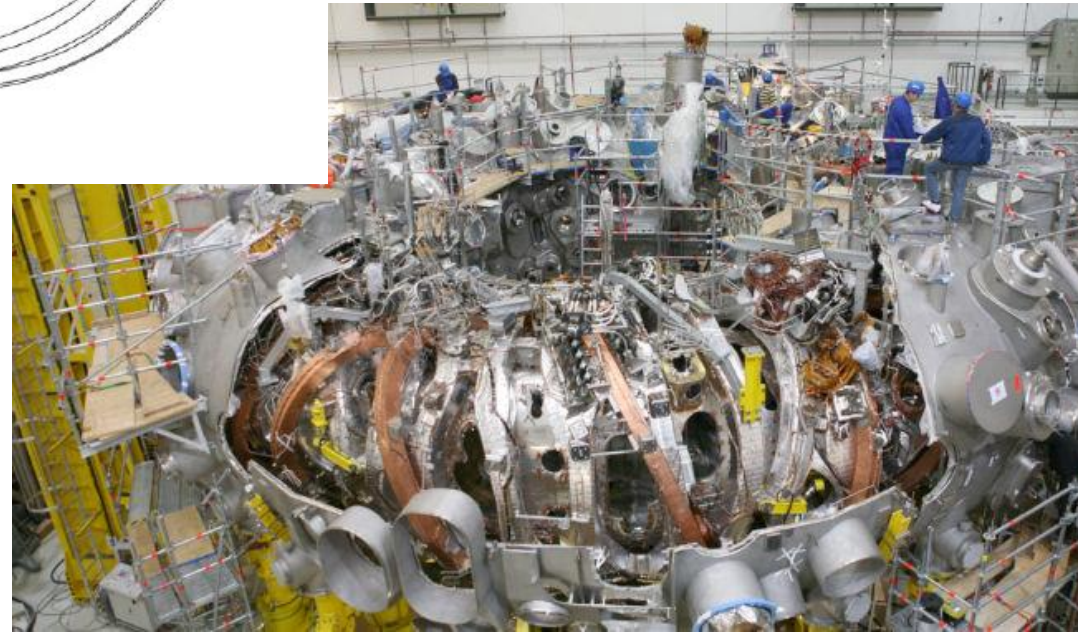
Stellarator concept uses complex 3D coils to generate helical magnetic field without plasma current

W7-X stellarator (Germany)



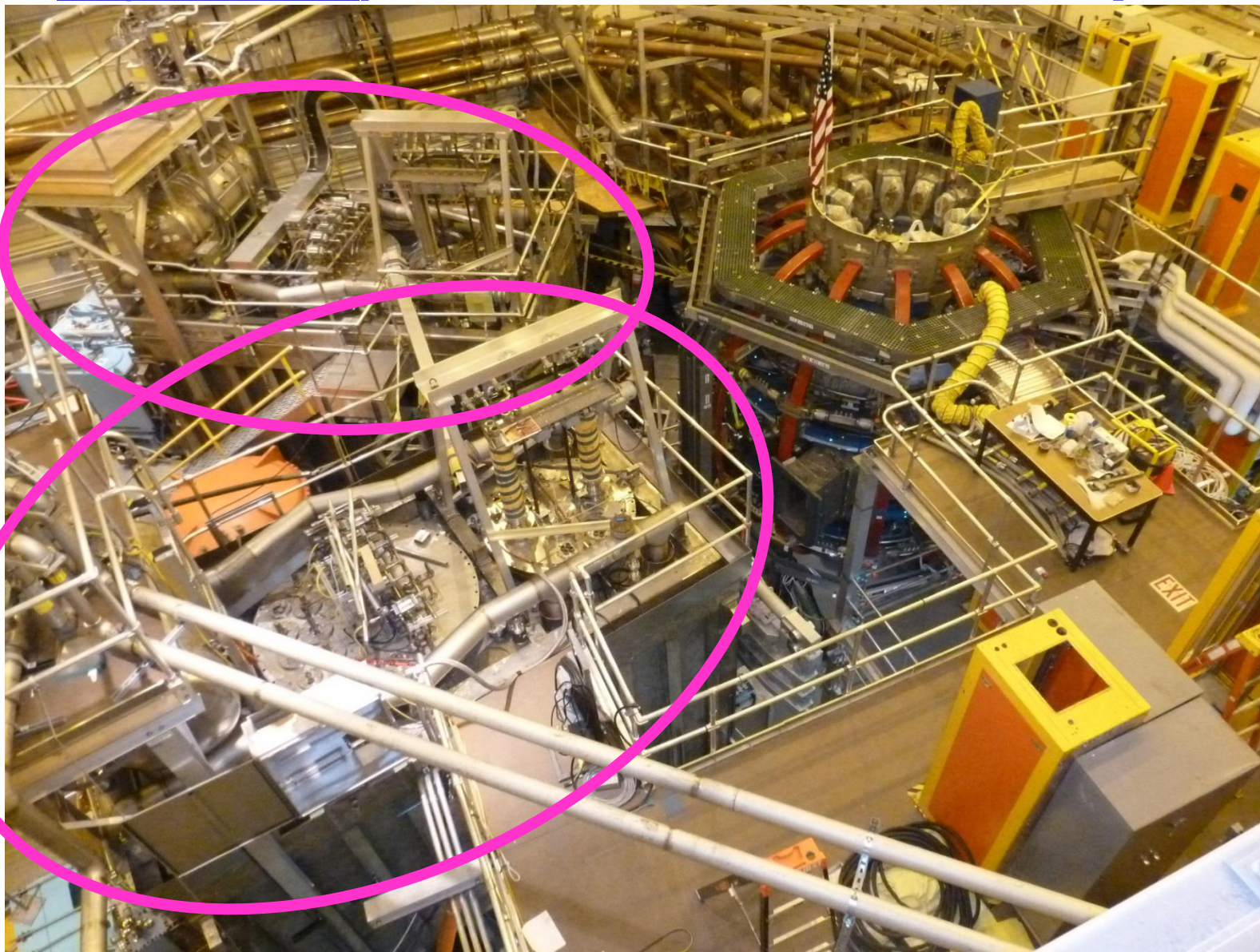
Trading engineering complexity for inherent steady-state operation

More complicated BUT more degrees of freedom for performance optimization

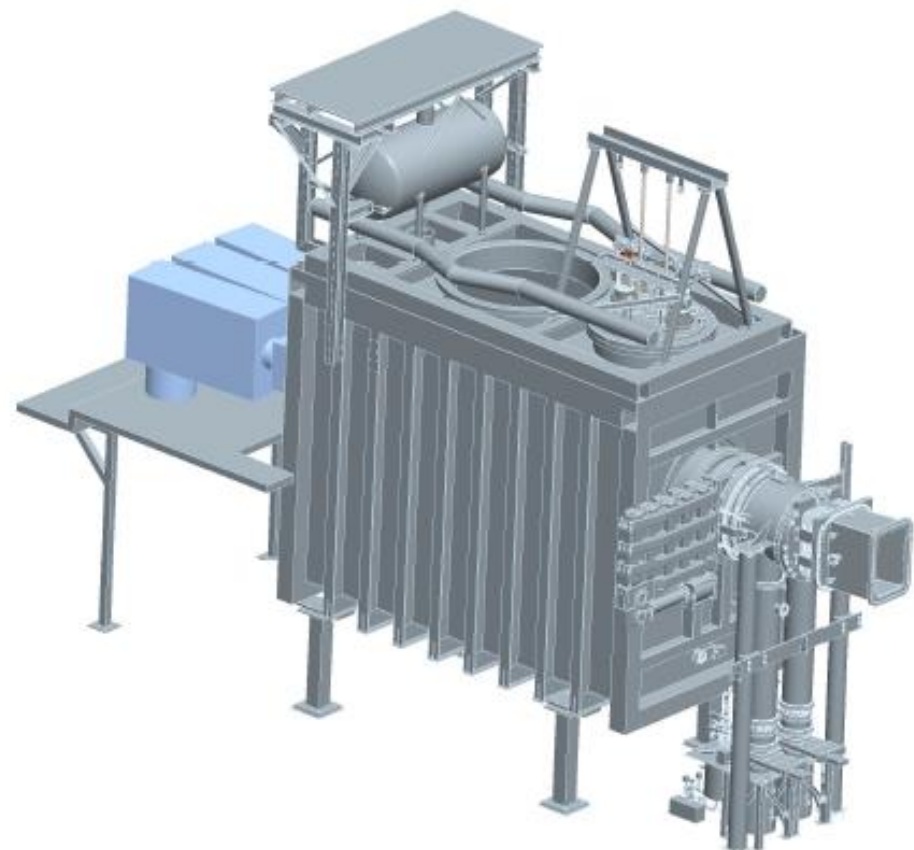
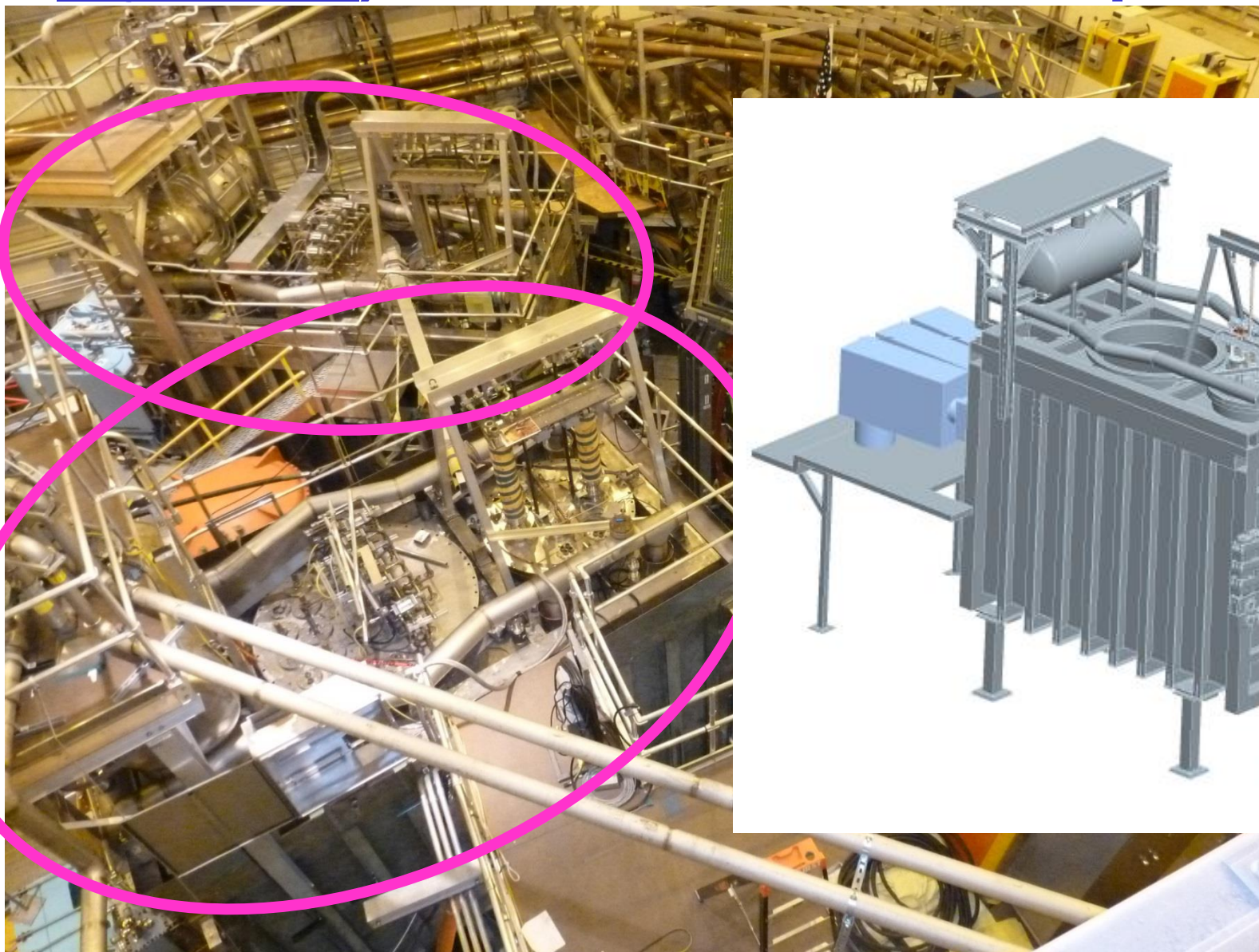


**We've created a magnetically
confined plasma – how do we
heat it?**

Mini particle accelerators (Neutral Beam Injectors) are used to heat the plasma



Mini particle accelerators (Neutral Beam Injectors) are used to heat the plasma



**Microwave heating is also used
(works similar to microwave ovens)**

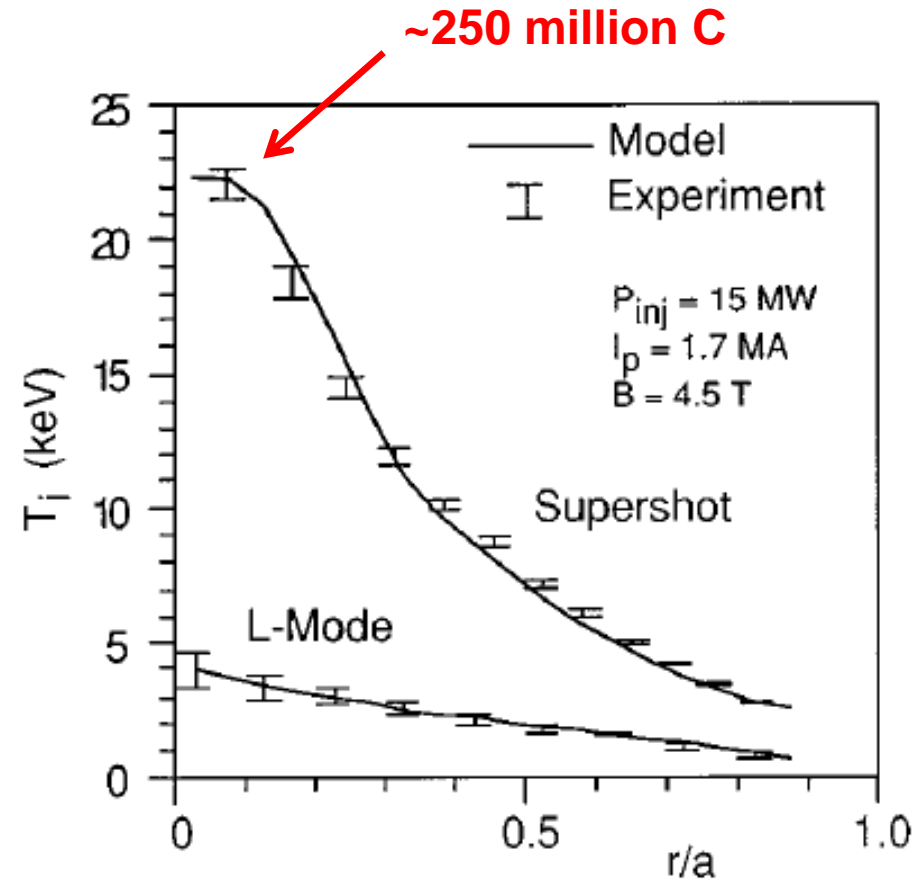
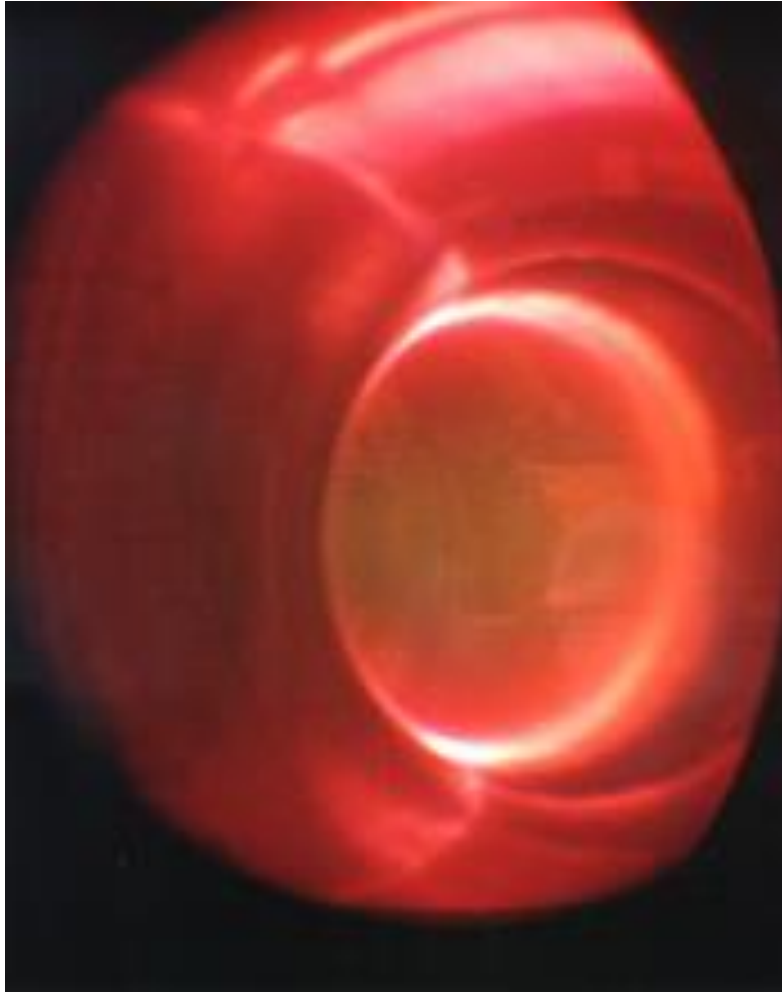


RF antenna



Have achieved sufficient temperatures!

Tokamak Fusion Test Reactor (PPPL, 1982-1997)

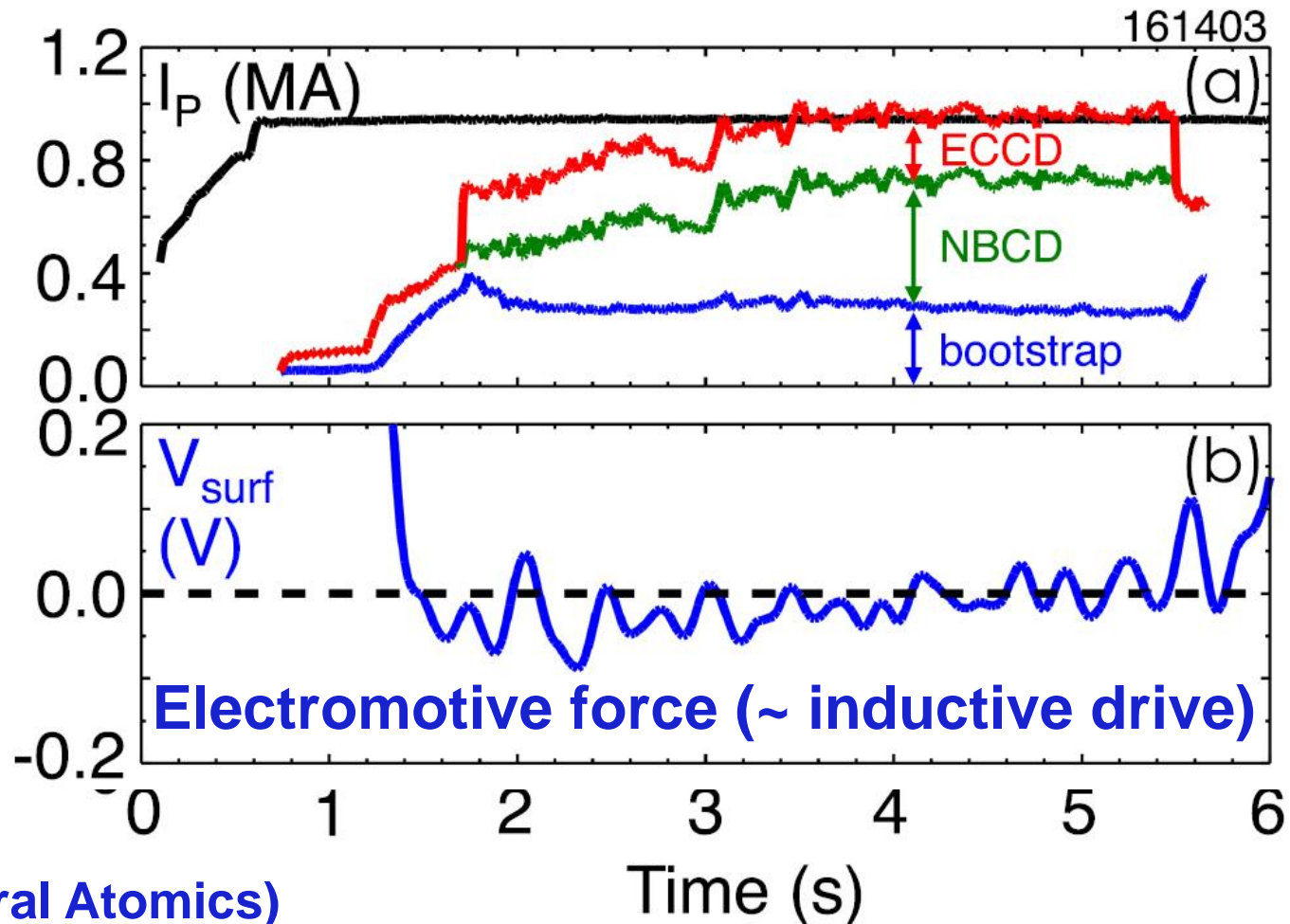


core

boundary

NBI & RF also used to drive plasma current as part of 100% non-inductive scenarios

Total plasma current = self-driven bootstrap current + NB current drive + RF current drive

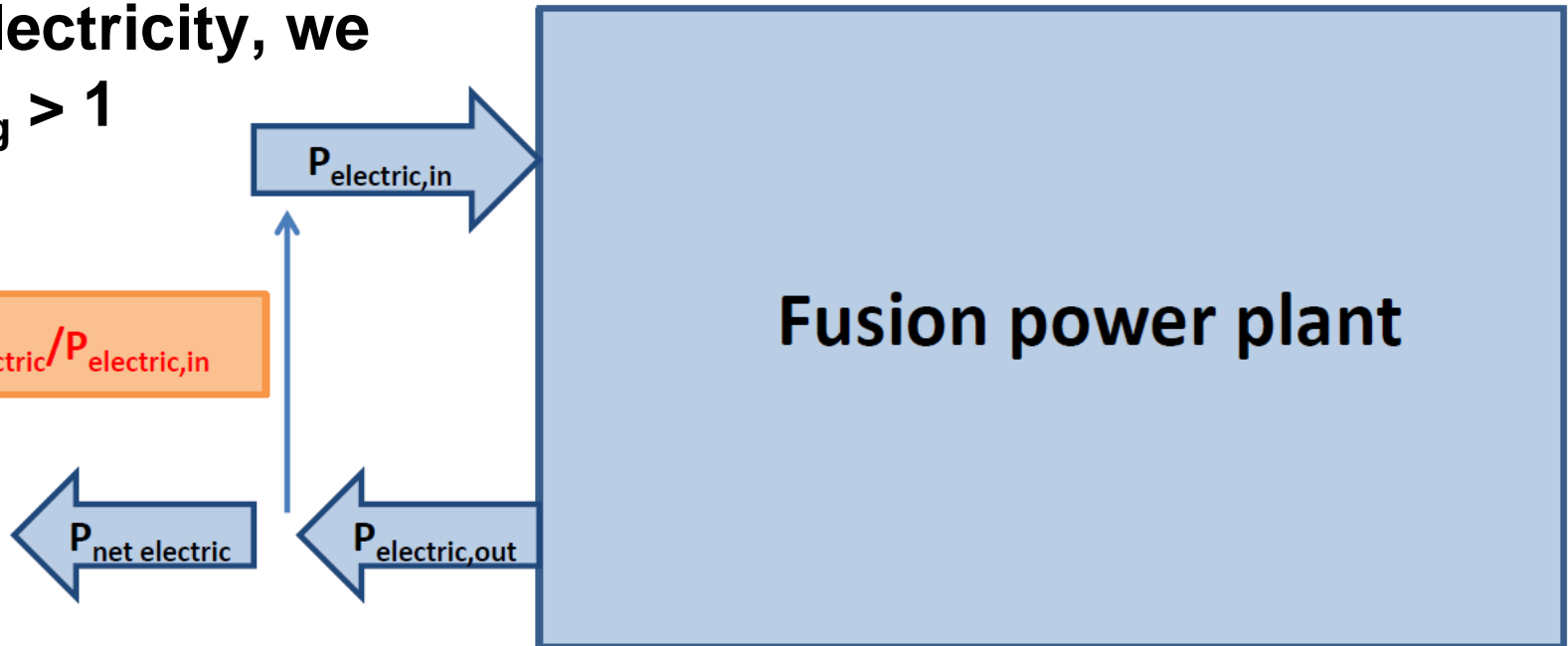


**So what else do we need to make
fusion energy a reality?**

Power balance in a fusion reactor

For net electricity, we need $Q_{\text{eng}} > 1$

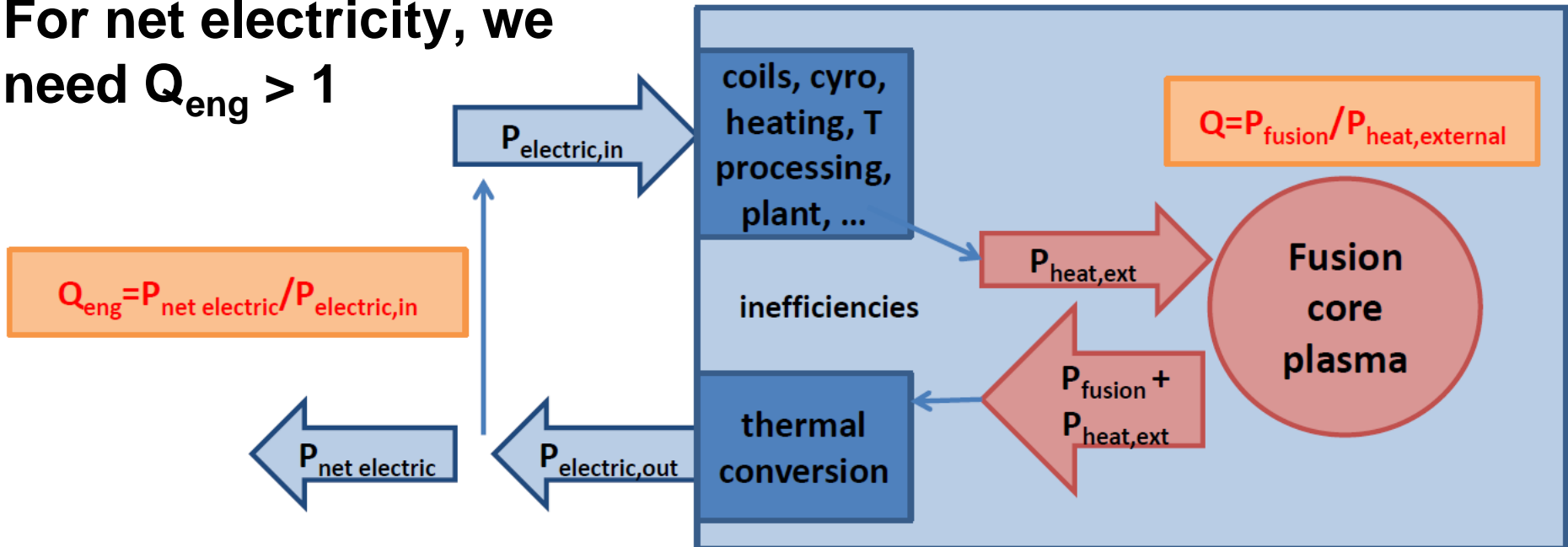
$$Q_{\text{eng}} = P_{\text{net electric}} / P_{\text{electric,in}}$$



Power balance in a fusion reactor

Typically requires a fusion gain $Q \gg 1$ to account for thermal conversion, heating efficiency, cyro, plant, ...

For net electricity, we need $Q_{\text{eng}} > 1$



Fusion gain depends on the “triple product” $nT\tau_E$

**Fusion plasma
gain**

$$Q = \frac{P_{\text{fusion}}}{P_{\text{heat,external}}} \longrightarrow P_{\text{fusion}} \sim (nT)^2 V$$

Fusion gain depends on the “triple product” $nT\tau_E$

**Fusion plasma
gain**

$$Q = \frac{P_{\text{fusion}}}{P_{\text{heat,external}}} \longrightarrow P_{\text{fusion}} \sim (nT)^2 V$$

$$Q \sim (nT) \cdot \left(\frac{nTV}{P_{\text{loss}}} \right)$$

Fusion gain depends on the “triple product” $nT\tau_E$

**Fusion plasma
gain**

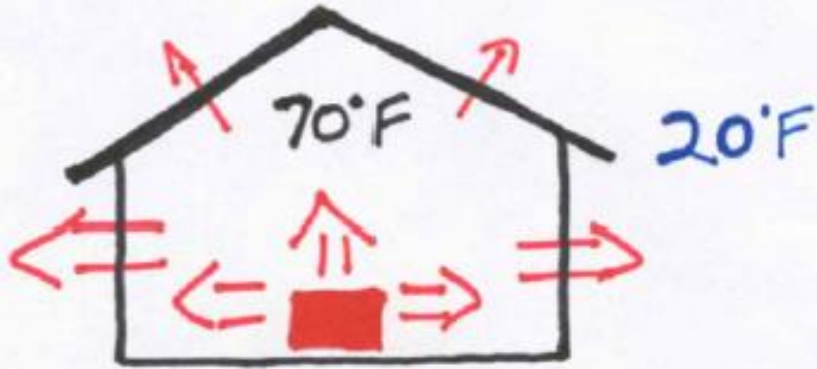
$$Q = \frac{P_{\text{fusion}}}{P_{\text{heat,external}}} \longrightarrow P_{\text{fusion}} \sim (nT)^2 V$$

$$Q \sim (nT) \cdot \left(\frac{nTV}{P_{\text{loss}}} \right)$$

$$Q \sim nT\tau_E \sim p \cdot \tau_E$$

Energy confinement time: $\tau_E = \frac{\text{stored energy}}{\text{rate of energy loss}} \sim \frac{nTV}{P_{\text{loss}}}$

Confinement time is a measure of how well insulated the plasma is from the surrounding boundary



$$\tau_E = \frac{\text{stored energy}}{\text{rate of energy loss}}$$

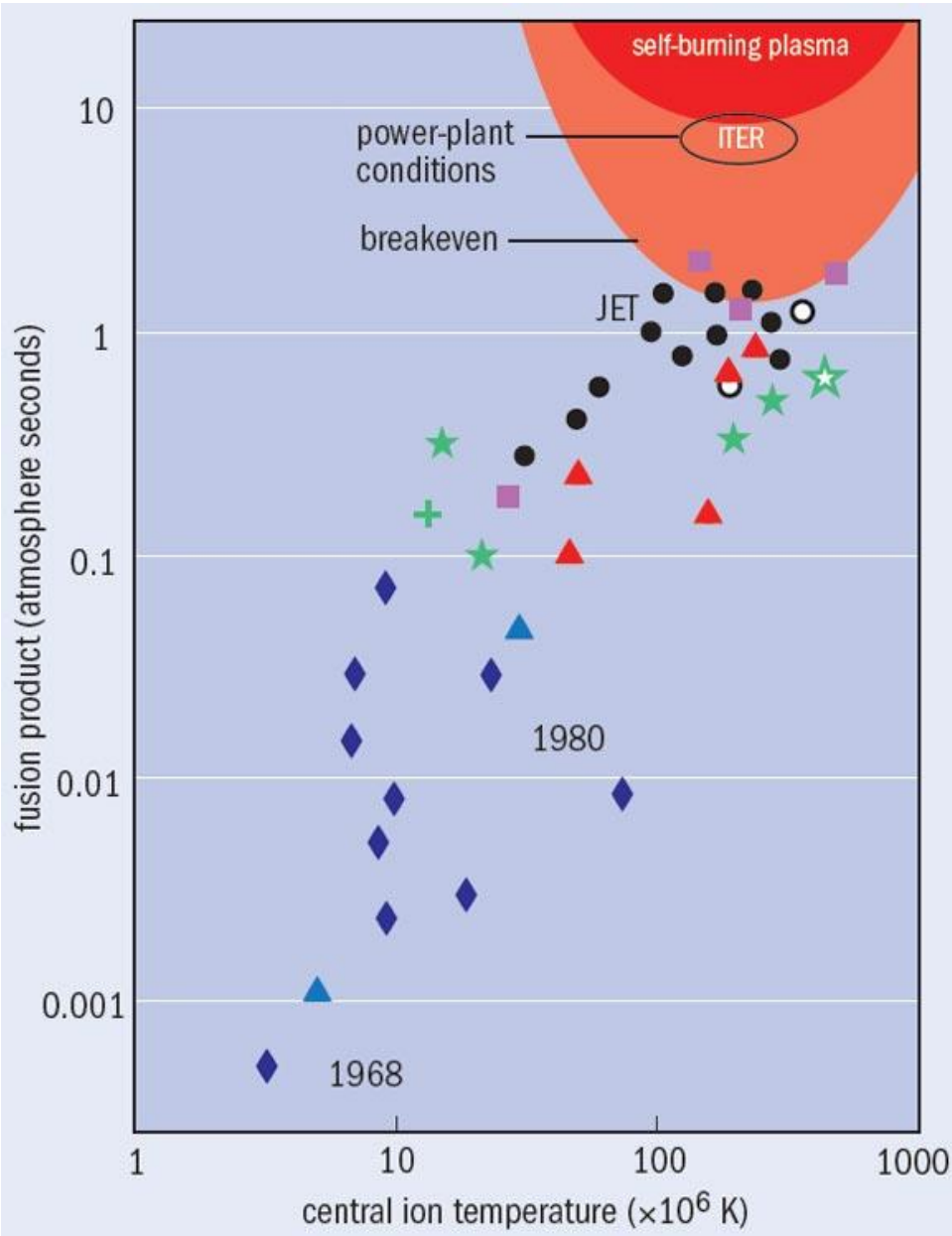
For ignition (a self-sustaining, “burning plasma”)

$$Q \sim p \cdot \tau_E > \underline{8 \text{ atm}\cdot\text{s}} \text{ (at } \sim 150 \text{ million C)}$$

$$p \sim 2\text{-}4 \times \text{atmospheric pressure}$$

$$\tau_E \sim 2\text{-}4 \text{ seconds}$$

Have come very close to plasma “break-even” ($Q=1$)



TFTR (PPPL, 1994)

10.7 MW fusion power

46 MW heating power

$Q=0.23$

JET (UK, 1997)

16.1 MW fusion power

22 MW heating power

$Q=0.7$

Next step: ITER is being built to study “burning plasmas”

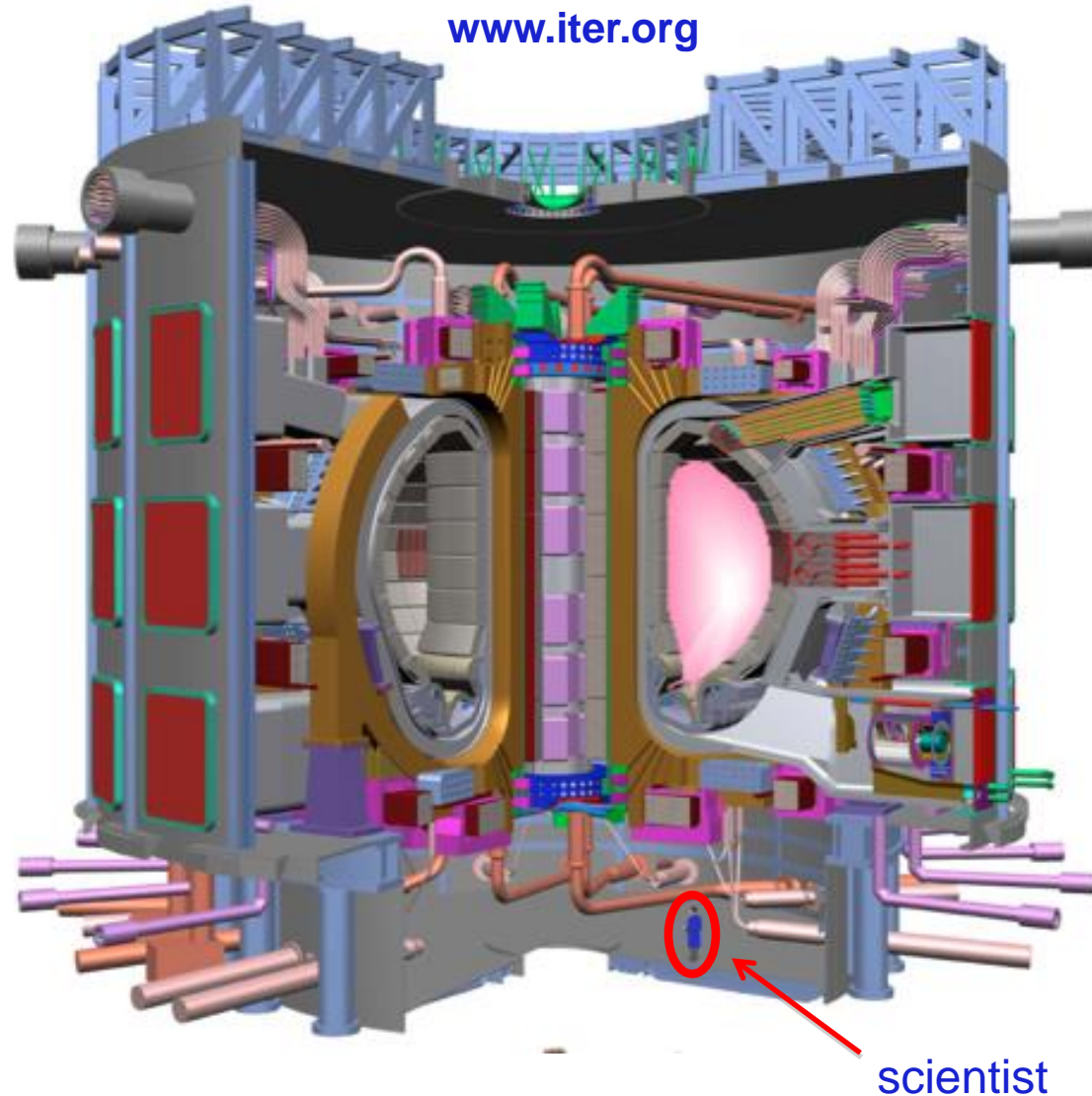
- **Goal:** 500 MW fusion power using 50 MW heating power
→ **large fusion gain $Q = 10$**

Seven partners

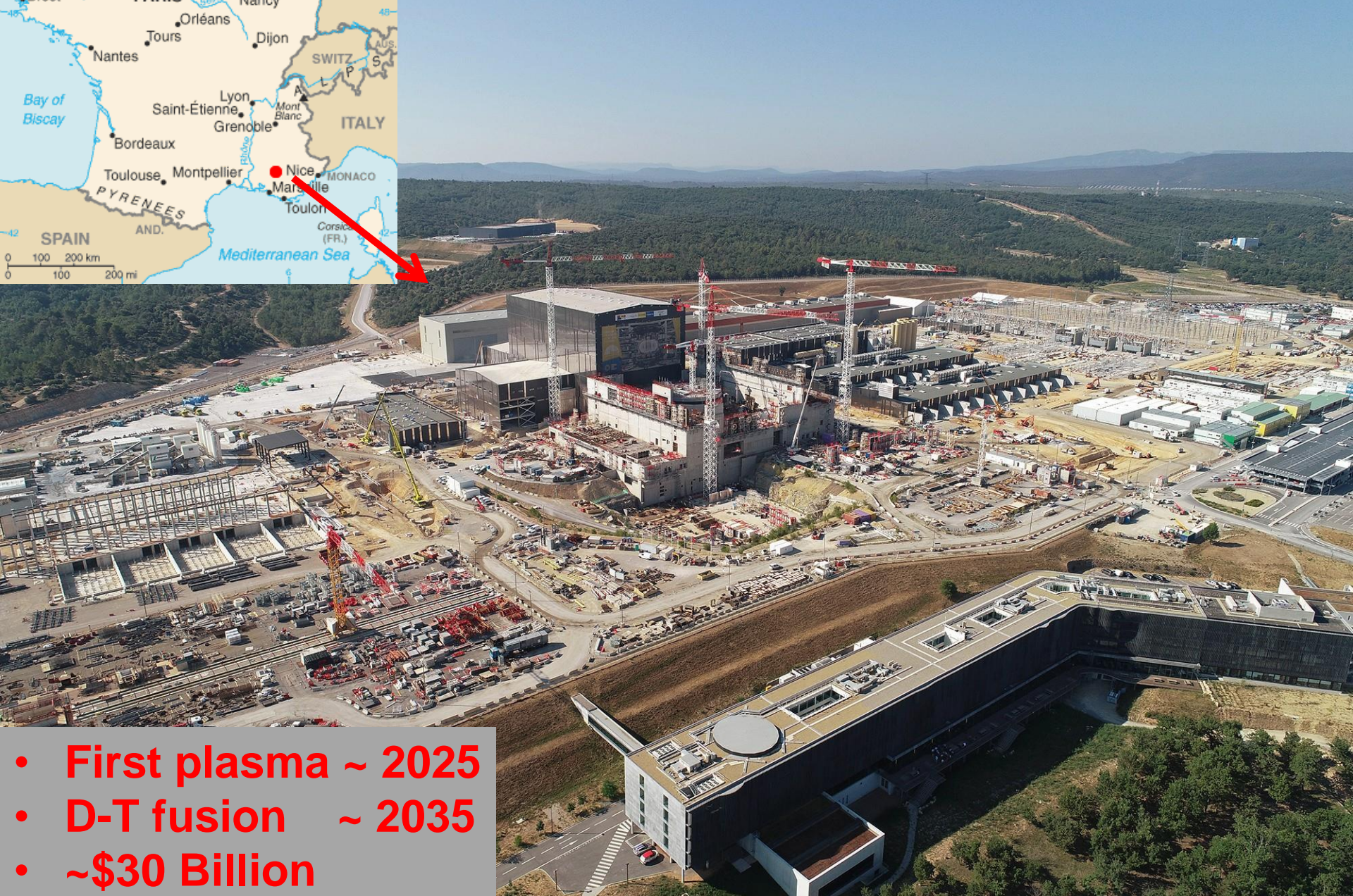
China, EU, India,
Japan, Korea, Russia,
US

$R=6$ m

$B=5.3$ T, $I_p=15$ MA



ITER is being constructed in France, just north of Marseille



- **First plasma ~ 2025**
- **D-T fusion ~ 2035**
- **~\$30 Billion**

Inside the tokamak pit (<https://www.iter.org/album/construction>)



One toroidal field coil



Not a bad place to visit!

Lavender of Senanque



Les Beaux de Provence



Ocre cliffs of Rousillon



Wine is good and not too expensive



ITER will address a number of reactor relevant issues in an integrated fashion

- Demonstrate 500 MW fusion power for 400 sec, with large fusion power gain ($Q=10$)
- Study “burning plasma” regime ($P_{\alpha} > P_{\text{heat,ext}}$) with self-heating via energetic particles (3.5 MeV α 's)
- Test tritium breeding
- Demonstrate safety characteristics of fusion device (has already obtained nuclear licensing)
- ... *(and more)*

**ITER & next-step power plant
projections are big (\$\$)**

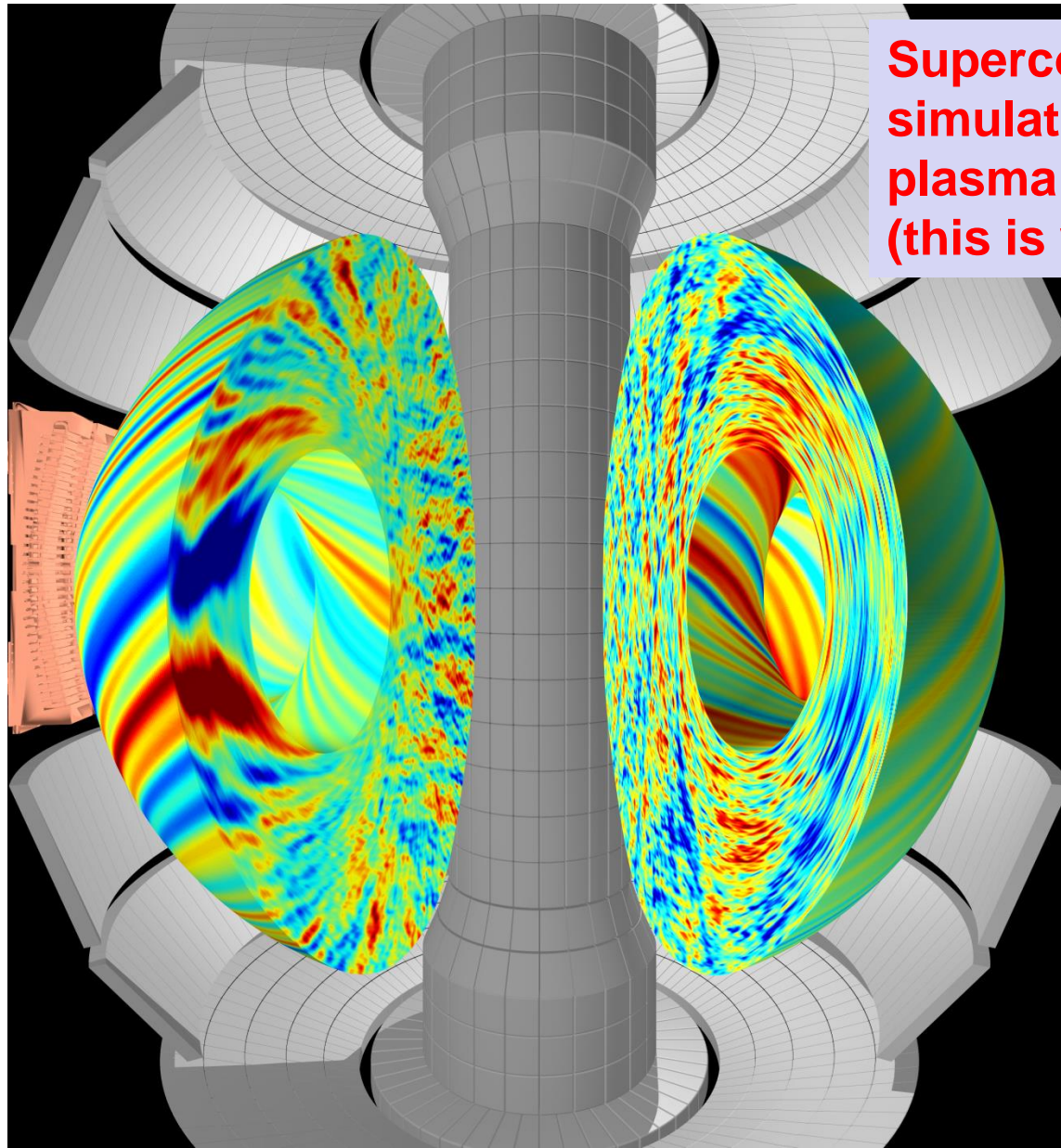
**What can we do to improve on
this?**

Triple product (fusion gain) depends on stability, engineering and energy confinement

$$Q \sim nT\tau_E \sim \beta \cdot B^2 \cdot \tau_E$$

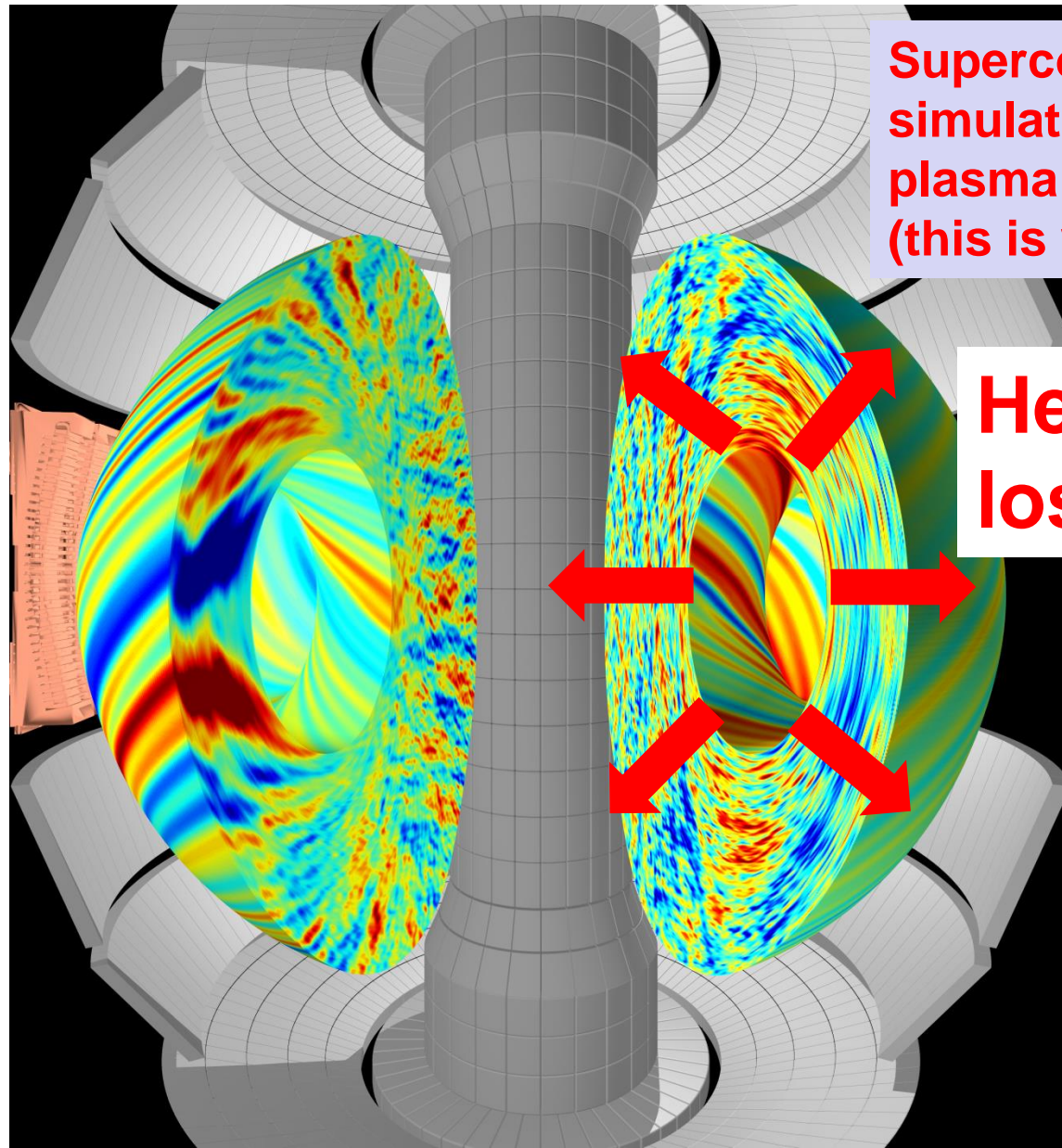
- Plasma beta $\beta = nT/(B^2/2\mu_0)$ = plasma / magnetic pressure
→ limited to $\beta \sim 5-10\%$ by macroscopic (MHD) stability constraints (e.g. need to avoid “disruptions”)
- Magnetic field strength B limited by superconductor technology (B_{crit} , J_{crit}) & mechanical stress limits
- τ_E limited by energy loss → dominated by turbulence

Turbulence in the plasma can (unfortunately) be very efficient at flushing out energy



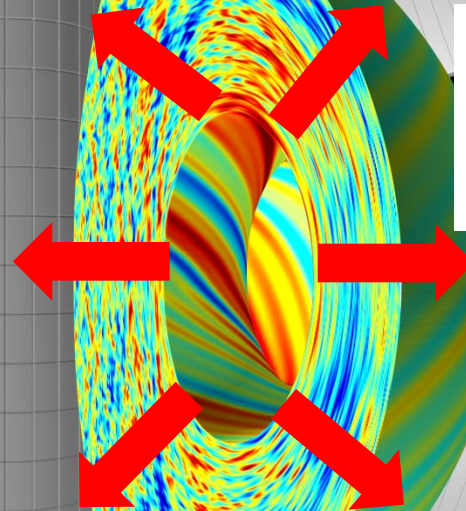
Supercomputer
simulation of
plasma turbulence
(this is what I do 😊)

Turbulence in the plasma can (unfortunately) be very efficient at flushing out energy



Supercomputer simulation of plasma turbulence (this is what I do 😊)

Heat loss

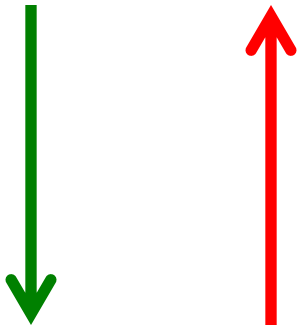


Why does turbulence develop in tokamaks?

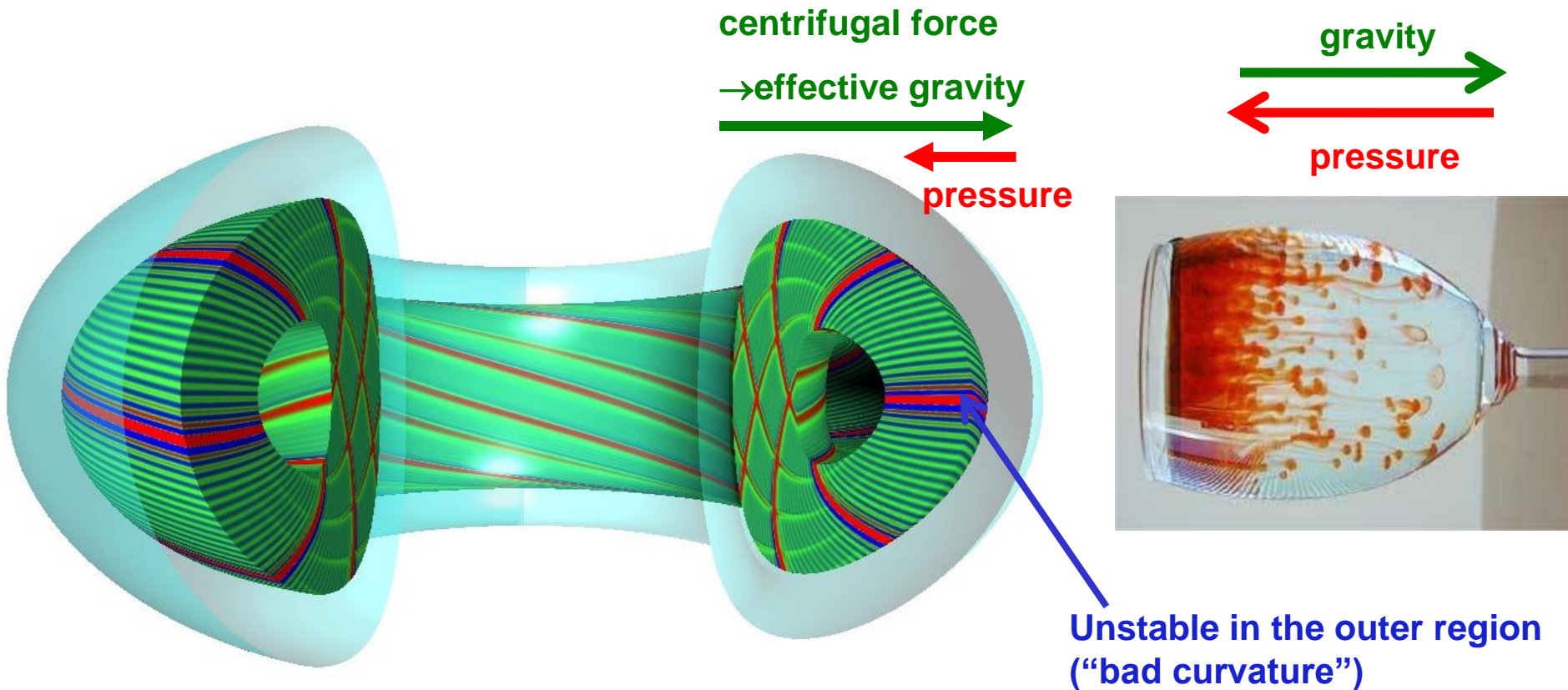
Analogy for turbulence in tokamaks - density gradient in the presence of gravity

- Higher density on top of lower density, with gravity acting downwards (**Rayleigh-Taylor instability**)
- Any small perturbation becomes unstable
- Convection mixes regions of different density

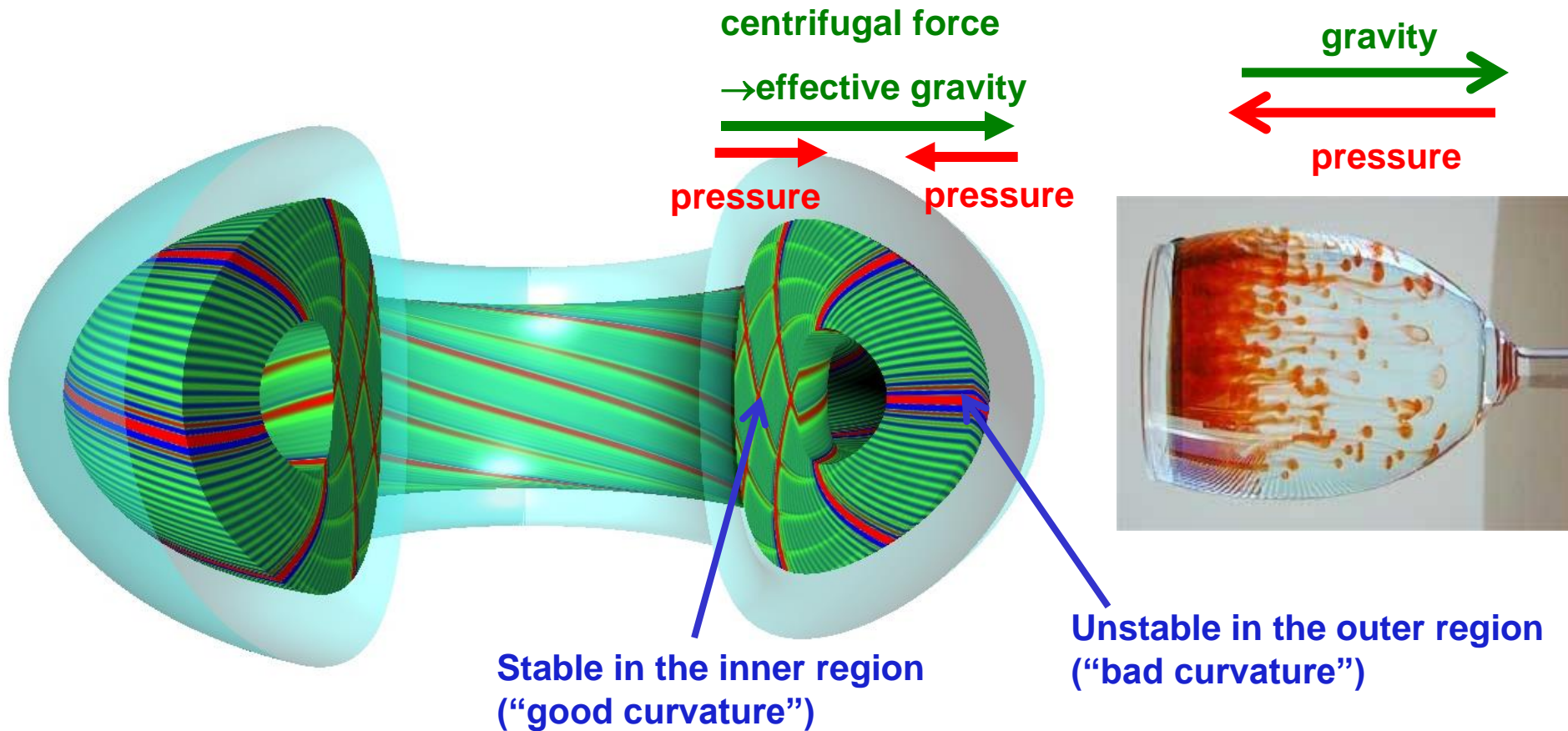
gravity density/pressure



Inertial (centrifugal) force in toroidal field acts like an effective gravity



Inertial (centrifugal) force in toroidal field acts like an effective gravity



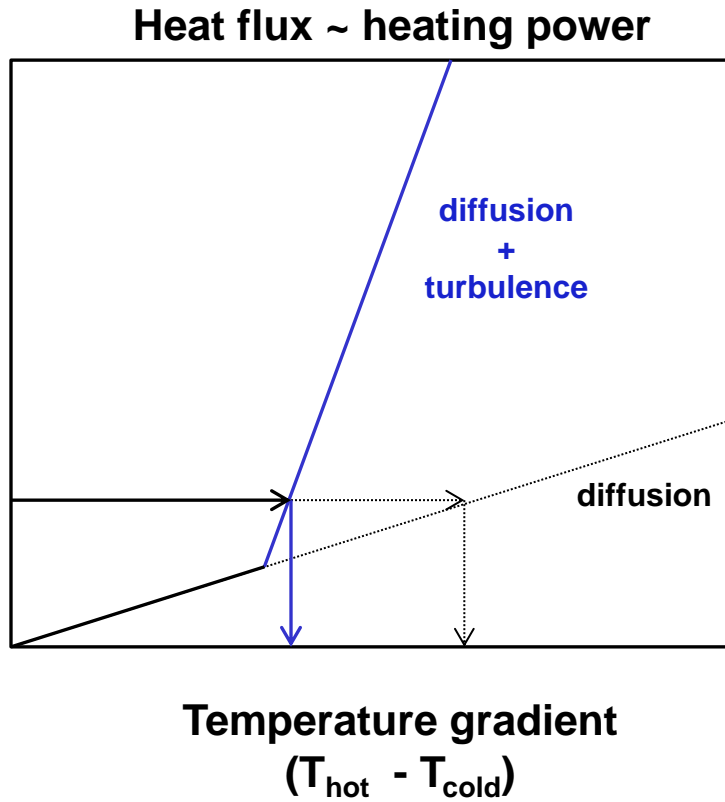
Fast parallel dynamics + helical field lines provides stability → gradient must surpass a threshold for instability

DIII-D Shot 121717

GYRO Simulation

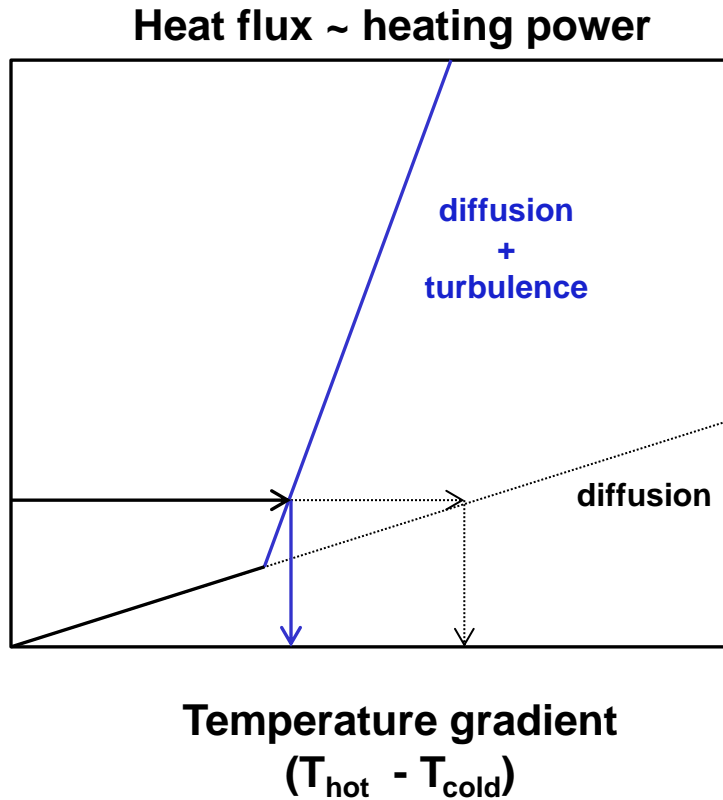
Cray X1E, 256 MSPs

Onset of turbulence reduces the achieved temperature that would have been present due only to diffusion



Onset of turbulence reduces the achieved temperature that would have been present due only to diffusion

Analogous to convective transport when heating a fluid from below ... boiling water (before the boiling)



Rayleigh, Benard instability
(early 1900's)

Numerous elements of US MFE research are actively addressing optimizing performance

$$Q \sim nT\tau_E \sim \beta \cdot B^2 \cdot \tau_E$$

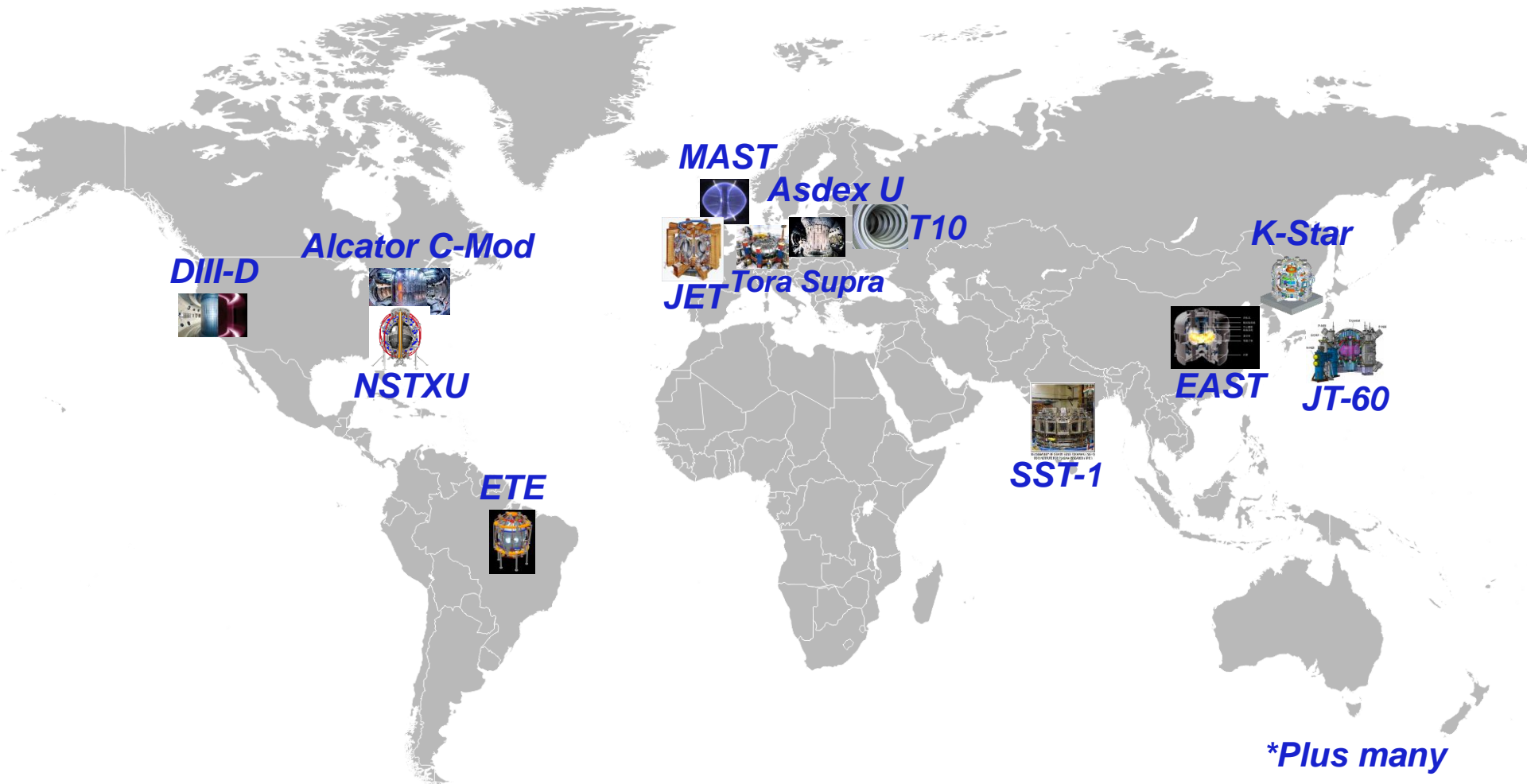
- Refining operational scenarios to optimize beta, tau & steady-state (100% non-inductive) *simultaneously*
 - DIII-D (General Atomics, San Diego)
- Pursuing very high beta (~30-40%) at low aspect ratio
 - NSTX-U (PPPL) → low-A potentially useful as a compact volume neutron source for nuclear material qualification
- Pursuing stronger magnetic fields: high temperature superconductors (HTS) enable larger B_{crit} , J_{crit} , T_{crit}
 - MIT & Commonwealth Fusion Systems (CFS – one of many privately funded fusion ventures)

Challenges (& career opportunities) to help enable a future with fusion energy

- Steady state operation with good confinement → **plasma physics**
- Handling intense heat fluxes at plasma-material boundary → **plasma, chemistry & materials science**
- Managing materials in a neutron environment & tritium breeding → **nuclear engineering**
- Better electromagnets → **superconductor R&D**
- Never-ending need for diagnostic development (**spectroscopy**, ...), data analysis (**big data analysis**, **machine learning**, ...), and just all around **good scientists & engineers!**

Numerous tokamak, stellarator & other experiments are globally attacking these research topics

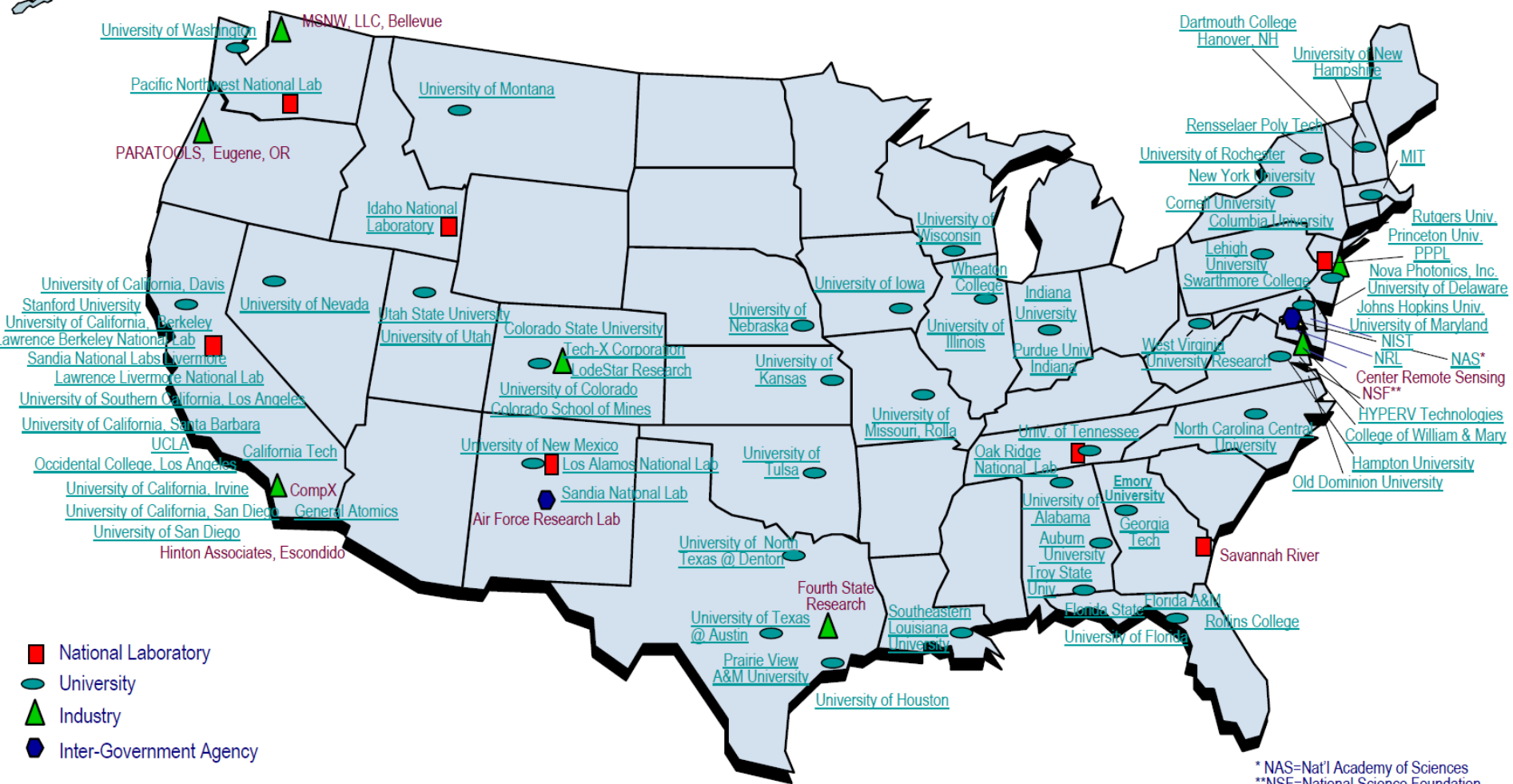
- Lot's of fun places to visit / work 😊



**Plus many more*

U.S. Fusion Program Participants

<https://science.energy.gov/fes/research/fusion-institutions>



* NAS=National Academy of Sciences
 **NSF=National Science Foundation

U.S. Fusion Program Participants

<https://science.energy.gov/fes/research/fusion-institutions>



[University of Washington](#)

MSNW, LLC, Bellevue

[Dartmouth College
Hanover, NH](#)

[University of New
Hampshire](#)

DOE-funded undergraduate internships

- **Summer Undergraduate Laboratory Internship (SULI):**
<https://science.energy.gov/wdts/suli/>
- **Community College Internship (CCI):**
<https://science.energy.gov/wdts/cci/>
- **Graduate Summer School** at PPPL for grad students from non-fusion programs (gss.pppl.gov)

Summary

- Nuclear fusion offers a promising solution for clean energy, especially for growing global energy demands
- The progress in magnetic fusion energy research has been immense – ITER is the next evolution on-the-horizon!
- Numerous US and international institutions are focused on solving remaining challenges to bring fusion energy to the market – always looking for new, young talent!



U.S. DEPARTMENT OF
ENERGY | Office of
Science



Thank you!



www.iter.org

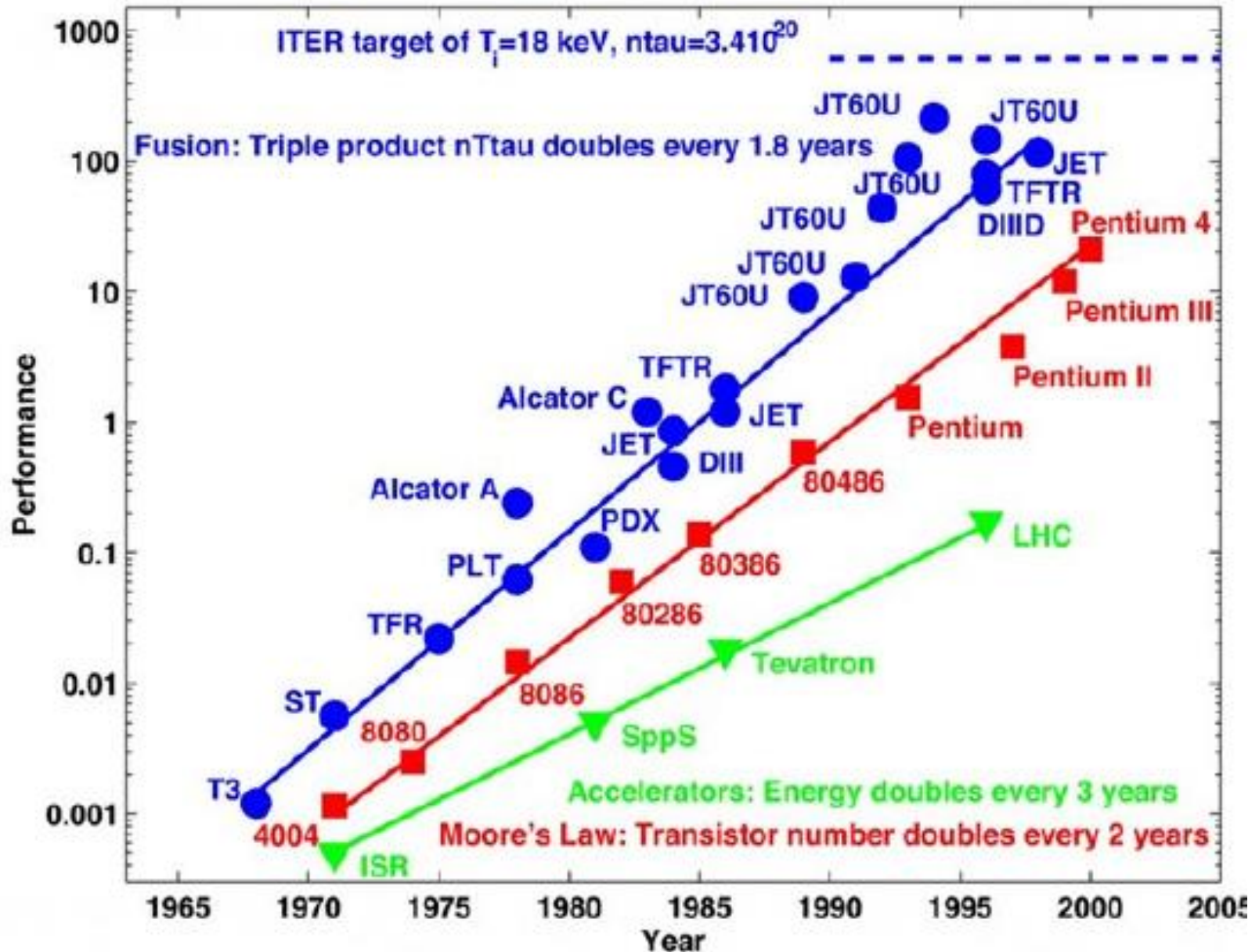
www.pppl.gov

science.energy.gov/wdts/suli/

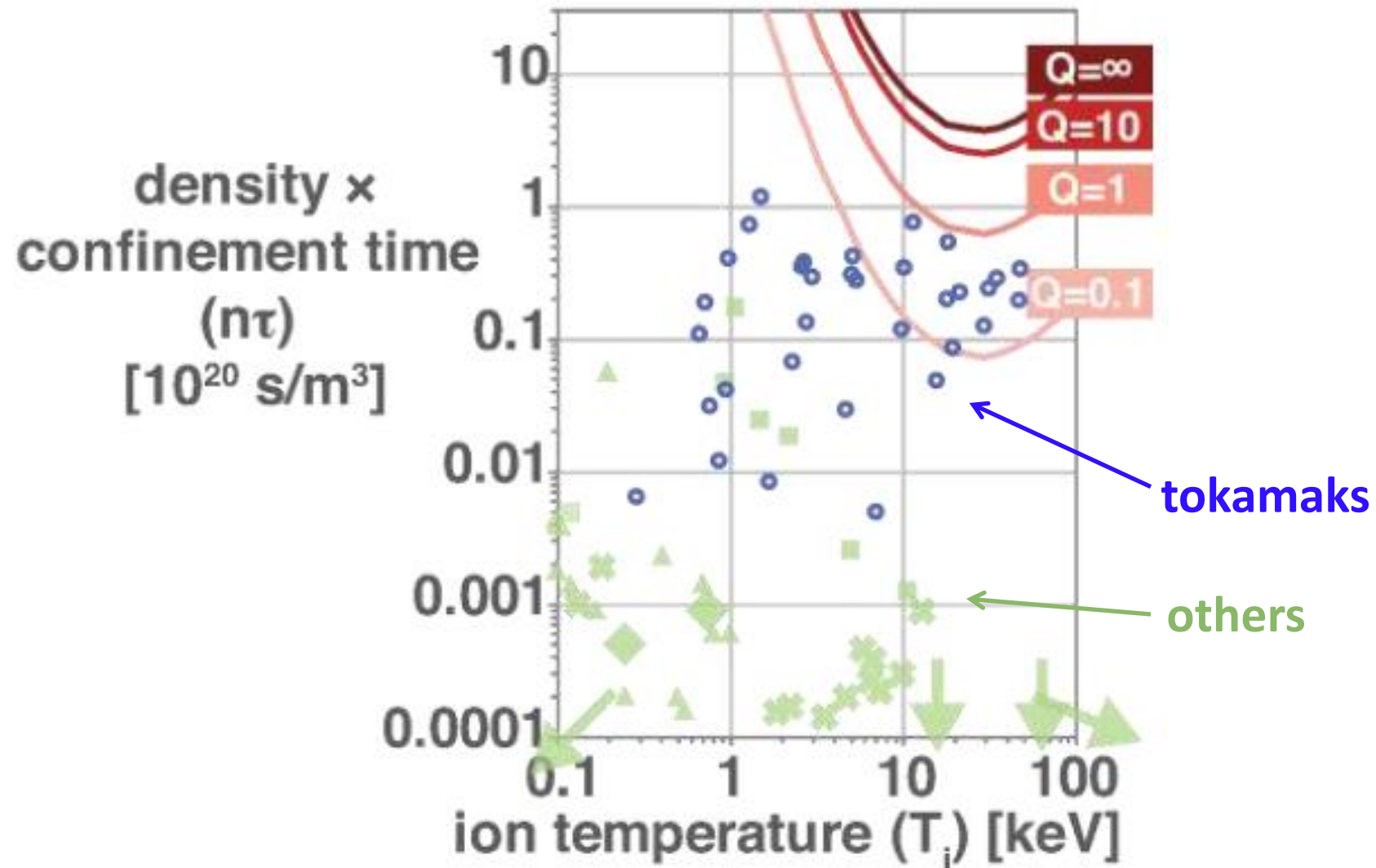
science.energy.gov/wdts/cci/

BACKUP SLIDES

Progress in achieved fusion performance outpaces (outpaced) Moore's Law

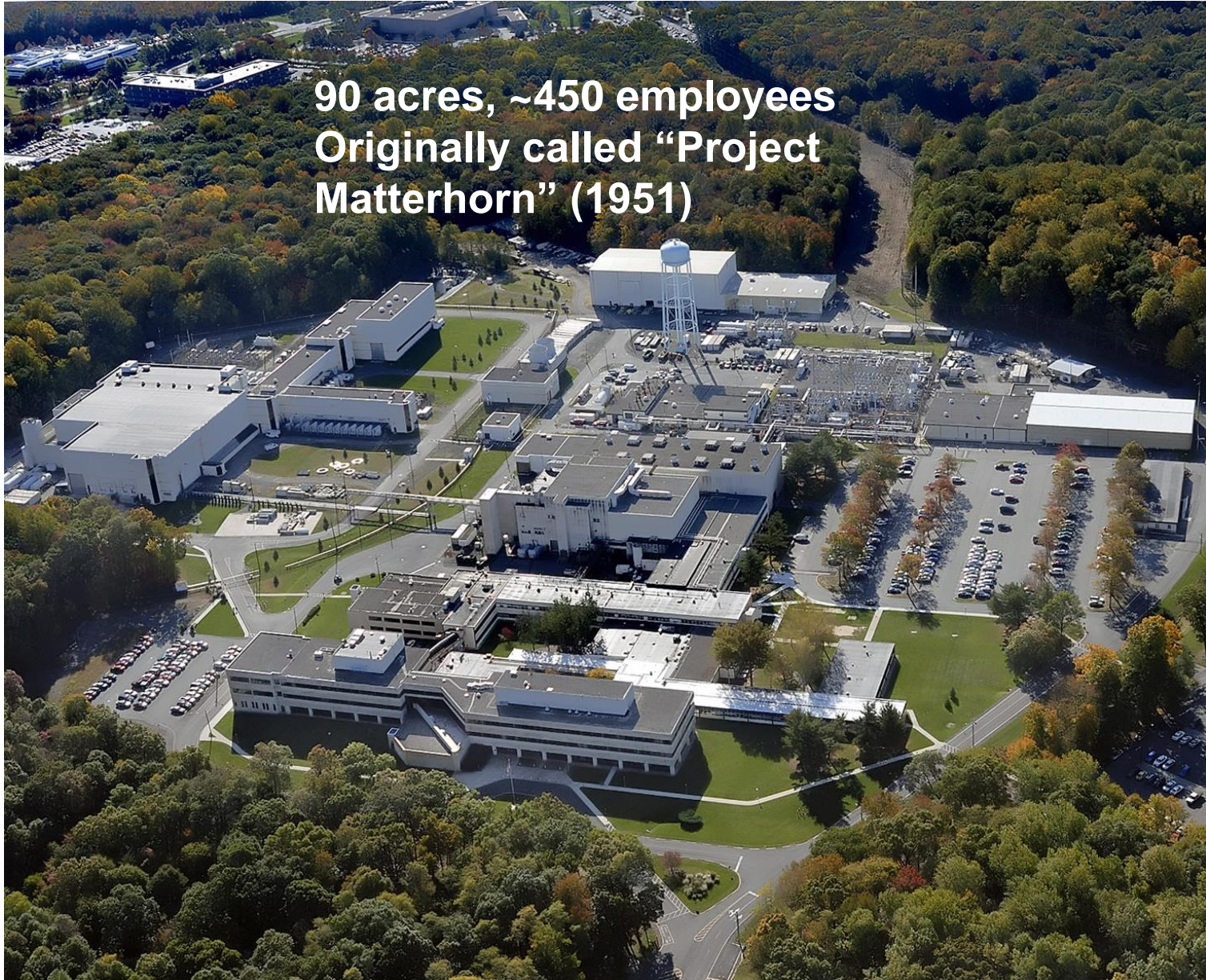


Comparing fusion-relevant performance among different magnetic energy confinement configurations



Princeton Plasma Physics Laboratory (PPPL) Plainsboro, New Jersey

90 acres, ~450 employees
Originally called "Project
Matterhorn" (1951)



**At PPPL, we try to understand many
aspects of plasmas**

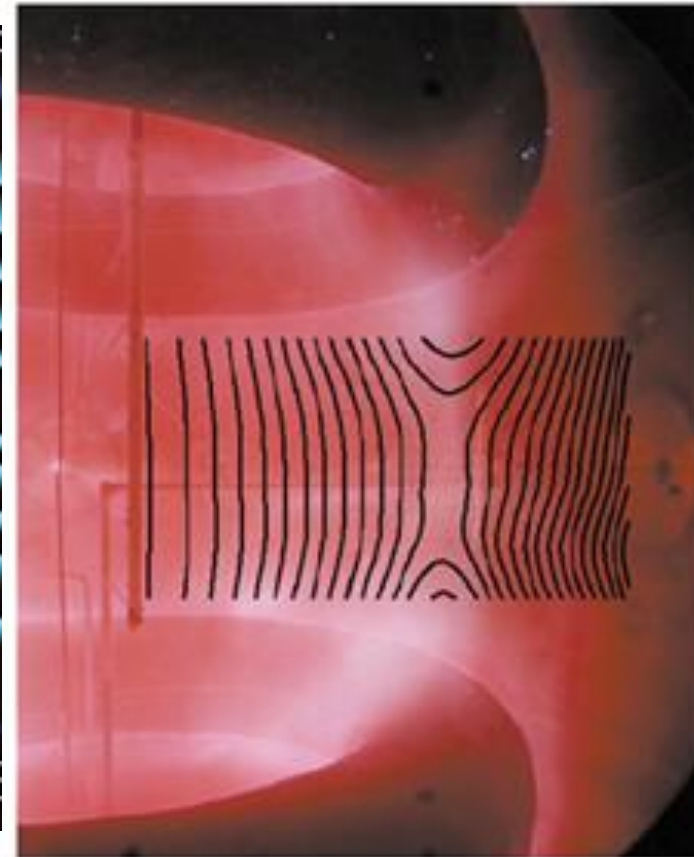
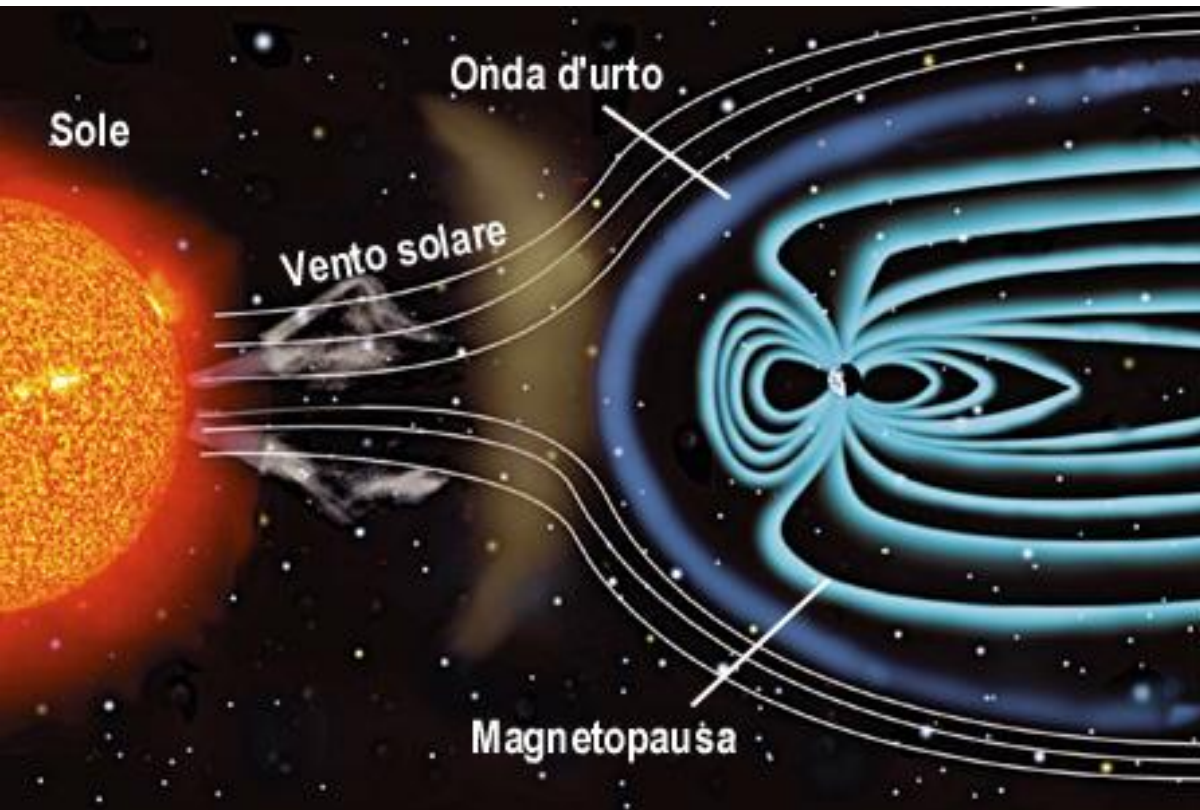
For example...

Experiments to study astrophysical “reconnection” (solar flares)

- Laboratory experiment to mimic interaction of solar flare impinging on earths magnetic field (relevant to telecommunications)

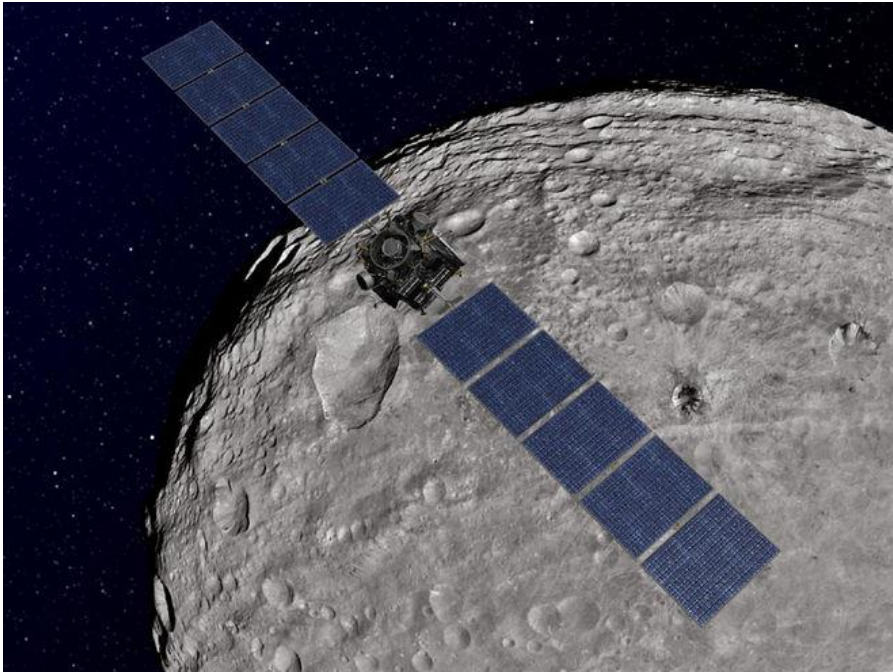
MRX

Magnetic Reconnection Experiment

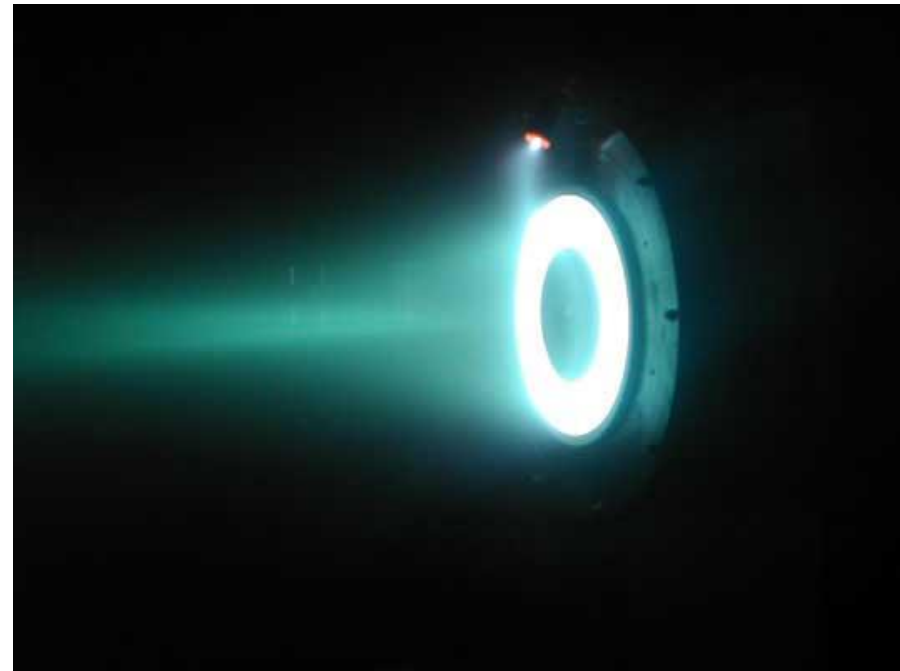


Experiments to study plasma thrusters for satellites and deep space exploration

The success of NASA's Dawn mission to orbit two asteroids depended on plasma thrusters



HTX
Hall Thruster Experiment

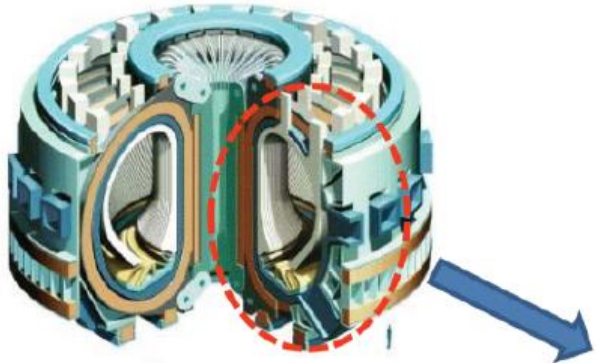


Additional plasma research at PPPL

- Astrophysics
- Plasma thrusters
- Basic plasma physics
- Nanotechnology
- Plasma-surface chemistry interaction
- Developing medical isotopes
- Plasma theory and simulation

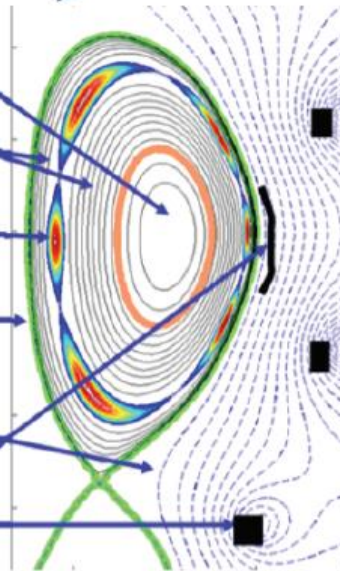
➤ **Plasmas for nuclear fusion energy research**

Characteristics length & time scales that govern instabilities span orders of magnitude



- Fusion devices encompass:
 - Multiple physical processes
 - Large range of scales in time and space

- Sawtooth Region ($q < 1$)
- Core Confinement Region
- Magnetic Islands
- Edge Pedestal Region
- Scrape-off Layer
- Vacuum/Wall/Conductors/Antenna



- Core & Edge Transport
- Plasma Turbulence
- Large Scale Instabilities
- MHD Equilibrium
- Heating & Current Drive

